101st Congress, 1st Session - - - - - - - - - - House Document 101-75

FINANCIAL DISCLOSURE

REPORTS

OF MEMBERS OF THE U.S. HOUSE OF REPRESENTATIVES OF THE 100TH CONGRESS

FROM

January 1, 1988, to December 31, 1988

SUBMITTED TO THE CLERK OF THE HOUSE

PURSUANT TO 2 U.S.C. § 703

(Volume 1 of 2)

JUNE 21, 1989.—Referred to the Committee on Standards of Official Conduct and ordered to be printed

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U.S. GOVERNMENT PRINTING OFFICE
99-301 WASHINGTON : 1989

H740-1

Donnald K. Anderson
Clerk

Patricia S. Rios, Director
Office of Records and Registration

Office of the Clerk
U.S. House of Representatives
Washington, DC 20515-6601

June 21, 1989

Honorable Thomas S. Foley
The Speaker
U.S. House of Representatives
Washington, DC 20515

Dear Mr. Speaker:

I hereby submit the annual compilation of personal financial disclosure statements filed with the Clerk of the House of Representatives pursuant to the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.), and House Rule XLIV.

This compilation contains the personal financial disclosure statements and amendments thereto required to be filed by Members of the House pursuant to the Act and House Rule XLIV by May 15, 1989, and includes the financial transactions as reported therein which occurred between January 1, 1988 and December 31, 1988.

House Rule XLIV provides that the annual compilation of reports filed with the Clerk be printed as a House document by July 1 and contain all such reports sent to him by Members within the period beginning January 1 and ending May 15 of each year. This compilation is submitted accordingly.

With great respect, I am

Sincerely yours,

Donnald K. Anderson

DONNALD K. ANDERSON, Clerk
U.S. House of Representatives

Enclosure

ALPHABETICAL COMPILATION OF FINANCIAL DISCLOSURE REPORTS FILED BY MEMBERS OF THE U.S. HOUSE OF REPRESENTATIVES PURSUANT TO HOUSE RULE XLIV

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Gary L. Ackerman
(Full Name)

82-15 Radnor Road
(Mailing Address)

Jamaica, NY 11432

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 7 State NY

☐ Officer or Employee—Employing Office ________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Multi-Media Advertising, Inc.	family bus.	spouse	
Pride of Judea Mental Health Center	salary	spouse	
Honoraria on "continuation sheet"			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Flushing Tribune Inc & Multi Media Adv. Inc.	family bus.	F
European American Bank - Money Market	interest	A
M. Goldberg, rent on Lauderdale Lakes condo	rent	C
Fidelity Magellan Mutual Fund	dividend	A
Alliance Capitol Reserve	interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Flushing Tribune Inc. (60% interest)	F
Multi Media Advertising Inc. (60% interest)	F
ACB Publications Inc. (33 1/3% interest)	A
1.11 acres in Pennsylvania	B
Condo in Lauderdale Lakes, Fl.	C
Fidelity Magellan Mutual Fund	A

(see continuation sheet)

[illegible]

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION		DATE	CATEGORY
NY Bancorp Inc. (stock)	purchased	4-12-88	A
	sold	9-09-88	A
Ramada Inc. (stock)	purchased	4-12-88	A
	sold	9-08-88	A
Poughkeepsie Savings Bank	sold	3-07-88	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
The Kislak Organization - mortgage	B
Ambassador Savings & Loan - mortgage	B
Selig Zises - loan	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE		BRIEF DESCRIPTION
CNA Insurance Companies	Mar. 3-6, 1988	Food, transportation, lodging in Tucson - speaking engagement
Chicago Mercantile Chicago Board of Trade	Apr. 21-22	Food, transportation, lodging in Chicago - speaking engagement
Oriental Press Group	Jul. 1-5	Food, transportation, lodging in Hong Kong - speaking engagement
(see continuation sheet)		

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Consultant	Flushing Tribune & Multi Media Adv. Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	see continuation sheet	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Gary L Ackerman	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Gary L. Ackerman
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II.A.Income	Group Health Association of America, 1/11/88	$2,000	honorarium
	International Association of Fire Fighters, 3/16	$1,000	"
	CNA Insurance Companies, 3/6/88	$2,000	"
	Chinese American Society, 3/28/88	$ 500	"
	Public Administration Forum, 4/1/88	$ 750	"
	Chicago Mercantile, 4/21/88	$ 500	"
	Chicago Board of Trade, 4/21/88	$ 500	"
	Security Industries Association, 6/24/88	$2,000	"
	New York State Bankers Association, 6/22/88	$1,000	"
	Oriental Press Group , 7/5/88	$2,000	"
	National Association of Letter Carriers, 8/8/88	$1,500	"
	Cuban American National Foundation Inc., 8/24/88	$1,000	"
	American Federation of Government Employees, 9/21	$1,000	"
	Blue Cross and Blue Shield, 8/31/88	$2,000	"
	American Postal Workers Union, 10/31/88	$2,000	"
	National Academy of Public Administration, 11/7	$1,500	"
	Security Industries Association, 12/1/88	$2,000	"
III. Holdings	European American Bank - money market	Category	A
	New York Bancorp - stock		A
	Ramada Inc. - stock		A
	Poughkeepsie Savings - stock		A
	Alliance Capitol Reserve		A
VII. Reimb.	National Assoc. Letters Carriers	Aug. 7-8, 1988	
	Food, transportation, lodging in Portland, OR - speaking engagement		
	American Federation of Government Employees	Aug. 24-25, 1988	
	Food, transportation, lodging in Miami - speaking engagement		
	Blue Cross and Blue Shield	Aug. 31, 1988	
	Roundtrip airfare, NY-DC for speaking engagement		
	American Postal Workers Union	Oct. 27-28, 1988	
	Food, transportation, lodging in Las Vegas - speaking engagement		
	Security Industries Association	Nov. 30-Dec. 1, 1988	
	Food, transportation, lodging in Boca Raton - speaking engagement		
	Anti-Defamation League	Jan. 3-9, 1988	
	Food, transportation, lodging in Israel - conference		
IX Agreement	Agreement between Gary Ackerman, Michael Schenkler, the Flushing Tribune, Inc., and Multi-Media Advertising, Inc., in which Gary Ackerman, and/or his heirs or designees, received unearned income from the two corporations, as a result of his/their stock ownership in said corporations, and for which he need not provide any services.		

GPO: 1987 [illegible]

89070290309

WASHINGTON OFFICE
HOUSE OF REPRESENTATIVES
WASHINGTON, DC 20515
(202) 225-2601

NEW YORK OFFICE
118-35 QUEENS BOULEVARD
FOREST HILLS, NY 11375
(718) 263-1525

Gary L. Ackerman
Congress of the United States
7th District, New York

COMMITTEE ON
FOREIGN AFFAIRS
SUBCOMMITTEES
ASIAN AND PACIFIC AFFAIRS
EUROPE AND THE MIDDLE EAST
HUMAN RIGHTS AND
INTERNATIONAL ORGANIZATIONS
TASK FORCE ON
INTERNATIONAL NARCOTICS CONTROL

COMMITTEE ON THE
POST OFFICE AND CIVIL SERVICE
SUBCOMMITTEES
CHAIRMAN
COMPENSATION AND EMPLOYEE BENEFITS
POSTAL OPERATIONS AND SERVICES

SELECT COMMITTEE ON HUNGER

REGIONAL WHIP

May 18, 1989

HAND DELIVERED

✓
MC

The Honorable Donnald Anderson
Clerk
U.S. House of Representatives
Washington, D.C.

Dear Mr. Anderson:

I did not report Airship International stock as a holding on my 1988 Financial Disclosure because it had a value of less than $1,000.

Since it appeared as a holding on my 1987 Disclosure, I request that this explanation be attached to my 1988 Financial Disclosure.

Sincerely,

Gary L. Ackerman

GARY L. ACKERMAN
Member of Congress

GLA:bf

[illegible]

MAY 12 1989

1989 MAY 22 AM 11: 26
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A–For use by Members, officers, and employees

DANIEL K. AKAKA
(Full Name)

2301 RAYBURN HOUSE OFFICE BUILDING
(Mailing Address)

WASHINGTON, D.C. 20515

MC
(Office Use Only)

1989 MAY 22
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2ND State HAWAII

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

8 9 7 7 7 2 3 1 2 2 3

II. INCOME

GENERAL GUIDELINES:

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A. SOURCE	TYPE	AMOUNT	DISPOSITION
DEPT. OF ARMY-U.S. GOVERNMENT	SPOUSE SALARY	-	
STATE OF HAWAII	PENSION	$9,479	
SERGEANT AT ARMS-U.S. HOUSE OF REPRESENTATIVES	SALARY	-	

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B. SOURCE	TYPE	CATEGORY
SEE CONTINUATION SHEET, PAGE 1		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

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ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SEE CONTINUATION SHEET, PAGE 1	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
ICHI ASSOCIATES (PARTNERSHIP), SALE OF SEC. 1231 PROPERTY	1988	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
AMERICAN SECURITY CORPORATION-MORTGAGE LOAN-RENTAL APARTMENT	B
CONGRESSIONAL FEDERAL CREDIT UNION	A

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
MEMBER	MUSICIANS ASSOCIATION OF HAWAII, LOCAL 677, AFM
MEMBER	HOUSE GYM ASSOCIATION
MEMBER	NATIONAL DEMOCRATIC CLUB
MEMBER	NATIONAL EDUCATION ASSOCIATION
MEMBER	HAWAII GOVERNMENT EMPLOYEES ASSOCIATION
MEMBER	UNIVERSITY OF HAWAII ALUMNI ASSOCIATION

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Daniel K. Akaka	May 12, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

DANIEL K. AKAKA
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
PART II-B	SOURCE	TYPE	CATEGORY
UNEARNED INCOME	CONGRESSIONAL FCU	INTEREST	B
	CENTRAL OAHU COMMUNITY FCU	INTEREST	A
	FT. SHAFTER FCU	INTEREST	A
	OAHU TEACHER #2 FCU	INTEREST	A
	FIRST INTERSTATE	INTEREST	A
	HAWAIIAN ELECTRIC INDUSTRIES, INC.	DIVIDENDS	A
	VANCE SANDERS SPECIAL FUND, INC.	DIVIDENDS	A
	NOJON, LTD.	PARTNERSHIP	A
	ICHI ASSOCIATES	PARTNERSHIP	B
	AKAKA FAMILY ESTATE	ESTATE DISTRIBUTION	B
PART III	IDENTITY		CATEGORY
HOLDINGS	CONGRESSIONAL FCU-CHECKING		A
	CONGRESSIONAL FCU-SAVINGS		C
	HAWAIIAN ELECTRIC INDUSTRIES, INC.-COMMON STOCK		A
	VANCE SANDERS SPECIAL FUND		A
	AKAKA ESTATE-REAL ESTATE PARTNERSHIP (NOJON, LTD., ICHI ASSOCIATES; AND SAVINGS ACCOUNTS)		E
	PARK FAIRFAX CONDOMINIUM-ALEXANDRIA, VA- RENTAL PROPERTY		E

GPO: 1987 79-846 (m)

DANIEL K. AKAKA
Second District, Hawaii

COMMITTEE:
APPROPRIATIONS
SUBCOMMITTEES:
RURAL DEVELOPMENT, AGRICULTURE AND RELATED AGENCIES
TREASURY, POSTAL SERVICE
TOURISM CAUCUS

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE:
2301 Rayburn House Office Building
Washington, DC 20515
Telephone (202) 225-4906

DISTRICT OFFICE:
5104 Prince Jonah Kuhio Kalanianaole Federal Building
P.O. Box 50144
Honolulu, HI 96850
Telephone (808) 541-1986

May 23, 1989

✓
MC

Honorable Julian C. Dixon, Chairman
Committee on Standards of Official Conduct
Suite HT-2, US Capitol
Washington, DC 20515

Dear Mr. Chairman:

This is to amend "Section VII. Reimbursements" of my Financial Disclosure Statement for 1988 from "NONE" to:

Source: Turkish Democracy Foundation

Brief description: 10-day orientation tour of Turkey for self and spouse; food, lodging and airfare

Thank you for this opportunity to include this orientation tour which was inadvertently omitted in my original filing.

Aloha pumehana,

Daniel K. Akaka

DANIEL K. AKAKA
Member of Congress

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

89 MAY 15 PM 2 58

FORM A—For use by Members, officers, and employees

WILLIAM VOLLIE ALEXANDER, JR.
(Full Name)

233 CANNON HOUSE OFFICE BUILDING
(Mailing Address)

WASHINGTON, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

XXX Member of the U.S. House of Representatives—District 1st State Arkansas

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
National Cable Television Association	(5-20-88)	H	1,000.00	
Philip Morris Management Corporation	(6-25-88)	H	1,000.00	
National Restaurant Association	(6-27-88)	H	1,000.00	
The Boeing Company	(3-10-88)	H	2,000.00	
Motion Picture Assn of America, Inc.	(4-25-88)	H	2,000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Rental Portion of Washington, D.C. Residence	Rents	D
Wright Patman Congressional Federal Credit Union	Interest	A
Citicorp Savings of Washington, D.C.	Interest	A
Northwest Holding Company of Fort Smith	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES X NO ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
*Fifty (50) Shares of Federal Express Common Stock	A
*One Hundred. (100) Shares of Wal Mart Shares, Inc.	A
*Undeveloped Real Estate Building Lot Located in Arkansas	B
*Savings Account - The Mercantile Bank, Jonesboro, Arkansas	A
*Citicorp Savings of Washington, D.C.	A

*Represents assets held in Alyse Alexander's (daughter) trust.

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Transfer Fifty (50) Shares of Federal Express Common Stock to William V. Alexander, Jr., Qualified Blind Trust	12-26-88	A
Transfer One Hundred (100) Shares of Wal Mart Stores, Inc. to William V. Alexander, Jr., Qualified Blind Trust	12-26-88	A
Transfer .5% Partnership Interest of Capital Avenue Development Company to William V. Alexander, Jr., Qualified Blind Trust	12-26-88	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY		CATEGORY
The Mercantile Bank	(Investments)	E
The Caraway Bank	(Investments)	D
Wright Patman Congressional Federal Credit Union	(Personal)	B
United Federal Savings & Loan Association	(Personal)	D
The Twin City Bank	(Investments)	F
United Federal Savings & Loan Association	(Rental Portion of Washington, D.C. Residence)	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description *of gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	NONE

B. The source, a brief description, and value *of all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE	NONE	NONE

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
National Cable Television Association	Reimburse Travel-Roundtrip Washington,DC /Los Angeles, CA re: speaking engagement
Rockwell International	Reimburse Travel & Lodging-Roundtrip Washington, D.C./Los Angeles, CA Re: Speaking Engagement

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	A Plus Leasing and Equipment Company
Partner	Southwest Holding Company
Partner	Northwest Holding Company of Fort Smith
Partner	Capital Avenue Development Company

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE	NONE	NONE

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Wm V. Alexander Jr	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

WILLIAM VOLLIE ALEXANDER, JR.
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*			
II.	Connell Rice & Sugar Company, Inc.	(4-5-88)	H	2,000.00
	United States Telephone Association	(11-15-88)	H	1,000.00
	Rockwell International	(6-20-88)	H	2,000.00
	Arkansas State University	(5-11-88)	H	500.00
	Chicago Board of Trade	(5-19-88)	H	500.00
	Chicago Mercantile Exchange	(5-19-88)	H	500.00
	Seafarers International	(5-6-88)	H	500.00
	Federal Express Corporation	(12-2-88)	H	2,000.00

		CATEGORY
III.	Partnership Interest (8.33%) Southwest Holding Company*	C
	Partnership Interest (0.5%) Capital Avenue Development Company*	D
	Partnership Interest (11.11%) Northwest Holding Company of Fort Smith*	C
	Partnership Interest (16.67%) Boulder Properties I*	E
	Fifty (50) Shares Common Stock - Jules Habitat, Inc.	E
	Fifty (50) Shares Common Stock - Federal Express	A
	One Hundred (100) Shares Common Stock - Wal Mart Stores, Inc.	A
	Rental Portion of Washington, D.C. Residence	D
	Undeveloped Commercial Lot in Osceola, Arkansas	C
	Wright Patman Congressional Federal Credit Union	A
	A Plus Leasing & Equipment Company, Inc., Osceola, Arkansas	A

*Entity is a real estate investment partnership. Percentage partnership interest represents pro-rata ownership interest in various real estate projects and developments.

		CATEGORY
IV.	Sale of Assets by Southwest Holding Company and Distribution of net partnership assets to partners upon dissolution of partnership. (12-31-88)	C

VII. Connell Rice & Sugar Co., Inc. (Reimburse travel and lodging - Roundtrip Washington, D.C./New York, New York regarding speaking engagement)

U.S. Telephone Association (Reimburse travel and lodging - Roundtrip Washington, D.C./Ft. Lauderdale, Florida regarding speaking engagement)

Boeing (Reimburse travel and lodging - Washington, D.C./Seattle, Washington regarding speaking engagement)

VIII. Director, Jules Habitat, Inc.

Partner, Boulder Properties I

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

1989 MAY 31 PM 3 22
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

FORM A—For use by Members, officers, and employees

Congressman Glenn M. Anderson
(Full Name)

2329 Rayburn HOB
U.S. House of Representatives
(Mailing Address)
Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 32nd State California

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __XX__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See continuation on page 1			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See continuation on page 2		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See continuation on page 3 and 4	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See continuation on page 5		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See continuation on page 6	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groupes that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See continuation on page 7	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Hawthorne Savings & Loan, Hawthorne, California
Director	Hawthorne Financial Corp, Hawthorne, California
President & Director	Downtown Enterprises, Hawthorne, California

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Glenn M. Anderson	5-31-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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Page 1 of 7

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

GLENN M. ANDERSON - M.C.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-A	INCOME	TYPE	AMOUNT
	Hawthorne Savings & Loan Hawthorne, California	Director's fees for 1988	$14,300.00
	Hawthorne Financial Corp. Hawthorne, California	Director's fees for 1988	1,950.00
	Downtown Enterprises Hawthorne, California	Director's fees for 1988	1,100.00
	State of California Legislators Retirement Program	Retirement	49,773.54
	SPEAKING ENGAGEMENTS - Honorarium in each case given to charity.		
	Regular Common Carrier Conference (3/4/88)		2,000.00
	Totem Resources (3/15/88)		1,500.00
	American Public Transit (3/18/88)		2,000.00
	Assoc. General Contractors (3/20/88)		2,000.00
	Farmers Rice Cooperative (5/20/88)		2,000.00
	National Coal Assoc. (5/26/88)		1,000.00
	Drive Political Fund (6/6/88)		2,000.00
	AGC of Texas Highway (6/14/88)		2,000.00
	El Segundo Employers Assoc. (6/27/88)		2,000.00
	American Road & Transportation (6/29/88)		1,000.00
	The Independent Advertising Council (5/10/88)		1,000.00
	Associated General Contractors (7/29/88)		2,000.00
	Hughes Aircraft (9/15/88)		2,000.00
	Assoc. of Oil Pipelines (9/2/88)		2,000.00
	Highway Users Federation (9/15/88)		2,000.00
	Assoc. General Contractors (10/5/88)		2,000.00
	National Tank Truck (10/17/88)		1,000.00
	Amer. Road & Transp. Builders (10/27/88)		2,000.00
	Regular Common Carriers (10/31/88)		2,000.00
	American Trucking Assoc. (10/11/88)		2,000.00
	American President Lines (10/28/88)		2,000.00
	American Concrete Pavement (11/23/88)		2,000.00
	American Public Transport (12/15/88)		1,000.00
	Spouse		
	Downtown Enterprises Hawthorne, California	Director's fees for 1988	1,100.00
	Glenn M. Anderson Enterprises, Hawthorne, California	Salary for 1988	4,999.98

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Page 2 of 7

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

GLENN M. ANDERSON - M.C.
(Name)

Continuation Sheet

Part | *Source, Type, Amount, Category, Description or Value (As Applicable)*

II-B	INCOME	TYPE	CATEGORY
	Hawthorne Financial Corp. Hawthorne, California	Dividend for 1988	B
	Placer Savings & Loan	Dividend for 1988	A
	Mercury Savings & Loan	Dividend for 1988	A
	Landmark Land Corp.	Dividend for 1988	B
	1950 Associates	Dividend for 1988	B
	American General Corp.	Interest for 1988	A
	Hawthorne Savings & Loan	Interest for 1988	D
	Mercury Savings & Loan	Interest for 1988	A
	IRA	Interest for 1988	C
	Bank of America	Interest for 1988	A
	American Savings & Loan	Interest for 1988	B
	U.S. Treasury	Interest for 1988	A
	Congressional Employee Federal Credit Union	Interest for 1988	A
	Glenn M. Anderson Enterprises	Gross Rental for 1988	G

Page 3 of 7

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

GLENN M. ANDERSON - M.C.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	CATEGORY
III	HOLDINGS	
	Store building (11922 Hawthorne Blvd. Hawthorne, Calif.)	E
	Restaurant (11908 Hawthorne Blvd. Hawthorne, Calif.)	F
	Store building (11862, 11858D, 11864 & 11850 B&C. Hawthorne Blvd., Hawthorne, Calif.)	F
	Units (4251-4257-4265 W. 122nd St., Hawthorne, Calif.)	E
	Residential (12319 Cedar Avenue, Hawthorne, Calif.)	E
	Motor Court (3911 West Imperial Way, Inglewood, Calif.)	E
	Units (3927-35 W. Imperial Way, Inglewood, Calif.)	E
	Units #1-2-3-4- (141 Spruce Avenue, Inglewood, Calif.)	E
	Unit #301 (3432 Peck Avenue, San Pedro, Calif.)	F
	Unit #19 (1239 W. Rosecrans, Gardena, Calif.)	E
	Vacant lot (CIMA - San Bernardino County, Calif.)	A
	Vacant lot (3921 West Imperial Highway, Inglewood, Calif.)	D
	37.8 acres undeveloped property (La Quinta, Calif.)	F
	Unit #29 (201 Calle Miramar Redondo Beach, CA)	E

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Page 4 of 7

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

GLENN M. ANDERSON - M.C.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III	HOLDINGS - continued	CATEGORY
	Notes & Trust Bonds	
	Owed by Downtown Enterprises, Inc.	D
	Roy Ash - Ash-Ackerson & Co.	D
	Stocks & Bonds	
	American General Corp. (formerly Credit Thrift Financial)	B
	Placer Savings & Loan	C
	Hawthorne Financial Corp.	F
	Downtown Enterprises	B
	1950 Associates (formerly Joint Ventures, Project 101)	D
	Mercury Savings & Loan	A
	Landmark Land, Inc.	D
	Frontier Savings & Loan	A
	Memberships	
	La Quinta Country Club	C
	Bank/Savings & Loan Account	
	Wells Fargo Bank	B
	Bank of America	B
	Mercury Savings & Loan	A
	Sergeant at Arms	A
	Congressional Employees Federal Credit Union	A
	Spouse	
	Hawthorne Savings & Loan (IRA) (I-073566-D)	C

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Page 5 of 7

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

GLENN M. ANDERSON - M.C.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
IV	TRANSACTIONS	CATEGORY
	None to be reported	

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Page 6 of 7

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

GLENN M. ANDERSON - M.C.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
V	LIABILITIES	CATEGORY
	IDENTITY	
	Store building (11922) South Hawthorne Blvd., Hawthorne, California)	F
	Residential property (12319 Cedar Avenue, Hawthorne, California)	C
	Polly's Motor Court 3911 West Imperial Hwy. Inglewood, California	C
	Units (#1-2-3-4 - 141 Spruce Avenue, Inglewood, California)	B
Residential	418 South Capital Washington, DC	D
	--	
	--	
	INTEREST EXPENSE PAID IN 1988	
	Glenn M. Anderson Enterprises	$12,014.00

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Page 7 of 7

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

GLENN M. ANDERSON - M.C.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
VII	REIMBURSEMENTS
	None to be reported

HAND DELIVERED 0 9 0 7 0 2 3 2 9 4 1

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Michael Allen Andrews
(Full Name)

322 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

89 MAY 15 AM 5:25

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 25th State Texas

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

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- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

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(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

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EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Earned Income (see attached)	Earned	$31,950	*$5,100(charity
Ann Andrews Interiors, Washington D.C.	Fee/ Commission		

*The following donations of earned income were made to charity: $1,000 to Texas Heart Institute; $1,000 to the Houston Ballet; $1,100 to the Ronald McDonald House; $2,000 to UTMB Health Science Center

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
N/A		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ✓ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
N/A	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME: any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Texas Commerce Bank - Clear Lake - Houston, Texas (Loan)	D
Compass Bank - Houston, Texas (Loan)	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
N/A	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Travel reimbursements (see attached)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
National Board of Directors	Houston Ballet Association
Development Board	University of Texas Medical Branch, Health Science Center

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
N/A		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature Michael A. Andrews	Date May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989 [illegible]

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Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

CONG. MICHAEL A. ANDREWS
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II. Income	A. Source	Type	Amount
	-Houston Autodealers Assoc. Received 1/14/88	Honorarium	$1,000
	-National Association of Home-Builders Received 1/14/88	Honorarium	$2,000
	-Pfizer Received 1/27/88	Honorarium	$2,000
	-Petroleum Marketers Assoc. Received 1/27/88	Honorarium	$ 750
	-Occidental Chemical Corp. Received 2/1/88	Honorarium	$ 500
	-National Venture Capitol Assoc. Received 2/17/88	Honorarium	$1,000
	-American Association of Equip. Lessors Received 2/10/88	Honorarium	$1,200
	-National Assoc. of Realtors Received 2/8/88	Honorarium	$1,000
	-International Assoc. of Drilling Contractors Received 2/17/88	Honorarium	$1,000
	-Tyson Foods Received 3/3/88	Honorarium	$2,000
	-Lightnet Received 3/28/88	Honorarium	$1,000
	-Akin & Gump Received 3/16/88	Honorarium	$2,000
	-DSC Received 6/6/88	Honorarium	$1,000
	-American Optometrists Assoc. Received 4/26/88	Honorarium	$1,000
	-National Stone Assoc. Received 4/27/88	Honorarium	$1,000
	-Manmade Fibers Producers Received 4/28/89	Honorarium	$2,000
	-Real Estate Investment Forum Received 5/10/88	Honorarium	$2,000
	-Connell Rice and Sugar Co. Received 5/10/88	Honorarium	$2,000
	-Charles Walker's Tax Group Received 6/15/88	Honorarium	$1,000
	-Wine Institute Received 7/1/88	Honorarium	$1,000
	-McDonnel Douglas Received 6/20/88	Honorarium	$1,000
	-Capitol Forum Received 6/28/88	Honorarium	$ 500
	-IBM Received 7/1/88	Honorarium	$2,000
	-Real Estate Tax Institute Received 9/29/88	Honorarium	$1,000
	-Publicly Traded Partnerships Received 1/6/88	Honorarium	$1,000

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Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

CONG. MICHAEL A. ANDREWS
(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value (As Applicable)

VII.	A.	Source	Description
Reimbursements		-Houston Autodealers	Airfare and car rental expenses for speaking engagement.
		-National Association of Home Builders	Airfare for speaking engagement.
		-Independent Assoc. of Drilling Contractors	Airfare and hotel expenses for speaking engagement.
		-Fort Worth Trade Forum	Airfare expense for speaking engagement.
		-DSC Communications Corp.	Airfare for speaking engagement.
		-National Assoc. of Realtors	Airfare for speaking engagement.
		-Independent Petroleum Assoc. of America	Airfare for speaking engagement.
		-National Association of Life Underwriters	Airfare for speaking engagement.
		-Pfizer	Airfare and hotel for speaking engagement.
		-Connel Rice & Sugar	Airfare for speaking engagement.
		-Tyson Foods	Airfare and hotel for speaking engagement.

GPO: 1987 79-046 [illegible]

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

89 MAY 15 PM 5:25

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Michael Allen Andrews
(Full Name)

322 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 25th State Texas

☐ Officer or Employee—Employing Office

☒ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Earned Income (see attached)	Earned	$31,950	*$5,100(charity
Ann Andrews Interiors, Washington D.C.	Fee/ Commission		

*The following donations of earned income were made to charity: $1,000 to Texas Heart Institute; $1,000 to the Houston Ballet; $1,100 to the Ronald McDonald House; $2,000 to UTMB Health Science Center

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
N/A		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ [✓ NO] NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
N/A	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Texas Commerce Bank - Clear Lake - Houston, Texas (Loan)*	D
Compass Bank - Houston, Texas (Loan)*	B
*Both of these are personal loans.	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
N/A	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Travel reimbursements (see attached)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 12.

POSITION	NAME OF ORGANIZATION
National Board of Directors	Houston Ballet Association
Development Board	University of Texas Medical Branch, Health Science Center

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
N/A		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Michael A. Andrews	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

CONG. MICHAEL A. ANDREWS
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II. Income	A. Source	Type	Amount
	-Houston Autodealers Assoc. Received 1/14/88	Honorarium	$1,000
	-National Association of Home-Builders Received 1/14/88	Honorarium	$2,000
	-Pfizer Received 1/27/88	Honorarium	$2,000
	-Petroleum Marketers Assoc. Received 1/27/88	Honorarium	$ 750
	-Occidental Chemical Corp. Received 2/1/88	Honorarium	$ 500
	-National Venture Capitol Assoc. Received 2/17/88	Honorarium	$1,000
	-American Association of Equip. Lessors Received 2/10/88	Honorarium	$1,200
	-National Assoc. of Realtors Received 2/8/88	Honorarium	$1,000
	-International Assoc. of Drilling Contractors Received 2/17/88	Honorarium	$1,000
	-Tyson Foods Received 3/3/88	Honorarium	$2,000
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	-Akin & Gump Received 3/16/88	Honorarium	$2,000
	-DSC Received 6/6/88	Honorarium	$1,000
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	-National Stone Assoc. Received 4/27/88	Honorarium	$1,000
	-Manmade Fibers Producers Received 4/28/89	Honorarium	$2,000
	-Real Estate Investment Forum Received 5/10/88	Honorarium	$2,000
	-Connell Rice and Sugar Co. Received 5/10/88	Honorarium	$2,000
	-Charles Walker's Tax Group Received 6/15/88	Honorarium	$1,000
	-Wine Institute Received 7/1/88	Honorarium	$1,000
	-McDonnel Douglas Received 6/20/88	Honorarium	$1,000
	-Capitol Forum Received 6/28/88	Honorarium	$ 500
	-IBM Received 7/1/88	Honorarium	$2,000
	-Real Estate Tax Institute Received 9/29/88	Honorarium	$1,000
	-Publicly Traded Partnerships Received 1/6/88	Honorarium	$1,000

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Page 2 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

CONG. MICHAEL A. ANDREWS
(Name)

Continuation Sheet

Part		Source, Type, Amount, Category, Description or Value (As Applicable)	
VII.	A.	Source	Description
Reimbursements		-Houston Autodealers	Airfare and car rental expenses for speaking engagement. No overnight. Houston.
		-National Association of Home Builders	Airfare for speaking engagement. Dallas. No overnight.
		-Independent Assoc. of Drilling Contractors	Airfare and hotel expenses for speaking engagement. San Diago, one night stay.
		-Fort Worth Trade Forum	Airfare expense for speaking engagement. No overnight. Fort Worth.
		-DSC Communications Corp.	Airfare for speaking engagement. No overnight. Dallas.
		-National Assoc. of Realtors	Airfare for speaking engagement. No overnight. Dallas.
		-Independent Petroleum Assoc. of America	Airfare for speaking engagement. No overnight. New Orleans.
		-National Association of Life Underwriters	Airfare for speaking engagement. No overnight. Dallas.
		-Pfizer	Airfare and hotel for speaking engagement. New York, one night stay.
		-Connel Rice & Sugar	Airfare for speaking engagement. No overnight. New Jersy.
		-Tyson Foods	Airfare and hotel for speaking engagement. Little Rock, Ar., one night stay.

[illegible]

HAND DELIVERED

MICHAEL A. ANDREWS
25TH DISTRICT, TEXAS

COMMITTEE ON
WAYS AND MEANS

Congress of the United States
House of Representatives
Washington, DC 20515

322 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-7508

FEDERAL BUILDING
515 RUSK
HOUSTON, TX 77002
(713) 229-2244

1001 E. SOUTHMORE
SUITE 810
PASADENA, TX 77502
(713) 473-4334

1989 MAY -2 AM 10 43

May 1, 1989

The Honorable Julian C. Dixon
Chairman
Committee on Standards of Official Conduct
HT2 Capitol

✓
MC

Dear Mr. Chairman:

I have discovered a bookkeeping error while preparing my financial disclosure statement for 1988. A $2,000 honorarium from the Pfizer Corporation was inadvertantly posted as $1,000. The result of this mistake is that I have exceeded the honoraria limit for the year. I have immediately issued a $1,000 personal check to charity and have mailed it.

I ask that you attach this letter to my financial disclosure statement when it arrives in the next few days.

Sincerely,

Michael A. Andrews

Michael A. Andrews
Member of Congress

MAA/lkh

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Frank Annunzio
(Full Name)

2303 Rayburn Building
(Mailing Address)

Washington, D. C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 11 State Illinois

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

8 9 1 7 1 2 7 3 3 7 3

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Chicagoland Ass'n. of Savings Institutions 4-26-88	Honorarium	$2,000	N/A
Chicagoland Ass'n. of Savings Institutions 10-5-88	Honorarium	$2,000	N/A
Social Security Benefits (Frank Annunzio)	Pension	$12,197.60	N/A
Social Security Benefits (Angeline Annunzio)	Pension	$5,804.40	N/A

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Garage space/N. Cicero property	Rent	A
Columbus Mutual & Prudential Insurance	Interest	A
First National Bk.of Lincolnwood-Passbook & C.D.	Interest	D
Congressional Credit Union-Capitol Acc't.& C.D. (C.D. purchased 10-5-88)	Interest	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __XX__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Certificate of Deposit-First National Bk. of Lincolnwood	D
Passbook Savings Account-First National Bk. of Lincolnwood	A
Capitol Savings Account-Congressional Credit Union	B
Certificate of Deposit-Congressional Credit Union	D
Store & Service Bldg. on N. Harlem Ave., Forest Park, Illinois	E
Parcel of Land in Northbrook, Illinois, bounded by Lake-Cook Rd., Lee Rd., and Skokie Highway (10% interest)	E
Chicagoland General Tire Company (spouse interest)	C
Civil Service Pension Fund	E
Garage space/N. Cicero property	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
6-mo. Certificate of Deposit - First National Bank of	2-26-88	D
Lincolnwood - rolled over.	8-26-88	D
6-mo. Certificate of Deposit - Congressional Credit		
Union - purchased.	10-5-88	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage held by Mr. LeRoy Zumack of Elmhurst, Illinois,	A
on North Harlem property	
Connecticut Mutual Life Insurance Company	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
N/A	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION	
Board of Directors - Member	JFK Health Care Corporation 5600 West Addison St. Chicago, Illinois 60634	No remuneration.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature

Date May 9, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 90-737

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Beryl Franklin Anthony, Jr.
(Full Name)

1117 Longworth House Bldg.
(Mailing Address)

Washington, D.C. 20515

Mc (Office Use Only)

15 5:26

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4th State Arkansas

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______.

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Nat'l. Gas Supply Asso. 1-7-88	Honorarium	$2000	
Pfizer, Inc. 1-26-88	"	$1000	
Nat'l Co. of Farmer Coop. 1/27/88	"	$1000	
Nat'l Venture Cap. Asso. 2-12-88	"	$1000	
Nat'l Cotton Council 2-8-88	" /	$2000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Anthony Forest Prod. Co.	return on equity	D
Merrill Lynch-cash manage. acct-spouse	interest	A
National Bank of Comm.-Pine Bluff, AR.	interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Anthony Forest Products Co.	F
Lots-Hope, AR.-spouse	C
Porterco, Inc.-Magnolia, AR.	E
Savings-Nat'l Bank of Commerce-El Dorado, AR.	C

0 9 0 7 0 2 3 2 9 2 2

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Purchased 25,000 shares in Porterco, Inc. of Magnolia, AR.	5-6-88	E
Sale by spouse of 300 shares of Tyson Foods stock	8-16-88	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Calvin Porter, CEO, Porterco-Porterco stock purchase agree.	E
National Bank of Commerce-Pine Bluff, AR.-personal	D
National Bank of Commerce-El Dorado, AR.-personal	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Kemper Open Pro Am	golfing souvenirs	$316.00
Ryder Pro Am Doral Open	golfing souvenirs	$129.00

8 9 0 7 1 2 3 2 9 9 3

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Pfizer, Inc.-speech-spouse included	Airfare from Wash. to New York and back, meals and lodging for 1 night
Nat'l Cotton Council-speech	Airfare from DesMoines, Iowa to Memphis, Tenn. and back to Wash meals and 1 night lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Anthony Forest Products Co. - El Dorado, AR.
Part Owner	Porterco, Inc. - Magnolia, AR.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
1979	Anthony Forest Products Co.	Family Business Consultant

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Beryl Anthony, Jr.	5-12-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989

Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Beryl Franklin Anthony, Jr.
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
II-A	Ryder Systems, Inc. 3-28-88	Honorarium	$1000
II-A	Internat'l Asso. of Firefighters 2-24-88	"	$1000
II-A	Gold & Liebengood 3-10-88	"	$1000
II-A	Nat'l Co. of St. Housing Ag. 3-21-88	"	$2000
II-A	Wash. Tax Asso. 4-19-88	"	$1000
II-A	Nat'l Marine Manu. Asso. 4-27-88	"	$ 500
II-A	Nat'l Venture Cap. Asso. 5-23-88	"	$2000
II-A	U.S. Telephone Asso. 3-10-88	"	$1000
II-A	Medical Group Management Asso. 4-14-88	"	$2000
II-A	Am. Soc. of Anesthesiologists 4-26-88	"	$2000
II-A	Frito Lay 3-20-88	"	$1000
II-A	Nat'l Fraternal Cong. of Am. 5-3-88	"	$2000
II-A	BP America, Inc. 5-17-88	"	$1000
II-A	Nationwide Insurance 5-18-88	"	$1000
II-A	Pharmaceutical Manuf. Asso. 5-24-88	"	$1000
II-A	ESOP Asso. 5-27-88	"	$2000-$1650 to charity
II-A	ADAPSO 6-2-88	"	$1000-Char.
II-A	Nat'l Asso. of Homes for Children 6-9-88	"	$1000-Char.
II-A	Charls Walker Asso. Tax Group 6-15-88	"	$2000-Char.
II-A	Nat'l Asso. of Tobacco Distrib. 7-25-88	"	$2000-Char
II-A	Bell South, Inc. 8-2-88	"	$1500-Char
II-A	Co. of Indust. Dev. Bond Issuers 11-29-88	"	$2000-Char
II-A	Nat'l Assn. of Med. Equip. Suppliers 10-7-88	"	$2000-Char
II-A	Dow, Lohnes & Albertson - spouse	salary	
VII.	Ryder Systems, Inc.-meeting with top level executives; airfare from Wash. to Miami, Florida and back to Wash.; meals and 1 night lodging		
VII.	Tyson Foods-speech; airfare from Wash. to Fayetteville, AR. and from Fayetteville to Memphis and back to Wash. - meals and 1 night lodging		
VII.	Frito Lay-speech; airfare from Wash. to Dallas, TX. and back to Wash.		
VII.	Columbia Institute-speech & health benefits conf.; airfare from Wash. to Tulsa, Oklahoma and back to Wash. - meals and 1 night lodging		
VII	Am. Chamber of Comm. of Jamaica-Conference on Caribbean Basin Initiative and related appearances before industry groups and meetings with Jamaican government officials - spouse included; airfare from Shreveport, LA. to Montego Bay, Jamaica and back to Washington; lodging for 7 nights; meals		

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

INSIDE MAIL

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

DOUGLAS APPLEGATE
(Full Name)

2183 RAYBURN HOUSE OFFICE BLDG.
(Mailing Address)

WASHINGTON, D. C. 20515

MC (Office Use Only)

1989 MAY 11 PM 2 03
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

XX Member of the U.S. House of Representatives—District 18th State OHIO

☐ Officer or Employee—Employing Office ________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO XXXX NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SALARY FROM U. S. GOVERNMENT ONLY			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Toledo, Ohio Ltd. Tax Gen. Obl.	Interest	A
Grandview Hgts., Ohio Lib. Munic. Bond	Interest	B
Willoughby-East Lake C.S.D., Munic. Bond	Interest	A
Avon Lake, Ohio Municipal Bond	Interest	B
Bank of New York -Insur. Munic. Income Tr.	Dividend	B
Wright Patman Cong. Fed. Cred. Un. C.D.	Interest	C

*SEE CONTINUATION SHEET

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XXXX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Toledo, Ohio Ltd. Tax General Obligation	A
Grandview Hgts, Oh. Library Bldg. Munic. Bond	C
Willoughby - East Lake C.S.D., WIlloughby, Ohio Munic. Bond	B
Avon Lake, Ohio Municiple Bond	C
Bank of New York, N.Y., N.Y. -Insured Munic. Income Trust	B
Wright Patman Congressional Federal Credit Union -Capitol Acct.	A

*SEE CONTINUATION SHEET

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Bought six shares common stock of Bob Evans Farms	7/21/88	A
Redeemed Avon Lake, Ohio Munic. Bond	10/05/88	C
Redeemed Toledo, Ohio Ltd. Tax Gen. Obligation	12/14/88	A
Bought one year cert. of dep. at Wright Patman Cong. Federal Credit Union	11/10/88	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 11, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989 90-737

Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

DOUGLAS APPLEGATE
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
Part II, B	Wright Patman Cong.Fed. Cred. Union Capitol Account	Interest	A
	Cardinal Tax Exempt Money Market Acct.	Interest	A
	Bank One, Steubenville, Ohio - I.R.A.	Interest	A
	Bank One, Steubenville, Ohio - I.R.A.	Interest	A
	The Ohio Company - I.R.A.	Interest	A
	The Ohio Company - I.R.A.	Interest	A
	Ohio Valley Sav. & Loan - I.R.A.	Interest	A
	Ohio Valley Sav. & Loan - I.R.A.	Interest	A
Part III	Wright Patman Cong. Fed. Credit Union - C.D.		D
	Bob Evans Farms, Inc. - common stock		C
	Mylan Laboratories - common stock		B
	Rotech Medical Corp. - common stock		A
	Worthington Industries - common stock		B
	Cardinal Tax Exempt Money Market Acct.		B
	Bank One of Steubenville, Ohio - I.R.A		A
	Bank One of Steubenville, Ohio - I.R.A.		A
	The Ohio Company - Steubenville, Ohio - I.R.A.		A
	The Ohio Company - Steubenville, Ohio - I.R.A.		A
	Ohio Valley Sav. & Loan - Steubenville, Ohio - I.R.A.		A
	Ohio Valley Sav. & Loan - Steubenville, Ohio - I.R.A.		A

GPO 1987 70-046 (m)

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18th District, Ohio
2183 Rayburn House Office Building
Washington, DC 20515
202-225-6265

District Offices:
Steubenville 614-283-3716
St. Clairsville 614-695-4600
New Philadelphia 216-343-9112
East Liverpool 216-385-5921

Committees:
Public Works and Transportation
Veterans' Affairs
Chairman
Subcommittee on Compensation, Pension, and Insurance

DOUGLAS APPLEGATE
UNITED STATES HOUSE OF REPRESENTATIVES

May 16, 1989

MC

OFFICE OF RECORDS & REGISTRATION
1989 MAY 16 PM 2:10
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Hon. Donn Anderson
Clerk of the House
Office of Records and Registration
1036 Longworth H. O. B.
Washington, D. C. 20515

Dear Mr. Anderson,

I am in receipt of a letter regarding the need to further inform the Committee on Standards of Official Conduct of additional details concerning my 1988 Financial Disclosure Statement. Please accept this letter as my explanation of the two points of contention raised by the staff of that Committee.

Under Part II B, Unearned Income, there was a question raised that I did not report any dividends received during 1988 in return for the shares of common stock that I held in Bob Evans Farms, Mylan Laboratories, Rotech Medical Corp., and Worthington Industries. As for Mylan Laboratories and Rotech Medical Corp, less than $100 in dividends was received. For the record, I received $30.00 and $0.00, respectively. As such, I was not required to report these dividends. In the case of Bob Evans Farms, Inc and Worthington Industries, I inadvertently did not report dividends that I actually did receive. During 1988, I received $389.04 in dividends from Bob Evans Farms and $126.00 in dividends from Worthington Industries. Both of these amounts are in category A.

There was also a question regarding Part IV, Transactions. The Committee staff indicated that I did not report the acquisition of my shares of common stock in Worthington Industries, or the purchase of the following four tax exempt bonds: Toledo, Ohio Ltd. Tax General Obligation, Grandview Heights, Ohio Library Building Municipal Bond, Willoughby-East Lake Municipal Bond, and Avon Lake, Ohio Municipal Bond. Each of these assets had been acquired prior to 1988, and as such, should have been reported in years prior to 1988. Below is the actual purchase date of each of these assets:

Worthington Industries - original purchase of 200 shares - 08-21-87 - Category B
Worthington Industries - second purchase of 100 shares - 10/22/87 - Category A

Toledo, Ohio Ltd. Tax Gen. Oblig. - 03/03/87 - Category A

Grandview Heights, Ohio Library Bond - 07/10/87 - Category C

Willoughby-East Lake Ohio Municipal Bond - 03/06/87 - Category B

Avon Lake, Ohio Municipal Bond - 02/13/86 - Category C

I would like to point out that while I was remiss in reporting these original acquisitions, I had never failed to report them as holdings or the interest or dividends derived from them. My errors were completely unintentional.

I hope the this information will meet with your and the Committee's approval and will complete my 1988 Financial Disclosure Statement.

With best regards, I remain

Sincerely yours,

DOUGLAS APPLEGATE
U. S. CONGRESSMAN

DA/jh

0 9 3 7 7 2 3 2 7 5 5

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

William R. Archer, Jr.
(Full Name)

1135 Longworth House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 7th State Texas

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

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- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed: identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES _____ NO X NA _____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Bank Tax Institute	1/27/88	Honorarium	2,000	
National Venture Capital Assn.	2/4/88	Honorarium	1,000	
Tax Council	3/5/88	Honorarium	2,000	
Securities Industry Assn.	3/8/88	Honorarium	2,000	
American Cast Metals Assn.	3/8/88	Honorarium	1,000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
U.S. Life	Dividend	A
Halliburton	Dividend	A
Congressional Federal Credit Union	Interest	A
Alamo Savings (one-half spouse)	Interest	D
Crestar (Spouse)	Interest	A
Capital Preservation (Spouse)	Interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _X_ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Detailed Financial Statement Attached	
Houston Real Estate Equities as Follows:	
Westwood Shopping Center Partnership (1.5%)	A
Meadowlake Estates (6.25%)	B
Citizen Medical Plaza Partnership (60%)	A
Howard Chen Partnership (2.5%)	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
House - Arlington, Virginia (Purchase)	8-12-88	F
House - Arlington, Virginia (Sell)	12-21-88	F
Republic Bank Stock (Sell)	12-31-88	A
Gill Savings Certificate of Deposit (Sell)	1-11-88	D
Alamo Savings Certificate of Deposit (Sell)	2-4-88	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Tax Foundation Distinguished Service Award -1988 Recipient	Steuben Glass Bowl with engraved stand	$360.00

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
The Tax Council (Program Speaker)	Roundtrip airfare between D.C. & Ft. Lauderdale, lodging for 2 nights, 1 meal. (Self & Spouse)
Executive Enterprises, Inc. (Conference Luncheon speaker)	Roundtrip airfare between D.C. & Dallas, lodging for 1 night, 1 meal. (Self & Spouse)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Houston Chapter, American Society for the Prevention of Cruelty to Animals
Partner	Archer Associates Partnership

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
William R. Archer, Jr.	5-15-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989 [illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

William R. Archer, Jr.
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*			
II A	SBIC National Assn.	3-8-88	Honorarium	$500.00
II A	Enron	3-15-88	Honorarium	1000.00
II A	National Assn. of Homebuilders	3-14-88	Honorarium	2000.00
II A	Tax Executives Institute	3-14-88	Honorarium	1000.00
II A	Chocolate Manufacturers Assn.	3-22-88	Honorarium	1000.00
II A	Avon	4-21-88	Honorarium	1000.00
II A	National Tax Limitation Comm.	5-3-88	Honorarium	500.00
II A	Coalition Publicly Traded Partnerships	4-28-88	Honorarium	1000.00
II A	Arco	5-23-88	Honorarium	1000.00
II A	Executive Enterprises, Inc.	5-23-88	Honorarium	1000.00
II A	American Truckers Assn.	6-8-88	Honorarium	1000.00
II A	Wine Institute	5-25-88	Honorarium	1000.00
II A	Home Life Insurance Co.	6-13-88	Honorarium	1000.00
II A	National Candy Wholesalers	6-14-88	Honorarium	500.00
II A	Tax Foundation Trustees	6-22-88	Honorarium	500.00
II A	SIGMA	7-27-88	Honorarium	2000.00
II A	Columbia Gas	6-29-88	Honorarium	2000.00
II A	Assn. of American Railroads	7-12-88	Honorarium	1000.00 (Charity $150)
II A	National Cattlemen's Assn.	9-15-88	Honorarium	1000.00 (Charity)
II A	American Business Conference	9-30-88	Honorarium	1000.00 (Charity)
II A	Washington Tax Seminars	10-4-88	Honorarium	1000.00 (Charity)
II B	City of Valdez Bonds		Interest	B
II B.	Gill Savings (one-half spouse)		Interest	D
II B	Gibraltar Savings		Interest	B
II B	Texfi		Interest	A
II B	Sunbelt Savings		Interest	C
II B	United Savings		Interest	B
II B	Archer Associates		Interest	F
II B	Westwood Shopping Center		Interest	B
II B	Archer Associates		Capital Gain	F
II B	San Juan, New Mexico		Gas Royalty	B
II B	(Spouse) Alamo Savings CD			C
III	(Spouse) Crestar Bank Account			B
III	(Spouse) Capital Preservation Growth Partnership			C
III	(Spouse) Gill Savings CD			C
III	(Spouse) United Savings CD			C
II B	Merrill Lynch CMA Account		Dividend	A
IV	United Savings CD (Sell)	2/8/88		D
IV	Sunbelt Savings CD (Buy)	2/4/88		D
IV	Home Savings CD (Buy)	1-10-88		D
IV	Gibraltar Savings CD (Buy)	2-23-88		D
IV	(Spouse) United Savings CD (Sell)	3-1-88		C
IV	(Spouse) Capital Pres. Growth Partnership (Buy)	5/11/88		C
IV	(Spouse) Gill Savings CD (Sell)	5/11/88		C
IV	Citizen Medical Plaza Partnership (Sell)	12/31/88		A
IV	Merrill Lynch CMA Account	Various		D
VII	ARCO (Conference & Breakfast Speaker) Roundtrip airfare between D.C. & Anchorage; 2 meals (Self & Spouse)			
VII.	SOHIO (Speaker - Dinner Conference during fact-finding tour of Kodiak) 1 1/2 days of meals; one night lodging. (Self & Spouse)			
VII.	Tax Foundation (Dinner Speaker)- roundtrip airfare between D.C. & N.Y.; 2 nights lodging, 1 meal (Self & Spouse)			

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BILL ARCHER
7TH DISTRICT, TEXAS

MEMBER
WAYS AND MEANS
COMMITTEE

JOINT COMMITTEE
ON TAXATION

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE
1128 LONGWORTH
HOUSE OFFICE BUILDING
(202) 225-2571

DISTRICT OFFICE
FEDERAL OFFICE BUILDING
HOUSTON, TX 77002
(713) 229-2763

HAND DELIVERED

May 15, 1989

Honorable Donnald K. Anderson
Clerk of the House
H-105, The Capitol
Washington, D.C. 20515

Dear Mr. Anderson:

I would appreciate your assistance in making the enclosed copy of my voluntary December 31, 1988 Financial Statement part of my permanent record along with the enclosed Financial Disclosure Statement for 1988, which is required by law.

Thank you very much, and with best wishes,

Sincerely,

Bill Archer

Bill Archer
Member of Congress

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FINANCIAL STATEMENT
WILLIAM R. ARCHER, JR.
DECEMBER 31, 1988

ASSETS:

Cash (Sgt. at Arms Acct.)		$13,277.00
Cash (Cong. Credit Union Acct.)		7,189.00
Certificates of Deposit:		329,007.00
Gibraltar Savings & Loan	92,000.00	
San Jacinto Savings & Loan	50,007.00	
Sunbelt Savings & Loan	95,000.00	
Home Savings & Loan	92,000.00	
Merrill Lynch Cash Management Account		23,230.00
I.R.A. (San Jacinto Savings)		18,231.00
Life Insurance Cash Value		8,500.00
Federal Government Retirement Fund		66,043.00
E.F. Hutton Retirement Fund		20,630.00
FERS Thrift Savings		7,900.00
Stocks and Bonds (Market Value 12-31-87):		49,273.00
446 shares U.S. Life @ 35.00	15,610.00	
300 shares Halliburton @ 28.00	8,400.00	
254 shares Gulf States Utilities @ 7.88	2,002.00	
5 Texfi Bonds @ 620.00	3,100.00	
4 $5,000 Valdez, Alaska Bonds @ 4000	16,000.00	
104 shares Amerada Hess @ 31.50	3,276.00	
49 shares Material Sciences at 15.00	735.00	
15 shares Paradine @ 10.00	150.00	
Note Receivable - Richard M. Archer		3,983.00
26.1% Interest in Archer Associates, A Partnership		312,878.00
Real Estate:		463,844.00
House and Lot, McLean, Virginia (at cost)	443,096.00	
Investment Equities in Houston Real Estate (at cost less depreciation)	20,748.00	
Mineral Royalty Interest, San Juan, New Mexico (at cost)		2,000.00
1968 Mercedes		6,000.00
Coin Collection (atcost)		16,326.00
TOTAL ASSETS		$1,348,311.00

LIABILITIES:	0.00

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

RICHARD K. ARMEY
(Full Name)
330 CANYON OAKS DRIVE
(Mailing Address)
ARGYLE, TEXAS 76226

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 26 State TEXAS

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See attached.			
Susan Armey Contract Services - therapy			
Director's fee - Institute for Policy Innovation		2,000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
TIAA-CREF teacher's retirement	annuity	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
NONE	

[illegible]

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Personal Loan - Capitol National Bank - Ft. Worth (retired this year.)	C
Personal Loan - First Interstate Bank - Bedford, Texas.	C
Denton County Teachers Credit Union - Personal Loan.	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Chairman	Policy Innovation PAC
Chairman	Institute for Policy Innovation.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature:	Date:
Dick Armey	5-4-81

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989 93-737 [illegible]

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1988 HONORARIA
As of December 31, 1988

DATE	ORGANIZATION	AMOUNT	CUMULATIVE
02/29/88	McDonnell Douglas	$ 500	$ 500
03/17/88	American Medical Association	2,000	2,500
03/26/88	The Landrum Society	250	2,750
04/11/88	Sheet Metal & Air Cond. Contractors	500	3,250
04/22/88	Toby Roth for Congress	500	3,750
04/26/88	National Stone Association	1,000	4,750
05/21/88	National Restaurant Association	1,000	5,750
05/31/88	Grace Natural Resources Group	500	6,250
06/30/88	United Schools - Florida	2,000	8,250
07/25/88	Citizens for a Sound Economy	500	8,750
08/12/88	Family Forum '88	1,000	9,750
08/26/88	World Anti Communist League	1,000	10,750
09/23/88	Bureau for Economic Research	1,000	11,750
10/11/88	National Management Association	500	12,250
10/29/88	Shell Oil	2,000	14,250
11/09/88	National Stone Association	1,000	15,750
12/12/88	A.A.V.C. (Dr. Ku)	200	15,950

Received $250 for speaking at Frisco High School commencement & donated it to fund a scholarship.

OUTSIDE EARNED INCOME

06/16/88	Christian Science Publishing Society	100	100
10/11/88	Dow Jones & Co., Inc.	100	200
10/12/88	Washington Times	100	300

TRAVEL REIMBURSEMENTS

3/17-3/19 Amer. Med. Assoc. Airfare:DCA-West Palm Beach-D/FW
Hotel: 2 nights
3/26 The Landrum Society Airfare: D/FW-San Antonio
4/21-4/22 Toby Roth for Congress Airfare: DCA-Green Bay-D/FW
Hotel: 1 night
5/20-5/21 Nat'l Restaurant Assoc. Airfare: DCA-Chicago-D/FW
Hotel: 1 night
6/30-7/1 United Schools Airfare: DCA-Tampa-D/FW
Hotel: 1 night
07/11 Abilene Christian University Airfare: D/FW-Abilene-D/FW
08/12 Family Forum '88 Airfare: D/FW-New Orleans-D/FW
8/26-8/27 World Anti-Communist League Airfare: D/FW-Geneva-D/FW
Hotel: 1 night
09/17/88 American Electrical Contractors Airfare: D/FW-Nashville
Susan's Airfare: D/FW-Nashville-D/FW, Hotel: 1 night
09/20/88 Knox White for Congress Airfare: TriCity-Greenville-DCA
10/29/88 Shell Oil Airfare: D/FW-SanAntonio, Hotel: 1 night (Dick & Susan)
11/09/88 National Stone Assoc. Airfare: D/FW-DCA-D/FW
11/17/88 Cincinnati Rotary Airfare: D/FW-Cin-D/FW

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Les Aspin
(Full Name)

2336 Rayburn House Office Building
(Mailing Address)

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1st State Wisconsin

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed, identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
(See attached list)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Interest Income from Municipal Bonds		B
Interest Income from First Financial		A
Interest Income from Provident Mutual		A
Interest Income from US House of Reps Credit Union		A
Interest Income from U.S.A.A.		A
Interest Income from Civil Service Retirement		C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO X______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
N/A	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
N/A		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
N/A	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
N/A	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Bud Selig	American League Baseball Pass	(dependent upon the # times the pass is used, but potentially over $100)
Bart Giamatti	National League Baseball Pass	

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Aspen Strategy Group Conference (participant)	food and lodging expenses

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
N/A	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
N/A		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Les Aspin	May 12, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 90-737

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Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Les Aspin
(Name)

Continuation Sheet

Part IIA.

Source, Type, Amount, Category, Description or Value (As Applicable)

2/4 received	Proprietary Industries Assn. Conference	honorarium $2000
2/16received	Pay Improvement Company	honorarium $2000
2/25received	General Electric	honorarium $2000
3/8 received	Preston,Thorgrimson,Ellis & Holman	honorarium $1000
3/25received	Health and Life Organization (HALO)	honorarium $500
4/11received	Powell,Goldstein,Frazier & Murphy	honorarium $1000
4/20received	American Business Conference	honorarium $1000
5/2 received	Washington Forum	honorarium $2000
5/5 received	HME International Advisory Assoc.	honorarium $1000
5/11received	CSIS Seminar	honorarium $2000
5/12received	Institute for Foreign Policy Analysis	honorarium $500
5/18received	A.B. Laffer Associates	honorarium $2000
5/27received	Touche Ross	honorarium $1500
5/27received	Institutional Investor Institute	honorarium $2000
7/25received	Govt. Marketing & Intl. Trade Institute	honorarium $2000
9/13received	Article:Scholarly Journal-Bentley College	honorarium $1000 (charity)
10/3received	SRI International	honorarium $1000
10/18received	Valentec Corporation	honorarium $2500 (charity $500)
10/31received	New School for Social Research	honorarium $5000 (charity $3650)
11/4 received	Op-Ed: Los Angeles Times	honorarium $150 (charity)
12/12received	Science Applications Int'l. Corp.	honorarium $2000 (charity)
12/12received	Insitute for Foreign Policy Analysis	honorarium $1000 (charity)
12/12received	Op-Ed: New York Times	honorarium $150 (charity)
12/19received	Burson-Marsteller Corporation	honorarium $1500 (charity)
12/30received	Op-Ed: Los Angeles Times	honorarium $150 (charity)

TOTAL AMOUNT HONORARIUM= $26,850.00

TOTAL AMOUNT TO CHARITIES= $10,100.00

GPO: 1987 : 70-046 (m)

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MAY 12 1989

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—*For use by Members, officers, and employees*

Chester G. Atkins
(Full Name)

Room 504, Cannon House Office Building
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

1989 MAY 22 PM 2:18
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5th State Massachusetts

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,028, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Massachusetts Democratic State Committee	Salary	$18,000	
Honoraria - As Listed on Continuation Sheet			
The Middlesex News (Spouse)	Payment for Columns (Free-Lance Journalist)	B	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
NONE		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __XX__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Common Stock, Valid Logic Systems, Inc.	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. *The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1988.*

SOURCE	BRIEF DESCRIPTION
NONE	

B. *The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1988.*

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Lutheran Child and Family Services	Airfare Boston-Southeast Asia (Thailand, Vietnam, Laos) and return; ground transportation, meals all for spouse only - 17 days, 16 nights
"One on One" Television Show (John McLaughlin)	Round trip airfare DC - Lewisburg W VA - DC (Greenbrier Weekend)

SEE CONTINUATION SHEET ATTACHED.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Public Policy Advisory Committee	The Population Institute
Special Congressional Advisory Com.	The Wilderness Society
Honorary Board Member	Mass. Chapter Huntington's Disease Society of America

. SEE CONTINUATION SHEET ATTACHED.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 12, 89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Chester G. Atkins
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
PART II - HONORARIA			
National Utility Contractor's Association (Also reported in 1987 when speech given; payment received in 1988)		$1,000	Rec'd. 2/88
Boston College Center for Corporate Community Relations (Estim. Value of Hitchcock chair)		$199	Rec'd. 2/8/88
Scientific Apparatus Makers Association		$2,000	Rec'd. 4/25/88
Nat'l. Institute of Dental Rerch, Forsyth Dental Center		$1,000	Rec'd. 5/2/88
National Association of Temporary Services		$750	Rec'd. 5/11/88
Boston Safe Deposit & Trust Co.		$2,000	Rec'd. 6/17/88 GIVEN TO CHARITY
Taiwan Benevolent Asrociation of America		$1,000	Rec'd. 6/19/88 GIVEN TO CHARITY
Hudson Valley Political Action Committee		$2,000	Rec'd. 6/21/88 GIVEN TO CHARITY
Brookings Institution		$400	Rec'd. 9/20/88

PART VII - REIMBURSEMENTS	
American Israel Public Affairs Committee	Ground Transp. NYC - Boston, Airfare Boston - NYC
Forsyth Dental Center	R-T Airfare DC - Boston - DC
Hudson Valley Political Action Committee	Airfare Boston - NYC - DC, lodging, ground transp., meals 2 days 1 night
Caribbean Central American Action Conf.	Hotel, meals, ground transp. for self and spouse, 4 days 3 nights for Conference in Miami
Dana McLean Greeley Foundation for Peace and Justice	R-T Airfare Boston-Athens-Boston, Accomodations, meals 6 days 5 nights for spouse, child care in Boston in connection with Pre-Summit Conference of Women for a Meaningful Summit
Dana McLean Greeley Foundation for Peace and Justice	R-T Airfare Boston-Salzburg-Boston, accommodations, meals, spouse in connection with Salzburg Seminar

PART VIII - POSITIONS	
Chairman	Voter Education Fund, Inc., Boston, MA
Director	Mass. Photovoltaic Center, Boston, MA (resigned in 1988)
Director	Boston University Center for Technology & Policy, Boston, MA
Director	Facing History and Ourselves, Boston, MA
Co-Chair	Workers' Park Coordinating Committee, Lawrence, MA
Co-Chair	Bread and Roses Heritage Committee, Lawrence, MA
Honorary Director	Area Health Education Committee, Lowell, MA

[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Les AuCoin
(Full Name)

2159 Rayburn Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

89 MAY 15 PM 1:05

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1st State Oregon

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
1. U.S. House of Representatives Annual	Salary	89,500	
2. The Wilderness Society (Wife) Annual (Front Office - Receptionist)	Salary	25,500	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
1. S.A.R.A.S (Wife's Family part.)	Interest and Dividends	C
2. Sale of Real Estate	Investment	B
3. Wright Patman Federal Credit Union	Money Market Fund	A
4. Wright Patman Federal Credit Union	Savings Acct.	A
5. Merrill Lynch	Money Market Fund	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
1. Share S.A.R.A.S (family partnership, spouse)	C
2. Merrill Lynch Money Market Fund	C
3. Wright Patman Federal Credit Union	D

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Share, Tumalo Emporium, Inc. (Restaurant)		
Proceeds from sale: sold in January 1986	1987	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
1. U.S. Bank, Portland, Oregon	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

[illegible]

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Attachment	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
1. Trustee	Pacific University, Forest Grove, Oregon

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Les AuCoin	5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989 [illegible]

II. INCOME
LINE A. SOURCE (1988)

	TYPE	AMOUNT	RECEIVED
Restaurant Association	HONORARIUM	$ 750	1/5
Preston, Thorgrimson	HONORARIUM	$1,000	1/6
Monitor Aerospace Corp.	HONORARIUM	$1,000	1/6
Ellanef Manufacturing	HONORARIUM	$1,000	1/6
Chicago Mercantile	HONORARIUM	$ 500	1/7
Chicago Board of Trade	HONORARIUM	$ 500	1/7
Lockheed	HONORARIUM	$1,000	1/12
McDonnell Douglas	HONORARIUM	$2,000	1/12
Northrop	HONORARIUM	$2,000	1/19
MagneTek ALS	HONORARIUM	$2,000	1/21
General Dynamics	HONORARIUM	$1,000	1/21
Oshkosh Trucks	HONORARIUM	$2,000	1/26
Texas Instruments	HONORARIUM	$1,000	1/27
E-Systems	HONORARIUM	$1,000	1/27
Bell Helicopters	HONORARIUM	$1,000	2/1
Oklahoma State U. Foundation	HONORARIUM	$1,000	2/1
TRW	HONORARIUM	$2,000	2/3
LTV	HONORARIUM	$1,000	2/3
American Truckers Assn.	HONORARIUM	$1,000	2/3
Nat. Assn. of Homebuilders	HONORARIUM	$2,000	3/3
Hughes Aircraft	HONORARIUM	$2,000	4/21
National Venture Capital	HONORARIUM	$2,000	6/8
Westinghouse	HONORARIUM	$1,000	6/20
	TOTAL	29,750, of which 2,900 donated to charity	

H49/1270231

VII. REIMBURSEMENT EXCLUSIONS (1988)

1988 DISCLOSURE MATERIAL

Grumman Corp.	Transportation - D.C./N.Y.C. one night lodging
General Dynamics	Transportation - N.Y.C./Detroit Transportation - Detroit/Chicago
Chicago Board of Trade	Transportation - Chicago/Portland one night lodging
Lockheed Corp.	Transportation - Portland/San Jose Transportation - San Jose/L.A.
MagneTek ALS	One night lodging
Northrop Corp	Share of 4 nights lodging
TRW	Share of 4 nights lodging
McDonnell Douglas	Share of 4 nights lodging Transportation, L.A./Dallas
LTV	Transportation, Dallas/ D.C. Two nights lodging
Oklahoma State U. Foundation	Transportation, D.C./Tulsa Transportation, Tulsa/D.C. One night lodging
Human Rights Campaign Fund	Transportation - D.C.-Key West, FL-DC 2 nights food & lodging for self and spouse

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Richard H. Baker
(Full Name)

404 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 06 State Louisiana

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989 and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and
(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and
(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
1884 Shoppe	Wife's salary		
Dow Chemical U.S.A.	Honorarium	$500	None
South Central Bell Telephone Company	Honorarium	$2000	None
The Lamar Corporation	Honorarium	$1000	None
RJR Nabisco	Honorarium	$2000	None

(Continued)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
LPB Minerals	Royalty	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES X NO ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Glacier Technology	E
Scenic Investments - East Baton Rouge Parish Real Estate	C
LPB Minerals - Mineral Interests	C
1884 Shoppe - Retail Store	E
Hidden Lake - East Baton Rouge Parish Real Estate	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Glacier Technology - Sold part of stock interest	Sept. 1988	E

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Louisiana National Bank - 451 Florida Street, Baton Rouge, LA	D
First State Bank - 3033 Roy Weiland Drive, Baker, LA	B
Sunburst Bank - (formerly Capital Bank) 8440 Jefferson Highway, BR, LA	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Scenic Investments
Partner	Hidden Lake
Partner	LPB Minerals
Owner	Baker Real Estate

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/11/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE : 1988 [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT--FINANCIAL DISCLOSURE STATEMENT

Richard H. Baker
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
I	American Trucking Association	$1000	None
	McNeal Moving and Storage	$250	None
	Saia Motor Freight	$250	None
	Groendyke Transport, Inc.	$250	None
	Melton Truck Lines	$250	None
	Security Homestead	$1000	None

GPO 1987 70-046 [illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Thomas Cass Ballenger
(Full Name)

867 20th Avenue Drive, NW
(Mailing Address)

Hickory, NC 28601

MC (Office Use Only)

89 MAY 11 PM 11:05

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 10 State NC

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See attached schedule			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See attached schedule		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO __X__ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See attached schedule	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See attached schedule		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See attached schedule	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. *The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1988.*

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
schedule	schedule

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	See attached schedule

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	See attached letter	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Thomas Cass Ballenger	5/11/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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THOMAS CASS BALLENGER
867 20th Avenue Drive, NW
Hickory, North Carolina 28601

Form A, Schedule II, A, Earned Income

Source	Type	Date	Amount
Plastic Packaging, Inc.- family business	Salary (exempt from Rule XLVIII)		20,000
Plastic Packaging, Inc.-spouse	Salary		
Tobacco Institute	Honoraria	01-04-88	1,000
Labor Policy Association	Honoraria	03-11-88	1,000
National Federation of Independent Business	Honoraria	03-23-88	250
Textron	Honoraria	04-20-88	1,000
Capitol Forum	Honoraria	05-24-88	500
ESOP Association	Honoraria	05-20-88	2,000
National Restaurant Association	Honoraria	05-22-88	1,000
Burson-Marsteller	Honoraria	07-13-88	500
USIS Brasilia-spouse	Honoraria	07-27-88	500
United States Information Service-Spouse	Fees		
Docama, Inc.-spouse	Commissions		
Tarheel Packaging-spouse	Commissions		
Plastic Packaging, Inc.	Pension		340
Integrated Resources	Insurance		13,300
N.C. Retirement System	Pension		2,987

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THOMAS CASS BALLENGER
867 20th Avenue Drive, NW
Hickory, North Carolina 28601

Form A, Schedule II, B, Unearned Income

Source	Type	Category
Plastic Packaging, Inc.	Dividends	G
Southeastern Savings Bank	Dividends	A
Integrated Securities Money Market	Dividends	B
Oppenheimer	*Dividends	D
Wall Street Clearing Company	*Dividends	B
Financial Clearing Service	*Dividends	B
J. C. Bradford	*Dividends	C
Dean Witter Reynolds	*Dividends	A
Shearson Lehman	*Dividends	A
North Carolina National Bank	Interest	D
J. C. Bradford	Interest	B
GNMA Pass Thru Pool	Interest	A
Congress Federal Credit Union	Interest	B
Kemper Trust Series 18Q	Interest	B
Nuveen Bond Fund 183Q	Interest	A
Nuveen Bond Fund 87Q	Interest	A
N.C. Medical Care Commission Bonds	Interest	A
N.C. Municipal Power Agency Bonds	Interest	B
Wachovia Bank	Interest	A
Fidelity Federal	Interest	A
IRS	Interest	A
Financial Clearing Service	Interest	A
Wall Street Clearing Company	Interest	A
ARI/Northside Tower	Interest	B
AAI/Breckinridge	Interest	B
AAI/Four Seasons	Interest	A
ARI/Georgetown Square	Interest	B
ARI/Brentwood Highlands	Interest	B
Cimarron Prairie LTD.	Interest	A
Georgetown Square Group	Interest	A
Cindy Brinkley	Interest	A
Melissa Jordan	Interest	A
Abbott Labs	Capital loss	A
EG&G	Capital loss	A
General Electric	Capital gain	A
Waste Management	Capital gain	A
American Home Products	Capital gain	E
Duke Power	Capital gain	B
Wellington Hall Furniture	Capital loss	A
Schlumberger	Capital loss	A
Ames Department Stores	Capital loss	B
Meredith Corporation	Capital loss	A

THOMAS CASS BALLENGER
867 20th Avenue Drive, NW
Hickory, North Carolina 28601

Form A, Schedule II, B, Unearned Income

Source	Type	Category
Xoma	Capital loss	C
Harcourt Brace Janovich	Capital loss	A
Hanson Trust	Capital loss	B
Allegis	Capital gain	C
Morgan Grenfel	Capital loss	C
Kulicke & Soffa	Capital loss	D
USX	Capital gain	C
Petrie Stores	Capital gain	A
Orion Pictures	Capital loss	C
Republic N.Y. Corp.	Capital loss	B
Telex	Capital gain	B
Clorox	Capital loss	A
Carson, Pirie & Scott	Capital gain	A
Consolidated Stores	Capital loss	B
Triangle Industries	Capital gain	B
Applied Materials	Capital gain	D
Santa Fe Southern Pacific	Capital loss	D
Home Savings Bank	Capital loss	A
Cady Associates	Partnership loss	D
Oak Plantation Associates LTD.	Partnership loss	A
Oak Plantation Associates LTD.	Partnership loss	A
ARI/Northside Tower	Partnership income	E
AAI/Forest Hills	Partnership loss	C
AAI/Breckinridge	Partnership loss	D
AAI/Four Seasons	Partnership loss	C
ARI/Georgetown Square	Partnership loss	D
ARI/Brentwood Highlands	Partnership loss	D
ARI/Freeway Park	Partnership loss	C
Balmark Realty	Partnership loss	C
Dyco Petroleum Corp.	Partnership income	A
Hunter Publishing Limited	Partnership loss	A
Prutech Research & Development	Partnership loss	A
Cimarron Prairie LTD	Partnership loss	D
Georgetown Square Group	Partnership income	A
BEER Venture Partners LTD	Partnership income	A
Balmark Realty	Partnership loss	C
Southern Inns Associates LTD.	Partnership loss	B
McNeil Real Estate Fund XI LTD.	Partnership loss	B
McNeil Real Estate Fund XI LTD.	Partnership loss	A
ARI Northside Tower	Partnership cap. gain	E
Balmark Realty	Partnership cap. gain	C

Note: The dividends marked with an * are on stocks held by brokers which are listed in Part III of this disclosure statement.

THOMAS CASS BALLENGER
867 20th Avenue Drive, NW
Hickory, North Carolina 28601

Form A, Schedule II, B, Unearned Income (continued)

Source	Type	Category
Rental Apartments	Rents	
Gross rents		E
Net loss		D
Oil well interests	Royalties	
Gross income		A
Net		A
Income investors	Partnership income	A

THOMAS CASS BALLENGER
867 20th Avenue Drive, NW
Hickory, North Carolina 28601

Form A, Schedule III, Holdings

Stocks, Notes, Bonds	Category
AT & T	B
Contel Corporation	B
Pepsico	A
Plastic Packaging, Inc.	F
W R Grace	D
Rockefeller Center Properties	A
Archer - Daniels - Midland	A
Abbott Labs	A
American Home Products	C
Applied Materials	C
Bank of Granite Corp.	C
BB & T Financial Corp.	A
Dean Witter Liquid Assets	B
Duke Power	A
EG & G	A
Emerson Electric	A
General Electric	B
Schlumberger LTD	B
Southeastern S & L	B
Telex Corp.	C
USX	B
Waste Management	A
Allegis	C
Ames Dept. Stores	A
Hansen Trust	B
Harcourt Brace Janovich	A
Kulicke & Soffa	C
Meredith Corp	A
Morgan Grenfel Fund	A
Republic NY Corp	E
Santa Fe Southern Pacific	B
Xoma	B

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THOMAS CASS BALLENGER
867 20th Avenue Drive, NW
Hickory, North Carolina 28601

Form A, Schedule III, Holdings

Stocks, Notes, Bonds	Category
Petrie Stores	A
Orion Pictures	B
Clorox	B
Carson, Price, Scott & Co.	B
Primerica	B
Consolidated Stores	C
Triangle Industries	B
Home Savings Bank	C
Dean Witter Sears	B

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THOMAS CASS BALLENGER
867 20th Avenue Drive, NW
Hickory, North Carolina 28601

Form A, Schedule III, Holdings

Stocks, Notes, Bonds	Category
Cindy Brinkley - Note	A
Melissa Jordan - Note	A
GNMA Pass Thru Pool	B
Kemper Tax Exempt Income Trust	C
Nuveen Tax Exempt Bond Funds	B
NC Medical Care Commission Bonds	B
NC Municipal Power Agency Bonds	C

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THOMAS CASS BALLENGER
867 20th Avenue Drive, NW
Hickory, North Carolina 28601

Form A, Schedule III, (continued):

Identity	Category
Real estate and partnerships:	
Associated Realty Investors - limited partner	C
Associated Apartment Investors - limited partner	B
Hunter Publishing Limited	C
Georgetown Square Group	A
Balmark Realty	C
Southern Inns Associates LTD. - limited partner	C
American Real Estate Partners L. P. - limited partner	A
Other partnership interests less than $1,000 each - limited partner	A
Cash - banks	D
Cash - brokers	D

Note: All securities held by brokers except Plastic Packaging, Contel Corp., Bank of Granite and Southeastern Savings & Loan.

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THOMAS CASS BALLENGER
867 20th Avenue Drive, NW
Hickory, North Carolina 28601

Form A, Section IV, Transactions:

Description	Date	Category
Sold 100 shs. Abbott Labs	12-30-88	A
Sold 100 shs. EG & G	12-30-88	A
Sold 150 shs. Emerson Electric	12-30-88	A
Sold 200 shs. General Elelctic	12-30-88	B
Sold 100 shs. Waste Management	12-30-88	A
Sold 400 shs. American Home Products	08-22-88	C
Sold 100 shs. Duke Power	08-22-88	A
Sold 200 shs. Schlumberger	08-22-88	B
Sold 300 shs. Ames Department Stores	08-22-88	A
Sold 100 shs. Meridith Corporation	04-26-88	A
Sold 400 shs. Xoma Corporation	06-29-88	B
Sold 250 shs. Harcourt Brace Janovich	05-03-88	A
Sold 2,150 shs. Hanson Trust	Various	B
Sold 1,000 shs. Morgan Grenfel Fund	08-22-88	A
Sold 3,700 shs. Kulicke & Soffa	09-01-88	C
Sold 200 shs. Petrie Stores	02-24-88	A
Sold 1,750 shs. Orion Pictures	Various	A
Sold 200 shs. Republic NY Corporation	08-22-88	B
Sold 500 shs. Telex	08-08-88	C
Sold 200 shs. Clorox	06-17-88	B
Sold 650 shs. Carson, Pirie, Scott & Co.	Various	B
Sold 200 shs. Primerica	08-22-88	B
Sold 2,000 shs. Consolidated Stores	08-22-88	C
Sold 400 shs. Triangle Industries	08-02-88	A
Sold 800 shs. Applied Materials	03-01-88	C
Purchased 3,700 shs. Kulicke & Soffa	03-08-88	C
Purchased 200 shs. Petrie Stores	01-19-88	A
Purchased 1,750 shs. Hansen Trust Units	Various	A
Purchased 1,750 shs. Orion Pictures	Various	B
Purchased 100 shs. Waste Management	09-11-88	A
Purchased 500 shs. Telex	02-29-88	C
Purchased 200 shs. Clorox	03-22-88	B
Purchased 650 shs. Carson, Pirie, Scott & Co.	04-07-88	B
Purchased 200 shs. Primerica	04-19-88	B
Purchased 2,000 shs. Consolidated Stores	Various	C
Purchased 400 shs. Triangle Industries	05-11-88	B
Cash from Merger Triangle Industries	07-11-88	B
Purchased 1,000 shs. Home Savings Bank	08-03-88	C
Sold 1,000 shs. Home Savings Bank	08-22-88	C
Sold 200 shs. Telex	03-01-88	C
Sold 200 shs. Santa Fe Southern Pacific	03-29-88	B
Sold 100 shs. Sante Fe Southern Pacific	08-22-88	A
Sold 100 shs. Allegis	02-12-88	B

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THOMAS CASS BALLENGER
867 20th Avenue Drive, NW
Hickory, North Carolina 28601

Form A, Section V, Liabilities:

Identity	Category
Capital deficits in partnerships:	
Cady Associates L.P.-limited partner	D
Other partnership interests less than $10,000-limited partner	C
Federal Fidelity Savings & Loan-mortgage on rental property	D
Wachovia Bank & Trust-mortgage on rental property	E
North Carolina National Bank	E
Hill Financial-mortgage on rental property	E

THOMAS CASS BALLENGER
867 20th Avenue Drive, NW
Hickory, North Carolina 28601

Form A, Schedule VII, Reimbursements

Source	Brief Description
National Restaurant Association	Roundtrip airline tickets from Washington, D.C., to Chicago, Illinois, and rental car
The Tobacco Institute	Roundtrip airlne tickets from Hickory, North Carolina to Palm Springs, California
Labor Policy Association	Roundtrip airline tickets from Washington, D.C., to Williamsburg, Virginia

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THOMAS CASS BALLENGER
867 20th Avenue Drive, NW
Hickory, North Carolina 28601

Form A, Section VIII, Position:

Positions	Name of Organization
Chairman of the Board	Plastic Packaging, Inc.
General partner	Balmark Realty
Limited partner	Various real estate partnerships

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U.S. House of Representatives
COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT
Washington, DC 20515

February 25, 1987

The Honorable Cass Ballenger
United States House of Representatives
116 Cannon House Office Building
Washington, D. C. 20515

Dear Colleague:

This is in response to your letter of January 16, 1987, requesting the advice of this Committee regarding the propriety of your receipt of certain compensation from Plastic Packaging, Inc. Your correspondence elaborates on information provided in an earlier meeting with Committee Counsel Mark Davis.

You explain that you served as President of Plastic Packaging, Inc., from its founding in 1956 until your election to the House of Representatives. While you remain the majority stockholder and Chairman of the Board, you no longer have day-to-day operating responsibilities. In lieu of the salary previously paid to you, Plastic Packaging, Inc., intends to pay you $20,000 per year for as much as 400 hours of consulting services on implementation of corporate policy decisions. You further explain that Plastics Packaging, Inc., is your principal family investment, and you view it important to remain associated with the business to assure that your investment is protected.

The provision implicated by your inquiry is House Rule XLVII, which limits the amount of outside earned income which a Member of the House of Representatives may receive in a calendar year to 30 percent of the Member's aggregate yearly congressional salary. As provided in the Rule, the term "outside earned income" means "wages, salaries, professional fees, honorariums, and other amounts ... received as compensation for personal services actually rendered." Several exemptions are noted, however. Clause 3(d)(4) of Rules XLVII specifically states that outside earned income does not include--

> in the case of a Member engaged in a trade or business in which the Member or his family holds a controlling interest and in which both personal services and capital are income-producing factors, any amount received

The Honorable Cass Ballenger
February 25, 1987
Page 2

> by such Member so long as the personal services actually rendered by the Member in the trade or business do not generate a significant amount of income.

The Committee is of the opinion that the services you will be performing for Plastic Packaging, Inc., and compensation in the amount specified, fall within the stated exemption. The purpose of the exemption, as stated by the Commission on Administrative Review of the 95th Congress, is to enable Members to protect their interest in a family business. (See, House Document 95-73, p. 11; see also, Advisory Opinion No. 13, House Select Committee on Ethics, 95th Congress.) Further evidence of the fact that your efforts are aimed at preserving your equity, rather than generating a significant amount of income, is the limited time you plan to devote to the business. The Committee also notes that the amount of compensation you will be receiving from the corporation is substantially less than that reported for prior years on the Financial Disclosure Statement which you filed as a candidate.

While this compensation will not be counted as outside earned income for the purposes of the limitation in Rule XLVII, please note that it still must be reported on the Financial Disclosure Statement you are required to file as a Member of the House of Representatives by May 15th of each year. For purposes of clarity, we suggest that you note on the Statement that this income is from a family business and exempt from application of Rule XLVII.

If you have any further questions concerning this matter, please contact the Committee staff at extension 5-7103.

Sincerely,

Julian C. Dixon
Chairman

Floyd D. Spence
Ranking Minority Member

JS:MJD

HAND DELIVERED

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

OFFICE OF RECORDS & REGISTRATION
1989 JUN -6 AM 9 22
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—*For use by Members, officers, and employees*

Thomas Cass Ballenger
(Full Name)

867 20th Avenue Drive, NW
(Mailing Address)

Hickory, NC 28601

✓
MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 10 State NC

☐ Officer or Employee—Employing Office

☒ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a *Financial Disclosure Statement* on or before May 15, 1989.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See attached schedule			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See attached schedule		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(f)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See attached schedule	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See attached schedule		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See attached schedule	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. *The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1988.*

SOURCE	BRIEF DESCRIPTION
None	

B. *The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1988.*

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
schedule	schedule

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	See attached schedule

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	See attached letter	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Thomas Cass Ballenger	6/5/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

THOMAS CASS BALLENGER
867 20th Avenue Drive, NW
Hickory, North Carolina 28601

Form A, Schedule II, A, Earned Income

Source	Type	Date	Amount
Plastic Packaging, Inc.-family business	Salary (exempt from Rule XLVIII)		20,000
Plastic Packaging, Inc.-spouse	Salary		
Tobacco Institute	Honoraria	01-04-88	1,000
Labor Policy Association	Honoraria	03-11-88	1,000
National Federation of Independent Business	Honoraria	03-23-88	250
Textron	Honoraria	04-20-88	1,000
Capitol Forum	Honoraria	05-24-88	500
ESOP Association	Honoraria	05-20-88	2,000
National Restaurant Association	Honoraria	05-22-88	1,000
Burson-Marsteller	Honoraria	07-13-88	500
USIS Brasilia-spouse	Honoraria	07-27-88	500
United States Information Service-Spouse	Fees		
Docama, Inc.-spouse	Commissions		
Tarheel Packaging-spouse	Commissions		
Plastic Packaging, Inc.	Pension		340
Integrated Resources	Insurance		13,300
N.C. Retirement System	Pension		2,987

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THOMAS CASS BALLENGER
867 20th Avenue Drive, NW
Hickory, North Carolina 28601

Form A, Schedule II, B, Unearned Income

Source	Type	Category
Plastic Packaging, Inc.	Dividends	G
Southeastern Savings Bank	Dividends	A
Integrated Securities Money Market	Dividends	B
Oppenheimer	*Dividends	D
Wall Street Clearing Company	*Dividends	B
Financial Clearing Service	*Dividends	B
J. C. Bradford	*Dividends	C
Dean Witter Reynolds	*Dividends	A
Shearson Lehman	*Dividends	A
North Carolina National Bank	Interest	D
J. C. Bradford	Interest	B
GNMA Pass Thru Pool	Interest	A
Congress Federal Credit Union	Interest	B
Kemper Trust Series 18Q	Interest	B
Nuveen Bond Fund 183Q	Interest	A
Nuveen Bond Fund 87Q	Interest	A
N.C. Medical Care Commission Bonds	Interest	A
N.C. Municipal Power Agency Bonds	Interest	B
Wachovia Bank	Interest	A
Fidelity Federal	Interest	A
IRS	Interest	A
Financial Clearing Service	Interest	A
Wall Street Clearing Company	Interest	A
ARI/Northside Tower	Interest	B
AAI/Breckinridge	Interest	B
AAI/Four Seasons	Interest	A
ARI/Georgetown Square	Interest	B
ARI/Brentwood Highlands	Interest	B
Cimarron Prairie LTD.	Interest	A
Georgetown Square Group	Interest	A
Cindy Brinkley	Interest	A
Melissa Jordan	Interest	A
Abbott Labs	Capital loss	A
EG&G	Capital loss	A
General Electric	Capital gain	A
Waste Management	Capital gain	A
American Home Products	Capital gain	E
Duke Power	Capital gain	B
Wellington Hall Furniture	Capital loss	A
Schlumberger	Capital loss	A
Ames Department Stores	Capital loss	B
Meredith Corporation	Capital loss	A

THOMAS CASS BALLENGER
867 20th Avenue Drive, NW
Hickory, North Carolina 28601

Form A, Schedule II, B, Unearned Income (continued)

Source	Type	Category
Xoma	Capital loss	C
Harcourt Brace Janovich	Capital loss	A
Hanson Trust	Capital loss	B
Allegis	Capital gain	C
Morgan Grenfel	Capital loss	C
Kulicke & Soffa	Capital loss	D
USX	Capital gain	C
Petrie Stores	Capital gain	A
Orion Pictures	Capital loss	C
Republic N.Y. Corp.	Capital loss	B
Telex	Capital gain	B
Clorox	Capital loss	A
Carson, Pirie & Scott	Capital gain	A
Consolidated Stores	Capital loss	B
Triangle Industries	Capital gain	B
Appiied Materials	Capital gain	D
Santa Fe Southern Pacific	Capital loss	D
Home Savings Bank	Capital loss	A
Cady Associates	Partnership loss	D
Oak Plantation Associates LTD.	Partnership loss	A
Oak Plantation Associates LTD.	Partnership loss	A
ARI/Northside Tower	Partnership income	E
AAI/Forest Hills	Partnership loss	C
AAI/Breckinridge	Partnership loss	D
AAI/Four Seasons	Partnership loss	C
ARI/Georgetown Square	Partnership loss	D
ARI/Brentwood Highlands	Partnership loss	D
ARI/Freeway Park	Partnership loss	C
Balmark Realty	Partnership loss	C
Dyco Petroleum Corp.	Partnership income	A
Hunter Publishing Limited	Partnership loss	A
Prutech Research & Development	Partnership loss	A
Cimarron Prairie LTD	Partnership loss	D
Georgetown Square Group	Partnership income	A
BEHR Venture Partners LTD	Partnership income	A
Balmark Realty	Partnership loss	C
Southern Inns Associates LTD.	Partnership loss	B
McNeil Real Estate Fund XI LTD.	Partnership loss	B
McNeil Real Estate Fund XI LTD.	Partnership loss	A
ARI Northside Tower	Partnership cap. gain	E
Balmark Realty	Partnership cap. gain	C

Note: The dividends marked with an * are on stocks held by brokers which are listed in Part III of this disclosure statement.

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THOMAS CASS BALLENGER
867 20th Avenue Drive, NW
Hickory, North Carolina 28601

Form A, Schedule II, B, Unearned Income (continued)

Source	Type	Category
Rental Apartments	Rents	
912 4th Street Dr., NW Hickory, NC		
Gross rents		E
Net loss		D
12th Avenue, NE Hickory, NC		
Gross rents		E
Net loss		D
Washington Apartment - 450 New Jersey Avenue (Basement of residence)		
Gross rents		C
Net loss		A
Oil well interests	Royalties	
Gross income		A
Net		A
Income investors	Partnership income	A

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THOMAS CASS BALLENGER
867 20th Avenue Drive, NW
Hickory, North Carolina 28601

Form A, Schedule III, Holdings

Stocks, Notes, Bonds	Category
AT & T	B
Contel Corporation	B
Pepsico	A
Plastic Packaging, Inc.	F
W R Grace	D
Rockefeller Center Properties	A
Archer - Daniels - Midland	A
Abbott Labs	A
American Home Products	C
Applied Materials	C
Bank of Granite Corp.	C
BB & T Financial Corp.	A
Dean Witter Liquid Assets	B
Duke Power	A
EG & G	A
Emerson Electric	A
General Electric	B
Schlumberger LTD	B
Southeastern S & L	B
Telex Corp.	C
USX	B
Waste Management	A
Allegis	C
Ames Dept. Stores	A
Hansen Trust	B
Harcourt Brace Janovich	A
Kulicke & Soffa	C
Meredith Corp	A
Morgan Grenfel Fund	A
Republic NY Corp	B
Santa Fe Southern Pacific	B
Xoma	B
Petrie Stores	A
Orion Pictures	B
Clorox	B
Carson, Price, Scott & Co.	B
Primerica	B
Consolidated Stores	C
Triangle Industries	B
Home Savings Bank	C
Dean Witter Sears	B

THOMAS CASS BALLENGER
867 20th Avenue Drive, NW
Hickory, North Carolina 28601

Form A, Schedule III, Holdings (continued)

Stocks, Notes, Bonds	Category
Cindy Brinkley - Note	A
Melissa Jordan - Note	A
GNMA Pass Thru Pool	B
Kemper Tax Exempt Income Trust	C
Nuveen Tax Exempt Bond Funds	B
NC Medical Care Commission Bonds	B
NC Municipal Power Agency Bonds	C
Wellington Hall Furniture	A

Identity	Category
Real estate and partnerships:	
Associated Realty Investors - limited partner	C
Associated Apartment Investors - limited partner	B
Hunter Publishing Limited	C
Georgetown Square Group	A
Balmark Realty	C
Southern Inns Associates LTD. - limited partner	C
American Real Estate Partners L. P. - limited partner	A
Other partnership interests less than $1,000 each - limited partner	A
Cash - N. C. National Bank	D
Cash - brokers - Integrated Resources	D

Other	
Financial clearing services*	
Shearson Lehman*	
Wall Street Clearing Company*	
*Varying amounts of temporary cash held during year by brokers in money market accounts	C

Note: All securities held by brokers except Plastic Packaging, Contel Corp., Bank of Granite and Southeastern Savings & Loan.

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THOMAS CASS BALLENGER
867 20th Avenue Drive, NW
Hickory, North Carolina 28601

Form A, Section IV, Transactions:

Description			Date	Category
Sold	100 shs.	Abbott Labs	12-30-88	A
Sold	100 shs.	EG & G	12-30-88	A
Sold	150 shs.	Emerson Electric	12-30-88	A
Sold	200 shs.	General Elelctic	12-30-88	B
Sold	100 shs.	Waste Management	12-30-88	A
Sold	400 shs.	American Home Products	08-22-88	C
Sold	100 shs.	Duke Power	08-22-88	A
Sold	200 shs.	Schlumberger	08-22-88	B
Sold	300 shs.	Ames Department Stores	08-22-88	A
Sold	100 shs.	Meridith Corporation	04-26-88	A
Sold	400 shs.	Xoma Corporation	06-29-88	B
Sold	250 shs.	Harcourt Brace Janovich	05-03-88	A
Sold	2,150 shs.	Hanson Trust	Various	B
Sold	1,000 shs.	Morgan Grenfel Fund	08-22-88	A
Sold	3,700 shs.	Kulicke & Soffa	09-01-88	C
Sold	200 shs.	Petrie Stores	02-24-88	A
Sold	1,750 shs.	Orion Pictures	Various	A
Sold	200 shs.	Republic NY Corporation	08-22-88	B
Sold	500 shs.	Telex	08-08-88	C
Sold	200 shs.	Clorox	06-17-88	B
Sold	650 shs.	Carson, Pirie, Scott & Co.	Various	B
Sold	200 shs.	Primerica	08-22-88	B
Sold	2,000 shs.	Consolidated Stores	08-22-88	C
Sold	400 shs.	Triangle Industries	08-02-88	A
Sold	800 shs.	Applied Materials	03-01-88	C
Purchased	3,700 shs.	Kulicke & Soffa	03-08-88	C
Purchased	200 shs.	Petrie Stores	01-19-88	A
Purchased	1,750 shs.	Hansen Trust Units	Various	A
Purchased	1,750 shs.	Orion Pictures	Various	B
Purchased	100 shs.	Waste Management	09-11-88	A
Purchased	500 shs.	Telex	02-29-88	C
Purchased	200 shs.	Clorox	03-22-88	B
Purchased	650 shs,	Carson, Pirie, Scott & Co.	04-07-88	B
Purchased	200 shs.	Primerica	04-19-88	B
Purchased	2,000 shs.	Consolidated Stores	Various	C
Purchased	400 shs.	Triangle Industries	05-11-88	B
Purchased	250 shs.	Rockefeller Center Prop.	10-01-88	A
Purchased	220 shs.	BB&T Financial Corp.	11-29-88	A
Cash from Merger		Triangle Industries	07-11-88	B
Purchased	1,000 shs.	Home Savings Bank	08-03-88	C
Sold	1,000 shs.	Home Savings Bank	08-22-88	C
Sold	200 shs.	Telex	03-01-88	C
Sold	200 shs.	Santa Fe Southern Pacific	03-29-88	B
Sold	100 shs.	Sante Fe Southern Pacific	08-22-88	A
Sold	100 shs.	Allegis	02-12-88	B

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THOMAS CASS BALLENGER
867 20th Avenue Drive, NW
Hickory, North Carolina 28601

Form A, Section V, Liabilities:

Identity	Category
Capital deficits in partnerships:	
Cady Associates L.P.-limited partner	D
Other partnership interests less than $10,000-limited partner	C
Federal Fidelity Savings & Loan-mortgage on rental property at 912 4th St. Dr., NW, Hickory, NC	D
Wachovia Bank & Trust-mortgage on rental property at 12th Avenue, NE, Hickory, NC	E
North Carolina National Bank - Note	E
Hill Financial-mortgage on rental property, 450 New Jersey Avenue, Washington, DC	E

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THOMAS CASS BALLENGER
867 20th Avenue Drive, NW
Hickory, North Carolina 28601

Form A, Schedule VII, Reimbursements

Additional Information for Section VII: (Reimbursements)

Source	Brief Description
National Restaurant Association	National Restaurant Association Annual Meeting 05/20/88 through 05/22/88 Speech on labor issues
The Tobacco Institute	Tobacco Institute Annual Meeting 01/14/88 through 01/17/88 Speech at legislative conference
Labor Policy Association	Labor Policy Association Annual Meeting 03/11/88 through 03/12/88 Panel discussion on labor issues

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THOMAS CASS BALLENGER
867 20th Avenue Drive, NW
Hickory, North Carolina 28601

Form A, Section VIII, Position:

Positions	Name of Organization
Chairman of the Board	Plastic Packaging, Inc.
General partner	Balmark Realty
Limited partner	Associated Realty Investors
Limited partner	Associated Apartment Investors
Limited partner	Hunter Publishing Limited
Limited partner	Georgetown Square Group
Limited partner	Southern Inns Associates LTD.
Limited partner	American Real Estate Partners

U.S. House of Representatives
COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT
Washington, DC 20515

February 25, 1987

The Honorable Cass Ballenger
United States House of Representatives
116 Cannon House Office Building
Washington, D. C. 20515

Dear Colleague:

This is in response to your letter of January 16, 1987, requesting the advice of this Committee regarding the propriety of your receipt of certain compensation from Plastic Packaging, Inc. Your correspondence elaborates on information provided in an earlier meeting with Committee Counsel Mark Davis.

You explain that you served as President of Plastic Packaging, Inc., from its founding in 1956 until your election to the House of Representatives. While you remain the majority stockholder and Chairman of the Board, you no longer have day-to-day operating responsibilities. In lieu of the salary previously paid to you, Plastic Packaging, Inc., intends to pay you $20,000 per year for as much as 400 hours of consulting services on implementation of corporate policy decisions. You further explain that Plastics Packaging, Inc., is your principal family investment, and you view it important to remain associated with the business to assure that your investment is protected.

The provision implicated by your inquiry is House Rule XLVII, which limits the amount of outside earned income which a Member of the House of Representatives may receive in a calendar year to 30 percent of the Member's aggregate yearly congressional salary. As provided in the Rule, the term "outside earned income" means "wages, salaries, professional fees, honorariums, and other amounts ... received as compensation for personal services actually rendered." Several exemptions are noted, however. Clause 3(d)(4) of Rules XLVII specifically states that outside earned income does not include--

> in the case of a Member engaged in a trade or business in which the Member or his family holds a controlling interest and in which both personal services and capital are income-producing factors, any amount received

The Honorable Cass Ballenger
February 25, 1987
Page 2

> by such Member so long as the personal services actually rendered by the Member in the trade or business do not generate a significant amount of income.

The Committee is of the opinion that the services you will be performing for Plastic Packaging, Inc., and compensation in the amount specified, fall within the stated exemption. The purpose of the exemption, as stated by the Commission on Administrative Review of the 95th Congress, is to enable Members to protect their interest in a family business. (See, House Document 95-73, p. 11; see also, Advisory Opinion No. 13, House Select Committee on Ethics, 95th Congress.) Further evidence of the fact that your efforts are aimed at preserving your equity, rather than generating a significant amount of income, is the limited time you plan to devote to the business. The Committee also notes that the amount of compensation you will be receiving from the corporation is substantially less than that reported for prior years on the Financial Disclosure Statement which you filed as a candidate.

While this compensation will not be counted as outside earned income for the purposes of the limitation in Rule XLVII, please note that it still must be reported on the Financial Disclosure Statement you are required to file as a Member of the House of Representatives by May 15th of each year. For purposes of clarity, we suggest that you note on the Statement that this income is from a family business and exempt from application of Rule XLVII.

If you have any further questions concerning this matter, please contact the Committee staff at extension 5-7103.

Sincerely,

Julian C. Dixon
Chairman

Floyd D. Spence
Ranking Minority Member

JS:MJD

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

D. DOUGLAS BARNARD, JR.
(Full Name)

2227 RAYBURN HOUSE OFFICE BLDG.
(Mailing Address)

WASHINGTON, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 10th State GA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X ____ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Continuation sheet			
		$42,460.	$15,610.
Honoraria			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Spouse (Social Security & retirement)		D
Office of Personnel Management	refund	C
Congressional Federal Credit Union	interest	A
U. S. Treasury - IRS	interest	A
First Union National Bank (wife)	interest	A
First Union National Bank	interest	A
Liquid Capital Income, Inc.	interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _X_ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Liquid Capital Income (Shearson-Lehman)	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sold: Liquid Capital Income Inc. (Shearson-Lehman)	3/23/88	B
"	6/28/88	B
"	9/1/88	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

[illegible] 3 3 9

VII. REIMBURSEMENTS

ENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT r FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the inividual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the ature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, required rather than exact dollar figures. IF NONE, SO STATE.

XCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

r more information, see detailed Instruction Booklet at page 12.

e source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
(See Continuation Sheet)	

VIII. POSITIONS

ENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, irector, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnerhip, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other nstitution. IF NONE, SO STATE.

XCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board Member	National Science Center Foundation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Doug Barnard Jr	May 4, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

D.DOUGLAS BARNARD, JR.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II	INCOME - HONORARIA			
	1/25/88		Society of Real Estate Appraisers	$ 1,500
	2/1/88		Monument Builders of North America	1,000
	2/8/88		Executive Enterprises	500
	2/8/88		Univ. of California at Berkley	1,960
	2/10/88		Nat'l Assn of Bank Women	500
	2/15/88		Lender's Services, Inc.	2,000
	2/29/88		Executive Enterprises	500
	3/2/88		Nat'l Assn. of Credit Managers	2,000
	3/9/88		Nat'l Assn. of Federal Credit Unions	500
	3/17/88	*	Nat'l Assn of Independent Fee Appraisers	1,250
	3/21/88		Nat'l Assn of Retail Managers	1,500
	4/13/88		Coalition of Regional Banks	500
	4/18/88		American Medical Assn	2,000
	4/18/88	*	Nat'l Assn of Independent Fee Appraisers	1,500
	4/26/88	*	Nat'l Assn of Independent Fee Appraisers	2,000
	5/2/88		Society of Real Estate Appraisers	1,750
	5/26/88		American Institute of Fee Appraisers	1,750
	5/26/88		Kirkpatrick & Lockhart	750
	6/8/88		Federal National Mortgage Assn.	2,000
	6/8/88		Assn. of Bank Holding Companies	2,000
	6/8/88		American Financial Services	1,000
	6/14/88		Security Pacific Bank	1,000
	6/21/88		American Assn of Equipment Lessors	1,000
	6/23/88		Prudential-Bache	2,000
	6/28/88		New York State Bankers Assn.	1,000
	7/20/88		Secura Group	2,000
	7/20/88		Nat'l Society of Real Estate Appraisers	1,000
	8/9/88		Columbus Bank & Trust Bankshares	2,000
	11/9/88		American Financial Services Council	2,000
	11/11/88		American Financial Services Assn.	2,000
	HONORARIA - DISPOSITION			
	3/22/88		Jane Hamm Memorial Fund	135
	4/28/88		Walton Academy	500
	6/15/88		Federal Chapter of Ducks Unlimited	200
	6/22/88		Madison-Morgan County Cultural Center	2,000
	6/28/88		Saint Antoine's Restaurant	100
	7/5/88		Augusta YWCA Women	250
	7/5/88		Doug Barnard Foundation	2,000
	7/16/88		First Baptist Church	100
	9/25/88		First Baptist Church	100
	12/4/88		First Baptist Church	1,000
	12/17/88		United Negro College Fund	500
	12/19/88		University of Georgia Fund	2,500
	12/23/88		Mercer University	1,000
	12/23/88		Augusta College	1,000
	12/23/88		Campus Crusade for Christ	100
	12/23/88		University Health Care Fund	1,000
	12/23/88		YWCA	500
	12/24/88		First Baptist Church	2,000
	1/31/88		Doug Barnard Foundation	625
		*	Philadelphia	
		*	Chicago	
		*	Dallas	

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Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

D. DOUGLAS BARNARD, JR.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
VII	REIMBURSEMENTS	
	Monument Builders of North America	airfare/lodging
	Lenders Services, Inc.	"
	Nat'l Assn of Independent Fee Appraisers	"
	American Medical Assn	"
	Nat'l Assn of Independent Fee Appraisers, Inc	"
	Nat'l Assn of Retail Managers	"
	American Institute of Fee Appraisers	"
	Nat'l Assn of Independent Fee Appraisers, Inc.	"
	Boston Univ's Banking Law Studies	"
	Florida Bankers Assn	"
	Society of Real Estate Appraisers	"
	Prudential-Bache	"
	Security Pacific Bank	"
	D.C.Bankers Assn	"
	Nat'l Assn of Real Estate Appraisers	"
	Gwinnett Cty Homebuilders	"
	American Financial Services Council	"
	Garn Institute Conference	"
	American Society of Appraisals	"

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

D. DOUGLAS BARNARD, JR.
(Full Name)

2227 RAYBURN HOUSE OFFICE BUILDING
(Mailing Address)

WASHINGTON, D.C. 20515

✓ MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

XX Member of the U.S. House of Representatives—District 10th State GA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Continuation sheet			
Honoraria		$42,460.	$15,610.

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Spouse	(Social Security & retirement)	D
Office of Personnel Management	refund	C
Congressional Federal Credit Union	interest	A
U. S. Treasury - IRS	interest	A
First Union National Bank (wife)	interest	A
First Union National Bank	interest	A
Liquid Capital Income, Inc.	interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Liquid Capital Income (Shearson-Lehman)	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sold: Liquid Capital Income Inc. (Shearson-Lehman)	3/23/88	B
"	6/28/88	B
"	9/1/88	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value *of all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
(See continuation Sheet)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board Member	National Science Center Foundation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Doug Barnard Jr	5/24/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989

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Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

D.DOUGLAS BARNARD, JR.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II	INCOME - HONORARIA			
	1/25/88		Society of Real Estate Appraisers	$ 1,500
	2/1/88		Monument Builders of North America	1,000
	2/8/88		Executive Enterprises	500
	2/8/88		Univ. of California at Berkley	1,960
	2/10/88		Nat'l Assn of Bank Women	500
	2/15/88		Lender's Services, Inc.	2,000
	2/29/88		Executive Enterprises	500
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	3/9/88		Nat'l Assn. of Federal Credit Unions	500
	3/17/88	*	Nat'l Assn of Independent Fee Appraisers	1,250
	3/21/88		Nat'l Assn of Retail Managers	1,500
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	4/18/88		American Medical Assn	2,000
	4/18/88	*	Nat'l Assn of Independent Fee Appraisers	1,500
	4/26/88	*	Nat'l Assn of Independent Fee Appraisers	2,000
	5/2/88		Society of Real Estate Appraisers	1,750
	5/26/88		American Institute of Fee Appraisers	1,750
	5/26/88		Kirkpatrick & Lockhart	750
	6/8/88		Federal National Mortgage Assn.	2,000
	6/8/88		Assn. of Bank Holding Companies	2,000
	6/8/88		American Financial Services	1,000
	6/14/88		Security Pacific Bank	1,000
	6/21/88		American Assn of Equipment Lessors	1,000
	6/23/88		Prudential-Bache	2,000
	6/28/88		New York State Bankers Assn.	1,000
	7/20/88		Secura Group	2,000
	7/20/88		Nat'l Society of Real Estate Appraisers	1,000
	8/9/88		Columbus Bank & Trust Bankshares	2,000
	11/9/88		American Financial Services Council	2,000
	11/11/88		American Financial Services Assn.	2,000
	HONORARIA - DISPOSITION			
	3/22/88		Jane Hamm Memorial Fund	135
	4/28/88		Walton Academy	500
	6/15/88		Federal Chapter of Ducks Unlimited	200
	6/22/88		Madison-Morgan County Cultural Center	2,000
	6/28/88		Saint Antoine's Restaurant	100
	7/5/88		Augusta YWCA Women	250
	7/5/88		Doug Barnard Foundation	2,000
	7/16/88		First Baptist Church	100
	9/25/88		First Baptist Church	100
	12/4/88		First Baptist Church	1,000
	12/17/88		United Negro College Fund	500
	12/19/88		University of Georgia Fund	2,500
	12/23/88		Mercer University	1,000
	12/23/88		Augusta College	1,000
	12/23/88		Campus Crusade for Christ	100
	12/23/88		University Health Care Fund \	1,000
	12/23/88		YMCA	500
	12/24/88		First Baptist Church	2,000
	1/31/88		Doug Barnard Foundation	625

* Philadelphia
* Chicago
* Dallas

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Page 2 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

DOUG BARNARD, JR.
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
VII	REIMBURSEMENTS
1/31-2/1	Monument Builders of North America, airfare Augusta/Nashville/DC and 1 nite lodging/food
2/10-11	Lenders Services, Inc. airfare DC/Naples/Atl and 1 nite lodging/food
2/28-29	Nat'l Assn of Independent Fee Appraisers airfare Augusta/Philadelphia/Augusta & 1 nite lodging/food
2/17-19	American Medical Assn (accompanied by spouse) airfare DC/Boca Raton/Augusta & 2 nites lodging/food
3/27-28	Nat'l Assn of Independent Fee Appraisers, Inc. airfare Augusta/Chicago/DC & 1 nite lodging/food
3/6-3/8	Nat'l Assn of Retail Managers airfare Augusta/Palm Springs/Atl & 2 nites lodging/food
4/6-7	American Institute of Fee Appraisers airfare DC/Anaheim/Augusta & 1 nite lodging/food
4/14-15	Nat'l Assn of Independent Fee Appraisers, Inc. airfare DC/Dallas/Augusta & 1 nite lodging/food
4/18-19	Boston Univ's Banking Law Studies airfare DC/Boston/DC & 1 nite lodging/food
4/21-24	Florida Bankers Assn airfare DC/Naples/DC & 3 nites lodging/food
4/30-5/1	Society of Real Estate Appraisers airfare Atlanta/Monterey/Augusta & 1 nite lodging/food
5/20-22	Prudential-Bache (with spouse) (no airfare) 2 nites lodging/food in Kiawah Island
6/3-4	Security Pacific Bank airfare Atl/Savannah/Augusta & 1 nite lodging/food
6/16-6/17	D.C. Bankers Assn airfare Augusta/Hot Springs/Aug & 1 nite lodging/food
7/30	Nat'l Assn of Real Estate Appraisers airfare DC/St. Louis/Augusta
6/21-22	Gwinnett Cty Homebuilders airfare DC/Atl/DC & 1 nite lodging/food
10/26-27	American Financial Services Council airfare Atl/NYC/Atl & 1 nite lodging/food
11/13-15	Garn Institute Conference airfare Augusta/Key Largo & 2 nites lodging/food
11/15-17	American Society of Appraisals airfare Key Largo/St.Thomas/Atl & 2 nites lodging/food

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MAY 15 1989

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

HARRY STEPHEN BARTLETT
(Full Name)
1113 LONGWORTH HOUSE OFFICE BUILDING
(Mailing Address)
WASHINGTON, D.C. 20515

MC
(Office Use Only)

1989 MAY 18 AM 8 44
CLERK
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3rd State Texas

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,008, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT–2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Meridian Products Corporation Salary & Auto use		$26,836.	
Meridian Products Corporation Spouse Salary as Corporate Secretary		$ 9,104.	
Meridian Products Corporation-per letter from Committee of January 12, 1983 Recurring	unearned commissions	$14,749.	

(CONTINUATION ATTACHED II.A INCOME)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
NCNB Dallas, trustee for trust with spouse as beneficiary	Dividends, Interest	D
Meridian Products Corporation	Dividends	D
Bright Banc Money Market Fund	Interest	A
Cooper Coker Associates	Capital Gains, Interest	D
A.G. Edwards account (money market)	Interest	A

(CONTINUATION ATTACHED II.B INCOME)

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __XX__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Ownership in Meridian Products Corporation	F
Ltd. Partnership Interests in Texas Ltd. Partnership with Cambridge Companies & Charles J. Wilson as General Partners: Aggregate Value (see attached schedule)	C
25% interest in Stemmons-Valwood Joint Venture (owns a 14,000 square foot warehouse in Dallas County)	C

(CONTINUATION ATTACHED III HOLDINGS)

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of A.G. Edwards Zero Coupon Bonds (US	2-10-88	B
Treasury)		
Transfer of A.G. Edwards money market account	4-18-88	A
to National Money Market Account		

(CONTINUATION ATTACHED IV TRANSACTIONS

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Texas Commerce Bank-Dallas- Mortgage on 12240 Montego Plaza	E
Commodore Financial Corp.- Mortgage on 12240 Montego Plaza	
a townhouse held for rental purposes (paid on refinance	E
thru Texas Commerce Bank 2/12/88)	
Texas Commerce Bank-Dallas-personal, business loan	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Labor Policy Association (speech)	Roundtrip trainfare Washington/ Williamsburg/Washington; 3 days food/lodging. (Spouse also) 3/11/88-3/13/88

(CONTINUATION ATTACHED VII REIMBURSEMENTS)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Chairman of Board	Meridian Products Corporation
Corporate Secretary Spouse	Meridian Products Corporation
Limited Partner	Various limited partnerships disclosed herein

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
12/17/82	Meridian Products Corporation	Service as Chairman of Board
12/17/82	Meridian Products Corporation	Spouse service as Corporate Secretary
12/17/82 (amended in 1983)	Meridian Products Corporation	Continuation of life insurance policies with Steve Bartlett

(CONTINUATION ATTACHED IX AGREEMENTS)

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

HARRY STEPHEN BARTLETT
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
INCOME			
II.A	Dr. Ed Crow Miller, M.D.	Spouse Salary for Temporary Wages	$2,250.
II.B	T. Rowe Price High Yield Funds	Dividends	A
II.B	Carlyle R.E. LTD. Partnership XIII	Interest/Capital Gains	A
II.B	T. Rowe Price New Income Fund	Interest	B
II.B	T. Rowe Price New Horizon Fund	Dividends	A
II.B	Jim Foxx	Principal & Interest on note	E
II.B	Raymond Grubb	Principal & Interest on note	B
II.B	Rental income from Fred Stead on rental of 12240 Montego Plaza townhouse		D
II.B	T. Rowe Price Growth & Income	Dividends	A
II.B	Congressional Federal Credit Union	Interest	A
II.B	National Money Market Fund	Dividends	A
II.B	Pepsico Stock	Dividends	A
II.B	The Zweig Fund	Dividends	A
II.B	Tyler Corporation Stock	Dividends	A
HOLDINGS			
III	T. Rowe Price New Income Mutual Fund (closed 10-27-88		
III	Interest in Carlyle Real Estate Ltd. Partnership-XIII		B
III	Ltd. Partnership Interest in Arts & Antiques Ltd. Partnership		C
III	Bright Banc Money Market		B
III	T. Rowe Price New Horizon Mutual Fund		A
III	T. Rowe Price High Yield Mutual Fund		A
III	A. G. Edwards Money Market Fund		A
III	T. Rowe Price Growth-Income		A
III	Schedule of holdings for Limited Partnerships on page 2:		
	Cambridge Texas Partnerships:		
	Rockwall South Associates	B	C
	I-45 Pleasant Run Associates	A	
	Cooper-Coker Associates	B	
	Webb Rhodes Associates	A	
III	Promissory note from Jim Foxx, in connection with the sale of stock in 1985 of Meridian Products		C
III	Promissory note from Raymond Grubb, in connection with the sale of stock in 1985 of Meridian Product		A
III	500 Shares of Zweig, Inc., publicly traded mutual fund		B
III	7½% interest in Covant Brothers, Inc. a privately held company operating 2 restaurantsin Richardson, Texas and Dallas, Texas		C
III	12240 Montego Plaza, formerly family residence, now held as rental property		E
III	A.G. Edwards Zero Coupon Bonds (US Treasury)		(Sold 2-10-88)
III	1/16th Interest in 4748 Algiers Triplex Joint Venture. Office/warehouse at 4748 Algiers, Dallas, Texas		B

(CONTINUATION OF HOLDINGS ON 2 of 3)

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Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

HARRY STEPHEN BARTLETT
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
HOLDINGS			
III	Spouse is 50% beneficiary of trust held by NCNB, Dallas containing the following holdings:		
	General Investment Trust A Fund, represents 50% Interest		C
	General Investment Trust D Fund, represents 50% Interest		C
	General Investment Trust F Fund, represents 50% Interest		C
III	National Money Market Fund		A
III	200 shares, Pepsico		B
III	700 shares, Tyler Corporation		B
TRANSACTIONS			
IV	Purchase of 200 shares, Pepsico, 5/24/88		B
IV	Purchase of 700 shares, Tyler Corporation, 8/26/88		B
IV	T. Rowe Price New Income		
	-Sale of shares,	3-10-88	A
	-Purchase of shares,	7-8-88	A
	-Exchange of shares from New Income to T.Rowe Price High Yield	9-13-88	A
	-Sale of shares	10-27-88	A
IV	T. Rowe Price High Yield		
	-Purchase of shares	9-13-88	A
	-Sale of shares	12-9-88	A
IV	T. Rowe Price New Horizons		
	-Sale of shares	12-9-88	A
IV	T. Rowe Price Growth & Income		
	-Sale of shares	3-3-88	A
	-Sale of shares	12-9-88	A
REIMBURSEMENTS			
VII	Autranet 1988 Spring Meeting (speech , conference participant)	Roundtrip airfare Washington/Denver; 4 days food/ lodging(Spouse also) 3/17/88-3/20/88	
VII	Congressional Institute, Inc. (House GOP Conference seminar participant)	Roundtrip airfare Washington/Houston; 3 days food/ lodging(Spouse also) 3/24/88-3/26/88	
VII	Texas Farm Bureau (fact finding tour of West Texas Feed Lots)	Transportation (plane); 1 day 4/8/88	
AGREEMENTS			
IX	12/17/84	Meridian Products Corporation; payment of recurring commissions on sales to customers produced by Member, prior to service in Congress.	
IX	2/28/84	Meridian Products Corporation; profit-sharing plan-contributions made by Meridian on behalf of all eligible employees, including member as employee of Meridian.	

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Joe Linus Barton
(Full Name)

1225 Longworth H.O.B.
(Mailing Address)

Washington D.C. 20515

MC (Office Use Only)

MAY 15 5:47

Check the appropriate box and fill in the blanks.

☐ Member of the U.S. House of Representatives—District 6 State Texas

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __x__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
1) Electronic Industries Association (1-4-88	Honoraria	1,000	
2) U.S. Telephone Association (1-26-88)	Honoraria	1,000	
3) National Association of Broadcasters (4-21-88)	Honoraria	1,500	
4) National Cable Television Association (5-20-88)	Honoraria	1,500	
5) Association of American Railroads (6-29-88)	Honoraria	500	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
1) Ennis Business Forms (stock)	dividends	A
2) ARCO (stock)	dividends	A
3) First City Bank in Ft. Worth	interest	B
4) Fidelity National Bank in Dallas	interest	A
5) NCNB	interest	A
6) Texas Utilities (stock)	dividends	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
1) Ennis Business Forms	C
2) ARCO	B
3) Texas Utilities	A
4) Walmart	A

[illegible] 2 1 7 3

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
none		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
none	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a **SPEAKING ENGAGEMENT** or **FACT-FINDING EVENT** related to official duties, whether those expenses were **REIMBURSED** to the individual or **PAID DIRECTLY** by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
1) Electronic Industries Assc.	Airline, meals,lodging - 3 days for Con and wife
2) U.S. Telephone Assc.	Airline, meals, and lodging - 2 days fo Congressman and wife
3) National Assc. of Broadcasters	Airline, meals and lodging - 2 days for Congressman.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
none	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
none		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Joe Barton	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Joe Barton
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II. Income	
	A. 6) W.R. Grace and Company (7/29/88) Honoraia 500.00
	7) Permian Basin Petroleum Company Assoc. (8/19/88) Honoraia 400.00
	8) Phillip Morris Management Co. (9/23/88) Honoraia 1000.00
	9) Amusement & Music Operators Assoc. Second Prize Award 300.00
	note: given directly to Conroe Salvation Army
	B. 7) Walmart (stock) dividends category A
VII. Reimbursements	
	4) National Cable Television Assoc. Airline, meals, lodging - 1 day for Congressman
	5) Permian Basin Petroleum Assoc. Airline for Congressman and son, acting as aide. Meals and lodging for 1 day for Congressman
	6) George Bush for President Campaign Airline and 1 meal for Congressma for 1 day (daytrip)
	7) Congressional Institute (Retreat for Members of Congress) Airline for Congressman; Meals and lodging for Congressman, wife and three children for 3 days.

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

OFFICE OF RECORDS & REGISTRATION
1989 JUN -8 AM 9 20
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Herbert Harvell Bateman
(Full Name)

1230 Longworth HOB
(Mailing Address)

Washington, D. C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1st State VA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Trust under will of Louis Kaiser & Trusts of Ione Kaiser	Salary to spouse		
Trust under will of Louis Kaiser & Trusts of Ione Kaiser	Trustee commissions	$25,132.50*	
(See continuation sheet)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
1. Tom and Marian Lewis	Interest mortgage	B
2. Herbert H. Bateman, Jr.	Interest	B
3. Don's A/C Refrigerator Service (Rental Item III-2)	Rental	C
4. Frederick & Isabelle Whittington (Rental Item III-2)	Past due rental	B
5. Patricia Summers (Rental item III-3)	Rental garage space	A
6. Ira D. Davis (Re. sale of Item IV)	Income from sale of asset	B
(See continuation sheet)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
1. Legg Mason Value Trust	C
2. 7/10th acre commercial prop. Aberdeen Rd., Hampton, Va.	F
3. Parking Space, Horizon House, Arlington, Va.	B
4. Templeton World Fund, Mutual Fund Retirement Acct's.	D
5. Templeton World Fund, Mutual Fund Retirement—Spouse	B
6. Newport News Saving Bank Stock	C
(See continuation sheet)	

*Includes $9,001.50 attributable to 1988—remaining amount is for commissions for prior years not received until 1988.

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Templeton World Fund (Purchases for retirement accts)	Various dates 1988	B
Sales of 1981 Ford automobile	12/88	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

39070292434

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
*Tobacco Institute, Legis. Conf. Palm Springs, Calif	Transp., food, lodging
**Babcock & Wilcox Corp. Planning Conf. Smith Mtn Lake, Va.	Transp., food, lodging
*Shipbuilders Council of Amer. Cong. Seminar Greenbrier, White Sulphur Springs, W. Va.	Travel, food, lodging
*Congressional Golf & Tennis Charity Tournaments White Sulphur Springs, W. Va.	Travel, food, lodging

*Member and Spouse
**Member only

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Co-trustee	Trust under will of Louis Kaiser and trusts of Ione Kaiser

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	June 8, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Herbert H. Bateman
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II A	Honoraria—	AMOUNT	DISPOSITION
	Tobacco Institute 1/12/88	$1,000	Charity
	Babcock & Wilcox 4/20/88	2,000	Charity
	Brookings Institution 5/18/88	300	Charity
	Shipbuilders Council 9/10/88	1,000	Charity
	Brookings Institution 10/2/88	500	Charity
II B	UNEARNED INCOME	TYPE	CATEGORY
	7. Legg Mason Value Trust (Mutual Fund)	Dividends	A
	8. Newport News Savings Bank (Stock)	Dividends	A
	9. Templeton World Fund (Mutual Fund)	Div. & Cap. Gains	D
	10. Equitable Life Assur. Soc. of US— gross income based on conversion of insur. policies	Interest	C
III	HOLDINGS		CATEGORY
	7. Chesapeake Bank Corp. Stock		A
	8. Smithfield Foods Stock		A
	9. Tom & Marian Lewis—mortgage secured note		C

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

JIM BATES
(Full Name)

3246 Quesada Street, N.W.
(Mailing Address)

Washington, D. C. 20015

MC (Office Use Only)

89 MAY 15 AM 9 13

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 44 State CA

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,036, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1987 LIMIT FOR MEMBERS IS $25,884.90 for incumbent Members and $23,878.49 for Members first taking office in January 1987. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Chicago Mercantile 2/08/88	Honorarium	$ 500	None
Chicago Bd of Trade 2/08/88	"	$ 500	"
American College of OB/GYN 2/26/88	"	$ 721	"
American /Arab Antidiscrimination 3/11/88	"	$1000	"
Nat'l Assn. of Broadcasters 4/10/88	"	$1500	"

(see continuation sheet)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1987. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Income - Rent for 2224 Sunset Blvd. San Diego, CA 92103	Rental	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1987, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Personal residence in the District of Columbia	F
3246 Quesada Street, N.W. Washington, D. C. 20015	
Personal residence in San Diego, California	D
850 State Street, San Diego, California 92101	
Residence as rental income in San Diego, California	F
2224 Sunset Blvd., San Diego, California 92103	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1987, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE	N/A	N/A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1987, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Cash Reserve, Bank of Commerce, San Diego, CA 92103	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1987.

SOURCE	BRIEF DESCRIPTION
Democratic Congressional Campaign Committee	2 Dinner Tickets
74th Annual White House Correspondence Dinner (Copley News Service)	1 Dinner Ticket
Del Mar Turf Club, Del Mar, CA	1 Season Ticket
Assn. of Pakistani Physicians of North America Registered: 501C-3 (Non-Profit Organ.)	Airfare Washington, D. C to Pakistan - Roundtrip 5-nites lodging/Meals

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1987.

SOURCE	BRIEF DESCRIPTION	VALUE

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Chicago Mercantile	Airfare DC-Il-DC, 1-day Meals
Honda	Airfare DC-OH-DC, 1-day Meals
Nat'l Assn. of Broadcasters	Airfare DC-NV-DC, 1-day Meals
Nat'l Restaurant Association	Airfare DC-IL-DC, 1-day Lodge/Meals
Electronic Industries Association	Airfare DC-Il-DC, 1-day Meals

(see continuation sheet)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
X	May 11, 1989

IM BATES, M.C.

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Congressman Jim Bates
(Name)

Continuation Sheet

Part II Income

Source, Type, Amount, Category, Description or Value (As Applicable)

Date	Source	Type	Amount	Disposition
4/29/88	NATOA	Hon.	$ 500.00	None
4/29/88	SD Biotech Conf	"	$ 750.00	"
4/30/88	National Cable TV	"	$1500.00	"
5/21/88	National Restaurant Assn.	"	$1000.00	"
6/05/88	Electronics Industry	"	$1000.00	"
6/10/88	Ross University	"	$1000.00	"
6/24/88	American College of International Physicians		$1000.00	"
6/25/88	American Assn. of Physicians India		$1000.00	"
6/25/88	Assn. of Pakistani Phys.	"	$1000.00	"
6/28/88	St. George University	"	$1000.00	"
7/08/88	Islamic Medical Assn. Of America	"	$1000.00	"
7/30/88	ADC -New Mexico Chapter	"	$1000.00	"
8/07/88	Miami Medical Student	"	$ 500.00	"
8/06/88	Assn. of Pakistani Physicians of North America	"	$1000.00	"
10/03/88	Fiber, Fabric & Apparel Coalition	"	$1000.00	"
10/19/88	National Restaurant Assn.	"	$ 750.00	"
12/01/88	Pathologists - San Diego,CA	"	$ 500.00	"
12/08/88	Western Cable TV-CATV	"	$1000.00	"
12/13/88	CSX Transportation, Inc.	"	$ 750.00	"

Part VI	Reimbursements			
4/30/88	National Cable TV	Airfare	SD-LA-SD, CA	
6/05/88	Electronic Ind.	"	DC-Il-DC	
6/10/88	Ross University	"	DC-NY-DC	
6/24/88	American College of Int'l Physicians	"	DC-Il	1-Meal
6/25/88	American Assn. of Physicians of India	"	Il-SF-	1-day Lodge/Meals
6/25/88	Assn. of Pakistani Physicians		SF-NY-DC	1-Meal
6/28/88	St. George's University	"	DC-NY-DC	
7/08/88	Islamic Med. Assn. of North America		DC-Anaheim, CA	2-day Lodge/Meals
7/30/88	ADC-New Mexico Chapter	"	San Diego, CA to NM Rountrip	
8/07/88	Miami Medical Student Coalition		DC-FL-DC 1-day Meals	
12/7-9/88	Western Cable (CATV)	AmTrak	1-Way SD to Los Angeles	

GPO: [illegible]

89070291150

JIM BATES
44th DISTRICT, CALIFORNIA

COMMITTEE ON ENERGY
AND COMMERCE

COMMITTEE ON HOUSE
ADMINISTRATION

CHAIRMAN
SUBCOMMITTEE ON
PROCUREMENT AND PRINTING

Congress of the United States
House of Representatives

PLEASE REPLY TO:

224 CANNON BUILDING
WASHINGTON, D.C. 20515
(202) 225-5452

MARKETPLACE AT THE GROVE
3900 COLLEGE AVENUE, #220
SAN DIEGO, CA 92115
(619) 287-8851

430 DAVIDSON STREET, SUITE A
CHULA VISTA, CA 92010
(619) 691-1166

HAND DELIVERED

May 19, 1989

The Honorable Donnald K. Anderson
Clerk
U.S. House of Representatives
1026 Longworth House Office Building
Washington, D. C. 20515

✓
MC

Dear Donnald:

This is to inform you that I wish to amend my personal financial disclosure filed May 15, 1989.

The disclosure is amended as follows:

Section VI. GIFTS

Source: REMOVED: Assn. of Pakistani Physicians of North America. Registered: 501C-3 (Non Profit Organ.)

Airfare - Washington, D. C. to Pakistan Roundtrip. 5-Nites Lodging/Meals

Section VII Reimbursements

Source: ADDED: Assn. of Pakistani Physicians of North America. Registered: 501C-3 (Non Profit Organ.)

Airfare Washington, D. C. to Pakistan Roundtrip. 5-Nites Lodging/Meals

Thank you for your attention to these changes.

Sincerely,

JIM BATES
Member of Congress

JB:lp
Attachments

UNITED STATES HOUSE OF REPRESENTATIVES
Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

JIM BATES
(Full Name)

3246 Quesada Street, N.W.
(Mailing Address)

Washington, D. C. 20015

(Office Use Only)

MAY 23 [illegible] 45

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 44 State CA

☐ Officer or Employee—Employing Office ________________

☒ Check if amended Statement.

GENERAL INFORMATION

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- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

Amended · 8 9 0 7 1 2 9 1 4 5 2

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1987, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None	N/A	N/A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1987, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Cash Reserve, Bank of Commerce, San Diego, CA 92103	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. *The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1987.*

SOURCE	BRIEF DESCRIPTION
Democratic Congressional Campaign Committee	2 Dinner Tickets
74th Annual White House Correspondence Dinner (Copley News Service)	1 Dinner Ticket
Del Mar Turf Club, Del Mar, CA	1 Season Ticket

B. The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1987.

SOURCE	BRIEF DESCRIPTION	VALUE

8 9 0 7 0 2 9 1 4 5 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Congressman Jim Bates
(Name)

Continuation Sheet

AMENDED

Part II Income

Source, Type, Amount, Category, Description or Value (As Applicable)

Date	Source	Type	Amount	Disposition
4/29/88	NATOA	Hon.	$ 500.00	None
4/29/88	SD Biotech Conf	"	$ 750.00	"
4/30/88	National Cable TV	"	$1500.00	"
5/21/88	National Restaurant Assn.	"	$1000.00	"
6/05/88	Electronics Industry	"	$1000.00	"
6/10/88	Ross University	"	$1000.00	"
6/24/88	American College of International Physicians		$1000.00	"
6/25/88	American Assn. of Physicians India		$1000.00	"
6/25/88	Assn. of Pakistani Phys.	"	$1000.00	"
6/28/88	St. George University	"	$1000.00	"
7/08/88	Islamic Medical Assn. Of America	"	$1000.00	"
7/30/88	ADC -New Mexico Chapter	"	$1000.00	"
8/07/88	Miami Medical Student	"	$ 500.00	"
8/06/88	Assn. of Pakistani Physicians of North America	"	$1000.00	"
10/03/88	Fiber, Fabric & Apparel Coalition	"	$1000.00	"
10/19/88	National Restaurant Assn.	"	$ 750.00	"
12/01/88	Pathologists - San Diego,CA	"	$ 500.00	"
12/08/88	Western Cable TV-CATV	"	$1000.00	"
12/13/88	CSX Transportation, Inc.	"	$ 750.00	"

Part VII	Reimbursements			
4/30/88	National Cable TV	Airfare	SD-LA-SD, CA	
6/05/88	Electronic Ind.	"	DC-Il-DC	
6/10/88	Ross University	"	DC-NY-DC	
6/24/88	American College of Int'l Physicians	"	DC-Il	1-Meal
6/25/88	American Assn. of Physicians of India	"	Il-SF-	1-day Lodge/Meals
6/25/88	Assn. of Pakistani Physicians		SF-NY-DC	1-Meal
6/28/88	St. George's University	"	DC-NY-DC	
7/08/88	Islamic Med. Assn. of North America		DC-Anaheim, CA	2-day Lodge/Meals
7/30/88	ADC-New Mexico Chapter	"	San Diego, CA to NM Rountrip	
8/07/88	Miami Medical Student Coalition		DC-FL-DC	1-day Meals
12/7-9/88	Western Cable (CATV)	AmTrak	1-Way SD to Los Angeles	
12/15/88	Assn. of Pakistani Physicians of No. America		Airfare Washington, D. C to Pakistan Roundtrip 5-Nites Lodging/Meals	

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Anthony C. Beilenson
(Full Name)

1025 Longworth House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 23rd State California

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,036, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed, identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Legislators' Retirement System (California)	Pension	$9,455	
Honoraria	Honoraria	None	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
(SEE CONTINUATION SHEET)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
(SEE CONTINUATION SHEET)	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
(SEE CONTINUATION SHEET)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 10, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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Page 1 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Anthony C. Beilenson, M.C.
Name

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
II-B	(UNEARNED INCOME)	
	Chevy Chase Savings & Loan	Interest A
	Congressional Federal Credit Union	Interest A
	Standard Federal Savings & Loan	Interest D
	Peter Pauper Press	Interest B
	Cash Equivalent Fund	Interest C
	Maryland Federal Savings & Loan	Interest D
	Columbia First Federal	Interest B
	Middletown, Ohio (municipal bond)	Interest A
	Wickliffe, Ky. (municipal bond)	Interest A
	Sacramento County (municipal bond)	Interest C
	Lorillard, Inc. (corporate bond)	Interest A
	Yellow Aster Venture (limited partnership)	Interest B
	National Gypsum (bond)	Interest B
	Campbell Soup	Dividends A
	Chyron Corp.	Dividends A
	Coeur d'Alene	Dividends A
	Equitable of Iowa	Dividends A
	Hamilton Oil	Dividends A
	Kellogg Co.	Dividends A
	Minnesota P & L	Dividends B
	Newmont Mining Corp.	Dividends A
	Placer Dome	Dividends A
	SmithKline Beckman Corp.	Dividends A
	Triton Energy Corp.	Dividends A

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Page 2 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Anthony C. Beilenson, M.C.
Name

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III	(HOLDINGS)	
	Chevy Chase Savings & Loan	B
	Congressional Federal Credit Union	A
	Standard Federal Savings & Loan	E
	Cash Equivalent Fund	C
	Middletown, Ohio (municipal bond)	B
	Maryland Federal Savings & Loan	E
	Columbia First Federal	C
	Wickliffe, Ky. (municipal bond)	A
	Sacramento County (municipal bond)	C
	Lorillard, Inc. (corporate bond)	A
	Comcast Cablevision of MD (limited partnership) (sold 2/88)	E
	Yellow Aster Venture (limited partnership)	C
	National Gypsum (bond)	C
	State of Israel (bond)	A
	Peter Pauper Press (family business)	C
	COMMON STOCKS:	
	Applied Data, Inc.	A
	Atlas Consolidated Mining	B
	Baxter International	C
	Campbell Soup	C
	Chyron Corp.	B
	Coeur d'Alene Mines	C
	Equitable Bank of Iowa (sold 7/88)	C
	General Development Corp. (sold 12/88)	C
	Hamilton Oil	C
	Intelligent Systems (sold 12/88)	A
	Kellogg Co. (sold 7/88)	C
	Magma Copper (sold 12/88)	A
	Minnesota P & L	C
	Mylan Labs	C
	Newmont Mining Corp.	C
	Placer Dome (sold 12/88)	C
	SmithKline Beckman Corp.	C
	Tejas Corp.	A
	Triton Energy Corp.	C
	Western Microwave	A

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Page 3 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Anthony C. Beilenson, M.C.
Name

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
IV	(TRANSACTIONS)		
	Baxter International	Acquired 10/88	C
	Campbell Soup	Acquired 4/88	C
	Coeur d'Alene	Sold 12/88	B
	Comcast Cablevision of MD	Sold 2/88	E
	Equitable of Iowa	Sold 7/88	C
	General Development Corp.	Sold 12/88	C
	Intelligent Systems	Sold 12/88	A
	Kellogg Co.	Sold 7/88	C
	Magma Copper	Sold 12/88	A
	Mylan Labs	Acquired 10/88	C
	Placer Dome	Sold 12/88	C
	State of Israel (bond)	Acquired 12/88	A
	Tejas Corp.	Acquired 12/88	A

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

89 MAR 22 AM 7:43

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Charles Edward Bennett
(Full Name)

2107 Rayburn Bldg.
(Mailing Address)
Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3 State Florida

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT–2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Auditors Assn.	Speech	$2000	Charity(U.S treasury)
Maxwell Co.	"	1000	"
Naval Institute	"	120	"
Atlantic Monthly Magazine	article	2000	Charity
Since each of the above were given			

to charity, the $2000 limit is not applicable

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See attached List		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired.** IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See attached list	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
As described in 1 st year's return I allow the Gillette Co. to use my dividends to purchase said stock for me as shown by attachment .My wife allows her broker to assist in purchases and sales.The only stock I own myself is Gillette		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether these expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	None

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Charles E. Bennett	March 20, [illegible]

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

II INCOME:

a. 9 3 7 1 2 7 3

Source	Type	Category
Congressional Fed. Credit Union	CD	E
Central Fidelity Bank	"	D
Gillette sales rights	sales rights	C
"	dividends	B
Allied Signal	"	A
EG&G	"	"
Eastman Kodak	"	"
Gen. Mills	"	"
Henly Mfg	"	"
IBM	"	"
Kimberly Clark	"	"
USX	"	"
Xerox	"	"
Liz Claiborne	"	"
Southern New Eng. Tel.	"	"
Municipal Inv. Trust	"	"

III Holdings:

Identity	Category
Central Fid. Bank	[illegible]
Congressional Fed. Credit Union	D
Gillette	D
Allied Signal	A
EG & G	E
Eastman Kodak	A
General Mills	C
Henly-Mfg. (FORMERLY KENNER PARK) TOYS	A
IBM	B
Kimberly Clark	C
USX	A
Xerox	B
Liz Claiborne	A
Southern New Eng. Tel.	A
Municipal/INV. Trust	D

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MEMBER NASD
MEMBER SIPC

INVESTACORP, INC.
15450 NEW BARN ROAD
MIAMI LAKES, FLORIDA 33014-2198

Account Number	Tax ID Number	For the Period	Page 3
737-12022 - 440	261-40-5195	12/31/88 Through 01/27/89	

PORTFOLIO POSITIONS

QUANTITY	SECURITY DESCRIPTION	PRICE ON 01/27/89	MARKET VALUE	PORTFOLIO PCT	DIV RATE OR INT %	ESTIMATED ANNUAL INCOME
	CASH ACCOUNT - 1					
153	ALLIED SIGNAL INC-COM W/RTS TO PUR C/STK UNDER CERT	34.625	$5,298.	4.07	1.80	$275.00
200	EG&G INC-W/RTS TO PUR P/STK UNDER CERT CIRCUMSTANCES	29.500	$5,900.	4.53	.68	$136.00
90	EASTMAN KODAK CO	48.250	$4,342.	3.33	2.00	$180.00
400	GENERAL MILLS INC-W/RTS TO PUR P/STK UNDR CERT CIRCUMSTANCES	54.500	$21,800.	16.73	1.88	$752.00
8	HENLEY GROUP INC NEW-CL A	68.500	$548.	.42		
70	INTL BUSINESS MACHINES CORP	120.875	$8,461.	6.50	4.40	$308.00
440	KIMBERLY CLARK CORP W/RTS TO PUR P/STK UNDER CERT	62.250	$27,390.	21.02	2.60	$1,144.00
100	LIZ CLAIBORNE INC	17.750	$1,775.	1.36	.17	$16.00
300	MCI COMMUNICATIONS CORP	24.000	$7,200.	5.53		
85	SOUTHERN NEW ENGLAND TELE- COMMUNICATIONS CP-W/RTS TO PUR	61.000	$5,185.	3.98	3.12	$265.00
100	U S X CORP N/C FR U S STEEL CORP	31.875	$3,188.	2.45	1.40	$140.00
38	WHEELABRATOR GROUP INC	7.625	$290.	.22		
100	XEROX CORP-W/RTS TO PUR P/STK UNDER CERTAIN CIRCUMSTANCES	63.000	$6,300.	4.84	3.00	$300.00
2	UNITS MUN INV TR FD #231 M MONTHLY PMT	906.910	$1,814.	1.39		
2	UNITS MUNICIPAL INV TR #287 M FD	1,017.140	$2,034.	1.56		
3	UNITS MUNICIPAL INV TR #340 M MONTHLY PMT	1,014.570	$3,044.	2.34		
10	UNITS MUN INV TR FD # 88 M MONTHLY PMT	886.500	$8,865.	6.80		
10	UNITS MUNICIPAL INV TR #181 M FD MONTHLY PMT	882.900	$8,829.	6.78		
1	UNITS MUNICIPAL INV TR #119 M FD MONTHLY PMT	848.400	$848.	.65		
1	UNITS MUNICIPAL INV TR #145 M FD MONTHLY PMT	925.870	$926.	.71		
1	UNITS MUNICIPAL INV TR #182 M FD MONTHLY PMT	1,011.980	$1,012.	.78		
50	UNITS NUVEEN TE UT TR #300-M NATL TR-MONTHLY PMT	100.250	$5,013.	3.85		
	TOTAL CASH ACCOUNT VALUE		$130,275.00	100.00		$3,516.00
	TOTAL VALUE OF PRICED SECURITIES		$130,275.00	100.00		$3,516.00

End of Statement

HAND DELIVERED 0 2 7 3 3 8 5

OFFICE OF RECORDS & REGISTRATION
1989 MAY -1 PM 4:47
CLERK
U.S. HOUSE OF REPRESENTATIVES

CHARLES E. BENNETT

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE STAFF

JOHN W. POLLARD, JR.
DONNA M. WELDON
JACKSONVILLE OFFICE STAFF

April 25, 1989

Office of Records and Registration
1032 Longworth HOB
Washington, D. C. 20515

HC

Dear Sirs:

I would like to amend my 1989 Financial Disclosure Statement as required by the Ethics in Government Act of 1978.

On Friday, March 31 I was the luncheon speaker before the annual seminar entitled "Contemporary Issues in the United States Law of the Sea Policy." As such, I accepted round-trip air-fare between Washington, D. C. and my congressional district, Florida 3, from the Center for Oceans Law and Policy, University of Virginia School of Law. The total cost of the tickets was $560, which is over the $250 threshold above which such reimbursements must be reported.

I'd appreciate having this amendment added to my 1989 disclosure. I have been advised a letter is all that's necessary, but if I need to do something else, please let me know.

With kindest regards, I am

Sincerely,

Charles E. Bennett

CEB: jhm

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MAY 17 1989

CHARLES E. BENNETT
MEMBER
3RD DISTRICT, FLORIDA

ARMED SERVICES COMMITTEE
CHAIRMAN OF SEAPOWER SUBCOMMITTEE
MEMBER OF RESEARCH AND DEVELOPMENT SUBCOMMITTEE

MERCHANT MARINE AND FISHERIES COMMITTEE

DEAN OF FLORIDA CONGRESSIONAL DELEGATION

Congress of the United States
House of Representatives
Washington, DC 20515

RECEIVED
OFFICE OF RECORDS & ...
1989 MAY 18 AM 7:
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

May 17, 1989

Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D. C. 20515

✓
MC

Dear Sir:

I enclose a copy of a April 28 letter from Chairman Dixon of the House Committee on Standards of Official Conduct. Apparently I failed to check off the inquiry about a possible trust or other arrangements at page two. Since the April 28 letter says I can amend this by letter, I am doing it by this letter today and stating that under III. HOLDINGS, there is a box asking whether I, wife or children have a beneficial interest in a trust. The answer is that none of us do, so I should have answered that question "No" and do answer it "No" by this letter. Also your note about Kenner Parker Toys is in error as my report form showed this stock was renamed "Henley Mfg." – not sold

With kindest regards, I am

Sincerely,

Charles E. Bennett
Charles E. Bennett

CEB:ss
Enclosure

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Helen Delich Bentley
(Full Name)

408 Chapelwood Lane
Lutherville, Maryland 21093
(Mailing Address)

HC (Office Use Only)

MAY 15 PM 3:11

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2 State MD

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __XX__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Bentley's Antique Show Mart (spouse)		Earnings		
UNC Service Thru Technology	1/29/88	Honoraria	$ 1,000.00	
Asbestos Removal Corp.	3/10/88	Honoraria	2,000.00	Charity
Humphrey & Harding Inc.	3/11/88	Honoraria	2,000.00	Charity
AAI Corp.	3/15/88	"	2,000.00	Charity

(continued)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Baltimore Life Insurance	Interest	A
First Federal Savings & Loan	Interest	A
Maryland National Bank	Interest	A
Mercantile Safe Deposit & Trust	Interest	A
Bristol - Myers Company	Dividend	A
Duro Test Corporation	Dividend	A
GTE Corporation	Dividend	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See,* Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __x__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
GTE Corporation	A
Bristol Meyers Inc.	B
Duro Test Inc.	A
Maryland National Bank	C
First Federal Savings of Nevada	B
Otis Oil & Gas Co.	A

(continued)

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE		BRIEF DESCRIPTION
Congressional Institute, Inc.	-speaking-	RT Fare, 1 nite hotel, St.Louis-BAL
Intermodal Transportation Inc.	"	RT Fare Chicago-BAL
Baltimore Navy Group	"	RT Fare Norfolk-BAL
Townsend Associates Inc.	"	RT Fare Ocean City-BAL
World Wide Shipping INC.	"	RT Fare NYC -BAL

(continued)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Villa Julie College, Church Home/Hospital, Oceanic Education Foundation
Director	Sea Lites Corp., Interseas Fast Craft Inc.
President	HDB International Inc., International Resources & Development Corp.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Helen Delich Bentley	May 14, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 5 of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Helen Delich Bentley
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
Income	Steel Service Center Inc.	4/4/88	$ 1,000.00	
	Information Systems & Network Corp.	4/14/88	1,000.00	
	Burlington Northern Inc.	4/11/88	1,000.00	
	National Industrial Transport	5/20/88	500.00	
	American Trucking Asso.	5/18/88	1,000.00	
	National Bonded Warehouse Asso.	5/23/88	500.00	
	National Restaurant Asso.	6/1/88	500.00	
	Propeller Club of Fla.	7/15/88	700.00	
	Bethlehem Steel Corp.	8/11/88	1,000.00	
	Top Marketing Group	9/1/88	500.00	
	American University	10/1/88	50.00	
	American Asso. of Women Dentists	10/11/88	1,000.00	
	Serbian Academic Club	12/1/88	1,000.00	
	Raloid Corp.	12/28/88	2,000.00	--$600.00 to charity
		Total	$18,750.00	$6,600/to charity
HOLDINGS	International Resources & Development Corp.			B
	HDB International Inc.			B
	Sea Lites Corp.			A
	Interseas Fast Craft Inc.			A
REIMBURSEMENT	USChamber of Commerce	-speaking-	RT Fare/hot NYC - BAL	
	Columbia Institute	-speaking-	RT Fare Reno - BAL	
	Touro Synagogue	-speaking-	RT Fare Providence-BAL	
	Walsh for Congress	-speaking-	RT Fare Syracuse -BAL	
	NMBTA - Machine Tool Asso	-speaking-	RT Fare/hot Chicago-BAL	
	MD Chamber of Commerce	-speaking-	RT Fare/hot OCeanCity-BAL	
	Friends of Vinovich for Senate	-speaking-	RT Fare -Cleveland-BAL	
	Serbian Academic Club	-speaking-	RT Fare/hot Chicago-BAL	

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

DOUGLAS KENT BEREUTER
(Full Name)

2446 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

89 MAY 15 AM 11:40

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1st State Nebraska

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,022, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __x__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Edward D. Jones & Co. 1/23	Honorarium	$1000	income
American Bankers Assoc. 2/26	Honorarium	$2000	income
Computer & Business Equip. Mfg. Assoc. 3/23	Honorarium	$1000	income
The Brookings Institution 4/11	Honorarium	$ 300	income
Agricultural Coop. Development Intl. 5/27	Honorarium	$1000	income
(see continuation sheet)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Calvert Group, Riggs National Bank, Washington, D.C.	Interest	B
Calvert Group, Riggs National Bank, Washington, D.C.	Interest	A
(education fund for son -- Eric Bereuter)		
Calvert Group, Riggs National Bank, Washington, D.C.	Interest	A
(education fund for son -- Kirk Bereuter)		
Dominion Federal Savings & Loan, McLean, VA	Interest	A
(education fund for son -- Eric Bereuter)		
(see continuation sheet)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __x__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
IRA Account, Calvert Group, Riggs National Bank, Washington, D.C.	B
IRA Account, Calvert Group, Riggs National Bank, Washington, D.C.	B
(wife -- Louise Bereuter)	
Savings Certificate, Dominion Federal, McLean, VA (Son - Eric Bereuter)	B
Savings Certificate, Dominion Federal, McLean, VA (Son - Kirk Bereuter)	B
Civil Service Retirement (amount contributed)	C
Federal Retirement Thrift Savings Plan (amount contributed)	A
(see continuation sheet)	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE BRIEF DESCRIPTION

(1) Aspen Institute/Carnegie Endowment for International Peace. US - USSR Relations Conference for U.S. Congress. Jamaica. Member & Wife. Lodging & food. Air & ground transportation - Washington, D.C. to Montego Bay, Jamaica and return (Jan. 12-16)

(2) NATO 1990's Committee on the North Atlantic Assembly. Paid for by Speaker's authorization fund by State Department. Member only. Lodging & food. Air & ground (see continuation sheet)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board of Trustees	Nebraska Wesleyan University, Lincoln, Nebraska
Member	Alumni Council, J.F. Kennedy School of Government

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Douglas Bereuter	May 11, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

DOUGLAS KENT BEREUTER
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II A	National Association of State Universities & Land-Grant Colleges 7/13 Honorarium $ 500 income
II A	Christian Science Monitor 7/14 article $33.33 income
II A	Farmland Industries, Inc. 9/20 Honorarium $500 income
II A	Arlington County Virginia School Board Salary --- income (wife - Louise)
II B	Dominion Federal Savings & Loan, McLean, Virginia Interest A (education fund for son -- Kirk Bereuter)
III	Teachers Retirement Fund, Arlington, VA (wife - Louise Bereuter) Category A (amount contributed) no interest
III	Shareholder in family corporation owning 38 acres of land and residence in unincorporation portion of Summit County, Colorado and parcel with storage building in Utica, Nebraska
VII	transportation - Washington, D.C. to Brussels. (Feb. 9-11)
VII	Nebraska Bankers Association Conference - Palm Springs, CA. Member only. Lodging & food. Air & ground transportation - Brussels to Palm Springs to Washington, D.C. (Feb. 11-12). Nebraska Bankers paid the difference between Brussels to Washington, and Brussels to Palm Springs; along with return trip to Washington.
VII	(3) American Bankers Association Conference - Los Angeles, CA. Member only. Lodging & food. Air & ground transportation Washington, D.C. to Los Angeles, CA and return (Feb. 26-27)
VII	(4) Northeast Asia - U.S. Forum on International Policy - Stanford University. U.S./Japan Legislators meeting - IZU Peninsula, Japan. Member only. Lodging & meals. Air & ground transportation - Washington, D.C. to Japan and return. (April 4-9)
VII	(5) Center for Strategic and International Studies Conference on Conventional Defense & NATO - Williamsburg, VA. Member, wife and son - Kirk. Lodging & food. Ground transportation (April 15-17)
VII	(6) Institute for East/West Security Studies Conference - Potsdam, Germany. Member only. Lodging & meals paid for by German Democratic Republic. Air transportation - Washington, D.C. to West Berlin, Germany and return - paid for by East/West Studies. (June 9-12)
VII	(7) Farmland Industries, Inc. Kansas City, MO. Member only. Air & ground transportation - one way - Washington, D.C. to Kansas City, MO to Lincoln, Nebraska (August 30)
VII	(8) Atlantic Council - Brussels. Member only. Lodging & meals. Air & ground transportation - Hamburg to Brussels to Washington, D.C. (November 18-20)
VII	(9) America—European Community Association - Brussels. Member & wife. Lodging and meals. Air & ground transportation - Washington, D.C. to Brussels and return (December 1-4)

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

MAY 15 [illegible] 1:45

FORM A—For use by Members, officers, and employees

HOWARD LAWRENCE BERMAN
(Full Name)

137 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

XX Member of the U.S. House of Representatives—District 26 State CALIFORNIA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Scientific Apparatus Makers Assoc.	Honorarium	$1,000	none
Computer Business Equipment Mfg.Assoc.	Honorarium	$1,000	none
National Association of Broadcasters	Honorarium	$1,500	none
Drexel Burnham Lambert	Honorarium	$1,000	none
National Cable TV Association	Honorarium	$1,500	none

---SEE CONTINUATION SHEET---

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Congressional Federal Credit Union	Interest	A
Final return on Capitol Investment made		
in Rangerfund II/Westfir		B
(Capital Investment made prior to		
election to Congress in a business		
which went bankrupt prior to election		
to Congress.)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __XX__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
NONE	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME, any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Congressional Federal Credit Union -- Loan	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Washington Hebrew Congregation	Tickets for 4 for Jr. High Holiday Service
Loren Rothschild, American Protection Industries	"The Signature Pen" Fountain Pen

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Executive Council on Foreign Diplomats	Conference on arms control at Stanford Univ Transportation L.A. to Palo Alto & San Francisco to Washington, D.C. Lodging and meals

---SEE CONTINUATION SHEET ---

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature Howard L. Berman

Date 5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

HOWARD LAWRENCE BERMAN
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II A.	American International Group, Inc.	Honorarium	$2,000	none
	National Association of Broadcasters	Honorarium	$1,000	none
	Connell Rice & Sugar Co., Inc.	Honorarium	$2,000	none
	Coopers and Lybrand	Honorarium	$2,000	none
	Pacific Telesis	Honorarium	$1,500	none
	Weintraub Productions (Spouse)	Fee	$2,500	none
	Joel Schwartz/Child Support Payment (Spouse)		$4,000	none
VII.	National Association of Broadcasters	Airfare L.A. to Las Vegas Las Vegas to Washington, D.C. Lodging For meeting in Las Vegas		
	Drexel, Burnham, Lambert	Airfare Washington, D.C. to L.A. to Washington, D.C. For meeting in L.A.		
	National Cable TV Association	Airfare Washington, D.C. to Los Angeles to Washington, D.C. Lodging one night For meeting in L.A.		
	National Center for Immigrants Rights, Inc.	Airfare L.A. to San Diego to L.A. Lodging and car rental For meeting in San Diego		
	Project Interchange	Airfare Washington, D.C. to Tel Aviv, Israel Lodging and meals For meeting in Tel Aviv		
	Ninth Circuit Judicial Conference	Airfare L.A. to Spokane to L.A. Loding and meals For meeting in Idaho		
	Institute of Politics, School of Government, Harvard University	Airfare, Washington, D.C. to Boston to Washington, D.C. Lodging For meeting in Mass.		
	American Friends of Tel Aviv University	Airfare Hamburg, F.R.G. to Tel Aviv to Washington, D.C. Meeting in Tel Aviv		

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

TOM BEVILL
(Full Name)

2302 Rayburn House Office Building
Washington, D.C. 20515
OR
3827 North Military Road
Arlington, Virginia 22207
(Mailing Address)

MC (Office Use Only)

1989 MAY 11 PM 2 26

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4th State ALABAMA

☐ Officer or Employee—Employing Office ________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,098, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XXX NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
#1 - Department of the Army	PENSION	$7,836.00	
#2 - Alabama Power Company	EASEMENT	$1,778.00	
Paid to Lou Bevill as easement fee on	FEE		
property listed under III HOLDINGS #7 --- SEE CONTINUATION SHEETS			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
# 1 - Wright Patman Congressional Federal	INTEREST	(under 100)
Credit Union - Tom Bevill		
# 2 - Wright Patman Congressional Federal	INTEREST	(under 100)
Credit Union - Lou Bevill		
SEE CONTINUATION SHEETS....		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO _xxxx_ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
# 1 - Merrill Lynch, Pierce, Fenner & Smith, Inc.	
IRA Account 1982 - 1987 Tom Bevill	C
IRA Account 1982 - 1987 Lou Bevill	A
SEE CONTINUATION SHEETS....	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Purchased a certificate of deposit from First Virginia	7/13/88	A
in the name of Lou Betts Bevill - 6 month certificate		
matures on 1/13/89		
SEE CONTINUATION SHEETS....		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Roger Sayers, Acting Pres. of University of Alabama	two football season tickets
Pres. Martin of Auburn University	two football season tickets

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
SEE CONTINUATION SHEETS....	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Tom Bevill	May 10, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page 1 of 8

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

TOM BEVILL 4th District Alabama
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-A INCOME	(EARNED)		
II-A	#3 - 2/24/88 Signal Landmark, Inc. for Dinner Speech on 12/4/87 in Los Angeles, CA	HONORARIUM	$2,000.00
II-A	#4 - 2/24/88 Western Oil & Gas Assoc. for California Chamber of Commerce Breakfast in Los Angeles on 12/5/87	HONORARIUM	$ 250.00
	2/24/88 Fruit Growers Supply Co. for California Chamber of Commerce Breakfast in Los Angeles on 12/5/87	HONORARIUM	$ 250.00
	2/24/88 T. W. Halligan-Atkinson Co for California Chamber of Commerce Breakfast in Los Angeles on 12/5/87	HONORARIUM	$ 250.00
	2/24/88 Boyle Engineering Corp for California Chamber of Commerce Breakfast in Los Angeles on 12/5/87	HONORARIUM	$ 500.00
	2/24/88 Jasmine Vineyards, Inc. for California Chamber of Commerce Breakfast in Los Angeles on 12/5/87	HONORARIUM	$ 250.00
	3/1/88 Wickland, Inc for California Chamber of Commerce Breakfast in Los Angeles on 12/5/87	HONORARIUM	$ 250.00
	3/17/88 Howard P. Marguleas for California Chamber of Commerce Breakfast in Los Angeles on 12/5/87	HONORARIUM	$ 250.00
II-A	#5 - 3/17/88 The Irvine Company for Luncheon in Irvine ,CA on 3/11/88	HONORARIUM	$2,000.00
II-A	#6 - 4/4/88 Pacific Coast Cement Corp.	HONORARIUM	$ 500.00
	4/4/88 E. Del Smith & Company for Dinner Speech in Los Angeles on 3/11/88	HONORARIUM	$ 500.00
II-A	#7 - 4/4/88 Land Preservation Association	HONORARIUM	$1,000.00
	5/19/88 San Luis & Delta Mendota Water Users Assoc. for Luncheon Speech in Los Banos, CA on 3/12/88	HONORARIUM	$1,000.00
II-A	#8 - 6/28/88 Westland Water District for Dinner Speech in Fresno, CA on 3/12/88	HONORARIUM	$2,000.00
II-A	#9 - 3/22/88 St. Regional Commerce & Growth Assoc 3/17-19/88 Visit to St. Louis for Tours and speeches	HONORARIUM	$1,000.00
II-A	#10 - 3/28/88 Rio Grande Water Conservation Dist.	HONORARIUM	$1,500.00
	5/10/88 Upper Yampa Water Conservancy Dist. for 3/24-27/88 vist to Alamosa & Steamboat Springs Colorado for tours, briefing and Speeches	HONORARIUM	$ 500.00

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Page 2 of 8

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Tom Bevill 4th District Alabama
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-A INCOME	(EARNED)		
II-A	#11 - 5/10/88 Edison Electric Institute for luncheon speech in Washington, D.C. on 4/20/88	HONORARIUM	$1,000.0
II-A	#12 - 5/10/88 Interstate Natural Gas Assoc. for breakfast speech in Washington, D.C. on 5/10/88	HONORARIUM	$1,000.0
II-A	#13 - 5/18/88 Port Of Oakland forTour and Speech on 4/29/88	HONORARIUM	$2,000.0
II-A	#14 - 5/18/88 G. A. Technologies for Dinner Meeting Speech in San Diego on 4/30, 1988	HONORARIUM	$2,000.0
II-A	#15 - 5/11/88 - Luz Internationa, LTD. forTour and Dinner Speech in Los Angeles on 5/1/88	HONORARIUM	$2,000.0
II-A	#16 - 5/16/88 - American Nuclear Council for speech in Washington , D.C. on 4/28/88	HONORARIUM	$ 300.0
II-A	#17 - 5/23/88 Associated Equipment Distributors Speech in Birmingham, Al on 5/9/88	HONORARIUM	$ 850.0
II-A	#18 - 5/23/88 KMS Fusion for tour and speech on 5/20/88 in Ann Arbor, Michigan	HONORARIUM	$2,000.0
II-A	#19 - 7/6/88 Rust International Corp. for luncheon speech in Birmingham Alabama on 7/1/88	HONORARIUM (assigned to Charity)	$2,000.0
II-A	#20 -7/12/88 Philip Morris Management Corp breakfast speech in Washington, D.C. on May 4, 1988	HONORARIUM	$1,000.0
II-A	#21 -9/10/88 - Stone & Webster speech in Boston, MA on 8/12/88	HONORARIUM (assigned to Charity)	$2,000.0
II-A	#22 -9/21/88 - Verner, Lippert, Bernard, McPherson speech to REA representatives in Atlanta, Georgia 7/19/88	HONORARIUM (assigned to Charity)	$2,000.0
II-A	#23 -11/9/88 - Gas Cooled Reactors Assoc for speechin San Diego, CA on 9/18 & 9/19	HONORARIUM (assigned to Charity)	$2,000.0
II-A	#24 - 11/9/88 Transmission Agency of Northern California for speech in Sacramento on 9/20/88 - Breakfast Speech	HONORARIUM (assigned to Charity)	$2,000.0
II-A	#25 - 11/9/88 - California Municipal Utilities Assoc. Speech in Sacramento - Luncheon on 9/20/88	HONORARIUM (assigned to Charity)	$2,000.0

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

TOM BEVILL 4th District- Alabama
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
II-A INCOME	(EARNED)	
II-A	#26 - 11/30/88 Elliot Homes , Inc. for dinner speech on 9/20/88 in Sacramento, CA	HONORARIUM $ 500.00 (assigned to Charity)
II-A	#27 - 12/22/88 Association of California Water Agencies Speech in Sacramento on 9/19/88	HONORARIUM $2,000.00 (assigned to Charity)
II-A	#28 - 11/30/88 Jacobs Engineering speech and tours in Alburguerque, NM 9/11-13/89	HONORARIUM $2,000.00 (assigned to Charity)

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

TOM BEVILL 4th District Alabama
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-B INCOME	(UNEARNED)		
II-B	#3 - First Virgina Bank Tom and Lou Bevill	INTEREST	A
II-B	#4 - MERRILL LYNCH, PIERCE, FENNER & SMITH on Capital Builder Account (CBA) #798-49855 Account of Tom Bevill and Susan Bevill	INTEREST	A
	#5 - MERRILL, LYNCH, PIERCE, FENNER & SMITH on Capital Builder Account (CBA) #798-49856 Account of Tom Bevill and Don Bevill	INTEREST	A
	#6 - MERRILL LYNCH, PIERCZ, FENNER & SMITH on Capital Builder Account (CBA) #798- 49857 Account of Tom Bevill and Patty Bevill Warren	INTEREST	A

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

TOM BEVILL 4th District - Alabama
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
III HOLDINGS	
III	#2 - Merrill Lynch Pierce, Fenner & Smith, Inc. Capital Builder Account (CBA) #798-49855 Account of Tom Bevill and Susan Bevill Cash fund Balance -----------------------------------A Investments (5/20/86 Birmingham, AL Municipal Bonds 5000 shares)---B (5/05/87 Mobile, AL Municipal Bonds 5000 shares)-------B (4/28/88 Washington Gas Light stock 130 shares)--------A
III	#3 - Merrill Lynch Pierce, Fenner & Smith, Inc. Capital Builder Account (CBA) #798-49856 Account of Tom Bevill and Don Bevill Cash fund Balance ------------------------------------A Investments (5/20/86 Birmingham, Al Municipal Bonds 5000 shares)---B (5/05/87 Mobile, Al Municipal Bonds 5000 shares)-------B (4/28/88 Washington Gas Light stock 130 shares) -------A
III	#4 - Merrill Lynch Pierce, Fenner & Smith, Inc. Capital Builder Account (CBA) #798-49857 Account of Tom Bevill and Patty Bevill Warren Cash fund balance ------------------------------------A Investments (5/20/86 Birmingham, Al Municipal Bonds 5000 shares)---B (5/05/87 Mobile, Al Municipal Bonds 5000 shares)-------B (4/28/88 Washington Gas Light Stock 130 shares)-------A
III	#5 - Merrill Lynch, Pierce, Fenner and Smith, Inc. Single Premium Life Ins. Policy/Investment Program in the name of Lou Betts Bevill ------------------------C
III	#6 - Civil Service Pension Fund (totals thru 12/31/88) --------D
III	#7 - Spouse (Lou Betts Bevill) inherited one-quarter interest in a plot of land (10 acres in McCalla,Al Jefferson Co.) She does not pay or recieve any interest from this property. Appraised by professional T. Julian Skinner, III of Birmingham TOTAL REPRESENTS VALUE OF LAND RATHER THAN JUST HER PART ----------------------------------C
III	#8 - VacentLot (less than 1 acre) facing East side of 1st Avenue, Jasper, Al Apperaised by professional T. Julian Skinner, III on 4/5/76 ----------------------------------C
III	#9 - Government Bonds - Series EE deducted monthly from Sgt. at Arms payroll check in the names of Tom Bevill and Susan Bevill ----------------------------B Tom Bevill and Don Bevill ------------------------------B Tom Bevill and Patty Bevill Warren ---------------------B ***Started June of 1987 total represent totals from June of 1987 to December 1988
III	#10 - First Virgina Bank Certificate of Deposit purchased by Lou Betts Bevill from monies recieved from Easement fee on property listed in III HOLDING # 7) Certificate is for 6 months and matures 1/13/89 -------------------------A

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

TOM BEVILL 4th District - Alabama
(Name)

Continuation Sheet

IV TRANSACTIONS:

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
IV	#2 - Merrill Lynch, Pierce, Fenner & Smith, Inc. Purchased on 4/28/88 130 shares of Washington Gas Light Stock in the (CBA) Capital Builder Account of Tom Bevill and Susan Bevill #798-49855 --------A
IV	#3 - Merrill Lynch Fenner, Pierce and Smith, Inc. Purchased on 4/28/88 130 shares of Washington Gas Light Stock in th e (CBA) Capital Builder Account of Tom Bevill and Don Bevill #798-49856 ----------A
IV	#4 - Merrill Lynch, Pierce, Fenner and Smith, Inc. Purchased on 4/28/88 130 shares of Washington Gas Light Stock in the (CBA) Capital Builder Account of Tom Bevill andPatty Bevill Warren #798-49857 ---A
IV	#5 - Merrill Lynch Pierce, Fenner & Smith, INc. Rolled over single premium life Policy/Investment Program in the name of Lou Betts Bevill on 10/13/88 -------C
IV	#6 - Government Bonds Series EE purchsed monthly January 1988 - thru - December 1988 in the names of: Tom Bevill and Susan Bevill ------------------------- B Tom Bevill and Don Bevill --------------------------- B Tom Bevill and Patty Bevill Warren ------------------- B ***TOTAL represent totals for entire year***
IV	#7 - Fee received from AlabamaPower Co, Birmingham, AL for easement rights on property noted in III HOLDINGS #7 --A

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

TOM BEVILL 4th District - Alabama
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
VII	REIMBURSEMENTS:	
VII	#1 - March 10--13 , 1988 trip to California hosted by and speeches given to the following: The Irvine Company - luncheon in Irvine on 3/11/88 Pacific Coast Cement Corp & E. Del Smith & Co. dinner speech in Los Angeles on 3/11/88 Land Preservation Assoc. & San Luis & Delta Mendota Water Users Assoc luncheon speech on 3./12/88 in Los Banos, CA Westland Water District dinner speech in Fresno, CA on 3/12/88 ABOVE COORDINATED BY BOB WILL of WILL& MUYS, INC, Washington, D.C.	Mr. Bevill only Air travel & Hotel accom provided
VII	#2 - March 17-19, 1988 trip to St. Louis, MO hosted by and speeches/tours to St. Louis Regional Growth & Commerce Assoc	Mr. Bevill only Air travel & Hotel accom provided
VII	#3 - March 24-27, 1988 trip to Colorado hosted by and tours/speeches given to: Rio Grande Water Conservation District Upper Yampa Water Conservancy District ABOVE COORDINATED BY RAY KOGOVSEK OF KOGIVSEK AND ASSOCIATES, Denver, CO.	Mr/Mrs. Bevill Air travel and hotel accom provided
VII	#4 - April 28 - May 2, 1988 trip to California hosted by and speeches given to: Port of Oakland speech on 4/29/88 arranged by Dick Sinnot & Co.	Mr. Bevill's air fare from D.C. to Oakland & Hotel accom 4/28-29/88
	G. A. Technologies speech on 4/30/88 in San Diego	Mr Bevill's air travel from Oakland to San Diego and Hotel provided by GA Technologies
	Luz international Speech in Los Angeles on 5/1/88	Mr. Bevill's air travel from San Diego and return to D.D plus hotel accom on 5/1/88
VII	#5 - May 20, 1988 trip to Ann Arbor Michigan hosted by KMS Fusion for tour/speech	Mr. Bevill's air travel (same day provided
VII	#6 - Congressional Tourism Summit hosted by Southeastern Tourism Associaition - Conference held in Orlando FLA Air travel and hotel accomodations for Mr & Mrs. Bevill provided by association	

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

TOM BEVILL 4th District - Alabama
(Name)

Continuation Sheet

Part		Source, Type, Amount, Category, Description or Value (As Applicable)	
VII REIMBURSEMENTS:			
VII	#7 -	August 11 - 12, 1988 trip to Boston hosted by Stone & Wbster for 8/12/88 Speech in Boston	Air travel and hotel provided for Mr & Mrs. Bevill
VII	#8	September 18- 21 trip to California hosted by the following:	
		Gas Cooled Reactors Speeches in San Diego on 8/18-8/19/88	Air travel from D. C. to San Diego and hotel accom for Mr. Bevill 8/18-19/88 provided
		Transmission Agency of Northern CA California Municipal Utilities Building Industry Assoc. of Superior CA Assoc. of California Water Agencies speeches on 8/19-20/88	Air travel from San Dieg to Sacramento and hotel accom for 9/19-20/88 & return air travel to DC provided
VII	#9	September 11-13 trip to New Mexico hosted by: Jacobs Engineering speech in Albuquerque Sept 12 - 13, 1988	Air travel from D.C. and return plus hotel accom provided
VII	#10	January 14-15, 1988 Dedication of the Tom Bevill Center - overnight accomodations for January 14 provided by the University of Alabama in Huntsville.	

37237202

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

JAMES H. BILBRAY
(Full Name)

319 CANNON H.O.B.
(Mailing Address)

WASHINGTON, D.C. 20515

MC (Office Use Only)

[illegible] MAY 15 [illegible] 5:22

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1 State Nevada

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO XXX NA ____

For more information, see detailed Instruction Booklet at page 7.

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GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
HONORARIUM (see attached Exhibit AA)	honorarium	see attached	None
B.I. Investments	Pres.Fee	$10,000.00	none

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

	B. SOURCE	TYPE	CATEGORY
(1)	VACATION CLUB INTERNATIONAL NOTE	note payment	C
(2)	THE WHARF RESTAURANT	lease payment	C
(3)	Vacation Club International	note payment	E
(4)	Lovell & Potter	note payment	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XX ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Exhibit "A"	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

	BRIEF DESCRIPTION	DATE	CATEGORY
(1)	Sale of 400 Shares of International Leasure Corp.	Mar. 1988	A
(2)	Sale of lot in Halley, Idaho	July 1988	B
(3)	Sale of No. Las Vegas property (13.5 acres)	Nov. 1988	C
(4)	Sale of Victorville property (2.5 acres)(J.A.Bilbray Trust)	June 1988	D
(5)	Sale of Regency Towers #3H (J.A.Bilbray Trust)	Dec. 1988	E
(6)	Sale of Trpical Way Property (B.I.Investments)	Oct. 1988	F

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

	IDENTITY	CATEGORY
(1)	M.D. Close Investments Trust (personal loan secured by deed of trust)	D
(2)	Valley Bank of Nevada (personal loan secured by deed of trust)	E
(3)	American Bank of Commerce (loan to Bilbray for Congress guaranteed by James H. Bilbray)	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

	SOURCE		BRIEF DESCRIPTION
(1)	National Restaurant Association May 1988	*	Round trip airfare and two nights lodg
(2)	Port Operators September 1988		Round trip airfare and one nights lodg
	*Cost to be for Congressman and wife.		

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

	POSITION	NAME OF ORGANIZATION
(1)	President	B.I. Investments

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	(1) Note due from sale of Regency Tower Apt.	$300/mo. Total: $162,000.00
	(2) Note due from sale of interest in firm	
	Lovell, Bilbray & Potter.	
	(presently Lovell & Potter)	no payments made in reported period

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
James H. Bilbray	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

JAMES H. BILBRAY
(Name)

Continuation Sheet

NOTE: None of holdings except [ ] generate unearned income

Part		*Source, Type, Amount, Category, Description or Value (As Applicable)*	
**James & Michaelene Bilbray's personal real estare property holdings			
3	(1)	25% interest of 40 acres--Lone Mountain & Decatur Ave.	F
3	(2)	15% interest of commercial property (undeveloped) next to K-Mart store on Boulder Hwy. Henderson, NV	E
3	(3)	25% interest of 25 acres W. Flamingo Ave. Clark County, NV	C
3	(4)	50% of 260 acres in Bellview, Idaho	F
3	(5)	25% parcels: 82-111-02-04-07-10; Santa Cruz, CA	F
3	(6)	50% interest in The Wharf Restaureunt, Las Vegas, NV	C
3	(7)	25% interest in2.5 acres NW Smoke Ranch Road, NV	B
3	(8)	25%interest in El Miradore property(25% profit upon sale)	E
**B. I. Investments (Formerly Bilbray Investments)			
3	(1)	Ass. Parcel No. 020-790-404	B
3	(2)	Ass. Parcel No. 250-56-001; 35% interest of 1 acre on the corner of Lamb Blvd. and Owens	D
3	(3)	Ass. Parcel No. 200-330-002; 10% interest Henderson, NV	C
3	(4)	Ass. Parcel No. 200-340-004; 10% interest Henderson, NV	C
3	(5)	Ass. Parcel No. 82-111-02-04-07-10	C
3	(6)	Ass. Parcel No. 82-091-02; Santa Cruz, CA	C
3	(7)	25% El Miradore property Las Vegas Blvd. So. (25% of profit)	C
**Public Holdings			
3	(1)	Leroy Property & Development Corp. (84 Shares)	A
**Bank Accounts			
3	(1)	Wright Patman Federal Credit Union	B
3	(2)	First Interstate Bank Savings Account	C
3	(3)	House of Representatives Checking Account	B
**Properties sold by J.H.B./J.A.B.Trust/B.I.Investments			
3	(1)	Lot Halley, Idaho Valley (commercial) (JH.Bilbray)	B
3	(2)	Ass. Parcel No. 474-161-13; Victorville Hisperia (J.A.B. Trust)	C
3	(3)	Unit #26 of Regency Towers, Clark County (J.A.B. Trust)	E
3	(4)	Ass. Parcel No. 020-690-001; Tropical Way, No. Las Vegas (B.I.Investments)	E
3	(5)	13.5 acres in No. Las Vegas, NV	A
**Notes owed to J.H.B/J.A.B.Trust/B.I.Investments			
IX	(1)	$50,000.00 (may be paid by 5/15/89) (B.I.Investments)	
IX	(2)	$108,000.00 (Mr. Don Hamilton) (B.I.Investments)	
IX	(3)	$60,000.00 note on the Victorville property (J.A.B.Trust)	

EXHIBIT "AA"

HONORARIUM RECEIVED FOR 1988

1. LABOR UNION INTERNATIONAL 1103 No. B St. #B Sacramento, CA 95814	$2,000.00
2. NATIONAL RESTAURANT ASSOCIATION 1200 17th St. N.W. Washington, D.C. 20036-3097	$1,000.00
3. WASTE MANAGEMENT INCORPORATED 3003 Butterfield Rd Oak Brook, IL 60521	$1,000.00
4. AMERICAN AMBULANCE ASSOCIATION 1800 K St. N.W. Washington, D.C. 20006	$ 500.00
5. COMPUTER AND BUSINESS MANUFACTURERS ASSOCIATION 311 First St. N.W. Washington, D.C. 20001	$ 500.00
6. BROOKING INSTITUTE 1775 Mass. Ave. #106 Washington, D.C. 20036	$ 300.00
7. RESORT & RESIDENTIAL DEVELOPMENT 1220 L St. N.W. 5th Floor Washington, D.C. 20005	$1,000.00
8. ARCO Los Angeles, CA	$1,500.00
9. CASDEN PROPERTIES	$1,500.00
10. INTERNATIONAL ELECTRONIC MANUFACTURER AND CONSUMERS OF AMERICA 1455 Penn. Ave. N.W. Washington, D.C. 20004	$1,000.00
11. PORT OPERATORS	$2,000.00
Total Honorarium	$12,300.00

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

'89 MAY 15 PM 3:01

FORM A—For use by Members, officers, and employees

Michael Bilirakis
(Full Name)

1530 Longworth H.O.B.
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 9 State FL

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Schedule Attached			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Schedule Attached		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _X_ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Schedule Attached	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Schedule Attached		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Schedule Attached	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Goldman Sacks, New York	4 Theater Tickets	$ 160.00

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Schedule Attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Schedule Attached	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
Spring '83	Paul Gelep, Chris Miller	Professional Law Association
Spring '83	Paul Gelep, Chris Miller	Lease on office building

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Michael Bilirakis	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

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Page 1 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Michael Bilirakis, M.C.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)				
IIA	**EARNED INCOME**		Type	Amount	Disposition*
	American Podiatric Med. Assn.	5/26/88	Honoraria	$2,000.00	
	Ashland Oil	10/25/88	"	2,000.00	
	Pfizer, Inc.	7/17/88	"	1,000.00	Charity
	American Nuclear Energy Council	5/3/88	"	1,000.00	Charity
	Outdoor Advertising of America	8/11/88	"	1,000.00	Charity
	Tobacco Institute	1/12/88	"	1,000.00	Charity
	Holiday Bank		Director Fee	1,050.00	
	SPOUSE INCOME SOURCES:				
	Bilirakis, Colep, Miller & Knox, P.A.				
	Holiday Realty				
IIB	**UNEARNED INCOME**				
	INTEREST:				CATEGORY
	[illegible] Federal Savings & Loan				A
	NCNB National Bank of Florida				C
	Barnett Bank				C
	Andrew Steele, et. al.				D
	A.G. Edwards & Sons				A
	City of Albuquerque, New Mexico, Bonds				A
	County of Armstrong, PA, Bonds				A
	Palm Beach County, FL, Bonds				A
	Washington State Power Supply Bonds				A
Spouse	Tax Exempt Securities Trust				A
Children	Sunbank/Miami N.A.				A
	Congressional Federal Credit Union				A
	Northwestern Mutual Life Insurance Co.				A
	Prudential Life Insurance Co.				A
	First National Bank of Pasco				A
Children	Barnett Bank				B
Children	Citizens & Southern Bank				A
Children	First Union National Bank				A
	DIVIDENDS:				
Spouse	AMEV Securities, Inc.				A
Children	Mutual of Omaha				B
	City Investing				A
	RENTAL INCOME				
	Office Bldg., 1017 Bartelt Rd., Holiday, FL				Gross: C, Net: A
	Bellhaven Apartments (1/2) Dunedin, FL				Gross: D, Net: Loss
	House: 529 Grand, Tarpon Springs, FL				Gross: C, Net: Loss
	House: 306 Driftwood, Tarpon Springs, FL				Gross: B, Net: Loss
	OTHER				
	Whiteco - sign rental				A

GPO: 1987 79-046 [illegible]

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Page 2 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Michael Bilirakis, M.C.
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
III	HOLDINGS	CATEGORY
	Office Building: 1017 Bartelt Rd., Holiday, FL	D
	Business Bldg. (1/2) 1013 Bartelt Rd., Holiday, FL	C
	Apartments (1/2) Bellhaven - Dunedin, FL	C
	Rental House Grand Blvd., Tarpon Springs, FL	C
Spouse	Building (1/3) Pinellas Blvd., Tarpon Springs, FL	B
	Real Estate, 1 Lot, Youngs Subdivision, Tarpon Sp., FL	B
	Unimproved Realty (1/3) 532 ft., Highway Frontage, Hudson, FL	E
Spouse	Unimproved Realty (1/2) 2 lots, Hope Subdiv., Tarpon Sp., FL	B
	Rental House, Driftwood Dr., Palm Harbor, FL	E
	Andrew Steele, et. al., note, mortgage	D
	NCNB Nat'l Bank of FL, CD's, checking & savings	D
	Barnett Bank, CD's & checking account	C
	Gibraltar Savings (closed in 1988)	A
	Citizens Federal Savings & Loan and Wright Patman Congressional Federal Credit Union - savings accounts	A
Spouse	Note receivable - Theresa Vinci	A
Spouse	The Home Group, Inc.	A
	A.G. Edwards & Son - Cash Accumulation Fund	A
	Pinellas Fish & Chips Corporation	A
	Holiday Fish & Chips Corporation	D
Spouse	AMEV Securities Inc.	A
Spouse & Children	Caesars World Common Stock	A
Spouse	Tampa Metropolitan Travel Agency Common Stock	A
Spouse	Tax Exempt Securities Trust Fund	A
	Palm Beach County Bond	A
	Washington State Power Supply Bond	A
Spouse	Holiday Realty & Insurance Inc., Common Stock	A
	City of Albuquerque Bond	A
	County of Armstrong, PA, Bond	A
	County of Stone, Missouri, Bond	A
	Bilirakis, Galep, Miller, et al, Professional Corporate Stock	C
Spouse	First National Bank of Pasco Stock	A
Spouse	Home Shopping Stock (sold 1988 - Loss)	A
Spouse	General Development Corporation Stock	A
Children	Wellcome PLC Stock	B
Children	Unimproved Real Estate, Marion County, FL	A
Children	Barnett Bank, CD's & checking account	C
Children	Pinellas Community Bank Stock	B
Children	Citizens & Southern Bank, CD & Checking Account	B
Children	American Royal Trust	A
Children	Mutual of Omaha Income Fund	B
Children	First Union National Bank	C
Children	Gibraltar Savings (closed in 1988)	A
	1/2 Interest in Tarpon Food, Inc.	B
IV	TRANSACTIONS	
	Sold Home Shopping Stock (loss) 4/28/88	A
	Sold Interest in Tarpon Foods 12/1/88	B

GPO: [illegible]

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Page 3 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Michael Bilirakis, M.C.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
V	LIABILITIES	CATEGORY
	NCNB National Bank of Florida, personal loans	D
	Barnett Bank - Mortgage on building	C
	City of Tarpon Springs (assessment) - paid in 1988	A
	Elsie Naylor - 1/2 business building mortgage	C
	Fortune Federal - 1/2 mortgage on apartments (Bellhaven)	C
	Barnett Mortgage Co. (home)	E
	Chase Bank of Maryland (condominium-Wash., DC--personal)	E
	Barnett Bank - Personal Demand Loans	D
	Congressional Federal Credit Union (Personal)	B
	Emmanual & Katherine Pandelakis	A

VII

REIMBURSEMENTS

Attended Florida Congressional Delegation Conference in Orlando, FL, round-trip transportation from Washington, D.C., paid by Delta Airlines; Orlando Chamber of Commerce provided room and meals. April 21-24, 1988

American Podiatric Medical Association, Inc., provided round-trip transportation, 2 nights lodging and meals for speech in Coeur d'Alene, Idaho, for self and spouse. June 17-19, 1988.

Pfizer and Company provided round-trip transportation, Washington, D.C. to Chicago, for speech and meeting with management. July 17, 1988.

New York Stock Exchange and Securities Industry of America provided round-trip air fare from Washington, D.C., to New York City, meals and lodging for self and spouse to visit New York Stock Exchange and participate in discussions with management. June 23-25, 1988.

Tobacco Institute Provided round trip airfare, Washington, D.C., to Palm Springs, CA, meals and lodging for self and spouse to make speech. January 10-14, 1988.

National Lubricating Grease Institute paid one night lodging and meals for self and spouse at Saddlebrook Resort, FL, during speech. October 24, 1988.

Hellenic Foundation for Defense and Foreign Policy, American Egyptian Cooperation Foundation and Pancyprian Association of America, Inc., November 13-26, 1988. Provided transportation, lodging and meals to Egypt and Greece for discussion with government leadership and to visit American funded projects; self and spouse.

Tarpon Foods (1/2 ownership) incurred expense for self and spouse for business meeting and attendance at Churchill Downs. May 5-6, 1988.

Part		
VIII	POSITIONS	
	President	Bilirakis, Galep, Miller & Knox, PA
	President/Director	Pinellas & Holiday Fish & Chips, Inc.
	Spouse, President/Director	Holiday Realty & Insurance Co.
	Director	Chi Chi Rodriquez Children's Foundation
	Director	Juvenile Diabetes Foundation
	Director	Heart Assn. of Pasco County
	Director	Alzheimers Foundation
	Director	Hospice of Pinellas County
	Director	Holiday Bank

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Ben Garrido Blaz
(Full Name)

5005 Gadsen Dr.
(Mailing Address)

Fairfax, Virginia 22032

MC (Office Use Only)

89 MAY 15 PM 4:49

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District ______ State Guam

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Northrop Corporation	Honoraria	$2,000	March 1988
McDonnell Douglas	Honoraria	1,000	May 1988

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
House, Auburn, Alabama	Rent	D
New York Life Insurance Co.	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO __X__ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
House, Auburn, Alabama	D

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. **INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Bank of Guam	B
City Federal Savings & Loan, Montgomery, Alabama	C
Pacific Basin Investment Corp. (Guam)	B
T. Hideta (Guam)	A
M. R. Kuczkir, New Jersey (Loan Contested)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
HonYuan Group of Taiwan	RT air WDC/Taiwan; Dec. 9-15, 1988. 2/5 days lodging & 15 meals.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §702 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Ben Bla	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

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HAND DELIVERED

BEN BLAZ
GUAM
COMMITTEE ON ARMED SERVICES
SUBCOMMITTEES
MILITARY INSTALLATIONS AND FACILITIES
PROCUREMENT AND MILITARY NUCLEAR SYSTEMS
DEFENSE POLICY PANEL
COMMITTEE ON INTERIOR
AND INSULAR AFFAIRS
SUBCOMMITTEES
INSULAR AND INTERNATIONAL AFFAIRS
ENERGY AND THE ENVIRONMENT
NATIONAL PARKS AND PUBLIC LANDS
COMMITTEE ON FOREIGN AFFAIRS
SUBCOMMITTEES
ASIAN AND PACIFIC AFFAIRS
AFRICA
SELECT COMMITTEE ON AGING
SUBCOMMITTEES
HEALTH AND LONG-TERM CARE
HUMAN SERVICES

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE
1130 LONGWORTH HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-1188

GUAM OFFICES
SUITE 112, [illegible] CENTER
302 SOUTH ROUTE 4
AGANA, GU 96910
(671) 472-6700, 6800

SOUTHERN DISTRICT OFFICE
ROUTE TWO
AGAT, GU 96928
(671) 565-0845

NORTHERN DISTRICT OFFICE
125 IGLESIAS CIRCLE
DEDEDO, GU 96912
(671) 632-0846

1989 MAY 16
U.S. [illegible]

May 16, 1989

✓ MC

Mr. Donald K. Anderson, Clerk
U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, DC 20515

Dear Mr. Anderson:

I would like to submit this letter as an official amendment to my Financial Disclosure Statement for 1988.

In Section II of the above-mentioned report, I had inadvertently omitted reporting an honoraria of $500 from Touro College in January 1988.

I appreciate your assistant in submitting this correction to my Financial Disclosure Statement for 1988.

Sincerely,

Ben Blaz

BEN BLAZ
Member of Congress

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Ben Garrido Blaz
(Full Name)

5005 Gadsen Dr.
(Mailing Address)

Fairfax, Virginia 22032

✓ MC
(Office Use Only)

MAY 26 PM 3 37

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District ______ State Guam

☐ Officer or Employee—Employing Office ______

☒ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Northrop Corporation	Honoraria	$2,000	3/1988
McDonnell Douglas	Honoraria	1,000	5/1988

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
House, Auburn, Alabama	Rent	D
New York Life Insurance Company	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
House, Auburn, Alabama	D

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Bank of Guam - personal loan	B
City Federal Savings & Loan, Montgomery, Alabama - Mortgage Loan	C
T. Hideta (Guam) - personal loan	A
M. R. Kuczkir, New Jersey - Loan contested	C
Pacific Basin Investment Corp. (Guam) was liquidated Dec. 1988.	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
HonYuan Group of Taiwan	RT air WDC/Taiwan: Dec.9-15, 1988. 2/5 days lodging and 15 meals.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Ben Blaz	May 25, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

THOMAS J. BLILEY, JR.
(Full Name)

213 Cannon House Office Building
(Mailing Address)

Washington, D. C. 20515

HC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3rd State VIRGINIA

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XXX NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
U. S. Tobacco 1/9/88	Honorarium	$1,000.00	None
The Tobacco Institute 1/14/88	Honorarium	$1,000.00	None
The Pacific Stock Exchange, Inc. 2/1/88	Honorarium	$2,000.00	None
Akin, Gump, Strauss, Hauer & Feld 3/7/88	Honorarium	$2,000.00	None
Securities Industry Association, Inc. 3/8/88	Honorarium	$2,000.00	None

(continued)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
American Brands, Inc.	Dividend	A
American Home Products Corporation	Dividend	B
Burlington Northern, Inc.	Dividend	A
CIGNA Corporation	Dividend	A
Chesapeake Corporation	Dividend	A
Contel Corporation	Dividend	A

(continued)

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XXX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
American Brands, Inc.	B
American Home Products Corporation	C
Burlington Northern Inc.	C
Cigna Corporation	B
Chesapeake Corporation	C
Contel Corporation	B

(continued)

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sold Stock - American Brands, Inc.	9/30/88	B
Sold Stock - Pinnacle West Capital Corporation	10/24/88	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
American Stock Exchange 1/5-6/88	One nights lodging & two meals in connection with briefing & tour & reimbursement for air travel from Washington, D.C. to New York City & return, plus taxi fares

(continued)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Tom Bliley	5/9/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

THOMAS J. BLILEY, JR.
(Name)

Continuation Sheet

Part		Source, Type, Amount, Category, Description or Value (As Applicable)	Type	Amount	Disposition
I	INCOME - A	The Proprietary Association 3/10/88	Honorarium	$ 500.00	None
		American Bankers Association 3/16/88	Honorarium	$1,500.00	None
		Manor Care, Inc. 3/22/88	Honorarium	$1,000.00	None
		National Assn. of Broadcasters 4/10/88	Honorarium	$1,500.00	None
		Drexel Burnham Lambert, Inc. 4/15/88	Honorarium	$1,000.00	None
		American Mining Congress 4/19/88	Honorarium	$ 250.00	None
		National Coal Association 4/20/88	Honorarium	$ 500.00	None
		IXL Telecommunications, Inc. 6/2/88	Honorarium	$1,000.00	None
		Virginia Podiatric Medical Assn. 6/4/88	Honorarium	$2,000.00	None
		Snack Food Association 6/8/88	Honorarium	$ 500.00	Charity
		American Institute of CPAs 6/16/88	Honorarium	$2,000.00	None
		Cherry, Bekaert & Holland 6/17/88	Honorarium	$ 500.00	Charity
		The Tobacco Institute 7/13/88	Honorarium	$1,000.00	None
		American Nuclear Energy Council 7/14/88	Honorarium	$ 300.00	None
		RJR Nabisco Washington, Inc. 8/16/88	Honorarium	$2,000.00	None
		Smokeless Tobacco Council, Inc. 8/24/88	Honorarium	$1,000.00	None
		Motor Vehicle Manufacturers Assn. 9/22/88	Honorarium	$1,000.00	None

Part			TYPE	CATEGORY
I	INCOME - B	Crestar Finl Corporation	Dividend	C
		Dominion Res. Inc. VA	Dividend	B
		Dow Chemical Company	Dividend	B
		Home Beneficial Corporation	Dividend	A
		International Business Machines Corporation	Dividend	A
		Jefferson Bankshares, Inc. VA	Dividend	A
		Media General, Inc.	Dividend	A
		Miller Herman, Inc.	Dividend	A
		Pinnacle West Capital Corporation	Dividend	B
		Sovran Finl Corporation	Dividend	B
		Tax Free Instrument Trust	Interest	D
		Jefferson National Bank	Interest	A
		Capitol Regional Airport	Interest	C
		Danville, Va., Municipal Bonds	Interest	B
		Fairfax County Municipal Bonds	Interest	B
		Lancaster County, Va., West Cant.	Interest	B
		Norfolk Va., West. Cant.	Interest	A
		West Point, Va., Chesapeake	Interest	B
		Winchester, Va.,West. Cant.	Interest	B
		Prudential	Interest	A

II	HOLDINGS	Crestar Finl Corporation	D
		Dominion Reserves, Inc. VA	C
		Dow Chemical Company	C
		Ethyl Corporation	B
		Home Beneficial Corporation	C
		International Business Machines Corporation	C
		Jefferson Bankshares Inc. VA	C
		Media General Inc.	C
		Miller Herman Inc.	C
		Sovran Finl Corporation	D
		Tax Free Instrument Trust	F
		Capitol Regional Airport	C
		Danville Va. Municipal Bonds	C
		Fairfax County Municipal Bonds	C
		Lancaster County, Va. West.Cant.	C
		Norfolk Va., West. Cant.	B
		West Point, Va., Chesapeake	C
		Winchester, Va.,West. Cant.	C
		Joseph W. Bliley Real Estate	A

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Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

THOMAS J. BLILEY, JR.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
	SOURCE AND BRIEF DESCRIPTION
VII REIMBURSEMENTS	U. S. Tobacco 1/8-10/88 - Air Travel from Washington, D. C., to Palm Beach, Florida, and return, two nights lodging and meals for Mr. and Mrs. in connection with panel participation.
	The Tobacco Institute 1/13-14/88 - Air travel from Washington, D. C., to Palm Springs, California, and return, two nights lodging & meals for Mr. and Mrs. in connection with panel participation
	The Pacific Stock Exchange, Inc. 2/1-2/88 - One nights lodging & meals in connection with briefings and tours and reimbursement for air travel from Washington, D. C., to San Francisco, California, and return for Mr. and Mrs.
	Congressional Charity Tennis Tournament 2/26-28/88 - Two nights lodging and meals for Mr. and Mrs. at The Greenbrier in connection with tennis tournament.
	National Association of Broadcasters 4/9-11/88 - Air travel from Washington, D.C., to Las Vegas, Nevada, and return, two nights lodging and meals in connection with panel participation.
	Drexel Burnham Lambert Incorporated 4/14-16/88 - Reimbursement for air travel from Washington, D. C., to Los Angeles, California, and return, two nights lodging and meals and reimbursement of miscellaneous expenses in connection with Conference and Panel Participation.

GPO: 1987 [illegible]

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THOMAS J. BLILEY, JR.
3d DISTRICT, VIRGINIA

MEMBER OF
COMMITTEE ON ENERGY
AND COMMERCE
COMMITTEE ON THE DISTRICT
OF COLUMBIA
SELECT COMMITTEE ON
CHILDREN, YOUTH, AND FAMILIES

Congreſs of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE
213 CANNON OFFICE BUILDING
(202) 225-2815

DISTRICT OFFICE
SUITE 101
4914 FITZHUGH AVENUE
RICHMOND, VA 23230
(804) 771-[illegible]

May 17, 1989

Honorable Donnald K. Anderson, Clerk
House of Representatives
Washington, D. C. 20515

MC

Dear Mr. Anderson:

This letter is to amend my Financial Disclosure Statement which was recently filed with your office pursuant to the Ethics in Government Act of 1978 (2 U.S.C. §§701-709).

There are two name changes involved in my Statement. AZP Group, Inc. was changed to Pinnacle West Capital Corporation, and United Virginia Bankshares was changed to Crestar Financial Corporation.

Pinnacle West Capital Corporation should have been listed under Part III HOLDINGS, Category B.

Under Part IV TRANSACTIONS the following should be added:

		Date	Category
Bought	Stock - Contel Corporation	9/23/88	B
Bought	Stock - Ethyl Corporation	10/17/88	B
Bought	Bonds - Norfolk Va., West Cant.	3/3/88	B

If you need any further information, please let me know.

Sincerely,

Tom Bliley
THOMAS J. BLILEY, JR.

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

SHERWOOD L. BOEHLERT
(Full Name)

20 Stone Bridge Road
(Mailing Address)

New Hartford, New York 13413

MC (Office Use Only)

'89 MAY 12 PM 3 09

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 25th State NEW YORK

☐ Officer or Employee—Employing Office ______________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
ASTA Conf., Miami, Florida 1/22/88	Honoraria	1,000	None
Nat'l Assn. Independ. Colleges & Universities Wash., D. C. 2/4/88	Honoraria	1,000	None
ASTA Convention, San Diego, Calif. 3/25/88	Honoraria	1,000	None
Tobacco Inst., Wash., D. C. 4/13/88 (cont'd)	Honoraria	1,000	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
GPO Credit Union	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ [✓ NO] NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
NBT COMMON STOCK	A
RUBBERMAID COMMON STOCK	A
MARIETTA COMMON STOCK	A
UTICA BASEBALL CLUB, INC., LIMITED PARTNERSHIP	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
ASTA Conf., Miami, Florida (speaking engagement) 1/22/88	Airfare and lodging
Nat'l SSC Symposium, Denver, Colo. (speaking engagement)	Travel
ASTA CONF., SAN DIEGO, 3/25/88 (speaking engagement)	Airfare and lodging (1 night)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
LIMITED PARTNER	UTICA BASEBALL CLUB, INC.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Sherwood Boehlert	May 11, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Sherwood L. Boehlert
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II - Income				
SOURCE		TYPE	AMT.	DISPOSITION
Nat'l Concrete Masonry Assn., Herndon, Va. 4/19/88		Honoraria	1,500	None
The Maxwell School, Syracuse, N.Y. 6/5/88		Honoraria	500	None
Nat'l SSC Symposium, Denver, Colo. 12/4/87		Honoraria	500	None

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U.S. HOUSE OF REPRESENTATIVES

SHERWOOD BOEHLERT
25th District, New York

COMMITTEES:
SCIENCE, SPACE, AND TECHNOLOGY
PUBLIC WORKS AND TRANSPORTATION
SELECT COMMITTEE ON AGING

NORTHEAST-MIDWEST CONGRESSIONAL COALITION
U.S. DELEGATION INTERPARLIAMENTARY UNION

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE:
1127 LONGWORTH HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-3665

CENTRAL OFFICE:
ALEXANDER PIRNIE FEDERAL BUILDING
10 BROAD STREET
UTICA, NY 13501
(315) 793-8146

TOLL FREE: 1-800-235-2525

May 18, 1989

MC ✓

The Clerk
U. S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D. C. 20515

Dear Sir:

In response to your letter of May 15, 1989 posing a question regarding my Financial Disclosure Statement for 1988, I am pleased to provide an explanation.

On the accompanying Financial Disclosure Checklist, Part IV, numbers 6 and 7 in reference to my Marietta Common Stock: the stock was acquired on November 3, 1987 @ $8.00 per share or a total of $400.00 for the 50 shares acquired. Since the Disclosure Form Section IV states in the general guidelines that a report on the "purchase, sale or exchange.... which exceeds $1,000" must be reported, the aforementioned transaction did not apply since it was only 50 shares at the rate of $8.00 per share for a total of $400.00. ✓

On the date that I filed my 1988 Financial Disclosure Statement, May 11, 1989, Marietta was selling for $20 and 1/2 which meant that the total value of my 50 shares for the first time exceeded $1,000 and therefore should be reported.

I trust this is a satisfactory explanation but if anything more is required, please let me know.

Sincerely,

Sherwood Boehlert

Sherwood Boehlert
Member of Congress

SB:v

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

LINDY (MRS. HALE) BOGGS
(Full Name)

2353 RAYBURN BUILDING
(Mailing Address)

WASHINGTON, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2nd State LOUISIANA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA N/A

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
(SEE CONTINUATION SHEET 1)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
(SEE CONTINUATION SHEET 2)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See,* Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA __X__

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
(SEE CONTINUATION SHEETS 3 AND 4)	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
(SEE CONTINUATION SHEET 5)	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
MARTIN MARIETTA - 3/11/88	TRANSPORTATION FROM NEW ORLEANS, LA. TO ST. AUGUSTINE, FL. VIA PRIVATE AIRCRAFT FOR PARTICIPATION IN A FUND RAISING DINNER FOR U.S. REPRESENTATIVE BILL CHAPELL, (FIRST CLASS AIRFARE VALUED AT ($311)

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
(SEE CONTINUATION SHEET 6)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
(SEE CONTINUATION SHEET 7)	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Lindy (Mrs. Hale) Boggs	May 11, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 7

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

LINDY (MRS. HALE) BOGGS
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
II-A	National Ocean Industries Association - Hondrarium Diverted to Aquarium of the Americas - 12/88 - $500
II-A	Civil Service Retirement System, Survivor Annuity, 1988, $52,128

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Page 2 of 7

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

LINDY (MRS. HALE) BOGGS
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
II-B	Note Receivable from M/M Aubrey Lewis, Interest	A
II-B	Note Receivable from M/M Roosevelt Lewis, Interest	A
II-B	Note Receivable from Mr. Robert Morrison, Interest	C
II-B	Tax Exempt Western Bond Fund, Interest	B
II-B	Maricopa Country, Arizona, Salt River Project Agricultural Improvement and Power District Revenue Bonds @ 6.125% Due 1-01-17, Interest	B
II-B	Pennsylvania Commonwealth Gen. Obligations 1st Series S of 1973 Bonds @ 5.50% Due 10-15-98, Interest	B
II-B	Nashville and Davidson Counties Tennessee Metropolitan Government Water & Sewer Rev. Bonds @ 4.50%, Due 4-01-02, Interest	B
II-B	Nebraska Public Power District Electric Systems Rev. Bonds Series A @ 6.0%, Due 1-1-04, Interest	B
II-B	Massachusetts Metropolitan Water District Bonds @ 4.25%, Due 11-01-07, Interest	B
II-B	Georgia State Municipal Electric Authority Power Rev. Bonds @ 6.125%, Due 1-01-12, Interest	B
II-B	Chelan County, Washington Public Utility District #1 Columbia River-Rock Island Hydro-Electric System Bonds @ 3.75%, Due 12-01-12, Interest	A
II-B	Douglas County, Washington, Public Utliity District #1 Wells Hydro-Electric REv. Bonds @ 4.0%, Due 9-01-18, Interest	A
II-B	Property located at 623 Bourbon Street, New Orleans, Louisiana, Gross Rents	C
II-B	Acreage located in Pointe Coupee Parish, Louisiana, Gross Rents	C
II-B	Capital Gain - Realized Profit on installment collections on note receivable from M/M Steven V. Roberts received in 1988 from the sale of former residence at 5315 Bradley Blvd., Bethesda, Maryland	B

Page 3 of 7

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

LINDY (MRS. HALE) BOGGS
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III	Chelan County, Washington P.U.D. #1 H/EL Rev. Bonds @ 3.75%, Due 12/01/12	C
III	Douglas County, Washington P.U.D.#1 H/EL Rev. Bonds @ 4.00%, Due 9/01/18	C
III	Georgia State Municipal Elect Auth. Power Rev. Bonds @ 6.125%, Due /01/12	C
III	Massachusette Metro Water Bonds @ 4.25%, Due Due 11/01/07	C
III	State of Tennessee - Nashville and Davidson Counties Metro Gvt. Water & Sewer Rev. Bonds @ 4.5% Due 4/01/02	C
III	Pennsylvania Commonwealth Gen. Obligation 1st Series S of 1973 Bonds @ 5.50%, Due 10/15/98	C
III	Nebraska Public Power District Electric System Rev. Bonds @ 6%, Due 1/01/04	C
III	Maricopa County, Arizona Salt River Project Agricultural Impr. & Power Dist. Rev. Bonds @ 6.125% Due 1/01/17	C
III	Note Receivable from M/M Aubrey C. Lewis	A
III	Note Receivable from M/M Roosevelt Lewis, Jr.	A
III	Deferred Annuity Contract with Life Insurance Co. of NOrth America	D
III	One-hundred and fifty-nine acres of farmland located in Pointe Coupee Parish, Louisiana	F
III	Improved lot located in New Roads, Louisiana	B
III	1/20 interest in four hundred and six unimproved acres located in Pointe Coupee Parish, Louisiana	C
III	1/19 interest in two hundred and eighty-two un- improved acres in Pointe Coupee Parish, Louisiana	C
III	Personal residence (Partially Rented) at 623 Bourbon St., New Orleans, Louisiana	F
III	Funds on deposit with Fidelity Tax - Exempt Trust	A
III	Funds on deposit with Tax Exempt Western	D
III	Money Market Investment Account with First American Bank, Washington, D.C.	A
III	Funds on Deposit with American Bank and Trust Company, New Orleans, Louisiana	A
III	One unimproved lot located in Long Beach, Mississippi. Assessed Value for Tax Purposes - $15,000	

Page 4 of 7

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

LINDY (MRS. HALE) BOGGS
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
III	One unimproved lot located in long Beach, Mississippi Assessed Value for Tax Purposes - $11,000
III	One unimproved lot located in Long Beach, Mississippi Assessed Value for Tax Purposes - $6,000

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Page 5 of 7

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

LINDY (MRS. HALE) BOGGS
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
V	Mortgage in favor of Guaranty Savings and Homestead Association (rental portion of personal residence)	C
V	Life Insurance Company of North America	D

8 9 0 7 0 2 3 1 1 6 2

Page 6 of 7

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

LINDY (MRS. HALE) BOGGS
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
VII	Hardee's Food Systems 5/9-10/88
	Commercial airfare from New Orleans, LA to Charlotte, NC for address to My Sister's House (abuse shelter) $288
	Transportation from Charlotte, NC to Rocky Mount, NC (airfare valued at $99) to Washington, DC (first class airfare valued at $205) via private aircraft for participation in above-named trip
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	Additional airfare from Kansas City, MO to New Orleans, LA in re above-named trip was not reimbursed until 4/1/89 $109
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	Commercial airfare from Washington, DC to Denver, CO to New Orleans, LA for participation in Chamber Congressional Forum $520
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	Commercial airfare from Washington, DC to New York, NY to New Orleans, LA for address at College Honors Convocation $211
VII	Smith College 11/6-7/88
	Commercial roundtrip airfare between New Orleans, LA and Hartford, CT/Springfield, MA for address at college $786

Page 7 of 7

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

LINDY (MRS. HALE) BOGGS
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
VIII	Tulane University President's Council Member
VIII	Wildlife Preservation Trust International, Inc. Member of Board of Directors

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Lindy (Mrs. Hale) Boggs
(Full Name)

2353 Rayburn Building
(Mailing Address)

Washington, D.C. 20515

✓
MC
(Office Use Only)

MAY 15 28

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District 2nd State Louisiana

☐ Officer or Employee—Employing Office ______

☒ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA __N/A__

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
(SEE CONTINUTATION SHEET 1)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
(SEE CONTINUATION SHEET 2)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _____ NA X

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
(SEE CONTINUATION SHEETS 3 AND 4)	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
(SEE CONTINUATION SHEET 5)	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
MARTIN MARIETTA - 3/11/88	TRANSPORTATION FROM NEW ORLEANS, LA, TO ST. AUGUSTINE, FL. VIA PRIVATE AIRCRAFT FOR PARTICIPATION IN A FUND-RAISING DINNER FOR U.S. REPRESENTATIVE BILL CHAPPELL (FIRST CLASS AIRFARE VALUED AT $311)

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
(SEE CONTINUATION SHEET 6)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
(SEE CONTINUATION SHEET 7)	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Lindy (Mrs. Hale) Boggs	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 7

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

LINDY (MRS. HALE) BOGGS
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
II-A	National Ocean Industries Association - Hondrarium Diverted to Aquarium of the Americas - 12/88 - $500
II-A	Civil Service Retirement System, Survivor Annuity, 1988, $52,128

[illegible]

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Page 2 of 7

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

LINDY (MRS. HALE) BOGGS
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
II-B	Note Receivable from M/M Aubrey Lewis, Interest	A✓
II-B	Note Receivable from M/M Roosevelt Lewis, Interest	A✓
II-B	Note Receivable from Mr. Robert Morrison, Interest	C
II-B	Tax Exempt Western Bond Fund, Interest	B
II-B	Maricopa Country, Arizona, Salt River Project Agricultural Improvement and Power District Revenue Bonds @ 6.125% Due 1-01-17, Interest	B✓
II-B	Pennsylvania Commonwealth Gen. Obligations 1st Series S of 1973 Bonds @ 5.50% Due 10-15-98, Interest	B✓
II-B	Nashville and Davidson Counties Tennessee Metropolitan Government Water & Sewer Rev. Bonds @ 4.50%, Due 4-01-02, Interest	B✓
II-B	Nebraska Public Power District Electric Systems Rev. Bonds Series A @ 6.0%, Due 1-1-04, Interest	B✓
II-B	Massachusetts Metropolitan Water District Bonds @ 4.25%, Due 11-01-07, Interest	B✓
II-B	Georgia State Municipal Electric Authority Power Rev. Bonds @ 6.125%, Due 1-01-12, Interest	B✓
II-B	Chelan County, Washington Public Utility District #1 Columbia River-Rock Island Hydro-Electric System Bonds @ 3.75%, Due 12-01-12, Interest	A✓
II-B	Douglas County, Washington, Public Utliity District #1 Wells Hydro-Electric REv. Bonds @ 4.0%, Due 9-01-18, Interest	A✓
II-B	Property located at 623 Bourbon Street, New Orleans, Louisiana, Gross Rents	C
II-B	Acreage located in Pointe Coupee Parish, Louisiana, Gross Rents	C
II-B	Capital Gain - Realized Profit on installment collections on note receivable from M/M Steven V. Roberts received in 1988 from the sale of former residence at 5315 Bradley Blvd., Bethesda, Maryland	B

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Page 1 of 7

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

LINDY (MRS. HALE) BOGGS
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III	Chelan County, Washington P.U.D. #1 H/EL Rev. Bonds @ 3.75%, Due 12/01/12	C
III	Douglas County, Washington P.U.D.#1 H/EL Rev. Bonds @ 4.00%, Due 9/01/18	C
III	Georgia State Municipal Elect Auth. Power Rev. Bonds @ 6.125%, Due /01/12	C
III	Massachusette Metro Water Bonds @ 4.25%, Due Due 11/01/07	C
III	State of Tennessee - Nashville and Davidson Counties Metro Gvt. Water & Sewer Rev. Bonds @ 4.5% Due 4/01/02	C
III	Pennsylvania Commonwealth Gen. Obligation 1st Series S of 1973 Bonds @ 5.50%, Due 10/15/98	C
III	Nebraska Public Power District Electric System Rev. Bonds @ 6%, Due 1/01/04	C
III	Maricopa County, Arizona Salt River Project Agricultural Impr. & Power Dist. Rev. Bonds @ 6.125% Due 1/01/17	C
III	Note Receivable from M/M Aubrey C. Lewis	A
III	Note Receivable from M/M Roosevelt Lewis, Jr.	A
III	Deferred Annuity Contract with Life Insurance Co. of NOrth America	D
III	One-hundred and fifty-nine acres of farmland located in Pointe Coupee Parish, Louisiana	F
III	Improved lot located in New Roads, Louisiana	B
III	1/20 interest in four hundred and six unimproved acres located in Pointe Coupee Parish, Louisiana	c
III	1/19 interest in two hundred and eighty-two unimproved acres in Pointe Coupee Parish, Louisiana	C
III	Personal residence (Partially Rented) at 623 Bourbon St., New Orleans, Louisiana	F
III	Funds on deposit with Fidelity Tax - Exempt Trust	A
III	Funds on deposit with Tax Exempt Western	D
III	Money Market Investment Account with First American Bank, Washington, D.C.	A
III	Funds on Deposit with American Bank and Trust Company, New Orleans, Louisiana	A
III	One unimproved lot located in Long Beach, Mississippi. Assessed Value for Tax Purposes - $15,000	

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Page 4 of 7

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

LINDY (MRS. HALE) BOGGS
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
III	One unimproved lot located in long Beach, Mississippi Assessed Value for Tax Purposes - $11,000
III	One unimproved lot located in Long Beach, Mississippi Assessed Value for Tax Purposes - $6,000

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Page 5 of 7

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

LINDY (MRS. HALE) BOGGS
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
V	Mortgage in favor of Guaranty Savings and Homestead Association (rental portion of personal residence)	C
V	Life Insurance Company of North America (funds borrowed against personal deferred annuity contract)	D

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Page 6 of 7

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

LINDY (MRS. HALE) BOGGS
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
VII	Hardee's Food Systems 5/9-10/88
	Commercial airfare from New Orleans, LA to Charlotte, NC for address to My Sister's House (abuse shelter) $288
	Transportation from Charlotte, NC to Rocky Mount, NC (airfare valued at $99) to Washington, DC (first class airfare valued at $205) via private aircraft for participation in above-named trip
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Page 7 of 7

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

LINDY (MRS. HALE) BOGGS
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
VIII	Tulane University President's Council Member
VIII	Wildlife Preservation Trust International, Inc. Member of Board of Directors

INSIDE MAIL

LINDY (MRS. HALE) BOGGS, M.C.
2D DISTRICT LOUISIANA
COMMITTEES
APPROPRIATIONS
SELECT COMMITTEE ON CHILDREN YOUTH AND FAMILIES
CHAIR, TASK FORCE ON CRISIS INTERVENTION
NANCY McGEOWN
ADMINISTRATIVE ASSISTANT

Congress of the United States
House of Representatives
Washington, DC 20515-1802

WASHINGTON OFFICE
2353 RAYBURN BUILDING
WASHINGTON DC 20515-1802
(202) 225-6636
DISTRICT OFFICE
1012 HALE BOGGS FEDERAL BUILDING
500 CAMP STREET
NEW ORLEANS LA 70130-3385
(504) 589[illegible]74

1989 JUN -2 AM 7:37
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

June 1, 1989

✓
MC

The Honorable Donnald K. Anderson
Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

Dear Donn:

I am writing to correct an inadvertent error which was included in my 1988 Financial Disclosure Statement submitted on May 15, 1989 and amended on May 19, 1989.

On page 3 of the disclosure form, I reported the receipt of a gift of air transportation from Martin Marietta on March 11, 1988 from New Orleans, Louisiana to St. Augustine, Florida. The reason for my travel was to participate in a campaign appreciation dinner for the late Representative Bill Chappell.

On reviewing the Instructions for Completing the Financial Disclosure Statement, I realized that the transportation in question should be excluded from the disclosure requirements of the Ethics in Government Act since it was campaign related. Rather, the transportation should be reported by the campaign benefiting from the travel under provisions of the Federal Election Campaign Act. I, therefore, would like to amend my 1988 Financial Disclosure Statement accordingly.

With warm regards,

Sincerely,

Lindy

Lindy (Mrs. Hale) Boggs, M.C.

LB:amp

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

MAY 15 PM 2 21

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

David Edward Bonior
(Full Name)

38157 Radde
(Mailing Address)

Mt. Clemens, Michigan 48043

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 12 State Michigan

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. ***Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.***

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Honorarium contributed to charity			$1,500
2/06/88 The New York Times - Op Ed piece	Fee	$ 150.00	
1/08/88 Bay Distributors	Honoraria	1500.00	
1/11/88 Barnett Banks	Honoraria	1624.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman Congressional Federal Credit Union	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
NONE	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether these expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Industrial Union Department (AFL-CIO) - speech	Airfare DC-Los Angeles-DC and 2 days food and lodging
Barnett Banks - speech	Airfare DC-Ft. Lauderdale-DC
Comex, Inc. - speech	Airfare DC-NYC-DC and 1 day food and lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 12.

POSITION	NAME OF ORGANIZATION
Trustee	Gallaudet University

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
David E. Bonior	May 1

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

David E. Bonior
(Name)

Continuation Sheet

Part II. INCOME — Source, Type, Amount, Category, Description or Value (As Applicable)

EARNED INCOME

2/25/88	Comex, Inc.	Honoraria	$ 1000.00
3/05/88	CRT (Chicago Research Trading Group)	Honoraria	2000.00
3/07/88	American Steamship	Honoraria	1750.00
3/12/88	Chicago Mercantile Exchange	Honoraria	1000.00
3/19/88	American Medical Association	Honoraria	2000.00
3/20/88	Interstate Natural Gas	Honoraria	2000.00
3/24/88	Malcolm Knapp, Inc.	Honoraria	2000.00
4/2-3/88	Ocean Spray Cranberries	Honoraria	1000.00
4/04/88	American Cyanamid	Honoraria	1000.00
4/07/88	Connell Rice and Sugar	Honoraria	2000.00
4/12/88	Iowa Bankers Association	Honoraria	1000.00
4/13/88	RJR Nabisco	Honoraria	2000.00
4/15/88	Kansas City Southern	Honoraria	1500.00
4/15/88	Pfizer, Inc.	Honoraria	1000.00
4/18/88	Capitol Forum	Honoraria	500.00
4/30/88	National Cable Television Association	Honoraria	1500.00
6/05/88	The New England	Honoraria	2000.00

Part VII. REIMBURSEMENTS

SOURCE	BRIEF DESCRIPTION
American Steamship - speech	Airfare Detroit-Buffalo-DC and 1 day food and lodging
Chicago Mercantile Exchange - speech	Airfare DC-West Palm Beach-DC and 2 days food and lodging
Interstate Natural Gas - speech	Airfare DC-West Palm Beach-DC and 1 day food and lodging
American Medical Association - speech	3 days food and lodging in Florida
National Wildlife Federation - receive award	Airfare Florida-New Orleans-Florida
Malcolm M. Knapp, Inc. - speech	Airfare Detroit-Phoenix-Detroit and 1 day lodging
Cassidy and Associates - speeches on 2 days	Airfare DC-Kansas City-DC and 4 days food and lodging
Connell Rice and Sugar - speech	Airfare DC-Newark-DC
John Schuster Assoc. - speech	Airfare DC-Kansas City-DC
The New England - speech	Airfare DC-Boston-DC and 2 days food and lodging

H 9 J / 1 2 6 2 5 7 5

DAVID E. BONIOR
[illegible]

[illegible]

Congreſs of the United States
House of Representatives
Washington, DC 20515

[illegible]

May 10, 1989

The Honorable Julian C. Dixon
Chairman
Committee on Standards of Official Conduct
U.S. House of Representatives
Washington, D.C. 20515

Dear Mr. Chairman:

While reviewing the data required for my 1988 Financial Disclosure Statement, I discovered a $174.00 error in arithmetic.

In order to correct this error, I have made an additional contribution to charity. Enclosed you will find a copy of my check made payable to Focus Hope.

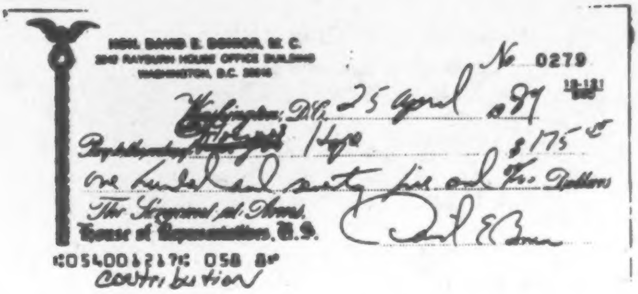
Thank you.

Sincerely,

David E. Bonior
Member of Congress

DEB/pms

A 9 0 7 0 2 4 2 3 7 5



HON. DAVID E. BONIOR, M. C.
[illegible] RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, D.C. 20515

No 0279

Washington, D.C. 25 April 1987

15-121/540

~~Philadelphia~~ Hope $175.00

One hundred and seventy five and 00/100 Dollars

The Sergeant at Arms,
House of Representatives, U.S.

David E Bonior

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contribution

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Robert A. Borski
(Full Name)

314 Cannon HOB
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

1989 MAY 15 PM 1:22
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3 State Pennsylvania

☐ Officer or Employee—Employing Office ____________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,968, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION	REC
Regular Common Carrier Conference	Honorarium	$2000		2/19/88
Association of Oil Pipelines	Honorarium	$1000		4/26/88
Natl Motor Freight Traffic Assn	Honorarium	$2000		4/28/88
American Trucking Associations	Honorarium	$1000		5/9/88
Natl Restaurant Association	Honorarium	$1000		5/10/88

continued on page 5

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Savings - Home Unity Bank, Phil. PA	Interest	A
Savings - Fed. Congressional Credit Union DC	Interest	A
Installment Sale of 1208 Pike Street, Phil, PA	Interest	A
Installment Sale of 1208 Pike Street, Phil, PA	Cap. Gain	A
* See amendment letter to 1987		
Disclosure statement for details		
of Pike Street sale.		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
None	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

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For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Regular Common Carrier Conference - Speech	Airfare, meals lodging.
Natl Restaurant Assn - Speech	Airfare, meals lodging.
American Sugar Cane League - Speech	Airfare & meal.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Robert G.	5-15-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Robert A. Borski
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II A.	Earned Income continued			
	AT&T	Honorarium	$2000	6/24/88
	The Larmar Corporation	Honorarium	$1000	9/16/88
	Conrail	Honorarium	$1000	12/8/88
	American Sugar Cane League	Honorarium	$1000	12/12/88
	Camp Barsh, Bates & Tate	Honorarium	$1000	12/15/88
	Thompson & Company	Honorarium	$1000	12/22/88
	CSX Corporation	Honorarium	$ 750	12/30/88

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

DOUGLAS H. BOSCO
(Full Name)

225 Cannon H.O.B.
(Mailing Address)

Washington, DC 20515

MC
(Office Use Only)

MAY 25 [illegible] 3: 37

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 01 State CA

☐ Officer or Employee—Employing Office __________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES _____ NO XX NA _____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 80% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Spouse, Sonoma Co. Municipal Court	Salary	—	—
Nat. Ocean Industires Assoc. 4-12-88	Honorarium	$500	Charity
Gannett Outdoor of S.Calif. 4-27-88	Honorarium	$2,000	Charity
Air Transport Assoc. 5-18-88	Honorarium	$1,000	Charity
Assem.of Turkish Amer.Assoc. 5-20-88	Honorarium	$500	Charity

(continued-See page 5)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Interest from note on sale of option, Kawana Springs Rd., Sonoma Cty.,Dividend Profit Sharing Trust	Interest	E
Wycoff, sale of real property 1820 Slater St., Santa Rosa, CA	Cap.Gain	G
Peggy Kruse, Brenda Richardson, tenants, King St. Santa Rosa, CA	rent	D

(continued-See page 5)

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Note, Dividend Profit Sharing Trust	F
Real Property, Linwood Ave., Sonoma Cty., CA	F
Guynup Partnership, real property, Humboldt, Trinity Co.	B
Sun Energy, Stock	B
CSX Corp., Stock	B
Waste Management, Stock	B

(continued-See page 5)

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of real property located at 1820 Slater St., Santa Rosa	10/88	E
Sale of real property located at 425 Carillo St., Santa Rosa	7/88	F
Purchase real property, 2375 Linwood Ave., Sonoma Cty.	12/88	F

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Wright Patman Cong. Credit Union	B
Novato National Bank	F
Cypress Financial Inst., Pasadena	E
West America Bank	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
-None-	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Numerous wedding gifts of various values. Applicationfor reporting exemption filed with Committee.		
Glenn Smith, Santa Rosa	Ticket to reception for Gov.	$100.00

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
National Assoc. of Manufacturers	Airfare DC/Monterey/San Francisco and one night's lodging & meals
Connell Rice & Sugar Foreign Policy Institute	Airfare for Member & Spouse, DC/Newark RT airfare San Fran/Istanbul, 9 nights lodging & meals for Member & spouse

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Qugmp Partnership

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	-None-	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 25, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1988 85-737

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Douglas H. Bosco
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*			
II A.	Mitsubishi Electronics 9-22-88	Honorarium	$2,000	Charity
	Nat. Assoc. of Manufacturers 10-14-88	Honorarium	$1,000	Charity
	Connell Rice & Sugar 12-8-88	Honorarium	$2,000	Charity
II B.	Kathy LeBicki, Karen Beam, Diane Matthews, tenants, Humboldt St., Santa Rosa, CA		Rent	D
III	Real property, 1820 Slater St., Santa Rosa, CA			E
	Real property, 1481 King St., Santa Rosa, CA			E
	Real property, 1896-Humboldt St., Santa Rosa, CA			E
	Real property, 425 Carillo St., Santa Rosa, CA			F

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OFFICE OF RECORDS & REGISTRATION
1989 JUN -6 AM 11: 28
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED

DOUGLAS H. BOSCO
1ST DISTRICT, CALIFORNIA

COMMITTEES:
PUBLIC WORKS
AND TRANSPORTATION
MERCHANT MARINE
AND FISHERIES

Congreſs of the United States
House of Representatives
Washington, DC 20515

225 CANNON BUILDING
WASHINGTON, DC 20515
(202-225-3311)

SUITE 329
FEDERAL BUILDING
777 SONOMA AVENUE
SANTA ROSA, CA 95404
(707-576-1400)

THE EUREKA INN
SUITE 216
7TH AND F STREETS
EUREKA, CA 95501
(707-445-2058)

June 6, 1989

Honorable Julian Dixon
Office of Records & Registration
1036 Longworth H.O.B.
Washington, DC 20515

✓
HC

Dear Chairman Dixon:

I hereby request leave to amend my 1988 Disclosure Statement, to include three liabilities mistakenly excluded.

The continuation sheet should reflect:

Part V: Joel Jessel note Category E
Gail Jameson note Category E
Kellie Cassady note Category E

None of these obligations was payable in 1988; however, the obligations were incurred on December 19, 1988. I did not receive copies of these notes until February of 1989 and mistakenly filed them with records applicable to the 1989 statement. I would request that the above statement be included with my report when it is made public.

Sincerely,

Doug

DOUGLAS H. BOSCO
Member of Congress

DHB:gmt

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UNITED STATES HOUSE OF REPRESENTATIVES

MAY 15 1989

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Frederick C. Boucher
(Full Name)

428 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

1989 MAY 16 AM 8 16
OFFICE OF RECORDS & REGISTRATION
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 9th State Virginia

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ___ NO ___ NA x

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
First Virginia Bank - Damascus	Director's Fees	$1,800.00	
Congressman Boucher does not accept payment for his speaking services.			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Appendix #1		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Appendix #2	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Appendix #3		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: *Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.*

For more information, see detailed Instruction Booklet at page 12

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Appendix #4	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13

POSITION	NAME OF ORGANIZATION
Member - Board of Directors	First Virginia Bank - Damascus

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Frederick C. Boucher	May 8, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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UNITED STATES HOUSE OF REPRESENTATIVES

MAY 09 1989

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Frederick C. Boucher
(Full Name)

428 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

1989 MAY 17 AM 8:08

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 9th State Virginia

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,086, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA x

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
First Virginia Bank - Damascus	Director's Fees	$1,800.00	
Congressman Boucher does not accept payment for his speaking services.			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Appendix #1		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Appendix #2	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Appendix #3		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Appendix #4	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Member - Board of Directors	First Virginia Bank - Damascus

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Frederick C. Boucher	May 8, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

APPENDIX #1

STATEMENT OF UNEARNED INCOME

B. Source

Sovran Bank	various deposits	interest	A
First Virginia Bank	various deposits	interest	D
Westinghouse Corporation	common stock	dividends	A
Crestar Bank	common stock	dividends	A
Boucher campaign	equipment rental		B
First Virginia Bank	common stock	dividends	A.
Westinghouse Corporation	common stock sale	capital gains	A
Johnson and Johnson	common stock sale	capital gains	A

APPENDIX #2

HOLDINGS

IDENTITY		
First Virginia Bank	savings account	D
First Virginia Bank	preferred stock	B
First Virginia Bank	IRA	C
Lot in the Town of Damascus, Virginia		B
Amounts contributed to federal retirement plan		C
First Virginia Bank	common stock	C
Westinghouse Electric Corporation	common stock	B
Crestar Bank	common stock	A

APPENDIX #3

TRANSACTIONS

Brief Description		Date	Category
Johnson and Johnson	stock purchase	01/11/88	B
Johnson and Johnson	stock sale	02/10/88	B
Westinghouse Electric Corporation	stock sale	10/18/88	B

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APPENDIX #4

REIMBURSEMENTS

Source	Brief Description
Virginia Coal Council	Transportation from Abingdon, Virginia to Norfolk, Virginia in association with speech to the annual membership meeting.
Amvest Corporation	One night's lodging and transportation from Abingdon, Virginia to Charlottesville, Virginia in association with speech to the annual members' meeting.
C&P Telephone Company	Transportation from Washington, D.C. to Norton, Virginia for speech to company's employees.
Securities Industry Association	Transportation from Abingdon, Virginia to Boca Raton, Florida and two nights lodging in association with speech at annual membership meeting.
Richlands Chamber of Commerce	Transportation from Washington, D.C. to Richlands, Virginia in association with speech at annual Coal Appreciation Day.
United States League of Postmasters	Transportation from Abingdon, Virginia to Norfolk, Virginia and one night's lodging in association with speech to National Convention of Postmasters.
Japan Society of New York	Transportation from Washington, D.C. to Tokyo and six nights lodging in association with legislative exchange visit between American members of Congress and the Japanese Diet.
Tobacco Institute	Transportation and two nights lodging in association with speech to the annual Tobacco Institute legislative conference in Rancho Mirage, California.

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National Association of Broadcasters	Transportation and three nights lodging in association with speech to the mid-winter board meeting of the National Association of Broadcasters in Hawaii.

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Hon. Barbara Boxer
(Full Name)
307 Cannon HOB
(Mailing Address)
Washington, D.C. 20515

HC (Office Use Only)

89 MAY -3 PM 4: 36
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6th State CA.

☐ Officer or Employee—Employing Office ________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT–2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO xx NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Stewart Boxer, spouse	partnership draw		
Barbara Boxer	salary		
"	honoraria	$14,500.	
(see continuation sheet)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calender year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Ralston-Purina	dividend	A
Public Storage Properties XVIII Ltd.	"	A
QSR Income Properties Ltd.	"	A
Technology Funding Partners III	"	A
Pioneer Group II	"	B
Fidelity Puritan Fund	"	A
(see continuation sheet)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
3% interest/office bldg./Barclay's Plaza/171 12th St.	D
Oakland(limited partnership)	
3 EC Partnership-Commodities partnership/limited partnership	B
Herring & Worley-real estate / limited partner/FMBB Assoc.	C
Rattray & Assoc. - real estate limited partner	C
Source Technology stock	B

(see continuation sheet)

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
1305 SW Blvd., Rohnert Park 1/2 interest sale	10/88	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Boxer, Elkind, Gerson, Inc. pension and profit sharing	C
Mortgage/ 1305 SW Blvd. Rohnert Park/Great Western Savings	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
American Association of Nurse Executives	SF-LasVegas-N.Y. airfare for speech in Las Vegas
American Hospital Association	L.A.-Washington airfare for speech in L.A.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	NONE

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 3, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Hon. Barbara Boxer
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
IIA earned income	Honorarium	2/7	AFSCME, Seattle	$1000.
	"	4/8	American Podiatric Medical Assoc.	$1000.
	"	5/18	Magnavox Gov't. & Industrial Electronics Corporation	$2000.
	"	5/23	American Association of Nurse Executives	$2000.
	"	5/24	Babcock and Brown	$1500.
	"	6/4	Marin Medical Society	$ 500.
	"	6/17	American Hospital Assoc.	$2000.
	"	8/23	California State Soroptimists	$1000.
	"	9/30	Opthamology PAC	500.
	"	10/23	Mortgage Bankers Assoc.	$1000.
	"	11/15	Matson Navigation	$2000.

IIB unearned income	Massachussetts Cash Management	interest	A
	Wells Fargo Bank	"	B
	First Nationwide Savings	"	C
	West America Bank	"	A
	MFS Managed Muni Bond Trust	"	D
	1305 SW Blvd. Rohnert Park	rent	A
	Apple Computer	dividend	A

III holdings	Drevers Grand Ice Cream Corp. Stock	B
	Drexler Corp. Stock	B
	Ralston Purina stock	A
	Pollock Partners/Pollock Scottsdale Investors limited partner	C
	Massachusetts Cash Management	A
	Massachusetts Financial Services Managed Muni Bond Trust	E
	Genentech Corp. stock	B
	Apple Computer Corp. stock	B
	Technology Funding Partners III	C
	Pioneer Group II	C
	North American Life Ins. Co.	C
	Compass II	C
	Capital Life Ins. Co.	D
	Public Storage Properties XVIII Ltd.	C
	OSR Income Properties Ltd.	C
	1305 SW Blvd. Rohnert Park	C

GPO: [illegible]

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BARBARA BOXER
6TH DISTRICT, CALIFORNIA

COMMITTEE ON THE BUDGET
HUMAN RESOURCES TASKFORCE CHAIRMAN

COMMITTEE ON GOVERNMENT OPERATIONS

SELECT COMMITTEE ON CHILDREN, YOUTH, AND FAMILIES

COMMITTEE ON ARMED SERVICES
(ON LEAVE)

MILITARY REFORM CAUCUS
CO-CHAIRMAN

WHIP AT LARGE

Congreſs of the United States
House of Representatives
Washington, DC 20515

307 CANNON BUILDING
WASHINGTON, DC 20515
(202) 225-5161

DISTRICT OFFICES:

SAN FRANCISCO
450 GOLDEN GATE AVENUE
SAN FRANCISCO, CA 94102
(415) 626-6943

MARIN
3301 KERNER BLVD.
SAN RAFAEL, CA 94901
(415) 457-7272

VALLEJO
(707) 552-0720

SONOMA
(707) 763-8033

May 22, 1989

✓

MC

23 2:03

Hon. Donnald Anderson
Clerk of the House
Records and Registration
1036 Longworth HOB
Washington, D.C. 20515
HAND DELIVERED

Dear Mr. Anderson:

Please consider this letter an amendment to my Financial Disclosure Statement for the year 1988.

In Part IIB, Unearned Income, delete the listing for Fidelity Puritan Fund. In Section III, Holdings, add Wells Fargo Checking Account, Category B; and add First Nationwide Savings (short-term CD's) Category E. Both Gifts Parts, VI A and B should indicate none, and the trust holdings box in Part III should be checked "no."

Thank you for your attention. Should you have any questions, please call me.

Sincerely,

BARBARA BOXER
Member of Congress

BB/sc

[illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

89 MAY 15 PM 3 02

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

JOSEPH EDWARD BRENNAN
(Full Name)

45 EASTERN PROMENADE
(Mailing Address)

PORTLAND, ME 04101

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1st State ME

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,[illegible], as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
NO EARNED INCOME EXCEPT			
CONGRESSIONAL SALARY			
NO HONORARIA ACCEPTED			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
CALVERT MUTUAL FUND	CAPITAL GAIN	A
AETNA MUTUAL FUND	CAPITAL GAIN	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO xx____ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
CALVERT MUTUAL FUND	B
AETNA MUTUAL FUND	D
ZWEIG MUTUAL FUND	A
FEDERAL RETIREMENT THRIFT SAVING PLAN	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
ZWEIG MUTUAL FUND	9/22/88	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Joseph E Brennan	15 MAY 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

89070282000

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Jack Brooks
(Full Name)

2449 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

1989 MAY 15 PM 2 16

U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 9th State Texas

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,086, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
First Bank & Trust, Groves, TX	director fee	$3,500.	
First National Bank, Silsbee, TX	director fee	$2,835.	
American Consulting Engineers Council 6/3/88	honorarium	$2,000.	
ADAPSO 5/26/88	honorarium	$1,500.	
Coalition for Common Sense in Government Procurement 5/11/88	honorarium	$2,000.	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
U.S. Marine Corps	annuity	D
First of Groves Corp., Groves, TX	dividend	G
First State Bank of Lumberton, TX	dividend	B
W.F. Brooks Estate, Inc., Crowly, LA	dividend	B
First Bank & Trust, Groves, TX	interest	A
First National Bank, Silsbee, TX	interest	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
First of Groves Corporation, Groves, TX stock	F
First National Bank, Silsbee, TX stock	F
First State Bank of Lumberton, TX stock	E
W.F. Brooks Estate, Inc., Crowley, LA stock	B
Colt Management, Trustee, Houston, TX real estate mortgage note (formerly listed as Peter Sherwood, Trustee)	E

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sold stock, First National Bank, Silsbee TX	6/3/88	D
Purchase stock, First National Bank, Silsbee, TX	6/3/88	A
Purchase stock, First National Bank, Silsbee, TX	7/6/88	F
Purchase stock, First National Bank, Silsbee, TX	7/7/88	A
Purchase stock, First State Bank of Lumberton, TX	10/14/88	D
Purchase stock, First State Bank of Lumberton, TX	10/27/88	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
First City National Bank, Beaumont, TX investment loans	E
First National Bank, Silsbee, TX investment loan	D
Hamilton Associates, NY, NY promissory note	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
The Coastal Corporation	one way air transportation, Washington to Fort Worth, TX

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	First of Groves Corporation, Groves, TX
Director	First Bank & Trust, Groves, TX
Director	First National Bank, Silsbee, TX
Vice-President	JBS Associates, Inc., Groves, TX

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 10, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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Page 5 of 8

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Jack Brooks
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II. A.	Income to Member con't:		
	Distilled Spirits Council of U.S., Inc. 4/29/88	honorarium	$1,000.
	Rorer Corp. 7/11/88	honorarium	$2,000.
	National Automobile Dealers Assoc. 3/15/88	honorarium	$2,000.
	Income to Spouse:		
	First Bank & Trust, Groves, TX salary/director fee		
	First National Bank, Silsbee, TX director fee		
	Commodore Life Insurance Co., Houston, TX commissions		
	Gulf Atlantic Insurance Co., Dallas, TX commissions		
	Industrial Life Insurance Co., Dallas, TX commissions		
	Newton Life Insurance Co., Huntsville, TX commissions		
	Texas Central Life Insurance Co., Dallas, TX commissions		
II. B.	Unearned Income to Member con't.		
	Colt Management, Trustee, Houston, TX (formerly listed as Peter Sherwood, Trustee) real estate mortgage note		D
	Corley Freeway, LTD, Beaumont, TX, limited partnership distribution/interest		E
	Hamilton Associates, NY,NY, limited partnership distribution/interest		B
	Jasper Inn, LTD, Beaumont, TX, limited partnership distribution/interest		D
	Unearned Income to dependent children:		
	First National Bank, Silsbee, TX interest		C
	Wilson Grandchildren Trust, Fort Worth, TX distribution/interest		D

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Page 6 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Jack Brooks
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III.	Holdings con't:	
	Jerry Rawls, Jasper, TX real estate mortgage note	C
	Roland C. Cox, Silsbee, TX promissory note	A
	Walter R. Glenn, Evadale, TX promissory note	A
	Hamilton Associates, NY, NY, limited partnership,	
	real estate: office complex, Highway 206, Peapack, NJ	
	0.49% interest	F
	Corley Freeway, LTD, Beaumont, TX, limited partnership	
	real estate: 28 acres, Corley Ave., Beaumont, TX	
	3.03% interest	D
	Jasper Inn, LTD, Beaumont, TX, limited partnership	
	real estate: 5 acres, motel/restaurant, Highways 190	
	and 96, Jasper, TX 2.86% interest	D
	Mineral Rights, 160 acres, Jefferson Co., TX (.0102 int.)	A
	18 acres land, Jasper County, TX	C
	106.94 acres land, Polk County, TX	D
	117.42 acres land, Jefferson County, TX	D
	13.12 acres land, Orange County, TX	C
	40 acres land, Newton, County, TX	C
	3/4 acre land, San Augustine County, TX	A
	Cabin and 16 acres land, Loudoun County, VA	D
	House, barn, 262.2 acres land, Jasper County, TX	F
	Neches Building Corporation, Silsbee, TX (100% interest)	
	100 acres land, Kountze, TX; 3.79 acres, Nederland, TX	F
	JBS Associates, Inc., Groves, TX (1/3rd interest)	
	.962 acre tract land, West Parkway, Groves, TX	C
	Spindletop Savings Assn., Beaumont, TX stock (children)	A
	Savings and checking accounts and CD's at	
	First National Bank, Silsbee, TX and	
	First Bank & Trust, Groves, TX	E
	Jack Edward Brooks Trust, Tyler, TX	
	assets: First of Groves Corp. stock, CD's, cash	E
	Katherine Inez Brooks Trust, Tyler, TX	
	assets: First of Groves Corp. stock, CD's, cash	E
	Kimberly Grace Brooks Trust, Tyler, TX	
	assets: First of Groves Corp. stock, CD's, cash	E
	Wilson Grandchildren Trust, Fort Worth, TX	
	assets: CD's, cash. Brooks children interest 38%	D
	Holdings below reportable limits:	
	Buffalo Coal Assoc., Rochester, NY, limited partnership	
	ARG 80, Los Altos, CA, limited partnership	
	Trinity Assoc., West Orange, NJ, limited partnership	

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INSIDE MAIL

JACK BROOKS
9TH DISTRICT, TEXAS

COUNTIES:
CHAMBERS
GALVESTON
HARRIS (S.E. SECTOR)
JEFFERSON

Congress of the United States
House of Representatives
Washington, D.C. 20515

COMMITTEES:
JUDICIARY
GOVERNMENT OPERATIONS
CHAIRMAN
LEGISLATION AND NATIONAL SECURITY SUBCOMMITTEE
CHAIRMAN

1989 JUN -1 AM 8:35

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

May 23, 1989

Donnald K. Anderson
Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

MC ✓

Dear Mr. ~~Anderson~~:

This is written in response to an inquiry from the Committee on Standards of Official Conduct with regard to my Financial Disclosure statement for 1988.

The Committee inquired why the listing of holdings on my 1987 statement for 1) Harry S. Long, promissory note, and Preston H. Smith, promissory note, were not shown as holdings in 1988. These promissory notes were cancelled 5/29/87 through the purchase of stock from Harry Long, and 6/8/87 through the purchase of stock from Preston Smith. These two transactions are shown on my 1987 Financial Disclosure statement as stock purchases of First National Bank, Silsbee, TX, stock on the above dates.

If I can be of further assistance, please do not hesitate to contact me. With every good wish, I am

Sincerely,

Jack Brooks

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

WILLIAM S. BROOMFIELD
(Full Name)

2306 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515-2208

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 18 State Michigan

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

WILLIAM S. BROOMFIELD

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
NONE			
"			
"			
"			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
(SEE CONTINUATION SHEET)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
(SEE CONTINUATION SHEET)	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
(SEE CONTINUATION SHEET)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Home Savings Association of Florida on unimproved lot. Sebring, Florida	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description *of gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Ekrem Bardha	Bust	$350.00 (est.)

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	
- - - - - -	
- - - - - -	
- - - - - -	
- - - - - -	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	
- - - - - -	
- - - - - -	
- - - - - -	
- - - - - -	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		
- - - -		
- - - -		
- - - -		
- - - -		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
William S Broomfield	May 9, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

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Page 1 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

William S. Broomfield
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-B	SOURCE	TYPE	CATEGORY
	Georgia Power Bond	Interest	A
	Georgia Power Bond	Interest	B
	Detroit Edison Bond	Interest	A
	Southern California Edison Bond	Interest	A
	Royal Oak Municipal Bond	Interest	A
	Savings Accounts	Interest	C
	U.S. Treasury Notes and Bills	Interest	D
	Pension Fund Refund	Interest	C
	AT&T Common Stock	Dividend	A
	Citizen BanCorp Common Stock	Dividend	A
	Continental Telephone Common Stock	Dividend	A
	Eastern Airlines Preferred Stock	Dividend	A
	Exxon Corporation Common Stock	Dividend	A
	Merrill Lynch Ready Asset	Dividend	A
	Nevada Power Company Common Stock	Dividend	A
	Norfolk Southern Corporation Common Stock	Dividend	A
	Potomac Electric Common Stock	Dividend	A
	Southern California Edison Common Stock	Dividend	A
	Sears Roebuck Common Stock	Dividend	A
	Wellington Fund	Dividend	A
	* * * * *		
III	IDENTITY		CATEGORY
	50% interest in unimproved lot, St. John's County, Florida		E
	100% interest in unimproved lot, Sebring, Florida		C
	Georgia Power Bond		B
	Georgia Power Bond		B
	Detroit Edison Bond		B
	So. Cal. Edison Bond (Inherited 3/30/88)		A
	Royal Oak Municipal Bond		A
	American Airlines Common Stock		B
	AT&T Common Stock		A
	Citizen BanCorp Common Stock		B
	Continental Telephone Common Stock		B
	Eastern Airlines Prefer Stock		A
	Exxon Corp. Common Stock (Inherited 4/25/88)		B
	M.C.I. Common Stock		E
	Nevada Power Corp. Common Stock (Inherited 1/29/88)		A
	Norfolk Southern Common Stock		C
	Northwestern Life Common Stock - 12/5/88 conversion from life insurance policy		A
	Potomac Electric Common Stock		A
	Sears Roebuck Common Stock		B
	So. Cal. Edison Common Stock (Inherited 4/21/88)		A
	Congressional Credit Union		A
	Merrill Lynch Ready Assets		B
	I.R.A. - Chevy Chase Federal Savings Bank		B
	Savings Accounts		B
	U.S. Treasury Notes and Bills		E
	U.S. Savings Bonds		A
	Federal Retirement Thrift Savings		B
	Certificate of Deposit - Chevy Chase Federal Savings Bank		C
	Wellington Fund		B
	* * * * *		

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Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

William S. Broomfield
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
IV	BRIEF DESCRIPTION	DATE	CATEGORY
	Sold Wickes Common Stock	11/10/88	A

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

MAY 17 3:22

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

WILLIAM S. BROOMFIELD
(Full Name)

2306 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515-2208

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 18 State Michigan

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

8 9 0 7 0 II. INCOME 5 7 2

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
NONE			
"			
"			
"			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
(SEE CONTINUATION SHEET)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
(SEE CONTINUATION SHEET)	

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Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

William S. Broomfield
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-B	SOURCE	TYPE	CATEGORY
	Georgia Power Bond	Interest	A
	Georgia Power Bond	Interest	B
	Detroit Edison Bond	Interest	A
	Southern California Edison Bond	Interest	A
	Royal Oak Municipal Bond	Interest	A
	*Savings Accounts & CD's - Chevy Chase Sav. Bk.	Interest	C
	U.S. Treasury Notes and Bills	Interest	D
	Pension Fund Refund	Interest	C
	AT&T Common Stock	Dividend	A
	Citizen BanCorp Common Stock	Dividend	A
	Continental Telephone Common Stock	Dividend	A
	Eastern Airlines Preferred Stock	Dividend	A
	Exxon Corporation Common Stock	Dividend	A
	Merrill Lynch Ready Asset	Dividend	A
	Nevada Power Company Common Stock	Dividend	A
	Norfolk Southern Corporation Common Stock	Dividend	A
	Potomac Electric Common Stock	Dividend	A
	Southern California Edison Common Stock	Dividend	A
	Sears Roebuck Common Stock	Dividend	A
	Wellington Fund	Dividend	A
	* * * * *		

Part	IDENTITY	CATEGORY
III	50% interest in unimproved lot, St. John's County, Florida	E
	100% interest in unimproved lot, Sebring, Florida	C
	Georgia Power Bond	B
	Georgia Power Bond	B
	Detroit Edison Bond	B
	So. Cal. Edison Bond (Inherited 3/30/88)	A
	*Royal Oak Municipal Bond	A
	American Airlines Common Stock	B
	AT&T Common Stock	A
	Citizen BanCorp Common Stock	B
	Continental Telephone Common Stock	B
	Eastern Airlines Prefer Stock	A
	Exxon Corp. Common Stock (Inherited 4/25/88)	B
	M.C.I. Common Stock	E
	Nevada Power Corp. Common Stock (Inherited 1/29/88)	A
	Norfolk Southern Common Stock	C
	Northwestern Life Common Stock - 12/5/88 conversion from life insurance policy	A
	Potomac Electric Common Stock	A
	Sears Roebuck Common Stock	B
	So. Cal. Edison Common Stock (Inherited 4/21/88)	A
	Congressional Credit Union	A
	Merrill Lynch Ready Assets	B
	I.R.A. - Chevy Chase Federal Savings Bank	B
	Savings Accounts - Chevy Chase Fed. Savings Bank	B
	U.S. Treasury Notes and Bills	E
	U.S. Savings Bonds	A
	Federal Retirement Thrift Savings	B
	Certificate of Deposit - Chevy Chase Federal Savings Bank (CCSB)	C
	Wellington Fund	B
	* * * * *	

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Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

William S. Broomfield
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
IV	BRIEF DESCRIPTION	DATE	CATEGORY
	Sold Wickes Common Stock	11/10/88	A
	Bought Royal Oak Municipal Bond	3/7/88	D

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

John Glen Browder
(Full Name)

1630 Longworth House Office Bldg.
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

1989 MAY 12 PM 4:47

U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3rd State Alabama

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO x NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
State of Alabama [salary-$36,526.20 expenses 14,400.00	salary& expenses	$50,926.20	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Southtrust Bank of Alabama	Int.	C
Amsouth Bank of Alabama	Int.	A
Central Bank of Alabama	Int.	A
U.S.Treasuries (zero coupons)	Int.	A
U.S. Govt. Reserves, Fidelity	Div.	A
M.S.Bailey&SonBankers,Clinton,S.C.	Int.	A(wife owns a partial interest)

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __x__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
2-acre track of land, Laurens Co., S.C. (Wife partial owner with her family)	B
Alabama State Docks Dept. Rev.Bonds(AL municipal bonds)	B
Baldwin County Eastern Shore Hosp.Bonds(AL municipal bonds)	A
2 acre rural lot, Laurens County, S.C.	B
Amsouth Bank of Alabama(Cert.Dep.&Savings)	C
U.S.Govt. Reserves, Fidelity (savings)	C
Southtrust Bank of Alabama (Cert.Dep.&Savings)	B
U.S. Treasuries (zero coupons) int. accrues but not paid out	B
WBBM,Inc.,car wash (WoodleyRd.,Montgomery,AL) no income	B
M.S.Bailey&Son Bankers,Clinton,S.C. (savings-wife partial owner with her family)	A
Data Associates,Inc (family owned research firm since 1978)	B (no income)

A9070230465

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Southtrust Bank of Alabama	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE

[illegible] 7 [illegible] 2 3 [illegible] 1 5 7

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Full Professor - on leave without pay,	Jacksonville State University, Jacksonville, AL 36265
Secretary	WBBM, Inc., (car wash, Woodley Road, Montgomery, AL)
President*	*Wife is President of Data Associates, Inc., a family owned research firm which has operated since 1978- no income in 1988; Daughter is Sec.of same firm.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
1986-present	Jacksonville State University Jacksonville, AL and Glen Browder	Mr. Browder is on leave without pay and with no benefits paid by th University. Mr. Browder left JSU in 1986 to serve as Sec. of State c Alabama. He left as a tenured "full professor."

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Glen Browder	5-11-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

89070272437

HAND DELIVERED

AMENDMENT TO FINANCIAL DISCLOSURE FORM, MAY 15, 1989

May 22, 1989

RECEIVED
OFFICE OF RECORDS & REGISTRATION
989 JUN -6 AM 10:41
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

The Clerk, U. S. House of Representatives
Offices of Records and Registration
1036 Longworth House Office Building
Washington, D. C. 20515

Dear Sir:

Please accept the following information as an amendment to the financial disclosure form of May 15, 1989, filed by Glen Browder, District 3 of Alabama:

PART IV. TRANSACTIONS

WBBM, Inc. (car wash), Data Associates, Inc., and M.S. Bailey and Son, Bankers (a savings account owned by Mrs. Browder and her family) were inadvertently omitted from the Candidate's Disclosure form. An amendment to the Candidate's Disclosure Form was filed a few weeks ago.

PART V. LIABILITIES

The type of loan from Southtrust Bank of Alabama is a business loan (for the car wash-WBBM, Inc.). It is secured by the equipment and building.

PART VI. GIFTS

B. All Other Gifts (value of $100 or more)

This category should read "NONE." There were no gifts of $100 or more value.

If there are any further questions, please feel free to contact me.

Thank you.

Sincerely,

Glen Browder, M. C.
1630 Longworth House Office Building
Washington, D. C. 20515

INSIDE MAIL

OFFICE OF RECORDS & REGISTRATION
1989 MAY -9 AM 8:14
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

GEORGE E. BROWN, JR.
(Full Name)

2188 RAYBURN HOB
(Mailing Address)

WASHINGTON, DC 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 36 State CA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT–2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "print-outs" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Honoraria - see attached		$11,700.00	none
State of California Retirement Annuity		5,074.80	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Congressional Federal Credit Union	Interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __XX__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Unimproved lots:	
(1) Tract 339, Lots A,B,5,11,12,13,18,19,20,21,24,25,28 in the City of Los Angeles	D
(2) Real Estate - Single-Family Home 3219 Kenneth Drive Los Angeles, CA 90032	D
(3) Credit Union Savings Account	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage secured by property listed in III(2)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. *The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1988.*

SOURCE	BRIEF DESCRIPTION
None	

B. *The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1988.*

SOURCE	BRIEF DESCRIPTION	VALUE
None		

8 9 7 7 1 2 3 . 7 7

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
5/20/88 Univ. of California	Travel $277
5/26/88 Ohio State Univ.	Travel $624.68
7/25/88 Monsanto	Travel $569
8/1/88 Chemical Products	Travel $569
9/26/88 American Chemical Society	Travel $500

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
George E. Brown Jr.	5-8-89

WHERE TO FILE:

**RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:**

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

INCOME II- ATTACHMENT 1
GEORGE E. BROWN, JR.

12/8/88	BROOKINGS	$400	
12/6/88	BROOKINGS	500	
11/16/88	UCR PEACENET	2000	
10/21/88	PAPER PRESERVATION	500	
9/26/88	AMERICAN CHEM SOCIETY		TRAVEL $500
8/27/88	UNIV. OF N.M.	1000	
8/1/88	CHEMICAL PRODUCTS	1000	TRAVEL $569
7/25/88	MONSANTO	1000	TRAVEL 569
7/11/88	BROOKINGS	400	
6/2/88	BROOKINGS	300	
5/26/88	OHIO STATE UNIV.	1250	TRAVEL 624.68
5/21/88	UC-B COMMENCEMENT		TRAVEL 277
4/20/88	NAT'L AG CHEM ASSOC	750	
3/2/88	BROOKINGS	300	TRAVEL 61.50
3/8/88	BROOKINGS	300	
3/29/88	IEEE	500	
2/29/88	DUPONT AG RESEARCH	1000	TRAVEL
1/29/88	CAL STATE	500	

INSIDE MAIL

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Committee on Standards of Official Conduct

1989 MAY -9 AM

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

GEORGE E. BROWN, JR.
(Full Name)

2188 RAYBURN HOB
(Mailing Address)

WASHINGTON, DC 20515

✓
MC (Office Use Only)

RECEIVED
1989 MAY 26 PM 1:10

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 36 State CA

☐ Officer or Employee—Employing Office

☒ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 7.

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Honoraria - see attached		$11,700.00	none
State of California Retirement Annuity		5,074.80	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Congressional Federal Credit Union	Interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Unimproved lots:	
(1) Tract 338, Lots A,B,5,11,12,13,18,19,20,21,24,25,28	D
in the City of Los Angeles	
(2) Real Estate - Single-Family Home 3219 Kenneth Drive	D
(NET LOSS) Los Angeles, CA 90032	
(3) Credit Union Savings Account	C

IV. TRANSACTIONS

GENERAL GUIDELINES: 7 7 7 7 2 9 1 7 5 0

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage secured by property listed in III(2)	C
(1st Trust $27,5000 to previous owner, Violet Bliss)	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES: 9 0 7 9 2 9 1 7 5 1

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
5/20/88 Univ. of California	Travel $277
5/26/88 Ohio State Univ.	Travel $624.68
7/25/88 Monsanto	Travel $569
8/1/88 Chemical Products	Travel $569
9/26/88 American Chemical Society	Travel $500

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature: George E. Brown Jr. Date: 5-8-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

A9070291752 AMENDED May 22, 1989

INCOME II- ATTACHMENT 1
GEORGE E. BROWN, JR.

12/8/88	BROOKINGS INSTITUTION		400
12/6/88	BROOKINGS INSTITUTION		500
11/16/88	UCR PEACENET		2000
10/21/88	TECH. ASSOC. PULP & PAPER INDUSTRIES (TAPPAI)		500
9/26/88	AMERICAN CHEM SOCIETY	TRAVEL $500.	
8/27/88	UNIV. OF N.M.		1000
8/1/88	CHEMICAL PRODUCTS & DISTBRS.	TRAVEL $569.	1000
7/25/88	MONSANTO, LONG BEACH, CA	TRAVEL 569.	1000
7/11/88	BROOKINGS INSTITUTION		400
6/7/88	BROOKINGS INSTITUTION		300
5/26/88	OHIO STATE UNIV.	TRAVEL 624.68	1250
5/21/88	UC-B COMMENCEMENT	TRAVEL 277.	
4/20/88	NAT'L AG CHEM ASSOC		750
3/2/88	BROOKINGS INSTITUTION	TRAVEL 61.50	300
3/8/88	BROOKINGS INSTITUTION		300
3/29/88	INST. OF ELECT. & ELECTRONICS ENGRS.		500
2/29/88	DUPONT AG RESEARCH	TRAVEL 42.	1000
1/29/88	CAL STATE - LONG BEACH		500
		Total	11,700

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Hank Brown
(Full Name)

1424 Longworth
(Mailing Address)

Washington, DC 20515

Mc (Office Use Only)

U.S. [illegible] MAY 15 [illegible] 00

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4th State Colorado

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE ATTACHMENT			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Greeley National Bank	interest	81.03
farm operations (see pg. 3 of continuation sheet for details -- including gross receipts and expenditures)	farm rent	net loss

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XXX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
136 acre farm located two miles north of Loma, Colorado	$189,948.20*
*The value shown is the cost basis in the farm; however, the current market value of the farm appears to be less than cost. See note 1 on page 3 of the continuation sheet. The farm was purchased in late 1980, which is when the cost basis allocation was made.	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See note 1 of page 3 of the continuation sheet		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Greeley National Bank - loan	20,431.02 **
(*personal obligation, also secured by	
a 2nd mortgage on home) (the interest rate fluctuated but was 13-1/2% on	
(** this reports the amount owed at the end of the	date of filing.
year; during the year the amount fluctuated)	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a **SPEAKING ENGAGEMENT** or **FACT-FINDING EVENT** related to official duties, whether those expenses were **REIMBURSED** to the individual or **PAID DIRECTLY** by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
see attached continuation sheet 4	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee for Grandmother	Minnie E. Hanks Trust Mrs. Hanks passed away on April 21, 1989 and the Trust will be terminated in 1989.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	none	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature: Hank Brown

Date: May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 4

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Hank Brown
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-A	ALL INCOME LISTED ON THIS PAGE IS FROM HONORARIUMS (1988)		
	Farmers Union	1/5	$ 100
	Economics Conf.-Columbia Institute	1/22	1,000
	ConAgra	1/29	2,000
	National Venture Capital	2/3	1,000 *
	Campbell-Raupe seminar	2/13	2,000
	American Medical Association	3/17	2,000
	National Assoc. Broadcasters	4/9	1,500
	Drexel-Burnham	4/15	1,000 *
	Electronics Industry Assoc.		
	Tax Council	4/18	500
	Fertiliser Institute	4/20	1,000
	Grumman	4/22	2,000
	National Assoc. Realtors	5/6	2,000
	National Restaurant Association	5/21	1,000
	American Petroleum Institute	6/6	2,000
	DC area CPAs	6/14	2,000
	Colorado CPAs	6/18	377
	Tax Foundation Trustees	6/22	500
	Colorado Cable TV Association	7/1	1,000
	Council for North American Affairs	7/19	1,000
	National Assoc. College &		
	University Business Officers	10/11	1,000
	Businessmen's group -Toronto	11/15	828.10 * & **
	State Societies Executives of		
	American Institute of CPAs	12/5	500
	American Institute of CPAs	12/9	2,000

* donated to charity

** check was $1,000.00 in Canadian funds, US dollars = 828.10

GPO: [illegible]

0 9 1 7 1 2 3 0 3 1 7

Page 2 of 4

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

HANK BROWN

Name

Information relating to Nan Brown (wife of Hank Brown) and their children, Harry - age 19, Christy - age 19, and Lori - age 17.

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
	NAN BROWN	
II-A	interest from Calvin & Iris Balbach	431.02
	interest from Baca State Bank	485.29
	interest from Greeley National Bank	81.03
	Nan Brown --	
	(see below - Part III & Part IV)	
	Farm income resulted in a net gain of $11,031.94	
	The primary gross farm income was the sale of milo and wheat for $26,132.70 and the Commodity Credit Corp. loans for $7,691.67. Agricultural program payment amounted to $14,722.13 and cost sharing on the CRP program was $2,025.00.	
	Expenses totaled $39,539.56; chemicals $1,010.00; seeds $4,734.26; custom hire machine work $7,153.93; planting CRP ground $4,782.53; fertilizer $3,676.74; interest $503.24; rent of farm $3,036.88; pension $2,757.99; storage $1,553.15; property taxes $912.23; spray $612.25; irrigation water $1,382.00; PIC certificates used to redeem $6,908.30; other $840.60. The income and expense on the farm near Loma held in Hank's name are included in the figures above.	
	The Loma farm showed a small loss with expenses of $12,540.98 and gross revenue of $11,468.15.	
II-B	Rents and royalties -- $1,626.56.	
	(The rents and royalties came from 8 different sources. They included Don Potter, Dyco Petroleum, Texaco, Apache, Phillips, Ladd, Exxon and Duer Wagner. The largest gross was from Don Potter for a gross rent of $800. Total expenses were $45.60 relating to office, phone, etc.	
II-A	Harry Brown -- wages from yard work & babysitting	$370.50
II-B	-- dividends from Mobil Oil Co.	category A
	-- interest from Texaco bonds	category A
	-- interest from Bank Western	category A
	-- interest from Baca State Bank	category A
	-- interest from Balbach note via educational trust - Cat. B	
	(include by reference Section IV, pg. 4)	
II-A	Christy Brown -- work in office of Congresswoman Martin	$1,600.00
	-- baby sitting and yard work	$ 295.00
II-B	-- interest from Texaco bonds	category A
	-- interest from Baca State Bank	category A
	-- interest from Balbach note via educational trust	category B
	-- interest from Bank Western	category A
	(include by reference Section IV, pg. 4)	
II-A	Lori Brown -- work in movie theater (Commonwealth)	$1,547.75
	-- baby sitting	367.00
II-B	-- interest from Baca State Bank	category A
	-- interest from Balbach note via educational trust	category B
	-- interest from Bank Western	category A
	(include by reference Section IV, pg. 4)	

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Page 3 of 4

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Hank Brown
(Name)

Continuation Sheet

Part | *Source, Type, Amount, Category, Description or Value (As Applicable)*

III

Nan Brown -- approximately 120 acres southwest of Springfield, Colorado; approximately 40 acres of a dryland farm near Thomas, Oklahoma, and mineral rights near Springfield, Colorado and in Oklahoma -- estimated value of $39,000.00 -- farm checking account in the Baca State Bank-- Category B (include by reference section IV below)

NOTE 1: Mrs. Brown acted as trustee for an educational trust for the Brown's children, Harry, Christy and Lori. During the year, the term of the trust expired and the assets reverted back to the grantor, Hank Brown, according to the terms of the trust. The assets of the trust included a 71 acre farm which is reported as part of III and a note receivable for Calvin and Iris Balbach amounting to approximately $49,166.93 at year end. The note was transferred to Nan and is shown below under III - holdings.

Nan Brown served as Trustee of an education trust for the benefit of Harry, Christy and Lori Brown. The assets of the trust included a 71 acre farm located 2 miles north of Lome, Colorado and a promissory note from Calvin and Iris Balbach. The value of the trust was approximately $183,000. The estimated value of the land was approximately $133,000 and the note is estimated at $49,166.93. At the end of the trust period the property reverted to Hank Brown. The promissory note was transferred to Nan.

Harry, Christy, and Lori Brown jointly hold a rental house located at 1741 - 35th Avenue Court, Greeley, Colorado. The total value of the interest of all three of them combined in the house is Category D.

Harry, Christy and Lori were given a 120-acre farm in Baca County, Colorado, during the year by their grandfather, John Morrison. The total value for the combined interest of all 3 was Category C.

Nan Brown acts as custodian in holding the house for Harry, Christy & Lori, who are minors.

Harry Brown -- AT&T bonds	category A
-- savings account at Baca State Bank	category B
-- savings account at Bank Western	category B
-- Texaco bonds	category A
-- Affiliated Banks of Colorado - stock	category A
-- First Bank of Greeley	category A
(include by reference Section IV below)	
Christy Brown -- savings account at Bank Western	category B
-- savings account at Baca State Bank	category B
-- AT&T bonds	category C
-- Texaco bonds	category A
-- Affiliated Banks of Colorado - stock	category A
-- First Bank of Greeley	category A
(include by reference Section IV below)	
Lori Brown -- First Bank of Greeley	category A
-- savings account at Baca State Bank	category C
-- savings account at Bank Western	category A
-- Affiliated Banks of Colorado	category B
(include by reference Section IV below)	

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Page 4 of 4

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Hank Brown
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
IV	(The Bank of Honolulu stock has been held for many years and	
	its cost was below the reporting threshold level. A buyout	
	pushed it above the threshold level.)	
	Harry Brown -- sold Mobil Oil stock	category A
	(resulted in income)	category B
	-- sold Bank of Honolulu stock	category A
	(resulted in income)	category B
	Christy Brown -- sold Mobil Oil stock	category A
	(resulted in income)	category B
	-- sold Bank of Honolulu stock	category A
	(resulted in income)	category B
	Lori Brown -- sold Mobil Oil stock	category A
	(resulted in income)	category B
	-- sold Bank of Honolulu stock	category A
	(resulted in income)	category B
	INCLUDE BY REFERENCE III ABOVE	
VII	Economics Conference - Phoenix - speak - plane, food	
	ConAgra - Omaha - speak - plane, food	
	Campbell-Rause seminar - Utah - speak - plane, food, lodging (1 night)	
	* American Medical Association - Boca Raton, Florida - panel - plane, food, lodging (3 nights)	
	* National Association of Broadcasters - Las Vegas - panel - plane, food, lodging (1 night)	
	Drexel-Burnham - Los Angeles - panel - plane, food	
	Grumman - New York - panel - plane, food	
	National Restaurant Association - Chicago - plane, food, lodging (1 night)	
	American Petroleum Institute - Williamsburg - plane, food, lodging(1 night	
	* Council for North American Affairs, Taiwan - plane, food, lodging (3 night	
	Businessmen's group - Toronto - speak - plane, food, lodging (1 night)	
	American Institute of CPAs - Palm Springs - plane, food	
	* spouse or family member along	

MAY 15 1989

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

TERRY L. BRUCE
(Full Name)

P. O. Box 206, 423 South Elliott
(Mailing Address)

Olney, Illinois 62450

MC
(Office Use Only)

OFFICE OF RECORDS AND REGISTRATION
1989 MAY 23 PM 2:07
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 19th State Illinois

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT–2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Terry Bruce - Attorney	Legal Fees	0	
Charlotte A. Bruce - Computer Services	Fees	N/A	
Charlotte A. Bruce-Librarian-East Richland Schools	Salary	N/A	
Farm products	Sale	$474.05	
ASCS	Program payments	$269.02	

(continued on Attachment #1)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Int'l Telephone & Telegraph Stock	Dividend	A
Flora Bank and Trust Stock	Dividend	A
Andulusia Community Bank Stock	Dividend	A
Kemper Money Market Fund Shares	Dividend	A
Tax Exempt Money Market Fund Shares	Dividend	A
Bank of Kinney Stock	Dividend	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Farm Land--40 acres--Richland County, Illinois	C
Airplane--Piper Cherokee--PA 28-80	C
Stock (common) Int'l Telephone & Telegraph	A
Stock--Flora Bank and Trust	A
Stock--Andalusia Community Bank	A
Stock--Kemper Money Market Fund	C

(continued on attachment #1)

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Kemper Money Market Fund--Purchase	3/23, 5/19,	A
	6/23, 10/13,	
	11/11	
Kemper Money Market Fund--Sales	4/19, 6/7	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Personal note--Security Bank of Mt. Carmel	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Champaign-Urbana Jewish Federation	Nov. 28-Dec. 11--Champaign-Urbana to Tel Aviv and return with District constituents. Airfare, lodging and meals.	

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
National Association of Broadcasters	Lodging, meals & airfare--Evansville, Indiana to Las Vegas, Nevada and return to Washington, D.C
Grocery Manufacturers Association	Airfare for member & spouse--Evansville, Indiana to Denver CO and return. Lodging & meals--member & family--Colorado Springs Colorado. Rental car--Denver, CO to Colorado Springs & return.
(continued on attachment #1)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Associate	Shumaker and Bruce - Attorney at Law
Trustee (without compensation)	Kemper Money Market Fund for Louise Davisson--Grandmother of wife, Charlotte Roberts Bruce.
Director(w/o compensation)	Institute for Illinois
Director(w/o compensation)	Illinois Eastern Community Colleges, International Institute for Continuing Education, Technical Education and Research

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
Jan 1971	General Assembly Retirement System State of Illinois (no current contribution)	Pension, Disability and Death Benefits (upon retirement--no current payments)

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature: Terry L Bruce

Date: MAY 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989 [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

TERRY L. BRUCE
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)				
II-A	American Mining Congress	rec'd	1/28/88	Honorarium	250.00
	Grocery Manufacturers	rec'd	2/29/88	Honorarium	1,000.00
	The Capitol Forum	rec'd	3/9/88	Honorarium	500.00
	Smokeless Tobacco Council	rec'd	3/14/88	Honorarium	1,000.00
	The Federal Forum	rec'd	3/24/88	Honorarium	1,000.00
	Assoc. of American Railroads	rec'd	4/11/88	Honorarium	500.00
	NABISCO	rec'd	4/16/88	Honorarium	500.00
	Nat'l Assoc. of Broadcasters	rec'd	5/4/88	Honorarium	1,500.00
	RJR	rec'd	7/18/88	Honorarium	2,000.00
	Tobacco Institute	rec'd	7/18/88	Honorarium	1,000.00
	Midcon-Pipeline Group	rec'd	8/3/88	Honorarium	1,000.00
	Fertilizer Institute	rec'd	8/4/88	Honorarium	2,000.00
	Motor Vehicle Manu. Assoc.	rec'd	8/10/88	Honorarium	1,000.00
	Chicago Merchantile Exchange	rec'd	8/19/88	Honorarium	1,000.00
	Chicago Board of Trade	rec'd	8/25/88	Honorarium	1,000.00
	Grocery Manufacturers	rec'd	8/29/88	Honorarium	1,000.00
	Nat'l Assoc. of Broadcasters	rec'd	9/30/88	Honorarium	1,000.00
	Southern Companies	rec'd	10/11/88	Honorarium	1,000.00
II-B	1st Federal Savings & Loan, Champaign, IL			Interest	A
	Lincoln Savings & Loan, Pawnee, IL			Interest	A
	University Federal Savings & Loan, Champaign-IL			Interest	A
	Olney Savings & Loan, Olney, IL			Interest	A
	Germania Federal Savings & Loan, Springfield-IL			Interest	A
	Germania Federal—Certificates of Deposit			Interest	A
	Olney Savings & Loan—Certificates of Deposit			Interest	A
III	Stock—Bank of Kinney				A
	Shares—Tax exempt Money Market Fund				A
	Passbook Accounts—Germania Federal Savings & Loan				B
	Certificates of Deposit—Germania Federal Savings & Loan				A
	IRA Account—Olney Savings & Loan				B
	General Assembly Retirement System-State Of Illinois				C
	State Teachers Retirement System—State of Illinois				B
	State University Retirement System—State of Illinois				B
	Farm Equipment				A
	State Employees Credit Union				A
	Stock—Norris Electric Cooperative				A
	Bonds—U.S. Savings				A
	Certificates of Deposit—Olney, Savings & Loan				A
	Passbook Account—1st Federal Savings & Loan, Champaign-IL				A
	Passbook Account—Lincoln Savings & Loan				A
	Passbook Account—University Federal Savings & Loan				A
	Passbook Account—Olney Savings & Loan				A
	IRA Account—Twentieth Century Investors, Kansas City, MO				B
	IRA Account—Bank of Mt. Carmel				B
	IBM Computer, printer, software				B
	1984 Buick Station Wagon				B
	Federal Employees Retirement System(FERS)				C
	FERS Thrift Plan 401-K				C
	Keogh-HR-10 Plan, Security Bank, Mt. Carmel, IL				B
	Stock Purchase Plan—Dominion Resources				A
VII	Chem-Nuclear Systems Inc., Division of Waste Management, Inc.	Airfare—Washington, D.C, to Columbia SC-driven to Barnwell, SC.—lunch, fact-finding visit to low-level nuclear waste facility—return to Columbia, SC. Flight to Evansville IN			
	ROC-USA	Lodging, meals & airfare from St. Louis to Taipei, Taiwan and return for member and spouse. Dec 27, 1987-Jan 9, 1988.			

GPO: 1987 79-046 (m)

890/0282958

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

JOHN W. BRYANT
(Full Name)

208 Cannon House Office Building
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

89 MAY 15 PM 5:42
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5th State Texas

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

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WHO MUST FILE AND WHEN:

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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE ATTACHED PAGE 5 of 7			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _X_ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Oil Creek Drilling Company, Venango County, Pennsylvania	A
Farmout Joint Venture	
Bruner Oil and Gas/Hanson Flood-Olmstead Joint Venture	A
See continuation sheet page 6 of 7	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
5% of equity interest in the Douglas and Chamberlain		C
Corporation, whose assets consisted of contributions		
from the shareholders. The sole purpose of the		
Corporation was to purchase one piece of real		
property. The property was not acquired during the		
current reporting year (1988).		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Oil Creek Drilling Company, Venango County, Pennsylvania	D
Bruner Oil and Gas/Hanson Flood Olmstead Joint Venture	C
Wright Patman Congressional Credit Union	C
Eastpark National Bank, Dallas	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See continuation sheet page 7 of 7	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
John W. Bryant	5-15-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 5 of 7

1988 Honoraria

01/21/88	American Institute of Certified Public Accountants (Addressed Legislative Affairs Divisions at Breakfast)	$2,000.00
02/03/88	The Proprietary Association (Addressed Legislative Advisory Committee at Luncheon)	$ 500.00
02/05/88	Cellular Telecommunications Industry Association (Keynote Speaker at CTIA's Winter Meeting & Exposition)	$2,000.00
04/01/88	Executive Enterprises, Inc. (Addressed Eighth Annual Natural Gas Conference)	$1,000.00
04/21/88	National Association of Broadcasters (Conference Panel Participant at NAB's 66th Convention)	$1,500.00
05/03/88	National Association of Broadcasters (Addressed Washington Representatives of the Broadcasting and Communications Industry at Breakfast)	$1,000.00
05/13/88	National Gypsum Company (Addressed Legislative Conference)	$2,000.00 * donated to charity
05/20/89	National Cable Television Association (Roundtable Participant at 37th Convention Exposition)	$1,500.00
05/25/88	United States Telephone Association (TELCO Roundtable Participant)	$1,000.00
06/06/88	Edison Electric Institute (Addressed Breakfast Meeting for Officials and Staff)	$ 250.00
06/15/88	Vern Clark Associates (Patrick Media Group, Inc.) (Breakfast Roundtable Discussion)	$1,000.00 * donated to charity
06/21/88	The Options Clearing Corporation (Addressed OCC's Board of Directors)	$1,000.00
06/23/88	Association of Independent Television Stations, Inc. (Roundtable Discussion with INTV General Managers)	$1,000.00
07/18/88	Association of American Railroads (Breakfast Speaker to Washington Representatives of Class One Railroads)	$ 500.00
08/12/88	Baylor Health Care System (Breakfast Speaker to Baylor System's Suppliers, Contractors, Managers and Medical Personnel)	$1,000.00
09/15/88	Computer & Business Equipment Manufacturers Association (Addressed Proprietor Rights Committee of CBEMA)	$1,000.00
10/03/88	Grocery Manufacturers of America, Inc. (Addressed Washington Representatives and GMA Officials)	$1,000.00
11/15/88	United States Telephone Association (Roundtable Participant)	$1,000.00
11/22/88	Chemical Producers and Distributors Association (Addressed the Members of CPDA)	$ 500.00
11/28/88	Chicago Mercantile Exchange (Roundtable Participant)	$ 500.00
11/29/88	Chicago Board of Trade (Roundtable Participant)	$ 500.00
11/29/88	National Structural Settlement Association (Addressed Company Representatives at Dinner Meeting)	$1,000.00
12/02/88	American Trucking Association (Addressed ATA at Breakfast Forum)	$1,000.00
12/09/88	Morgan Stanley (Luncheon Roundtable)	$2,000.00
12/12/88	Chicago Board Options Exchange (Addressed Board of Directors at Luncheon)	$1,000.00

* Donated to charity

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Page 6 of 7

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

JOHN W. BRYANT
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III	HOLDINGS	CATEGORY
	5% of equity interest in the Douglas and Chamberlain Corporation, whose assets consisted of contributions from the shareholders. The sole purpose of the Corporation was to purchase one piece of real property. The property was not acquired during the current reporting year (1988)	C

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

JOHN W. BRYANT
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable) SOURCE (Reimbursements)	BRIEF DESCRIPTION
VII	U.S. Association of Former Members of Congress	Roundtrip air fare Washington - Amsterdam, food & lodging for self & daughter Amy (4 nights) to attend East-West Conf. on Human Rights in Soviet Union.
VII	Cellular Telecommunications Industry Association	Roundtrip air fare Washington - San Diego, food & lodging (1 night) for speech.
VII	National Association of Broadcasters	Roundtrip air fare Wash.-Las Vegas food & lodging (1 night) for conference panel participati
VII	National Cable Television Association	Roundtrip air fare Wash.-Los Angeles, Calif. food & lodging (1 night) for roundtable participation.
VII	United States Telephone Association	Food & lodging for roundtable participation at Spring Telco meeting at Greenbriar, West Va. (2 nights, no travel expenses reimbursed. Travelling by car.)
VII	United States Telephone Association	Air fare Dallas-West Palm Beach-Washington, food & lodging (1 night) for roundtable participation
VII	Chicago Mercantile Exchange/ Chicago Board of Trade	Roundtrip air fare Washington-Chicago (returned same day) for roundtable participation.
VII	National Structural Settlement Association	One way air fare Washington-Dallas for speech.
VII	Morgan Stanley	Roundtrip air fare Washington-New York, food, lodging for self and spouse (1 night) for roundtable participation.
VII	Chicago Board of Options	One way air fare Chicago-Washington (returned same day) for speech.
VII	Guest on Oprah Winfrey Show	One way air fare Washington to Chicago (returned same day) to appear on show.
VII	Industrial Union Department AFL/CIO	Roundtrip air fare Washington-Miami (returned same day) for speech to Industrial Union Department.

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

OCT 10 PM 3 48

FORM A—For use by Members, officers, and employees

John William Buechner
(Full Name)

502 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2nd State Missouri

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE CONTINUATION SHEET			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
SEE CONTINUATION SHEET		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SEE CONTINUATION SHEET	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
SEE CONTINUATION SHEET		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
SEE CONTINUATION SHEET	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
SEE CONTINUATION SHEET	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/9/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

JOHN WILLIAM BUECHNER

Continuation Sheet

II. INCOME

A. SOURCE	DATE	TYPE	AMOUNT
Aerojet General	2/18/88	Honorarium	1,000.00
McDonnell Douglas	2/28/88	Honorarium	1,000.00
The Tobacco Institute	2/29/88	Honorarium	1,000.00
The Brookings Institution	6/17/88	Honorarium	300.00
Sanford-Brown Business College	7/08/88	Honorarium	500.00
Sheet Metal & Air Conditioning Contractors	7/13/88	Honorarium	1,000.00
Maquardt Company	7/21/88	Honorarium	1,000.00
Lockheed Missiles & Space Co.	7/22/88	Honorarium	1,000.00
Rockwell Space Division	7/22/88	Honorarium	1,000.00
General Electric Co.	7/25/88	Honorarium	1,000.00
Board of Trade of the City of Chicago	8/26/88	Honorarium	500.00
Chicago Mercantile Exchange	8/26/88	Honorarium	500.00
Missouri Cable T.V. Association	9/13/88	Honorarium	1,000.00
Harris Corporation	12/14/88	Honorarium	1,000.00
Northrop	12/20/88	Honorarium	2,000.00
Boeing Company	12/21/88	Honorarium	2,000.00

B. SOURCE	TYPE	CATEGORY
INA Annuity ($30,000)	Annuity	E
Chapparal Land & Cattle	Distribution	B

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

JOHN WILLIAM BUECHNER

Continuation Sheet

III. HOLDINGS

IDENTITY		CATEGORY
Insituform (OTC)	150 Shares	A
American Cablevision of St. Louis	20 Shares	E
Champions Sports, Inc. (OTC)	15,000 Shares (Sold)	A
Qwix Technologies, Inc. (OTC)	28,000 Shares	A
Insurance Company of North America (final payment made in April 1988)	Annuity ($30,000)	C
10% General Partner, Chapparal Land & Cattle Co., Joint Venture Livestock Agreement with principal place of business in Moberly, Missouri and whose major assets consists of livestock		A
11.87% LTD Partner, Brighton Partners, a Missouri limited Partnership with principal place of business in Glencoe, Missouri		B
10% Interest, ICT, also d/b/a/ West End Productions Inc., Missouri closely held corporation		A
12.5% General Partner, Buechner, McCarthy, Leonard Kaemmerer, Owen & Laderman, attorneys, a Missouri General Partnership with principal place of business in St. Louis County, Missouri		C
25% General Partner, Buechner, McCarthy, Leonard & Owen attorneys, a Missouri General Partnership with principal place of business in St. Louis County, Missouri		A
16.67% (of a 1/12th interest) Emerald Point Partners, a Missouri General Partnership owning an office building in St. Louis County, Missouri		C
IRA		B
25% in the net equity of the 3 bedroom house at 3861 Botanical, St. Louis, MO		A

All of the partnerships listed below were considered only liabilities with no positive value to the Member. The Member disposed of these in 1989 by executing mutual releases to the other general partner with no cash changing hands:

1.25% General Partner, Blue Mound Housing Partners an Illinois Limited Housing Development Partnership with principal place of business in Blue Mound, Illinois and whose only asset consists of an apartment building in Blue Mound, Illinois	A
1.25% General Partner, Bement Housing Partners, a Illinois Limited Housing Development Partnership with principal place of business in Bement, Illinois and whose only asset consists of an apartment building in Bement, IL	A

1.25% General Partner, Macon Housing Partners, an Illinois Limited Housing Development Partnership with principal place of business in Macon, Illinois and whose only asset consists of an apartment building in Macon, IL	A
1.25% General Partner, Lovington Housing Partners, n Illinois Limited Housing Development Partnership with principal place of business in Lovington, IL and whose asset consists of an apartment building in Lovington, Illinois	A
1.25 General Partner, Wilmac Housing Partners, a Illinois Limited Housing Development Partnership with principal place of business in Wilmac, IL and whose only asset consists of an apartment building in Wilmac, IL	A
1.25% General Partner, Atwood Housing Partners, a Illinois Limited Housing Development Partnership with principal place of business in Atwood, Illinois and whose only asset consists of an apartment building in Atwood, IL	A
50% General Partner, Rajad Housing Partners, a Missouri Housing Development General Partnership with principal places of business in Farmington, Missouri and Decatur, IL and interest in apartments located in their principal places of business	A
3% General Partner, Fayette Housing Partners, a Missouri Limited Housing Development Partnership with principal place of business in Fayette, MO and whose only asset consists of an apartment building in Fayette, Missouri.	A
1.25% General Partner Morrisonville Housing Partners, a Illinois Limited Partnership with management offices in St. Louis County, MO Property located in Morrisonville, IL	A

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

JOHN WILLIAM BUECHNER

Continuation Sheet

IV. TRANSACTIONS

BRIEF DESCRIPTION	DATE	CATEGORY
15,000 Sh. Champions Sports, Inc. (PURCHASED)	3/2/88	$1,022.56
10,000 Sh. Qwix Technologies, Inc. (PURCHASED)	8/18/88	510.00
15,000 Sh. Champions Sports, Inc. (SOLD)	9/6/88	1,002.50
18,000 Sh. Qwix Technologies, Inc. (PURCHASED)	9/6/88	955.00

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

JOHN WILLIAM BUECHNER

Continuation Sheet

VII. REIMBURSEMENTS

St. Louis, MO to Philadelphia, PA via air travel. Spoke before the American Jewish Congress. 1 night lodging/food. Train from Philadelphia, PA to Washington, D.C.

St. Louis, MO to Los Angeles, CA via air travel. Met with representatives of Rockwell and Maquardt and toured their facilities. 2 nights lodging/food. Air travel from Los Angeles, CA to San Francisco, CA. Met with representatives of General Electric and Lockheed and toured their facilities. 1 night lodging/food. Air travel from San Francisco to Washington, D.C.

St. Louis, MO to Chicago, IL via air travel. Met with representatives of the Chicago Mercantile Exchange and attended a political fundraiser. 1 night lodging/food. Chicago, IL to Tulsa, OK via air travel. Attended a political reception. Tulsa, OK to St. Louis, MO via air travel.

St. Louis, MO to Palm Springs, CA via air travel. Attended an Space and Medicine conference. 3 nights lodging/food. Palm Springs, CA to Los Angeles, CA via air travel. Met with representatives of Northrop and toured their facilities. Los Angeles, CA to Washington, D.C. via air travel.

Washington, D.C. to Dallas/Ft. Worth, TX via air travel. Met with representatives of Textron and toured their facilities. 2 nights lodging/food. Dallas/Ft. Worth, TX to Huntsville, AL via air travel. Met with representatives of Boeing and toured their facilities. Huntsville, AL to St. Louis via air travel.

St. Louis, MO to West Palm Beach, FL via air travel. Met with representatives of Pratt Whitney and toured their facilities. 1 night lodging/food. West Palm Beach, FL to Melbourne, FL via ground travel. Met with representatives of the Harris Corporation and toured their facilities. Also met with representatives of United Technologies and toured their facilities while in Melbourne, FL. 2 nights lodging/food. Melbourne, FL to St. Louis, MO via air travel.

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Washington, D.C. to Houston, TX via air travel. Seminar participant at the Congressional Institute, Inc. 3 nights lodging/food. Houston, TX to Washington, D.C. via air travel.

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ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

JOHN WILLIAM BUECHNER

Continuation Sheet

VIII. POSITIONS

POSITION	NAME OF ORGANIZATION
Partner	Buechner, McCarthy, Leonard, Kaemmerer, Owen & Laderman
Partner	Buechner, McCarthy, Leonard & Owen
Partner	Emerald Point Partners
Co-Trustee	With W. A. Riley for Loretta James under will of John K. James
Partner	Chapparal Land & Cattle Company
Partner	Blue Mound Housing Partners
Partner	Brighton Partners
Partner	Bement Housing Partners
Partner	Macon Housing partners
Partner	Lovington Housing Partners
Partner	Wilmac Housing Partners
Partner	Atwood Housing Partners
Partner	Rajad Housing Partners
Partner	Fayette Housing Partners

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—*For use by Members, officers, and employees*

Jim Bunning
(Full Name)

4 Fairway Drive
(Mailing Address)

Southgate, Kentucky 41071

HC
(Office Use Only)

U.S. HOUSE OF REPRESENTATIVES
MAY -1 PM 12:24

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4 State KY

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,028, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Continuation Sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Equitable Life Assurance Co.	Pension	E
Jim Bunning Agency	Dividend	A
C. B. Data	Rent	D
1200 Tarpon Woods Blvd., Unit S5	Rent	B
Moore Owen Thomas (Marine Equipment)	Rent	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
1200 Tarpon Woods Blvd., Unit S5, Palm Harbor, Fla.	D
Marine Equipment - Houseboats	D
C. B. Data - IBM System 36 - Software	C
Jim Bunning Agency, Inc.	D
Nationwise Fund	C
1000 Comair Stock	B
25 Host Communication	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
1000 Comair Common Stock, Sale	Nov., 1988	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Star Bank, N.A., Campbell County - 1200 Tarpon Woods Blvd., S5, Condominium Mortgage	D
Premium Capitol (Moore Owen Thomas) - Marine Equipment, Mortgage	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Continuation Sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	Jim Bunning Agency, Inc.
Partner	C. B. Data

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature: Jim Bunning

Date: April 28, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

Page 1 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

JIM BUNNINC
(Name)

Continuation Sheet

Part II: INCOME

Source, Type, Amount, Category, Description or Value (As Applicable)

Date	Amount	Source/Type	Disposition
	$17,000.00	Jim Bunning Agency, Inc./Salary	None
03/18	1,000.00	The Tobacco Institute/Honorarium	$ 25.00 - No. Kentucky Easter Seals 20.00 - Guardian Guild 50.00 - Fine Arts Fund 50.00 - Ft. Thomas Lions 50.00 - Newport Catholic High School 340.00 - Booth Hospital 50.00 - Knights of Columbus
05/06	2,000.00	Brown Williamson/Honorarium	2,000.00 - St. Catherine's Church
05/16	2,000.00	Morgan Guaranty/Honorarium	25.00 - Erlanger Lions 945.00 - Be-Con (Goods) 25.00 - Brighton Center 50.00 - No. Kentucky Innerfaith Commission
06/07	500.00	Health and Life/Honorarium	250.00 - Diocesan Catholic Childrens Home 250.00 - Villa Madonna
6/11	200.00	Eastern Kentucky University/ Honorarium	25.00 - Sisters of Notre Dame 100.00 - Redwood School
6/20	1,000.00	Bison Baseball, Inc./Appearance	20.00 - Vietnam Veterans 100.00 - No. Kentucky Right-to-Life 25.00 - St. Catherine of Siena 50.00 - Senior Citizens Picnic
07/01	350.14	Major League Baseball Players Alumni Association/Royalty Check	None
08/29	500.00	Board of Trade of the City of Chicago/Honorarium	150.00 - Childrens Heart Association 250.00 - Campbell Lodge
08/29	500.00	Chicago Mercantile/Honorarium	None
09/12	1,000.00	Kentucky Bankers Association/	1,000.00 - St. Catherine of Siena
10/02	2,250.00	Pro-Link/Appearance	40.00 - Cincinnati Art Club 1,000.00 - Thomas More College 100.00 - Campbell County Fraternal Order of Police 300.00 - No. Kentucky Arts

Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

JIM BUNNING
(Name)

Continuation Sheet

Part II: INCOME — Source, Type, Amount, Category, Description or Value (As Applicable)

Date	Amount	Source/Type	Disposition
12/16	2,000.00	American Bankers Association/ Honorarium	10.00 - Love of Our Kids 5.00 - Right-to-Life of Louisville 100.00 - Campbell County Fraternal Order of Police 500.00 - St. Francis of Assisi 500.00 - St. Cecelia Church
01/22	10.00	Northern Kentucky Forum/Honorarium	None
02/12	10.00	Louisville Forum/Honorarium	None
03/11	10.00	Northern Kentucky Forum/Honorarium	None
04/08	10.00	Louisville Forum/Honorarium	None
05/13	10.00	Northern Kentucky Forum/Honorarium	None
06/10	10.00	Louisville Forum/Honorarium	None
07/08	10.00	Northern Kentucky Forum/Honorarium	None
08/12	10.00	Louisville Forum/Honorarium	None
11/11	10.00	Northern Kentucky Forum/Honorarium	None
12/09	10.00	Louisville Forum/Honorarium	None
	$30,400.14 -	Gross	$8,405.00 - Disposition

$21,995.14 - Net

GPO: 1987 79-440 [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Jim Bunning
(Name)

Continuation Sheet

Part VII: REIMBURSEMENTS Source, Type, Amount, Category, Description or Value (As Applicable)

Source	Brief Description
Northern Kentucky Forum (January 22, 1988)	Round trip air fare, DC/Cinti/DC
Louisville Forum (February 12, 1988)	Round trip air fare, DC/Lou/DC
Louisville Forum (April 8, 1988)	Round trip air fare, DC/Lou/DC
Northern Kentucky Forum (May 13, 1988)	Round trip air fare, DC/Cinti/DC
Morgan Guaranty (May 16, 1988)	Air fare, Cinti/New York/DC
Louisville Forum (June 10, 1988)	Round trip air fare, DC/Lou/DC
Bison Baseball, Inc.	Round trip air fare, Cinti/Buffalo/Cinti, for Congressman and Mrs. Bunning; one night's lodging in Buffalo
Northern Kentucky Forum (July 8, 1988)	Round trip air fare, DC/Cinti/DC
Louisville Forum (August 12, 1988)	Round trip air fare, DC/Lou/DC
Davis for Congress (August 28-29, 1988)	Air fare, Cinti/Chicago; one night's lodging in Chicago
Friends of Jim Inhofe (August 29-30, 1988)	Air fare, Chicago/Tulsa/Cinti; one night's lodging in Tulsa
10th District, NC, GOP (September 24-25, 1988)	Round trip air fare, DC/Hickory/DC; one night's lodging in Hickory
Pro-Link (September 30-October 2, 1988)	Round trip air fare, Cinti/Kiawah, S.C./Cinti, for Congressman and Mrs. Bunning; lodging in Kiawah and automobile rental
Northern Kentucky Forum (November 11, 1988)	Round trip air fare, DC/Cinti/DC
Louisville Forum (December 9, 1988)	Round trip air fare, DC/Lou/DC

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

HAND DELIVERED

GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

MAY 15 PM 10 42

FORM A—For use by Members, officers, and employees

Danny L. Burton
(Full Name)

12141 East 79th Street
(Mailing Address)

Indianapolis, IN 46236

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6 State Indiana

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Schedule Attached			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Schedule Attached		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Schedule attached	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sold - 10 Acres of Land	1-7-88	A
Sold - 17 Acres of Land	2-18-88	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Attached	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
See Attached		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Consultant	Dan Burton Agency

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature: Danny L. Burton	Date: 5/12/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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Page 1 of 3

ETHICS IN GOVERNMENT ACT--FINAL DISCLOSURE STATEMENT

Danny L. Burton
Name

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
	Source	**Date**	**Type**	**Amount**
II A	Dan Burton Agency--Spouse		Commissions	
	Mutual Insurance Company	2/88	Honorarium	$ 300.00
	Concerned Women F America	5/88	Honorarium	1,000.00
	Dartmouth College	5/88	Honorarium	500.00
	Sikh Professional Society	9/88	Honorarium	1,500.00
	Independent Insurance Agents	9/88	Honorarium	1,000.00
	The New England Financial	9/88	Honorarium	2,000.00
	Sikh Religious Society	9/88	Honorarium	500.00
	Union Bible Seminary (Donated Back to Seminary)	10/88	Honorarium	133.45

Part	Source	Type	Category
II B	Equitable Life Assurance Co.	Interest	A
	Bank One, Indianapolis	Interest	A
	Thomson McKinnon	Interest	A
	Thomson McKinnon	Dividends	B
	Burton Ranch (Farm Land)	Rent	C

Part	Identity	Category
III	Johnson County Indiana Land, 20 Acres	C
	Thomson McKinnon Securities, Inc. - IRA's	C
	Thomson McKinnon Securities, Inc. - KEOGH	B
	Brown County, Indiana Land, 2 Acres	A
	Dan Burton Agency	D
	Burton Ranch (Farm Land), 48 Acres	E
	NMT Ins. #11	A
	Life of Indiana, Stock	A
	RIBI Immunochem, Stock	A
	National Government Fund	D
	City Federal S & L (Matured 1988)	B

Part	Source	Brief Description	Value
VI A	Bob Hope Classic Charity Golf Tournament - Celebrity Guest (Jan. 15 - Jan. 20)	Golf Clothing & Accessories	$350.00
	Kemper Open Golf Tournament Celebrity Guest (June 2-5)	Golf Clothing & Accessories	$316.00

ETHICS IN GOVERNMENT ACT--FINAL DISCLOSURE STATEMENT

Danny L. Burton

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
	Source	**Brief Description**	**Value**
VI B	Houston Golf Association Golf Tournament - Celebrity Guest (April 25 - May 1)	Golf Clothing & Accessories	$150.00
	Danny Thompson Memorial Golf Tournament - Celebrity Guest (Aug. 26 - Aug. 28)	Golf Clothing & Accessories	$140.00
	Larry Bird Charity Golf Tournament - Youth Links (Indpls., IN) Celebrity Guest (June 27)	Golf Clothing & Accessories	$162.00
	Source	**Brief Description**	
VIII	Chinese National Association of Industry & Commerce (Taiwan) - meetings with Government officials and Industry & Trade leaders	Round-trip airfare, lodging & meals (Nov. 12 - Nov. 20)	
	Paul's Guys Invitational Charity Golf Tournament (Dayton, Ohio) Celebrity Guest	Lodging for two nights for self and spouse, meals for self and spouse (Jun. 17 - Jun. 19)	
	Danny Thompson Memorial Leukemia Golf Tournament (Sun Valley, Idaho) - Celebrity Guest	Round trip airfare, lodging & meals (Aug. 26 - Aug. 28)	
	Bob Hope Classic Charity Golf Tournament (Palm Desert, California) - Celebrity Guest	Round trip airfare, lodging & meals (Jan. 15 - Jan. 20)	
	Houston Golf Association Independent Ins. Agents Open (Houston, TX) - Celebrity Guest	Round trip airfare, lodging & meals (April 25 - May 1)	
	Concerned Women of America Speech - Seattle, Washington	Round trip airfare, 1 nights lodging & meals (5/88)	
	Dartmouth College Speech (Hanover, New Hamp.)	Round trip airfare, 1 nights lodging & meals (5/1/88)	

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Page 3 of 3

ETHICS IN GOVERNMENT ACT--FINAL DISCLOSURE STATEMENT

Danny L. Burton

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
	Source	Brief Description
	Sikh Professional Society	Round trip airfare, 1
	Speech - Chicago, IL	nights lodging & meals for
		self and spouse (9/88)
	Independent Insurance Agents	Airfare from IAD to Port-
	Speech - Portland, Maine	land, Maine to Boston, MA,
		2 nights lodging & meals
		(9/16 - 9/18)
	The New England Financial	Airfare from Boston, MA
	Speech - Boston, Mass.	to Indpls., IN 1 nights
		lodging & meals (9/18-9/19)
	Puerto Rico USA Foundation	Round trip airfair, self
	Speaking Engagement & Other	and spouse (All other
	Activities - Puerto Rico	expenses paid by Puerto
		Rican Government)

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DAN BURTON
6th District, Indiana
ASST. REGIONAL WHIP

COMMITTEES:
FOREIGN AFFAIRS
POST OFFICE AND CIVIL SERVICE
VETERANS' AFFAIRS

CHAIRMAN
CONGRESSIONAL INSURANCE CAUCUS

CO-CHAIRMAN
CONGRESSIONAL AUTO CAUCUS

MEMBER
RURAL COMMUNITIES
TASK FORCE

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE:
120 Cannon Building
Washington, DC 20515
Telephone: (202) 225-2276

DISTRICT OFFICES:
8900 Keystone at the Crossing
Suite 1050
Indianapolis, IN 46240
Telephone: (317) 848-0201
Toll-Free (800) 382-6020

922 Meridian Plaza
Anderson, IN 46016
Telephone: (317) 640-8887

MC ✓

MAY 19 11:00

May 19, 1989

U.S. House of Representatives
Committee on Standards of Official Conduct
Suite HT-2, U.S. Capitol
Washington, D.C. 20515

Dear Mr. Chairman:

In answer to the inquiries on my financial disclosure statement, the explanation is as follows:

> Part III-Holdings: Neither I, my wife, nor my children receive income or have a beneficial interest in a trust or other financial arrangements whose holdings were not reported because the trust is a "qualified blind trust" or other excepted trust. I inadvertently forgot to check the proper box in Part III.
>
> Part IV-Transactions: The 10 acres of land which were sold and the 17 acres of land which were sold was land I owned in Bartholomew County, Indiana. This land was reported in my 1987 Financial Disclosure Report in error as 20 acres of land in Johnson County, Indiana. Johnson and Bartholomew counties are side by side in southern Indiana, and when I told my accountant about this land previously, he thought it was in Johnson County instead of Bartholomew County. Thus, by this statement, we are not only correcting the 1988 report, but the 1987 report as well, as respects the Bartholomew County land.

The approximately 20 acres of land that I own in London, Kentucky, I still own, and it was inadvertently omitted from the 1988 Financial Disclosure Report.

I think this covers all of the problems with my report; however, if you have any further questions, be sure to contact me.

Sincerely,

Dan Burton

Dan Burton
Member of Congress

DB:lrt

P.S. In addition to the questions you had about my report, I am enclosing a complete copy of all my stock transactions for the past three years to be incorporated into my file.

encl

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THOMSON MCKINNON SECURITIES

DANNY L. BURTON ACCOUNT # 27-082163

1986 ACTIVITY

5-5-86	SOLD Anacomp 750 shares	+	$ 4,334.82
5-22-86	BOUGHT Trimedyne 180 shares	-	1,509.25
6-10-86	BOUGHT Agnico Eagle Mines 100 shares	-	1,573.92
6-10-86	BOUGHT RIBI Immunochem Res. 150 shares	-	1,429.06

1986 PORTFOLIO

	National Government Fund	$ 360.01
	Anacomp Inc. 250 shares	937.50
	Agnico Eagle Mines 100 shares	1,900.00
	Ribi Immunochem Res. 150 shares	862.50
	Trimedyne Inc. 180 shares	2,070.00
	NMT Disc. Ins. #11 7 units	5,110.00
TOTAL 12-31-86 VALUE		$11,240.01

1987 ACTIVITY

	Received 1000 shs Anacomp purchased from another broker		
	Received 100 shs ICN Pharm. purchased from another broker		
	Received 2670 shs Life Of Indiana purchased from another broker		
2-6-87	SOLD ICN Pharm 100 shares	+	2,137.24
2-10-87	SOLD Anacomp 1250 shares	+	6,466.74
3-19-87	Transfer to A/C 22-877187	-	838.00
3-19-87	Transfer to A/C 22-877109	-	1,745.00
3-19-87	Transfer to A/C 22-850448	-	2,000.00
3-19-87	Transfer to A/C 22-850447	-	2,000.00
4-2-87	(Spinoff from Trimedyne) 45 shs Automedix		
5-4-87	SOLD Trimedyne 180 shares	+	2,473.25
5-26-87	SOLD Agnico Eagle Mines 100 shares	+	2,392.75
10-14-87	BOUGHT 8,000 City Fed. Svgs CD	-	8,001.75

1987 PORTFOLIO

	City Fed. Svgs & Ln 8.10 10-14-88	8,000.00
	NMT Ins. #11 7 units	4,963.84
	Automedix CLB 45 shares	-0-
	Life of Indiana 2670 shares	1,843.87
	Ribi Immunochem 150 shares	637.50
	National Government Fund	129.49
TOTAL 12-31-87 VALUE		$15,574.70

1988 ACTIVITY

4-19-88	Payment received into A/C	+	$40,000.00
10-14-88	City Federal S & L Matured	+	8,000.00

1988 PORTFOLIO

NMT Ins. #11 7 units	4,532.57
Life of Indiana 2670 shares	2,002.50
Ribi Immunochem 150 shares	590.55
Automedix Sciences 45 shares	-0-
National Government Fund	51,840.11
	$58,965.73

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THOMSON MCKINNON SECURITIES

Barbara J. Burton C/F Danielle L. Burton Account # 22-082465

1986 ACTIVITY

7-16-86	Check Issued	-	475.72
7-16-86	Check Issued	-	624.28
7-17-86	Check Issued	-	116.00

1986 PORTFOLIO

	First Federal of Mich. C.D. 10.45 5-25-88	9,000.00
	National Money Market	484.27
	National Government Fund	479.83
TOTAL 1986 PORTFOLIO VALUE		$ 9,964.10

1987 ACTIVITY

1-28-87	Check Issued	-	934.32

1987 PORTFOLIO

	First Federal of Mich. C.D.	9,000.00
	National Government Fund	1,010.36
TOTAL 1987 PORTFOLIO VALUE		$10,010.36

1988 ACTIVITY

3-11-88	Check Issued	-	543.57
5-25-88	First Federal of Mich. CD Matured	+	9,000.00
TOTAL 1988 PORTFOLIO VALUE			$10,382.17

THOMSON McKINNON SECURITIES

Barbara J. Burton C/F Danny L. Burton II Account # 22-082422

1986 ACTIVITY

6-16-86	Check Issued	- 1,124.28
6-16-86	Check Issued	- 475.72
7-17-86	Check Issued	- 116.00

1986 PORTFOLIO

First Federal of Mich. CD 10.45 5-25-88	9,000.00
National Money Market	484.27
National Government Fund	893.94
TOTAL 1986 PORTFOLIO VALUE	$10,378.21

1957 ACTIVITY

1-28-87	Check Issued	- 1,384.14

1987 PORTFOLIO

First Federal of Mich. C.D.	9,000.00
National Government Fund	975.15
TOTAL 1987 PORTFOLIO VALUE	$ 9,975.15

1988 ACTIVITY

3-11-88	Check Issued	- 406.38
5-25-88	First Federal Mich. C.D. matured	+ 9,000.00

1988 PORTFOLIO

National Government Fund	10,489.25
TOTAL 1988 PORTFOLIO VALUE	$10,489.25

[illegible]

TMSI Cust FBO Danny L. Burton IRA Account #22-830448

1986 ACTIVITY

6-14-86	1985 Contribution	+$ 2,000.00
5-21-86	BOUGHT TM US Govt. Fund	- 923.00
6-10-86	BOUGHT Agnico Eagle Mines 100 shares	- 1,572.17
8-8-86	Bank of NY C.D. matured	+ 4,000.00

1986 PORTFOLIO

Cal Amer. S & L C.D. 9.300 10-2-87	3,000.00
Agnico Eagle Mines 100 shares	1,900.00
National Money Market Fund	4,479.29
National Government Fund	11.23
TM US Govt. Fund	966.53
TOTAL 1986 PORTFOLIO VALUE	$10,357.05

1987 ACTIVITY

1-27-87	Bought Newmont Gold	- 2,631.03
3-19-87	1986 Contribution	+ 2,000.00
3-30-87	Bought Hillenbrand Industries	- 3,035.09
4-1-87	Sold Newmont Gold	+ 4,678.25
5-2-87	Sold Agnico Eagle Mines	+ 2,594.50
10-2-87	Cal Amer. S & L C.D. Matured	+ 3,000.00
10-8-87	Bought City Fed. S & L C.D.	- 11,000.00

1987 PORTFOLIO

City Fed S & L C.D.	11,000.00
Hillenbrand Industries 100 shares	2,475.00
National Govt. Fund	686.07
TM US Govt. Fund	972.33
TOTAL 1987 PORTFOLIO VALUE	$15,133.40

1988 ACTIVITY

4-20-88	Bought Dixie S & L C.D.	- 3,000.00
4-21-88	Sold Hillenbrand Ind.	+ 3,283.67
7-20-88	Dixie S & L C.D. Matured	+ 3,000.00
10-14-88	City Fed. S & L C.D. Matured	+ 11,000.00

1988 PORTFOLIO

TM US Govt. Fund	1,031.21
National Govt. Fund	16,240.76
TOTAL 1988 PORTFOLIO VALUE	$17,271.97

Thomson McKinnon Securities

TMSI Cust FBO Barbara J. Burton IRA   Account #22-850447

1986 ACTIVITY

4-14-86	1985 Contribution	+$ 2,000.00
5-21-86	BOUGHT TM US Govt. Fund	- 923.00
6-10-86	BOUGHT Agnico Eagle Mines 100 shares	– 1,572.17
8-8-86	Bank of NY C.D. matured	+ 4,000.00

1986 PORTFOLIO

Cal Amer. S & L C.D. 9.500 10-2-87	3,000.00
Agnico Eagle Mines 100 shares	1,900.00
National Money Market Fund	4,479.29
National Government Fund	11.23
TM US Govt. Fund	966.53
TOTAL 1986 PORTFOLIO VALUE	$10,357.05

1987 ACTIVITY

1-27-87	Bought Newmont Gold	- 2,631.03
3-19-87	1986 Contribution	+ 2,000.00
3-30-87	Bought Hillenbrand Industries	- 3,035.09
4-1-87	Sold Newmont Gold	+ 4,678.75
5-2-87	Sold Agnico Eagle Mines	+ 2,594.50
10-2-87	Cal Amer. S & L C.D. Matured	+ 3,000.00
10-8-87	Bought City Fed. S & L C.D.	- 11,000.00

1987 PORTFOLIO

City Fed S & L C.D.	11,000.00
Hillenbrand Industries 100 shares	2,475.00
National Govt. Fund	686.07
TM US Govt. Fund	972.33
TOTAL 1987 PORTFOLIO VALUE	$15,133.40

1988 ACTIVITY

4-20-88	Bought Dixie S & L C.D.	- 3,000.00
4-21-88	Sold Hillenbrand Ind.	+ 3,283.67
7-20-88	Dixie S & L C.D. Matured	+ 3,000.00
10-14-88	City Fed. S & L C.D. Matured	+ 11,000.00

1988 PORTFOLIO

TM US Govt. Fund	1,031.21
National Govt. Fund	16,240.76
TOTAL 1988 PORTFOLIO VALUE	$17,271.97

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THOMSON MCKINNON SECURITIES

TMSI Cust Dan Burton Agency KEOGH
FBO Barbara J. Burton

Account # 22-877109

1986 ACTIVITY

4-14-86	1985 Contribution	+	$ 1,400.00
5-21-86	TM US Govt. Fund	-	680.00
6-10-86	Bought Agnico Eagle Mines 100 shares	-	1,572.17

1986 PORTFOLIO

San Jacinto S & L C.D. 10% 10-30-89	2,000.00
Agnico Eagle Mines 100 shares	1,900.00
National Money Market Fund	106.99
National Govt. Fund	4.67
TM US Govt. Fund	706.39
TOTAL 1986 PORTFOLIO VALUE	$ 4,718.05

1987 ACTIVITY

3-19-87	1986 Contribution	+	1,695.00
5-26-87	Sold Agnico Eagle Mines	+	2,594.50
10-8-87	Bought City Fed. S & L C.D.	-	4,000.00

1987 PORTFOLIO

City Fed. S & L	4,000.00
San Jacinto S & L	2,000.00
National Govt. Fund	796.47
TM US Govt. Fund	710.63
TOTAL 1987 PORTFOLIO VALUE	$ 7,507.10

1988 ACTIVITY

4-15-88	1987 Contribution	+	2,243.00
10-14-88	City Fed. S & L C.D. matured	+	4,000.00

1988 PORTFOLIO

San Jacinto S & L	$ 2,000.00
National Govt. Fund	7,799.54
TM US Govt. Fund	753.64
TOTAL 1988 PORTFOLIO VALUE	$10,553.18

THOMSON MCKINNON SECURITIES

TMSI Cust Danny L. Burton Money Purchase
FBO Danny L. Burton — Account # 22-877187

1986 ACTIVITY		
12-29-86	1986 Contribution	+ $ 125.00
1986 PORTFOLIO		
	National Money Market Fund	$ 125.00
1987 ACTIVITY		
3-19-87	1986 Contribution	+ $ 813.00
1987 PORTFOLIO		
	National Govt. Fund	$ 931.67
1988 ACTIVITY		
4-15-88	1987 Contribution	+ $ 3,794.00
1988 PORTFOLIO		
	National Govt. Fund	$ 4,923.30

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Albert G. Bustamante
(Full Name)

1116 Longworth House Office Building
(Mailing Address)

Washington, D. C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 23 State Texas

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Continuation Sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Dr. Carlos Lozano - second lien on real estate	Interest	C
M-Bank Alamo - San Antonio, Texas	Interest	A
San Antonio Savings Assn. - San Antonio, Texas	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(8) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Continuation Sheet	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sold stock in SA Video Corp. (15,000) shares of stock	11/88	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of *equal* or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
O. S. Heard, Jr. Attorney-at-Law	Transportation to accompany
San Antonio, Texas	wife on business trip to
	San Francisco, CA

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE

89070290500

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether these expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Continuation Sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 16, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Albert G. Bustamante
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
II. INCOME (A)			
	Spouse Self-employed as attorney		Attorney fees
	Heard, Goggan, Blair, & Williams		
	Attorneys at Law - Spouse		Attorney Fees
Honorariums:			
	General Electric	$1000-	1/18/88
	LTV Aerospace	$1000-	2/5/88
	McDonalds Corp.	$ 500-	3/14/88
	Mexican American Grocers		
	Association	$1000-	3/19/88
	Drexel Burnham	$1000-	4/15/88
	South West Texas Jr.		
	College	$ 250-	5/15/88
	Council for the Spanish		
	Speaking, Inc.	$ 500-	5/20/88
	IBM	$2000-	6/27/88
	National Minority		
	Contracting Form	$1000-	6/28/88
	General Atomics Technologies		
	Inc.	$1000-	7/8/88
	Boeing Aerospace	$2000-	8/30/88
	LULAC - League of United		
	Latin American Citizens	$1500-	9/9/88
	Northrup	$2000-	10/24/88
	Sherwood Van Lines	$2000-	12/28/88
III. HOLDINGS			
	HR-10 Account Groos Bank		
	San Antonio, Texas (Spouse)		
	(List of Securities attached)		C
	MM Acount M Bank Alamo		
	San Antonio, Texas (Spouse)		C
	Checking Account		
	San Antonio Savings & Loan (Spouse		
	Business Account)		B
	Office Equipmnet & Furniture		
	Law Office (Spouse)		A
	Second lien on real estate		
	429 West Broadview		
	San Antonio, Texas		C
	Partnership Interest		
	Omar Investments (Spouse)		A
	87.8 acres farm/ranch		
	Rt. 1, Box 50		
	Hondo, Texas		
	(Former Personal Residence)		E

GPO: 1987 79-046

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Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Albert G. Bustamante
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
VII. REIMBURSEMENTS		
01/18/88	General Electric (Speech)	Rountrip Airfare DC/ Cincinnati,Ohio
02/05/88	LTV (Speech)	Roundtrip Airfare DC/ Dallas, Texas
03/19/88	Mexican American Grocers Association (Speech)	Roundtrip Airfare DC/ Palm Springs, Florida
04/15/88	Drexel Burnham (Issues Conference Participant)	Rountrip Airfare DC/ Beverly Hills, CA
05/20/88	Council for the Spanish Speaking, Inc. (Speech)	Roundtrip Airfare DC/ Milwaukee, WI
08/30/88	Boeing Aerospace (Speech)	Roundtrip Airfare DC/ Seattle, WA
12/28/88	Sherwood Van Lines (National Conference Speaker)	Roundtrip Airfare DC/ Los Vegas, NV
11/12/88 to 11/20/88	Chinese National Association Of Industry and Commerce	Roundtrip Airfare DC/ Taipei, Taiwan
08/25/88	Killebrew & Harding D. Thompson Charity Golf Tournament Participant "A Leukemia Research Benefit"	Roundtrip Airfare DC/ Sun Valley, ID; 3 days food/lodging (self & spouse)
05/27/88 to 06/06/88	Chambers of Commerce of Madrid and Sevilla; Congressional Hispanic Caucus Institute, Inc. Trade Mission to Spain	Roundtrip Airfare DC/ Madrid-Sevilla, Spain; lodging (self & spouse)

Portfolio Investment Review

Asset
Investment
Management
GROUP

P.O. Box 1330
San Antonio, Texas 78295

FOR THE ACCOUNT OF	ACCOUNT NUMBER	CURRENT VALUATION AS OF
REBECCA P. BUSTAMANTE MONEY PURCHASE PLAN	13 00 0200 13 2	DEC 31,1988

ASSET DESCRIPTION	SHARES OR PAR VALUE	INVENTORY COST BASIS	INVENTORY VALUE	PROJECTED ANNUAL INCOME	YIELD ON COST	YIELD ON MARKET	% OF ACCOUNT AT MARKET	ADJUSTED MARKET VALUE LAST YEAR	CURRENT UNIT VALUE	CURRENT MARKET VALUE
L)										
ICOME CASH		0.00					0.0			0.00
RINCIPAL CASH		0.00					0.0			0.00
TOTAL CASH		0.00	0.00	0	0.0	0.0	0.0	0.00		0.00
+MISC CASH EQUIV-TXBL										
MPFUND	10,603.20	10,603.20	10,603.20	1,607	9.1	9.1	39.0	10,603.20		10,603.20
TOTAL MISC CASH EQUIV-TXBL		10,603.20	10,603.20	1,607	9.1	9.1	39.0	10,603.20		10,603.20
TOTAL CASH EQUIVALENTS		10,603.20	10,603.20	1,607	9.1	9.1	39.0	10,603.20		10,603.20
+CORPORATE BONDS & NOTES										
MATURITY (0 - 5 YRS)										
E FIXED INCOME FUND	2,061	20,982.02	20,982.02	1,890	9.0	8.4	48.5	21,629.37	11.002	22,674.20
TC)CORPORATE BONDS & NOTES		20,982.02	20,982.02	1,890	9.0	8.4	48.5	21,629.37		22,674.20
TOTAL FIXED INCOME SECURITIES		20,982.02	20,982.02	1,890	9.0	8.4	48.5	21,629.37		22,674.20
+COMMON STOCK										
E EQUITY FUND	502	4,998.30	4,998.30	242	4.8	4.4	11.6	4,967.39	10.823	5,433.40
TOTAL COMMON STOCK		4,998.30	4,998.30	242	4.8	4.4	11.6	4,967.39		5,433.40
TOTAL EQUITY		4,998.30	4,998.30	242	4.8	4.4	11.6	4,967.39		5,433.40

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Portfolio Investment Review

Asset
Investment
Management
GROUP

P.O. Box 1330
San Antonio, Texas 78295

FOR THE ACCOUNT OF	ACCOUNT NUMBER	CURRENT VALUATION AS OF
	15 00 0200 15 2	DEC 31,1988

REBECCA P. BUSTAMANTE MONEY PURCHASE PLAN

ASSET DESCRIPTION	SHARES OR PAR VALUE	INVENTORY COST BASIS	INVENTORY VALUE	PROJECTED ANNUAL INCOME	YIELD ON COST	YIELD ON MARKET	% OF ACCOUNT AT MARKET	ADJUSTED MARKET VALUE LAST YEAR	CURRENT UNIT VALUE	CURRENT MARKET VALUE
TOTALS		44,500.52	44,500.52	3,02	0.6	0.2	100.0	48,199.96		46,710.00
ACCRUED INTEREST										0.00
EX-DIVIDENDS										0.00
AND TOTALS		44,500.52	44,500.52	3,02	0.6	0.2	100.0	48,199.96		46,710.00

HAND DELIVERED

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Beverly B. Byron
(Full Name)

306 Grove Boulevard
(Mailing Address)

Frederick, Maryland 21701

MC (Office Use Only)

89 MAY 15 AM 9 04
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6 State MD

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,033, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Attached			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Dividends on Publicly Traded Stocks - see attached	Dividends	A
First National Bank of Maryland	Interest	A
Maryland National Bank	Interest	D
Condominium - Washington, DC	Rents	Gross - D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO __X__ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Attached	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
National Bank of Washington - Condominium	C
Home Federal - Condominium (Spouse)	D
BFK Leasing (Spouse)	C
Riggs Unsecured (Spouse)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Rachel Elezando, American Storyteller Sante Fe, New Mexico	Necklace	$128.

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Beverly B Byron	May 14 1939

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Beverly B. Byron
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
IIA	SCHEDULE OF EARNED INCOME		
Date:	Source:	Type:	Amount:
--	Real Estate - Spouse	Self Employed	
01/18/88	W. R. Grace & Co.	Honorarium	1,000.00
02/18/88	U.N.C. Incorporated	Honorarium	1,000.00
03/25/88	General Electric Co.	Honorarium	1,000.00
04/11/88	Rockwell International Corp.	Honorarium	2,000.00
04/08/88	Lockheed Corp.	Honorarium	1,000.00
04/12/88	TRW, Inc.	Honorarium	2,000.00
04/08/88	FMC Corporation	Honorarium	1,000.00
04/11/88	Northrop Corporation	Honorarium	2,000.00
04/26/88	Technology Forum	Honorarium	500.00
11/01/88	Avco Corporation	Honorarium	1,000.00
11/16/88	Talley Defense Systems, Inc.	Honorarium	1,000.00
11/09/88	McDonnell Douglas Corp.	Honorarium	2,000.00

Part		
III	SCHEDULE OF HOLDINGS	
	Description:	Category:
	Unimproved lot, 304 Grove Blvd, Frederick, MD	E
	56 Shares Exxon Corporation	A
	40 Shares Mobil Corporation	A
	36 Shares Westinghouse Corporation	A
	20 Shares Minnesota Mining & Manufacturing	A
	73 Shares of 3 publicly traded stocks, each holding less than $1,000	A
	Maryland National Bank IRA	A
	City of Detroit Bond	A
	Massachusetts Turnpike Authority Bond	A
	Condominium - Washington, DC	E
	Condominium - Washington, DC (Spouse)	E
	First National Bank of Maryland	B
	Maryland National Bank	C

Part				
VII	REIMBURSEMENTS			
	For the People Foundation	Speaking	Airfare/Lodging	1
	Lehigh Valley Engineers	Speaking	Airfare	2
	Rockwell Int'l, Northrop, TRW	Speaking	Airfare/Lodging	3
	General Electric	Speaking	Airfare/Lodging	4
	Textron	Speaking	Airfare	5

1) Houston, Texas
2) Allentown, Pennsylvania
3) Los Angeles, California
4) Boston, Massachusetts
5) Boston, Massachusetts

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

MAY 15 1989

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

HERBERT LEON CALLAHAN
(Full Name)

3251 RIVERE DU CHIEN DRIVE
(Mailing Address)

MOBILE, ALABAMA 36693

MC
(Office Use Only)

1989 MAY 24 AM 10:40
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District ONE State ALABAMA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
U.S. HOUSE OF REPRESENTATIVES	SALARY	85,025	
THE FINCH COMPANIES, INC.	DIRECTOR FEES	12,000	
SEE CONTINUATION SHEET	HONORARIUMS		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A--not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
AmSOUTH BANK N.A.	INTEREST	C
SOUTHTRUST BANK	INTEREST	A
~~SPRING HILL INSURANCE CO., INC.~~	~~INTEREST~~	~~A~~
SPRING HILL INSURANCE CO., INC.	DIVIDENDS	A
FIRST ALABAMA BANK	INTEREST	A
SEE CONTINUATION SHEET		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __XX__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SEE CONTINUATION SHEET	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION		DATE	CATEGORY
RESIDENETIAL LOT	SALE	1988	E
GULF SHORES ALABAMA - CONDO	SALE	1988	E
FDIC SOUTHERN NATIONAL BANK - Common Stock	SALE	1988	B

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RECEIVED
CLERK
U.S. HOUSE OF REPRESENTATIVES

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	TYPE	CATEGORY
THE FINCH COMPANIES, INC.	Personal	F
FIRST ALABAMA BANK	Personal	C
SOUTH TRUST BANK	Personal	C
INTERNAL REVENUE SERVICE	Income tax	C
STATE OF ALABAMA	Income tax	A
ALTUS BANK	Business	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
CONGRESSIONAL INSTITUTE, INC.	TRAVEL AND LODGING - D C TO HOUSTON
	3 days food/lodging $426
SEE CONTINUATION SHEET	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
SEE CONTINUATION SHEET	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
4-1-85	THE FINCH COMPANIES, INC.	$12,000 per annum as Director

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature:	Date:
H J Callahan	5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 5 of 7

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

HERBERT LEON CALLAHAN
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
	SOURCE	TYPE	CATEGORY
PART II-A	HONORARIUMS		
	NATIONAL TOBACCO INSTITUTE	1-11-88	$ 1,000
	COASTAL TRAINING INSTITUTE	1-22-88	750
	ALABAMA CHEMICAL ASSOC., INC.	1-15-88	1,000
	AMERICAN NUCLEAR ENERGY COUNCIL	5-12-88	300
	OLIN CHEMICALS	5-26-88	720
	RJR NABISCO, INC.	8-18-88	2,000
PART II-B	UNEARNED INCOME		
	THE FINCH COMPANIES	INTEREST	E
	THE FINCH COMPANIES	"S" CORP	G
	STATE OF ALABAMA	TAX REFUND	A
	U.S. TREASURY	INTEREST	B
	ALTUS BANK	INTEREST	D

89 MAY 24 AM 10:40

GPO: 1987 78-046 (40)

Page 6 of 7

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

HERBERT LEON CALLAHAN
(Name)

Continuation Sheet

1989 MAY 24 AM 10:40
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Part III - HOLDINGS — Source, Type, Amount, Category, Description or Value (As Applicable)

COMMON STOCK IN ITEMS 1 -3
PARTNERSHIP INTEREST IN ITEMS 4-8
METHODS OF VALUATION FOR HOLDINGS

a. Book value according to federal income tax return and/or financial statement.

b. Purchase price

c. For properties available for sale I have listed the asking price to the public.

d. For certain real estate partnership holdings I have relied on the estimated value of the properties by the developer.

e. Personal evaluation

	METHOD OF VALUATION	CATEGORY
1. The Finch Companies	E	F
2. Finch Real Estate Corporation	A	A
3. Spring Hill Insurance Co., Inc.	E	B
4. Double M. Joint Venture	E	C
5. H. B. Development Partnership	D	C
6. Secure-It-Self Storage, Pensacola, Florida	D	E
7. Unimproved Real Estate, West Beach Gulf Shores, Alabama 1/3 interest	C	D
8. Wilcox County, Alabama (Camp)	C	B
9. U. S. Savings Bonds	B	C
10. The Finch Companies, Inc. Pension Plan	B	D
11. Savings Accounts AmSouth - Mobile, Alabama First Alabama SouthTrust Altus Bank	B	C

PART VII REIMBURSEMENTS

National Tobacco Institute Travel expense reimbursement for Congressman and Mrs. Callahan from Mobile to California and return.	1-11-88	$ 2,712
Olin Chemicals Airline tickets from Washington, D.C. to Fort Walton, Fl.	5-26-88	280
Alabama Chemical Association Airline tickets from Washington, D.C. to Huntsville, Al.	1-15-88	145

Page 7 of 7

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

HERBERT LEON CALLAHAN
(Name)

Continuation Sheet

[illegible] MAY 24 AM 10 41
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Part VIII - POSITIONS	*Source, Type, Amount, Category, Description or Value (As Applicable)*
DIRECTOR	THE FINCH COMPANIES, INC.
DIRECTOR	FINCH REAL ESTATE CORP.
PARTNER	DOUBLE H JOINT VENTURE
PARTNER	H. R. DEVELOPMENT
DIRECTOR	SPRING HILL INSURANCE CO., INC.
PARTNER	BALDWIN COUNTY ACREAGE
PARTNER	SECURE-IT SELF STORAGE

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SONNY CALLAHAN
1ST DISTRICT, ALABAMA

[illegible] LONGWORTH BUILDING
WASHINGTON, DC 20515
[illegible]

DISTRICT OFFICE:
[illegible] COTTAGE HILL ROAD
SUITE [illegible]
MOBILE, AL [illegible]
[illegible]

Congress of the United States
House of Representatives
Washington, DC 20515

COMMITTEE ON ENERGY
AND COMMERCE

SUBCOMMITTEES:

ENERGY AND POWER

TRANSPORTATION
AND HAZARDOUS MATERIALS

HAND DELIVERED

May 26, 2989

MAY 26 PM 4:05

Mr. Donnald K. Anderson
Clerk
U.S. House of Representatives
Office of Records and Registration
1036 Longworth HOB
Washington, DC 20515

✓
MC

RE: Herbert Leon Callahan
Financial Disclosure Statement for 1988

The following amendments apply to captioned report filed on May 15, 1989.

Page 6 - Part VII Reimbursement
National Tobacco Institute 1-11-88 $2,712

Please correct the explanation to read as follows:

Travel expense reimbursement for Congressman and Mrs. Callahan from Mobile to California and return.

If you have any further questions, please do not hesitate to contact me at any time. With kind regards,

Sincerely,

Sonny Callahan
Member of Congress

** COPY **

89070292755

HAND DELIVERED

SONNY CALLAHAN
1ST DISTRICT, ALABAMA

[illegible] LONGWORTH BUILDING
WASHINGTON, DC [illegible]
[illegible]

DISTRICT OFFICE:
[illegible] COTTAGE HILL ROAD
SUITE [illegible]
MOBILE, AL [illegible]
[illegible]

Congress of the United States
House of Representatives
Washington, DC 20515

COMMITTEE ON ENERGY
AND COMMERCE

SUBCOMMITTEES:

ENERGY AND POWER

TRANSPORTATION, TOURISM,
AND HAZARDOUS MATERIALS

OFFICE OF RECORDS & REGISTRATION
1989 JUN 14 AM 11:58
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

✓ MC

June 9, 1989

Mr. Donnald K. Anderson
Clerk
U.S. House of Representatives
Office of Records and Registration
1036 Longworth HOB
Washington, DC 20515

RE: Herbert Leon Callahan
Financial Disclosure Statement for 1988

Dear Mr. Anderson:

The following amendments apply to captioned report Part IV, filed on May 15, 1989.

Page 3 - Part IV TRANSACTIONS

FDIC SOUTHERN NATIONAL BANK COMMON STOCK.
Investment in common stock of Southern National Bank was made in 1976. This stock was determined to be worthless in 1979 and consequently has not been reported by me as an asset.

The bank was subsequently administered by the FDIC and in 1988 the stockholders were paid for their shares. My pro-rata portion of this distribution falls into Category "B".

5 ACRES OF UNIMPROVED LAND (DOG RIVER)
This was reflected on the 1987 report as an asset in PART III - HOLDINGS, Value Category "E".

This property was sold in 1988 and reported in PART IV, but described as "Residential lot" sales price Category "E".

BADGER-STONEWALL INSURANCE AGENCY, INC.
Reported as a holding on the 1987 report, Category "B".

8 9 0 7 0 2 4 2 7 5 6

Mr. Donnald K. Anderson
June 12, 1989
Page two

During the year 1988 Badger-Stonewall Insurance Agency, Inc. merged with and changed their name to Spring Hill Insurance Company, Inc.

Spring Hill Insurance Company, Inc. is reported on the 1988 report in PART III, item 3, Category "B".

As well as these explanations, the report was inadvertently delivered unsigned. I have signed the copy returned to me to rectify this.

If you have any further questions, please don't hesitate to contact me. With kind personal regards,

Sincerely,

HLCallahan

Sonny Callahan
Member of Congress

8 9 7 7 0 2 3 1 1 7 1

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

CT 15 PM 11: 26

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Ben Nighthorse Campbell
(Full Name)

P.O. Box 639
(Mailing Address)

Ignacio, Colorado 81137

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3 State CO

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Ignacio School District (spouse)	salary		none
Jewelry design income	salary	5,000	none
Adams State College 5/88	honorarium	300	none
Jewelers Association 7/88	honorarium	500	none
Colorado Grain & Feed Assoc. 3/88	honorarium	550	none

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
~~Fay & Hillary Carver (mortgage)~~	~~principal/int.~~	~~E~~
~~County Road 334 #608 Ignacio (rent)~~	~~rent~~	~~C~~
~~456 New Jersey SE, Washington, D.C.~~	~~rent~~	~~D~~
~~506-510 E 2nd Ave., Durango, CO~~	~~rent~~	~~D~~
~~556 2nd Avenue, Durango, CO~~	~~rent~~	~~D~~
~~County Road 334, Ignacio, CO~~	~~ranch~~	~~D~~

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Real estate, 115 acres (house/barn/rental), Ignacio, CO	E
Real estate, (home/rental), 456 New Jersey Ave., S.E., Washington, D.C.	E
Real estate, (rental), 506-510 E. 2nd Ave., Durango, CO	D
Real estate, (rental), 556 2nd Ave., Durango, CO	E
Savings account, Bank of Ignacio, Ignacio, CO	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Purchase of rental property (556 2nd Ave., Durango, CO)	4/20/88	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage (County Road 334, #408, Ignacio)-Rocky Mt. Escrow, P.O. Box 2106, Durango	C
Mortgage (Durango rental)-Rocky Mt. Escrow, P.O. Box 2106, Durango	D
Mortgage (456 New Jersey Ave., S.E., D.C.)-Congressional Title Escrow, D.C.	E
Mortgage (556 2nd Ave., Durango)-Standard Federal, P.O. Box 9481, Gaithersburg, MD	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Jewelers Association (speaker) 7/8	Air fare/meals/lodging in New York
Colorado Grain & Feed Assoc. (speaker) 2/88	Travel expenses

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Ben	May 11, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

0 1 3 7 1 2 3 0 7 9 2

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

THOMAS J. CAMPBELL
(Full Name)
1730 LONGWORTH HOUSE OFFICE BUILDING
(Mailing Address)
WASHINGTON, D.C. 20515

MC (Office Use Only)

15 9 55

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 12 State CALIFORNIA

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Stanford University	Salary	62,904	None
Travel Services (Spouse)	Business		None
Including sale of goods	Profit or Loss		
JWC · General Tours, New York City, Employer	and Salary		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001–$2,500; C—$2,501–$5,000; D—$5,001–$15,000; E—$15,001–$50,000; F—$50,001–$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Tenants: Ms. Marge Grant, Ms. Abby Don Rental of Bungalow behind residence; 665 Alvarado Row, Stanford	Rent	C (gross)
Wells Fargo	Interest	A
First Virginia (Spouse)	Interest	A
Seligman Income Fund (Spouse)	Dividends	C
Seligman Common Stock Fund, Ferris & Co. (Spouse)	Capital Gains	A
700 shares Pulitzer (Spouse)	Capital Gains/Loss	A
225 Shares Marriott (Spouse)	Capital Gains/Loss	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001–$15,000; C—$15,001–$50,000; D—$50,001–$100,000; E—$100,001–$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO __XX__ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Wells Fargo Checking Account	A
Martin Family Trust (Spouse holds remainderman interest, no right to present income) (See attachment)	F
Bungalow Behind residence, 665 Alvarado Row, Stanford, CA	B
First Virginia Savings Account (spouse)	A
Seligman Common Stock Funds (Spouse)	C
Seligman Income Fund (spouse)	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price; and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
350 shares Pulitizer - Sold (Spouse)	7/27/88	B
350 shares Pulitizer - Sold (Spouse)	9/14/88	B
225 shares Marriott - Sold (Spouse)	9/14/88	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Citibank Ready Credit (Unsecured, revolving personal line of credit)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

[illegible]

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Professor (on leave)	Stanford University, Stanford, CA

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
1/3/89	Stanford Law School and Thomas J. Campbell	Two-year leave of absence; no compensation; tenure preserved; right to live in faculty housing perserved.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Tom Campbell	5/12/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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ATTACHMENT FOR: CONGRESSMAN THOMAS J. CAMPBELL

Attachement (Part III - Line 2-3)

U.S. Treasury and Government Agencies Bonds		E
Municipal Obligations:	D	
Arlington County 4.875%		C
Commonwealth of Virginia 4.30%		C
Farfaix County 5.7%		C
Corporate:	D	
GMAC 9.25%		C
ITT Financial Corp. 9.0%		C

NOTE: Interest and dividends
accumulate in trust, to which Member's
spouse succeeds upon death of
her mother - no present earnings

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HAND DELIVERED

MC ✓

MAY 23 PM 8 43

Hon. Julian C. Dixon, Hon. John T. Myers
Committee on Standards of Official Conduct
Suite HT-2, U.S. Capitol
Washington, D.C. 20515

May 21, 1989

Dear Chairman Dixon and Congressman Myers,

Thank you for your letter of May 16, 1989, indicating an apparent deficiency in the Financial Disclosure Statement I filed on May 15, 1989.

The checklist you sent suggests that there were new holdings of U.S. Treasury and Government Agency Bonds, Municipal Obligations, and Corporate Bonds; and hence, these should have been reported as acquisitions. However, please note that I reference these holdings in an attachment marked as pertaining to Part III, lines 2-3, of my report--those lines describe my wife's remainder interest in the Martin Family Trust. (She has no present right to income or withdrawal from the trust; her only interest is to succeed to the corpus of the trust upon the death of her mother.) I suspect your reviewers might have read this attachment as referring to individual securities that I held; in which case, they would indeed have been new acquisitions. As it is, however, these are simply securities that continue to be present in the Martin Family Trust.

My attachment might have been headed, "Attachment to describe general category of securities held in Martin Family Trust, as it appears on lines 2-3 of Part III," rather than simply "Attachment (Part III - Line 2-3)." I would be grateful if you could consider this letter an amendment to my statement so as to make that heading more clear.

I trust this clarifies the apparent deficiency noted in your letter; but please let me know at once if this is not so. Thank you for your hard work on the Committee on Standards of Official Conduct.

Sincerely,

Tom Campbell

Tom Campbell
U.S. Congressman
1730 Longworth

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Benjamin L. Cardin
(Full Name)

2509 Shelleydale Drive
(Mailing Address)

Baltimore, Maryland 21209

MC (Office Use Only)

MAY 11 PM 10:41

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3 State Maryland

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,032, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __XX__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE ATTACHED SHEET			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
SEE ATTACHED SHEET		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SEE ATTACHED SHEET	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
SEE ATTACHED SHEET		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Bethlehem Steel Corp.	Air transportation to & from Bethlehem, Pa. to speak to their corporate leaders
ROC - USA Economic Counsel	Four days of food and lodging, transportation including airfare & domestic travel for self & spouse for fact finding trip to Tawian to meet with government leaders & visit port & steel facilities - Tawian

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
SEE ATTACHED SHEET	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Benjamin L. Cardin	5/11/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1988 [illegible]

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Page 1 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Benjamin L. Cardin
(Name)

Continuation Sheet

Part II A EARNED INCOME — Source, Type, Amount, Category, Description or Value (As Applicable)

SOURCE	TYPE	AMOUNT	DISPOSITION
Benjamin L. Cardin, P.A.	Salary	22,000	
Park School (Spouse)	Salary		
Pharmacutical Manuf. Assoc. (1/6/88)	Honorarium	1,000	Charity
The Capitol Forum (1/27/88)	Honorarium	500	Charity
Credit Union Nat. Assoc. (2/3/88)	Honorarium	1,500	Charity
Sunpapers (2/16/88)	Article/Honorarium	100	Charity
Nat. Assoc. of Ind. Insurers (2/25/88)	Honorarium	2,000	Charity
Edison Electric Inst. (2/29/88)	Honorarium	250	Charity
Recording Ind. Assoc. of Am. (3/28/88)	Honorarium	1,000	Charity
Bethlehem Steel Corp. (6/13/88)	Honorarium	1,000	Charity
Md.-D.C. Society of Anesthiologist (5/31/88)	Honorarium	500	Charity
SCM Chemical Corp. (10/26/88)	Honorarium	1,000	Charity
State of Maryland	Deferred Compensation (For income earned prior to being elected to Congress)	23,553	
State of Maryland	Pension	2,836	
Sole Proprietor - Law	Collection of fees for services preformed prior to being elected to Congress)	15,855	

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Page 2 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Benjamin L. Cardin
(Name)

Continuation Sheet

Part II B UNEARNED INCOME *Source, Type, Amount, Category, Description or Value (As Applicable)*

SOURCE	TYPE	CATEGORY
B. Green & Co., Inc.	Dividend	D
Northeast Utilities	Dividend	A
NCR	Dividend	A
Olin Corporation	Dividend	A
Squibb Beachnut	Dividend	A
Niagara Mowhawk	Dividend	A
Smith, Barney Account	Dividend	B
Warner Communications	Dividend	A
Maryland State Bond (Non Taxable)	Interest	A
Maryland Health & Higher Ed. Bond (Non Taxable)	Interest	A
Israel Bonds (Joint with Spouse)	Interest	A
Maryland National Bank	Interest	A
Sterling Drug	Capital Gains	B (Gross & Net)
Manville Corp.	Capital Gains	A (Gross & Net)
Venture BG (Reported for informational purposes)	Distributions	C
Pocklington - Law suit recovery by stockholders of B. Green & Co. (Reported for informational purposes)	Recovery	E
Swiss Mountain Condominium, Unit 2404 Seven Springs, Pa. (Joint with spouse)	Rental	D (Gross) - net was a loss
Union Pacific (Son)	Dividend	A
Union Pacific (Daughter)	Dividend	A
Warner Communications (Son)	Dividend	A
Warner Communications (Daughter)	Dividend	A
B.Green & Co. (Son)	Interest	B
B. Green & Co. (Daughter)	Interest	B
Sequoia Federal Savings Bank (Son)	Interest	B
Sequoia Federal Savings Bank (Daughter)	Interest	B
Swiss Mountain Mortgage (Son)	Interest	C
Swiss Mountain Mortgage (Daughter)	Interest	C
Israel Bonds (Son)	Interest	A
Israel Bonds (Daughter)	Interest	A
Baybank Middlesex (Daughter)	Interest	A

GPO: [illegible]

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Page 3 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Benjamin L. Cardin
(Name)

Continuation Sheet

Part III HOLDINGS (Page 1) Source, Type, Amount, Category, Description or Value (As Applicable)

IDENTITY	CATEGORY
B. Green & Co., Inc., Baltimore, Maryland (Contingent beneficiary of a trust. Evaluation based on independent appraisal - Feb., 1986)	F
B. Green & Co., Inc., Baltimore, Maryland (Common Stock)	A
Northeast Utilities (Spouse) - Common Stock	B
N.C.R. - Common Stock	C
Warner Communications - Common Stock	C
Sterling Drug - Common Stock (Sold in Feb. & March, 1988 - evaluation based on sales price)	B
Olin Corp. - Common Stock	B
Squibb Beachnut - Common Stock	C
Niagara Mowhawk - Common Stock	A
BTR - Common Stock	B
Radiation System - Common Stock	A
Israel Bonds (Some with spouse or in spouse's name alone	A
Maryland State Bond	A
Maryland Health & Higher Ed. Bond	A
Venture BG Partnership (Partnership that holds land at 3601 Washington Blvd. leased to B. Green & Co., Inc., 2% partnership interest, evaluation based on appraisal Dec., 1984	C
Smith, Barney Account - Cash Management Account	B
Swiss Mountain Condominium, Unit 2404, Seven Springs, Pa., joint with spouse - Rental Property - evaluation based on purchase price of $84,700 Oct., 1984	D
Mortgage (Mortgagee - children), Mortgagor self and spouse - Swiss Mountain Condominium, Unit 2404, Seven Springs, Pa.	D
Benjamin L. Cardin, P.A. - Professional Association for the practice of law, Baltimore, Maryland, in which 100% of the stock is owned by self - evaluation based on book value	Less than $1,000
Seageant At Arms Checking Account	A
Deferred Compensation Plan - State of Maryland	D
Deferred Compensation Plan - Thrift Savings Plan for Federal Employees	B
Deferred Compensation Plan - Park School (Spouse)	A
Manville Corp. - Common Stock (Sold in Dec., 1988 - evaluation based on sales price)	Less than $1,000
Sequoia Federal Savings Bank IRA	B
Sequoia Federal Savings Bank IRA (spouse)	B

Page 4 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Benjamin L. Cardin
(Name)

Continuation Sheet

Part III HOLDINGS (Page 2) *Source, Type, Amount, Category, Description or Value (As Applicable)*

IDENTITY	CATEGORY
Keough - American Bar Assoc. Retirement Plan - Benjamin L. Cardin Retirement Plan	E
Union Pacific - Common Stock (Son)	B
Union Pacific - Common Stock (Daughter)	B
Warner Communications - Common Stock (Son)	B
Warner Communications - Common Stock (Daughter)	B
A.T. & T. - Common Stock (Son)	A
A.T. & T. - Common Stock (Daughter)	A
M.C.I. Communications Corp. - Common Stock (Son)	A
M.C.I. Communications Corp. - Common Stock (Daughter)	A
B. Green & Co., Inc. - Note (Son)	C
B. Green & Co., Inc. - Note (Daughter)	C
Israel Bonds (Son)	B
Israel Bonds (Daughter)	B
Sequoia Federal Savings Bank & Balto. Federal Financial - Savings Accounts (Son)	C
Sequoia Federal Savings Bank & Balto. Federal Financial - Savings Accounts (Daughter)	C
U.S. Savings Bonds (Son)	A

GPO: 1987 O—[illegible]

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Page 5 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Benjamin L. Cardin
(Name)

Continuation Sheet

Part IV TRANSACTIONS

Source, Type, Amount, Category, Description or Value (As Applicable)

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of Common Stock - Sterling Drug	2/2/88 & 3/7/88	B
Sale of Common Stock - Manville Corp.	12/88	Less than $1,000
Addition to Keough - American Bar Assoc. Members Retirement Plan	4/88	A
Withdrawals from Deferred Compensation - State of Md.	Monthly	C
Receipts from Retirement - State of Maryland	Monthly	A
Israel Bonds - transfered to Assoc. Jewish Charaties (Joint with spouse)	12/88	A
Smith Barney Account - Cash Management Account - changes caused by deposits & withdrawals (Joint with spouse)	Throughout Year	A-C
Addition to Thrift Savings Plan - Federal Employees	Monthly	B
Addition to Deferred Compensation - Park School (Spouse)	Monthly	A
Israel Bonds - Reinvestment of mature bonds & purchase of new bonds (Son)	11/88 & 12/88	A
Israel Bonds - Reinvestment of mature bonds & purchase of new bonds (Daughter)	11/88 & 12/88	A
Addition to note - B. Green & Co., Inc. (Son)	8/88	A
Addition to Note - B. Green & Co., Inc. (Daughter)	8/88	A

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Page 6 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Benjamin L. Cardin
(Name)

Continuation Sheet

Part VIII POSITIONS

Source, Type, Amount, Category, Description or Value (As Applicable)

POSITION	NAME OF ORGANIZATION
Director/Pres. Employee	Benjamin L. Cardin, P.A. (Spouse also a director & Secretary) Baltimore, Maryland
Director	Park School - Baltimore, Maryland
Director	Assoc. Jewish Charaties - Baltimore, Maryland
Member	Chancellor's Advisory Council of the University of Maryland System, College Park, Maryland
Trustee	St. Mary's College - St. Mary's, Maryland
Director (Spouse)	John F. Kennedy Institute - Baltimore, Maryland
Director (Spouse)	Jewish Community Center - Baltimore, Maryland
Employee (Spouse)	Park School - Baltimore, Maryland
Employee (Son)	Wesleyan University, Middletown, Conn.
Employee (Son)	Conn. Conference of Muncipalities - New Haven, Conn.
Employee (Son)	Laurel Racing Assoc. - Laurel, Maryland
Employee (Daughter)	Tufts University, Medford, Mass.
Employee (Daughter)	Classic Capers Catering - Marthe's Vineyard, Mass.
Director	Catonsville Community College Foundation - Baltimore, Maryland
Member	President's Advisory Board University of Maryland, Baltimore County Campus - Baltimore, Maryland
Director (Spouse)	Baltimore Jewish Council - Baltimore, Maryland
Director	Re-Visions Foundation, Inc. - Baltimore, Maryland
Trustee	Baltimore Council for Foreign Affairs - Baltimore, Maryland
Director/Chairman	Maryland Legal Services Corporation - Baltimore, Maryland
Trustee	Baltimore Museum of Art - Baltimore, Maryland
Board Member	Menorah Lodge #771, B'nai B'rith - Baltimore, Maryland

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Thomas Richard Carper
(Full Name)

600 W. Matson Run Parkway
(Mailing Address)

Wilmington, DE 19802

MC (Office Use Only)

MAY 15 [illegible] 10 12

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District AL State Delaware

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed-Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
U.S. House of Representatives	salary	$89,500	
DuPont Company (spouse)	salary		
no honoraria accepted			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Mellon Bank (DE)	money mkt. acct.	B
Wilmington Trust Co.	accounts	B
Merrill Lynch	money mkt. acct.	A
DuPont Co.	common stock dividend	B
net income from rental properties		A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
residence: 600 W. Matson Hwy., Wilm., DE	E
Rental property: 220 Mandell Pl., New Castle, DE	D
rental property: 110 Sundown Lane, Pinesville, NC	D
rental property: 106 6th Street, SE, Washington, DC	E
IRA (Wilmington Trust Co.)	B
IRA (Wilmington Trust Co.) (spouse)	B

(see attachment)

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of previous Wilmington, DE residence	3/88	E
Purchase of rental property - 106 6th Street, SE, Wash., DC	7/88	E

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

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IDENTITY	CATEGORY
Mortgages for rental properties:	
220 [illegible] Place, New Castle, DE (Bank Boston Mortgage Co.)	C
110 Sundown Lane, Pineville, NC (Marsh Mortgage Co.)	C
106 6th Street, SE, Washington, DC (Wilmington Trust Co.)	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
DuPont Co.	Roundtrip Phila.-Orlando air transportation and meals valued at $620. Total for Nov. 11-13, 1988 business outing. Hosted by DuPont Co. Rep. Carper attended as spouse of Martha Carper, a DuPont employee. Mrs. Carper and other DuPont employees were entitled to bring spouses to outing.

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE

[illegible]

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Delta Tau Delta Fraternity - Ohio State Univ.	$285 for roundtrip airfare, Phila., PA to Columbus, OH in relation to speaking engagement in 1987.
Conference of State Bank Supervisors	Approx. $550 for roundtrip airfare & auto rental, Phila., PA-Orlando, Fla. 4/10-11/88 (no lodging or meal expenses).

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
none	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	none	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Thomas R Carper	May 10, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Thomas Richard Carper
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III Holdings (cont.)	State of Delaware Deferred Compensation Program	A
	Mellon Bank (DE) Money Market Fund	C
	Wilmington Trust Checking & Savings Accts.	B
	DuPont Co. Thrift Plan	D
	DuPont Co. Common Stock	B
	Jefferson Std. Life Insurance Policy (cash value)	B
	Merrill Lynch Money Market Acct.	B
	Federal Employees Thrift Plan	A
VII Reimbursement (cont.)	Winterthur Museum of Delaware - Roundtrip air transportation provided on 12/15/88 to Rep. Carper from Dover, DE to Wilmington, DE to enable him to participate in announcement of federal grant award by the Natl. Endowment for the Humanities. Value approx. $200	
	DuPont Co. - One way air transportation on 12/21/88 via DuPont corporate aircraft from Wilmington, DE - Reidsville, NC to enable Rep. Carper to attend with spouse and speak at a dinner hosted by MacField Corp. Value approx. $192.	

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THOMAS R. CARPER
Delaware, At-Large

Committees:
Banking, Finance
and Urban Affairs

Merchant Marine
and Fisheries

Congress of the United States
House of Representatives
Washington, DC 20515

131 Cannon House Office Building
Washington, DC 20515
(202) 225-4165

District Offices:
5021 J. Caleb Boggs
Federal Office Building
844 King Street
Wilmington, DE 19801
(302) 573-6181

J. Allen Frear Federal Building
300 S. New Street
Dover, DE 19901
(302) 736-1666 (Kent)
(302) 856-3334 (Sussex)

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OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED June 8, 1989

✓ MC

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, DC 20515

Dear Mr. Anderson:

This letter is in response to the letter I received concerning my 1988 Financial Disclosure Statement.

First, in Part IV, Transactions, my holding at 600 West Matson Run Parkway, Wilmington, Delaware, was not listed because it is my primary residence. This home was purchased in August of 1987.

Also, the word "none" should have been typed under Part VI, Section B, Gifts.

I hope this clears up any problems with my statement. If you have further questions, please call Heidi Glenn or Laurette Crum of my staff at x54165.

Sincerely,

Tom Carper

Tom Carper
Member of Congress

TC/lac

THIS STATIONERY PRINTED ON PAPER MADE WITH RECYCLED FIBERS

A9371282383

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

89 MAY 15 PM 4:00

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Bob Carr
(Full Name)

2439 Rayburn H.O.B
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District 6 State MI

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,030, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
CONTINUATION SHEET			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
CONTINUATION SHEET		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA __X__

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
CONTINUATION SHEET	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
CONTINUATION SHEET		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
CONTINUATION SHEET	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
CONTINUATION SHEET	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
CONTINUATION	SHEET

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-15-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989

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Page 1 of 2

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

BOB CARR

Continuation Sheet

II. A.	Motion Picture Association of America, 1/8, honorarium,	2000.00	
	Continental Development, 1/8, honorarium	1000.00	
	The Tobacco Institute, 1/18, honorarium	1000.00	
	Michigan Doctors PAC Education Fund, 1/18, honorarium	2000.00	
	National Air Transport Associatiuon, 2/3, honorarium	2000.00	
	Bernard Johnson Co., 2/17, honorarium	1000.00	
	Turner Collins, 2/17, honorarium	1000.00	
	Hughes Aircraft, 2/17, honorarium	2000.00	
	Chicago Board of Trade, 2/29, honorarium	500.00	
	Chicago Mercantile Exchange, 2/29, honorarium	500.00	
	Am. Association of Airport Executives, 3/23, honorarium	1000.00	
	Illinois Ambassadors, 3/23, honorarium	1000.00	
	Michigan Roadbuilders, 4/13, honorarium	2000.00	
	Amalgamated Transit Union, 4/26, honorarium	2000.00	
	Motor Vehicle Manufacturers Assoc. , 5/2, honorarium	1000.00	
	National Assoc. of Broadcasters, 5/2, honorarium	1500.00	
	Emerson Electric, 5/18, honorarium	1000.00	
	Air Transport Association, 6/1, honorarium	1500.00	
	General Aviation Manufacturers Assoc, 6/22, honorarium	1000.00	
	Aerostar Owners Assoc., 6/28, honorarium	1000.00	
	Parsons Corp., 8/11, honorarium	2000.00	
	Philip Morris, 9/7, honorarium	1000.00	
	Assoc. of Equipment Distributors, 10/21, honorarium	850.00	
	Assoc. of Underground Contractors, 11/10, honorarium	1000.00	
	Aircraft Owners & Pilots Assoc., 10/11, honorarium	1000.00	
			31850.00
	Charitable Contributions:	3276.00	
	Excess honorarium expenses over reimbursements:	2288.82	
			5564.82
			26285.18

II. B. Rent from apartment in DC House, rent, C
Prudential Life Insurance, dividend, A
Apple Computer, dividend, A
CBS Inc, dividend, A
Gillette Co, dividend, A
Houghton Mifflin Co, dividend, A
Kroger Co, dividend, A
Salomon Inc, dividend, A
Sara Lee Corp, dividend, A

III. House and lot (includes apartment) in Washington, DC, E
Apple Computer common stock , B
Gillette Co. common stock, C
Gillette Co. shares repurchase rights, A
Houghton Mifflin common stock, B
Kroger Co. common stock, B
Salomon Inc. common stock , B
Sara Lee Corp common stock , B
French #1-25 (dry oil well), Michigan, A

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Page 2 of 2

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT
BOB CARR
Continuation Sheet

IV. Apple Computer common stock bought 5/23, B
Gillette Co. common stock sold 3/29, B
Gillette Co. common stock bought 7/19, B
Gillette Co. common stock bought 10/20, B
Gillette Co. shares repurchase rights tender 9/26, A
Houghton Mifflin common stock bought 10/12, B
Kroger Co. common stock bought 3/25, B
Kroger Co. common stock sold 10/20, B
Salomon Inc. common stock bought 3/2, B
Salomon Inc. common stock sold 7/15, B
Sara Lee Corp common stock bought 3/2, B
Sara Lee Corp common stock sold 7/22, B

V. NDB Commerce Bank, commercial loan, C
First Commercial Bank, second mortgage, C
Maryland National Mortgage (successor to American Security Corp), first mortgage, D
Wright Patman Credit Union, secured notes, A
Shearson, Lehman, Hutton, margin account, D

VI. None

VII. Motion Picture Association of America, airfare food and lodging, Beverly Hills CA.
Beech Aircraft, food and lodging, Burbank and Mojave CA
Hughes Aircraft, transportation, Fremont to Palm Springs CA
The Tobacco Institute, airfare and lodging, Palm Springs CA
National Air Transport Association, airfare food and lodging, West Palm Beah, FL
Houston?
Chicago Board of Trade, airfare, Chicago IL
National Association of Broadcasters, airfare food and lodging, Las Vegas NV
Emerson Electric, airfare food and lodging, St Louis MO
Philip Morris, lodging, Detroit MI
Parson's Corporation, airfare food and lodging, Beverly Hills CA
Mighigan Roadbuilders, lodging, Boyne Highlands MI
Aircraft Owners & Pilots Association, airfare food and lodging, Nashville TN
US Telephone Association, airfare food and lodging, Boca Raton FL
American Association of Airport Executives, airfare food and lodging, Kona HA

VIII. Director, World Hunger Year

IX. None

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Page 1 of 2

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U.S. HOUSE OF REPRESENTATIVES

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT
BOB CARR
Continuation Sheet (as amended 6/12/89)

II. A.	Motion Picture Association of America, 1/8, honorarium,	2000.00	
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	Parsons Corp., 8/11, honorarium	2000.00	
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Sara Lee Corp, dividend, A

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ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT
BOB CARR
Continuation Sheet (as ameded 6/12/89)

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First Commercial Bank, second mortgage, C
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Shearson, Lehman, Hutton, margin account, D

VI. None

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Beech Aircraft, food and 4 night's lodging, Burbank and round trip trans. Mojave, CA
Hughes Aircraft, transportation, Fremont to Palm Springs, CA
The Tobacco Institute, airfare PSP-DCA, and 4 night's lodging, Palm Springs, CA
National Air Transport Association, airfare IAD-PBI-DTW, food and 4 night's lodging, West Palm Beach, FL
Chicago Board of Trade, airfare DCA-ORD-DCA, Chicago, IL
National Association of Broadcasters, airfare DCA-LAS-DCA food and 3 night's lodging, Las Vegas, NV
Emerson Electric, airfare DCA-STL, food and 1 night's lodging, St Louis, MO
Philip Morris, 1 night's lodging, Detroit MI
Parson's Corportation, airfare DCA-LAX/BUR-IAD, food and 2 night's lodging, Beverly Hills, CA
Mighigan Roadbuilders, 3 night's lodging, Boyne Highlands, MI
Aircraft Owners & Pilots Association, airfare IAD-BNA-IAD, food and 1 night's lodging, Nashville, TN
US Telephone Association, airfare EWR-PBI-DCA, food and 4 night's lodging, Boca Raton, FL
American Association of Airport Executives, airfare IAD-KOA-DAC, food and4 night's lodging, Kona, HA

VIII. Director, World Hunger Year

IX. None

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

U.S. HOUSE OF REPRESENTATIVES
1989 MAY 11 AM 10: 27

FORM A—For use by Members, officers, and employees

Rodney Dennis Chandler
(Full Name)

223 Cannon House Office Building
(Mailing Address)

Washington, DC 20515

HC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 8th State Washington

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,888, as of May 15, 1989.
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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

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NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

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For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	Rec'd	TYPE	AMOUNT	DISPOSITION
Nature Market Seminar	02-04-88	hon	$2,000	
Nat'l Assoc Indep Insurers	03-15-88	hon	$2,000	
Nat'l Venture Capitol Assoc	02-08-88	hon	$2,000	
Nat'l Venture Capitol Assoc	02-12-88	hon	$1,000	
Southern Pension Conference	10-17-88	hon	$ 750	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman Federal Congressional Credit Union	Savings	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Plaza Hotel - Seattle, Washington Limited Partnership	C
Juanita Office Associates - Kirkland, Washington Limited Partnership	C
Townhouse Arlington, Virginia	E
Townhouse Bellevue, Washington	E

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
none		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Wright Patman Congressional Credit Union Washington, DC	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Mature Market Seminar	Airfare San Jose-San Diego-DC
(Speech - member only)	1 night lodging, food, transpor
Nat'l Venture Capitol Assoc	Airfare Seattle to San Jose (1way)
(Speech - member only)	1 night lodging, food, transpor

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
none	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	none	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Rod Chandler	May 1, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

____
(Name)

Continuation Sheet

Part — Source, Type, Amount, Category, Description or Value (As Applicable)

II INCOME

SOURCE	REC'D	TYPE	AMOUNT	DISPOSITION
T. Rowe Price	06-16-88	hon	$2,000	
Nat'l Restaurant Assoc	05-23-88	hon	$1,000	
Mellon Bank	05-13-88	hon	$1,000	
Brookings Institute	03-28-88	hon	$ 300	
Capital View Forum	03-24-88	hon	$1,000	
Boole and Gates	02-10-88	hon	$ 500	
Assoc Private Pension & Welfare	06-07-88	hon	$1,000	
UNUM Life Insurance Company	06-26-88	hon	$2,000	
Midwest Pension Conference	05-26-88	hon	$1,000	
Towers, Perin, Forster, Crosby	06-07-88	hon	$1,000	
Chinese Nat'l Assoc Industry	04-07-88	hon	$2,000	
Massachusetts Mutual	05-08-88	hon	$2,000	
Empl Council on Flexible Comp	07-12-88	hon	$2,000	
Tax Foundation	08-24-88	hon	$ 500	
New York Employer Benefits	11-16-88	hon	$2,000	
Blue Cross and Blue Shield	09-02-88	hon	$1,000	
Nat'l Marine Manufacturers Assoc	07/27/89	hon	$1,000	

VII REIMBURSEMENTS

SOURCE	DESCRIPTION
Nat'l Assoc Indep Insurers (member and spouse)	Rd trip airfare DC - Miami 3 nights lodging, food, trans
The Conference Board (member only)	Rd trip airfare DC - NY transportation
Southern Pension Conference (member only)	Rd trip airfare, 1 night lodging, food, transportation
Nat'l Restaurant Assoc (member and spouse)	Rd trip airfare DC - Chicago 2 nights lodging, transpor
Mellon Bank (member only)	1 way airfare DC-Boca Raton- Seattle, transportation
UNUM Life Insurance Company (member only)	1 way airfare Seattle-LA-DC 1 nights lodging
Midwest Pension Conference (member only)	1 way airfare DCA-St. Louis- Seattle, 1 night lodging, transportation
Towers, Perin, Forster, Crosby (member and spouse)	1 night lodging, food, transportation
Chinese Nat'l Assoc (member and son)	Rd trip airfare DC-Taipei 7 nights lodging, food
Massachusetts Mutual (member only)	Rd trip airfare DC-Ft. Laud 1 night lodging, transporta
New York Employee Benefits (member and spouse)	Rd trip airfare DC-NYC 1 night lodging, transporta
American Cancer Society (April) (spouse only)	Rd trip airfare DC-Sea
American Cancer Society (October) (spouse and daughter)	Rd trip airfare DC-Sea

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Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

____
(Name)

Continuation Sheet

Part | *Source, Type, Amount, Category, Description or Value (As Applicable)*

CHARITABLE CONTRIBUTIONS

DATE	CHARITY	AMOUNT
02/12/89	American Cancer Society	$1,000
	(Nat'l Venture Capitol Assoc wrote check directly to American Cancer Society)	
05/06/89	Federal City Duck's Unlimited	$ 200
05/23/89	Oregon State University	$ 500
05/28/89	Washington Head Injury Foundation	$ 100
09/08/89	Wakefield High School	$ 50
11/21/89	United Way of King County	$ 300
12/05/89	March of Dimes	$ 25
12/07/89	Auburn Rotary Scholarship Fund	$ 100
07/27/89	Federal Way Senior Center	$1,000
	(Nat'l Marine Manufacturers wrote check directly to Federal Way Senior Center)	

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

MAY 11 3 27

MAY 17 10 29

FORM A—For use by Members, officers, and employees

Rodney Dennis Chandler
(Full Name)

223 Cannon House Office Building
(Mailing Address)

Washington, DC 20515

✓

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 8th State Washington

☐ Officer or Employee—Employing Office ____

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	Rec'd	TYPE	AMOUNT	DISPOSITION
Mature Market Seminar	02-04-88	hon	$2,000	
Nat'l Assoc Indep Insurers	03-15-88	hon	$2,000	
Nat'l Venture Capitol Assoc	02-08-88	hon	$2,000	[illegible]
Nat'l Venture Capitol Assoc	02-12-88	hon	$1,000	
Southern Pension Conference	10-17-88	hon	$ 750	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman Federal Congressional Credit	Union Savings	A

TOWNHOUSES IN BELLEVUE, WASH AND ARLINGTON, VIRGINIA DO NOT GENERATE INCOME, THEY ARE USED FOR PERSONAL USE ONLY

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Plaza Hotel - Seattle, Washington Limited Partnership	C
Juanita Office Associates - Kirkland, Washington Limited Partnership	C
Townhouse Arlington, Virginia	E
Townhouse Bellevue, Washington	E
WRIGHT PATMAN FEDERAL CONG. CREDIT UNION SAVINGS ACCOUNT	A

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Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)

II INCOME

SOURCE	REC'D	TYPE	AMOUNT	DISPOSITION
T. Rowe Price	05-16-88	hon	$2,000	
Nat'l Restaurant Assoc	05-23-88	hon	$1,000	
Mellon Bank	05-13-88	hon	$1,000	
Brookings Institute	03-28-88	hon	$ 300	
Capital View Forum	03-24-88	hon	$1,000	
Bogle and Gates	02-10-88	hon	$ 500	
Assoc Private Pension & Welfare	06-07-88	hon	$1,000	
UNUM Life Insurance Company	06-26-88	hon	$2,000	
Midwest Pension Conference	05-26-88	hon	$1,000	
Towers, Perin, Forster, Crosby	06-07-88	hon	$1,000	
Chinese Nat'l Assoc Industry	04-07-88	hon	$2,000	
Massachusetts Mutual	05-08-88	hon	$2,000	
Empl Council on Flexible Comp	07-12-88	hon	$2,000	
Tax Foundation	08-24-88	hon	$ 500	
New York Employer Benefits	11-16-88	hon	$2,000	
Blue Cross and Blue Shield	09-02-88	hon	$1,000	
Nat'l Marine Manufacturers Assoc	07/27/89	hon	$1,000	(1989)

VII REIMBURSEMENTS

SOURCE	DESCRIPTION
Nat'l Assoc Indep Insurers (member and spouse)	Rd trip airfare DC - Miami 3 nights lodging, food, tra
The Conference Board (member only)	Rd trip airfare DC - NY transportation
Southern Pension Conference (member only)	Rd trip airfare, 1 night lodging, food, transportati
Nat'l Restaurant Assoc (member and spouse)	Rd trip airfare DC - Chicago 2 nights lodging, transpor
Mellon Bank (member only)	1 way airfare DC-Boca Raton- Seattle, transportation
UNUM Life Insurance Company (member only)	1 way airfare Seattle-LA-DC 1 nights lodging
Midwest Pension Conference (member only)	1 way airfare DCA-St. Louis- Seattle, 1 night lodging, transportation
Towers, Perin, Forster, Crosby (member and spouse)	1 night lodging, food, transportation
Chinese Nat'l Assoc (member and son)	Rd trip airfare DC-Taipei 7 nights lodging, food
Massachusetts Mutual (member only)	Rd trip airfare DC-Ft. Laud 1 night lodging, transporta
New York Employee Benefits (member and spouse)	Rd trip airfare DC-NYC 1 night lodging, transporta
American Cancer Society (April) (spouse only)	Rd trip airfare DC-Sea
American Cancer Society (October) (spouse and daughter)	Rd trip airfare DC-Sea

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Page ___ of ___

ETHICS IN GOVERNMENT ACT--FINANCIAL DISCLOSURE STATEMENT

____
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

CHARITABLE CONTRIBUTIONS

DATE	CHARITY	AMOUNT
02/12/89	American Cancer Society	$1,000
	(Nat'l Venture Capitol Assoc wrote check directly to American Cancer Society)	
05/06/89	Federal City Duck's Unlimited	$ 200
05/23/89	Oregon State University	$ 500
05/28/89	Washington Head Injury Foundation	$ 100
09/08/89	Wakefield High School	$ 50
11/21/89	United Way of King County	$ 300
12/05/89	March of Dimes	$ 25
12/07/89	Auburn Rotary Scholarship Fund	$ 100
07/27/89	Federal Way Senior Center	$1,000
	(Nat'l Marine Manufacturers wrote check directly to Federal Way Senior Center)	

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Jim Chapman
(Full Name)

429 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

1989 MAY 15 PM 5 37

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District 1st State Texas

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,086, as of May 15, 1989.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Sherwood Van Lines	(01-13-88)	Honorarium	$ 2,000.00	
Morton Thiokol, Inc.	(01-18-88)	" "	1,000.00	
American Medical Association	(03-19-88)	" "	2,000.00	
American Society of Assn. Executives	(03-28-88)	" "	2,000.00	
Northeast Texas Electric Cooperative Inc	(03-30-88)	" "	2,000.00	

(See Continuation Sheet)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Mobil Oil Corporation	Royalty	B
Exxon Corporation	Royalty	B
Texaco	Dividends	A
Sun Oil Company	Royalty	A
Getty Oil Company	Royalty	A
Atlantic Richfield	Royalty	A

(See Continuation Sheet)

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

	IDENTITY	CATEGORY
TRUST:	Consisting of Cash on Deposit in:	
	1st American Bank (formerly BancTexas) Sulphur Springs, Texas	B
	Prudential Bache	C
	Merrill Lynch	C
	Note Receivable (Trust)	A
	Real Estate (Unimproved) - Hopkins County, Texas	C

(See Continuation Sheet)

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Texas Commercial Savings & Loan-transfer of savings to new depository-	1988	C
Paris Savings & Loan - transfer of savings to new depository	1988	C
Prudential Bache - receipt of savings from above transfer(TCS&L)	1988	C
Merrill Lynch - receipt of savings from above transfer(PS&L)	1988	C
Petnam Fund - sold mutual fund	1988	A
GNMA - sold note	1988	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Idell Chapman, Sulphur Springs, Texas	C
1st American Bank(formerly BancTexas) Sulphur Springs, Texas	C
Joe W. Chapman, Jr., Sulphur Springs, Texas	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Truman Arnold	Air Travel

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Sherwood Van Lines	Airfare/Lodging/Food
Houston Area Research Center	Airfare/Lodging/Food
Morton Thiokol, Inc.	Airfare/Lodging
American Medical Association	Airfare/Lodging/Food
Pfizer, Inc.	Airfare/Lodging/Food
(See Continuation Sheet)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Chapman, Price, Hughes & Bauer, P.C.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Jim Chapman	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Jim Chapman
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II - A.	Pfizer, Inc.	(04-18-88)	Honorarium	$ 1,000.00
	Morgan Stanley & Co., Inc.	(04-21-88)	" "	1,000.00
	GTE	(11-28-88)	" "	250.00
	Sherwood Van Lines	(11-28-88)	" "	2,000.00
	Texas Truck Safety Alliance	(11-29-88)	" "	1,000.00
	Bell Helicopter Textron Inc.	(12-19-88)	" "	1,500.00
	Vern Clark & Associates	(12-28-88)	" "	1,000.00
II - B.	Amoco		Royalty	A
	Kerr-McGee		Royalty	A
	Office Bldg.-Church St., Sulphur Springs, TX		Rent	B
	Office Bldg.-College St., Sulphur Springs, TX		Rent	C
	House - Church Street, Sulphur Springs, TX		Rent	A
	Keocut Hospital Bonds		Interest	C
	Consolidated Capitol		Capitol Gains	A
	Prudential Bache		Dividends & Interest	A
	Interest from Notes Receivable		Interest	C
III.	TRUST:			
	House-West Oak Circle, Sulphur Springs, Texas			C
	Royalties - Mobil, et al.			A
	Prudential Bache, PTNS., et al.			B
	House, McLean, Virginia			C
	Wetterau Finance Company		Note	A
	U.S. Homes		Note	A
	Various Stocks (Less than $1,000 in value)		Stock	A
	Callon Petroleum		Stock	A
	1st American Bank (formerly BancTexas)		Stock	B
	Texaco		Stock	B
	Texas Energy		Stock	A
	Sunshine Mining		Stock	A
	Keocut Hospital District		Bonds	C
	Office Bldg. - Church St., Sulphur Springs, Texas			C
	Office Bldg. - College St., Sulphur Springs, Texas			C
	House - Church St., Sulphur Springs, Texas			E
	Mobil		Oil Royalty	B
	Exxon		Oil Royalty	B
	Texaco		Oil Royalty	A
	Kerr-McGee		Oil Royalty	A
	Amoco		Oil Royalty	A
	Sun Oil Company		Oil Royalty	A
	Atlantic Richfield		Oil Royalty	A
	Getty Oil Company		Oil Royalty	A
	Consolidated Capital		Partnership	A
	Chapman, Price, Hughes & Bauer, P.C.		Law Practice	C
VII.	Pfizer, Inc.		Airfare/Lodging/Food	
	Texas Truck Safety Alliance		Airfare/Lodging/Food	
	Bell Helicopter Textron Inc.		Airfare	

INSIDE MAIL

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Amended Copy

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Jim Chapman
(Full Name)

429 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC ✓
(Office Use Only)

989 MAY 24 PM 3:
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1st State Texas

☐ Officer or Employee—Employing Office ____

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Sherwood Van Lines	(01-13-88)	Honorarium	$ 2,000.00	
Morton Thiokol, Inc.	(01-18-88)	" "	1,000.00	
American Medical Association	(03-19-88)	" "	2,000.00	
American Society of Assn. Executives	(03-28-88)	" "	2,000.00	
Northeast Texas Electric Cooperative Inc	(03-30-88)	" "	2,000.00	

(See Continuation Sheet)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Mobil Oil Corporation	Royalty	B
Exxon Corporation	Royalty	B
Texaco	Dividends	A
Sun Oil Company	Royalty	A
Getty Oil Company	Royalty	A
Atlantic Richfield	Royalty	A

(See Continuation Sheet)

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

	IDENTITY	CATEGORY
TRUST:	Consisting of Cash on Deposit in:	
	1st American Bank (formerly BancTexas) Sulphur Springs, Texas	B
	Prudential Bache	C
	Merrill Lynch	C
	Note Receivable (Trust)	A
	Real Estate (Unimproved) - Hopkins County, Texas	C

(See Continuation Sheet)

8 9 0 7 IV. TRANSACTIONS 9 3

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Texas Commercial Savings & Loan-transfer of savings to new depository-	1988	C
Paris Savings & Loan - transfer of savings to new depository	1988	C
Prudential Bache - receipt of savings from above transfer(TCS&L)	1988	C
Merrill Lynch --receipt of savings from above transfer(PS&L)	1988	C
Petnam Fund - sold mutual fund	1988	A
GNMA - sold note	1988	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances; provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Idell Chapman, Sulphur Springs, Texas	C
1st American Bank(formerly BancTexas) Sulphur Springs, Texas	C
Joe E. Chapman, Jr., Sulphur Springs, Texas	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. *The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1988.*

SOURCE	BRIEF DESCRIPTION
Truman Arnold	Air Travel

B. *The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1988.*

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Sherwood Van Lines	Airfare/Lodging/Food
Houston Area Research Center	Airfare/Lodging/Food
Morton Thiokol, Inc.	Airfare/Lodging
American Medical Association	Airfare/Lodging/Food
Pfizer, Inc.	Airfare/Lodging/Food

(See Continuation Sheet)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Chapman, Price, Hughes & Bauer, P.C.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Jim Chapman	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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copy

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Jim Chapman
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*			
II - A.	Pfizer, Inc.	(04-18-88)	Honorarium	$ 1,000.00
	Morgan Stanley & Co., Inc.	(04-21-88)	" "	1,000.00
	GTE	(11-28-88)	" "	250.00
	Sherwood Van Lines	(11-28-88)	" "	2,000.00
	Texas Truck Safety Alliance	(11-29-88)	" "	1,000.00
	Bell Helicopter Textron Inc.	(12-19-88)	" "	1,500.00
	Vern Clark & Associates	(12-28-88)	" "	1,000.00
II - B.	Amoco		Royalty	A
	Kerr-McGee		Royalty	A
	Office Bldg.-Church St., Sulphur Springs, TX		Rent	B
	Office Bldg.-College St., Sulphur Springs, TX		Rent	C
	House - Church Street, Sulphur Springs, TX		Rent	A
	Keocut Hospital Bonds		Interest	C
	Consolidated Capitol		Capitol Gains	A
	Prudential Bache		Dividends & Interest	A
	Interest from Notes Receivable		Interest	C
III.	TRUST:			
	House-West Oak Circle, Sulphur Springs, Texas			C
	Royalties - Mobil, et al.			A
	Prudential Bache, PTNS., et al.			B
	House, McLean, Virginia			C
	Wetterau Finance Company		Note	A
	U.S. Homes		Note	A
	Various Stocks (Less than $1,000 in value)		Stock	A
	Callon Petroleum		Stock	A
	[redacted]		Stock	B
	Texaco		Stock	B
	Texas Energy		Stock	A
	Sunshine Mining		Stock	A
	Keocut Hospital District		Bonds	C
	Office Bldg. - Church St., Sulphur Springs, Texas			C
	Office Bldg. - College St., Sulphur Springs, Texas			C
	House - Church St., Sulphur Springs, Texas			E
	Mobil		Oil Royalty	B
	Exxon		Oil Royalty	B
	Texaco		Oil Royalty	A
	Kerr-McGee		Oil Royalty	A
	Amoco		Oil Royalty	A
	Sun Oil Company		Oil Royalty	A
	Atlantic Richfield		Oil Royalty	A
	Getty Oil Company		Oil Royalty	A
	Consolidated Capital		Partnership	A
	Chapman, Price, Hughes & Bauer, P.C.		Law Practice	C
VII.	Pfizer, Inc.		Airfare/Lodging/Food	
	Texas Truck Safety Alliance		Airfare/Lodging/Food	
	Bell Helicopter Textron Inc.		Airfare	

GPO: 1987 [illegible]

INSIDE MAIL 0291496

JIM CHAPMAN
FIRST DISTRICT
TEXAS

429 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515-4301
TELEPHONE: (202) 225-3035

COMMITTEES
PUBLIC WORKS AND TRANSPORTATION
SCIENCE, SPACE, AND TECHNOLOGY
DEMOCRATIC STEERING AND POLICY

Congreſs of the United States
House of Representatives
Washington, DC 20515-4301

May 16, 1989

[illegible] MAY 24 [illegible] 3 25

The Honorable Julian Dixon, Chairman
Committee on Standards of Offical Conduct
HT-2, The Capitol
Washington, D.C. 20515

Dear Mr. Chairman:

I would appreciate your assistance in correcting a secretarial error on the Continuation Sheet of my 1988 Financial Disclosure Statement.

On the Continuation Sheet under Section III., tenth line, please note that BancTexas stock is inadvertently listed as 1st American Bank stock. Although BancTexas, Sulphur Springs, N.A. has changed names to 1st American Bank, the stock I own is in BancTexas (the Holding Company) not the local bank which was recently sold with a subsequent name change to 1st American Bank.

Mr. Chairman, I would appreciate your assistance in correcting this error to accurately reflect my stock ownership.

Thank you for your assistance in this matter. If you should have any questions, please let me know.

Sincerely,

Jim Chapman

Jim Chapman
Member of Congress

Attachment

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

James McClure Clarke
(Full Name)

Hickory Nut Gap Farm
Route 1, Box 108
(Mailing Address)

Fairview, North Carolina 28730

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 11th State North Carolina

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,098, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ✓ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Social Security Benefits-James McClure Clarke	Pension	11,038	None
Social Security Benefits-Elspeth McClure Clarke	Pension	3,874	None
Board of Pensions of the Presbyterian Church	Pension	3,679	None
IRA Withdrawals (1st Union Nat'l Bank of NC)	Pension	5,793	None
Farm in Buncombe County, NC	Farming	91	None
(See Supplemental Page A, Attached)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
(See Supplemental Page B, Attached)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of-value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
(See Supplemental Page C, Attached)	

8 9 0 7 1 2 5 0 3 9

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
(See Supplemental Page D, Attached)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY		CATEGORY
First Federal Savings - Hendersonville, NC		E
(Mortgage Loan for Residence at 614 D Street)		

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 12.

POSITION	NAME OF ORGANIZATION
Secretary	James G.K. McClure Educational & Development Fund, Fairview, NC
Trustee	James G.K. McClure Educational & Development Fund, Fairview, NC
Trustee	Warren Wilson College, Swannanoa, NC
Trustee	Semans Art Fund, Winston-Salem, NC

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 12.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
James McClure Clarke	5/9/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE

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James McClure Clarke
Financial Disclosure Statement - 1988
Section II- Earned Income
Supplemental Data

Page A

A. Source	Type	Amount	Disposition
Honorarium - The Tobacco Institute 4/21/88	Honorarium	$1,000	None
Congressman Clarke allowed a Boarder to board in a room of his Washington, D.C. house during part of 1988	Miscellaneous	$200	None

James McClure Clarke
Financial Disclosure Statement - 1988
Section II (Income Un-Earned)
Supplemental Data

Page B

Source of Un-earned Income	Type	Category
Rental House in Fairview, NC ("Abell House"); Gross Rentals	Rental	B
Rental House in Fairview, NC ("Field House"); Gross Rentals	Rental	A
Rental House in Fairview, NC ("Spring House"); Gross Rentals	Rental	A
Bank of New York; New York, NY; Trust-No. 13-6080795	Dividends	A
Bank of New York; New York, NY; Trust No. 13-6080795	Interest	C
American Home Products Corp.	Dividends	A
First Union Real Estate Equity & Mortgage Investments	Dividends	A
International Business Machine Corp.	Dividends	A
Citicorp	Dividends	A
Kraft, Inc.	Dividends	A
Dow Jones & Co., Inc.	Dividends	A
Eastman Kodak Co.	Dividends	B
Inland Steel Industries, Inc.	Dividends	A
General Electric Co.	Dividends	A
General Motors Corp.	Dividends	B
Household International, Inc.	Dividends	B
Pacific Gas & Electric	Dividends	A
Quaker Oats Co.	Dividends	C
RJR Nabisco, Inc.	Dividends	B
Sherwin Williams Co.	Dividends	A
Premark International, Inc.	Dividends	A
U.S. Treasury Notes	Interest	D
Ford Motor Credit Corp. Bonds	Interest	C
North Carolina National Bank Money Market Fund	Interest	B
Interest Income from Personal Loan to Non-dependent child	Interest	A
General Motors Acceptance Corporation Bonds	Interest	C
First Interstate Bancorp Bonds	Interest	C
Payments from Hunting/Fishing Club for Privilege of Hunting/Fishing on Real Estate Holdings	Other	B
Payments from Tennis Club for Privilege of Playing Tennis on Congressman Clarke's Tennis Court	Other	A

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James McClure Clarke
Financial Disclosure Statement - 1988
Section III - Holdings
Supplemental Data

Identity	Category
("Dumont Clarke, Jr. and Others" Trust (Trust ID No 13-6080795 (c/o The Bank of New York; 48 Wall St., New York, NY 10015	C
General Electric Co. Common Stock	C
Sherwin Williams Co. Common Stock	A
American Home Products Corp. Common Stock	C
Citicorp Common Stock	B
Dow Jones & Co., Inc. Common Stock	B
Eastman Kodak Co. Common Stock	D
General Motors Corp. Common Stock	C
General Motors Corp. Class H Stock	A
Household International Inc. Common Stock	C
Inland Steel Industries Inc. Common Stock	A
International Business Machines Corp. Common Stock	C
Pacific Gas and Electric Co. Common Stock	B
Premark International, Inc. Common Stock	A
Quaker Oats Co. Common Stock	E
First Interstate Bank Corp. Bonds	C
North Carolina National Bank Money Market Fund	D
U.S. Treasury Notes	E
General Motors Acceptance Corp. Bonds	C
Ford Motor Credit Corp. Bonds	C
550 Acres of Farmland in Buncombe County, NC	F
164 Acres of Farmland in Henderson County, NC	F
220 Acres of Undeveloped Mountain Land in Jackson County, NC	F
7 Rental Houses on Farmland in Buncombe County, NC	E
1 Vacation Cabin on Land in Jackson County, NC	C
House at 614 D Street, Washington, DC	E

8 0 1 7 0 2 7 5 0 4 1

James McClure Clarke
Financial Disclosure Statement - 1988
Section IV - Transactions
Supplemental Data

Brief Description	Date	Category
Sale of Cows and Calves - Reported as Supplemental Gains. (Cows and calves were part of Farm in Buncombe County, NC)	Throughout 1988	B
Gifts - Congressman Clarke gave to 6 of his non-dependent children and to the spouses of those non-dependent children 39 acres of his farmland in Buncombe County, NC; 23 acres of his farmland in Henderson County, NC; and 20 acres of his undeveloped mountain land in Jackson County, NC	December 1988	E
Stock Sale - Congressman Clarke sold 262 shares of First Union Real Estate Equity and Mortgage Investments	3/17/88	B
Stock Sale - Mrs. Clarke sold 34 shares of General Motors Corporation Class E Common Stock	8/15/88	A
Stock Sale - Mrs. Clarke sold 280 shares of Kraft, Inc. Common Stock	10/24/88	C
Stock Sale - Mrs. Clarke sold 500 shares of RJR Nabisco Common Stock	11/8/88	C
Bond Sale - Mrs. Clarke sold $8,000 (8 bonds) of General Motors Acceptance Corporation Bonds	12/2/88	B
Purchase - Congressman & Mrs. Clarke purchased $25,000 (25 notes) of U.S. Treasury Notes	12/1/88	C
Purchase - Congressman & Mrs. Clarke increased their holdings in the North Carolina National Bank Money Market Fund	See Notes	C

Note 1: Purchases of North Carolina National Bank Money Market Funds were made on 3/25/88, 4/4/88, 6/2/88, 6/6/88, 10/3/88, 12/2/88, 1/19/88, 2/16/88, 3/7/88, 3/22/88, 4/5/88, 4/18/88, 5/3/86, 6/10/88, 6/13/88, 7/18/88, 8/16/88, 8/23/88, 9/7/88, 10/4/88, 10/17/88, 11/1/88, 11/2/88, 11/17/88, 12/5/88, 12/12/88.

8 9 3 7 1 2 1 3 2 8 1

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

INSIDE MAIL

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

William L. Clay
(Full Name)

2470 Rayburn HOB
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

RECEIVED
1989 MAY -5 PM 3 30
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1st State Missouri

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Warner Book Inc.	Royalty	$10,000	
Speeches (See Attached)	Honoraria	$25,997	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
State of N.Y. Mortgage Agency	Bond	F
Certificate of Deposit - Pulaski Savings	Interest	A
Certificate of Deposit - Sandy Spring Nat'l Bk	Interest	A
Certificate of Deposit - Congressional Federal CU	Interest	D
Certificate of Deposit - Sandy Spring Nat'l Bk		A
Certificate of Deposit - Congressional Federal CU		A

(See continuation sheet)

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
State of N.Y. Mortgage	A
Dormitory Authority, N.Y.	A
C. D. Sandy Spring	B
C. D. Sandy Spring	A
C. D. Congressional Federal CU	A
C. D. Congressional Federal CU	A

(See continuation sheet)

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION			DATE	CATEGORY
IMIT	Purchased	Bond	12/1	A
Computer Console	Sold	Stock	12/29	A
AT&T	Purchased	Stock	4/13	A
Jones Medical	Purchased	Stock	6/22	A
I.C. Industry Whitman	Split	Stock	3/88	A

(See continuation sheet)

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE — BRIEF DESCRIPTION

Wife and I were guests of William Burke, personal friend to two (2) sporting events. Expenses incurred by Mr. Burke.

A. Round trip airfare Wash., D.C. to New York, hotel and food for 2 days.

B. Round trip airfare Wash., D.C. to Los Angeles, hotel and food for 3 days.

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE — BRIEF DESCRIPTION

Tobacco Institute (seminar participant)(Self & wife) - Round trip airfare; Wash.,D.C. to Hot Springs, CA room and board, 3 days.

National Education Assoc. (speech) - Round trip airfare; Wash., D.C. to San Francisco; CA, room and board, 2 days.

Letter Carriers (speech) - Round trip airfare; Wash., D.C. to Orlando, FL, room (See continuation sheet)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Congressional Black Caucus Foundation
Trustee	Benedict College
Trustee	Tougaloo College

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
WL Clay	5-5-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE

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Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

William L. Clay
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II. INCOME - A.	SPEECHES			
	Tobacco Institute	Honoraria	$2000.00	1/12
	Washington University	Honoraria	1200.00	1/20
	Nat'l Assoc. Temporary Svs	Honoraria	1000.00	12/87
	Nat'l Education Assoc.	Honoraria	2000.00	2/19
	Airline Pilots	Honoraria	2000.00	2/25
	National Letter Carriers	Honoraria	2000.00	3/17
	Federal Express	Honoraria	2000.00	4/5
	AFL-CIO Building Trades	Honoraria	2000.00	4/12
	American Fed. Gov't Emp.	Honoraria	1000.00	3/23
	Burson Marsteller	Honoraria	500.00	4/6
	American Postal Workers	Honoraria	1500.00	5/24
	Letter Carriers	Honoraria	1182.00	5/29
	Nat'l Fed. Federal Emp.	Honoraria	1000.00	9/12
	Nat'l League of PMG	Honoraria	1000.00	8/9
	American Postal Workers	Honoraria	2000.00	7/30
	Nat'l Assoc. of Postal Sup	Honoraria	2000.00	10/19
	NEA (Erectors)	Honoraria	1000.00	9/21
	Roofers Union	Honoraria	2000.00	10/3
		TOTAL	$27,382.00	
		CHARITY	-1,385.00	
		TOTAL	$25,997.00	
B.	Certificate of Deposit - Congressional Federal CU			A
	Certificate of Deposit - Congressional Federal CU			A
	Certificate of Deposit - Congressional Federal CU			A
	NY Dormitory	Bond		A
	UNUM	Stock		A
	Missouri Health & Ed	Bond		C
	Missouri Health & Ed	Bond		A
	Missouri Health & Ed	Bond		A
	IBM	Stock		A
	Missouri State Housing	Bond		B
	Missouri State Housing	Bond		A
	Missouri State Housing	Bond		A
	Missouri State Housing	Bond		A
	IMIT	Bond		A
	IMIT	Bond		A
	Missouri 3rd State Building	Bond		A
	Missouri Housing Development	Bond		A
	Mississippi Highway	Bond		A
	Missouri PCR Association	Bond		A
III. HOLDINGS	C. D. Congressional Federal CU	C.D.		A
	C. D. Congressional Federal CU	C.D.		A
	C. D. Congressional Federal CU	C.D.		A
	C. D. Congressional Federal CU	C.D.		E
	Wright Patman Bank Account			A
	Missouri State Housing Development	Bond		B
	Missouri State Housing Development	BOND		A
	Missouri State Housing Development	Bond		B
	Missouri State Housing Development	Bond		A
	Missouri State Health & Ed	Bond		C
	Missouri State Health & Ed	BOND		A
	Missouri State Third Building General	Bond		A
	Mississippi Highway General	Bond		A
	IBM	Stock		A
	IBM	Stock		B
	Schwartz Brothers Stock	Stock		A
	Schwartz Brothers	Stock		A
	UNUM	Stock		A

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Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

William L. Clay
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
	Anheuser Busch	Stock		A
	Anheuser Busch	Stock		A
	Anheuser Busch	Stock		A
	Instituford Mid American	Stock		A
	Computer Console	Stock		A
	XEROX	Stock		A
	American Express	Stock		A
	SCS Compute Inc:	Stock		A
	I.C. Industry	Stock		A
	SIMS Corp	Stock		A
	Golden Isles, LTD (Stonegate Townhouses)	Stock		C
	Missouri PCR Association Electric	Bond		A
	DMIT	Bond		A
	DMIT	Bond		A
	DMIT	Bond		B
	I.C. Industry Whitman	Stock		A
	AT&T	Stock		A
	Jones Medical Industry Inc.	Stock		A
IV. TRANSACTIONS	Missouri Housing Development Mortgage Purchased	Bond	2/21	A
	Schwartz Brothers Split	Stock	7/5	A
VII. REIMBURSEMENTS	& board.			
	APWU (speech) - Round trip Wash., D.C. to Chicago, IL, room & board 1 day			
	Roofers Union (speech) - Round trip Wash., D.C. to Las Vegas, NV, room & board 2 days			

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INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

1989 MAY -5 PM 3:30

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

1989 MAY 18 AM 7:47

INSIDE MAIL

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

William L. Clay
(Full Name)

2470 Rayburn HOB
(Mailing Address)

Washington, D.C. 20515

✓
MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1st State Missouri

☐ Officer or Employee—Employing Office

☒ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts: such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS [illegible]. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Warner Book Inc.	Royalty	$10,000	
Speeches (See Attached)	Honoraria	$25,997	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
State of N.Y. Mortgage Agency	Bond	A
Certificate of Deposit - Pulaski Savings	Interest	A
Certificate of Deposit - Sandy Spring Nat'l Bk	Interest	A
Certificate of Deposit - Congressional Federal CU	Interest	D
Certificate of Deposit - Sandy Spring Nat'l Bk		A
Certificate of Deposit - Congressional Federal CU		A

(See continuation sheet)

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
State of N.Y. Mortgage	A
Dormitory Authority, N.Y.	A
C. D. Sandy Spring	B
C. D. Sandy Spring	A
C. D. Congressional Federal CU	A
C. D. Congressional Federal CU	A

(See continuation sheet)

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION			DATE	CATEGORY
IDIT	Purchased	Bond	12/1	A
Computer Console	Sold	Stock	12/29	A
AT&T	Purchased	Stock	4/13	A
Jones Medical	Purchased	Stock	6/22	A
I.C. Industry Whitman	Split	Stock	3/88	A

(See continuation sheet)

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. *The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1988.*

SOURCE BRIEF DESCRIPTION

Wife and I were guests of William Burke, personal friend to two (2) sporting events. Expenses incurred by Mr. Burke.

A. Round trip airfare Wash., D.C. to New York, hotel and food for 2 days.

B. Round trip airfare Wash., D.C. to Los Angeles, hotel and food for 3 days.

B. The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
* NONE		

* addition

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE BRIEF DESCRIPTION

Tobacco Institute (seminar participant)(Self & wife) - Round trip airfare; Wash.,D.C. to Hot Springs, CA room and board, 3 days.

National Education Assoc. (speech) - Round trip airfare; Wash., D.C. to San Francisco, CA, room and board, 2 days.

Letter Carriers (speech) - Round trip airfare; Wash., D.C. to Orlando, FL, room (See continuation sheet)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Congressional Black Caucus Foundation
Trustee	Benedict College
Trustee	Tougaloo College

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature: WL Clay

Date: 5-5-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. Ho f Representatives
Office of Records a ristration
1036 Longworth Ho ice Building
Washington, D.C. 20

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

William L. Clay
Name

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II. INCOME - A.	SPEECHES			
	Tobacco Institute	Honoraria	$2000.00	1/12
	Washington University	Honoraria	1200.00	1/20
	Nat'l Assoc. Temporary Svs	Honoraria	1000.00	12/87
	Nat'l Education Assoc.	Honoraria	2000.00	2/19
	Airline Pilots	Honoraria	2000.00	2/25
	National Letter Carriers	Honoraria	2000.00	3/17
	Federal Express	Honoraria	2000.00	4/5
	AFL-CIO Building Trades	Honoraria	2000.00	4/12
	American Fed. Gov't Emp.	Honoraria	1000.00	3/23
	Burson Marsteller	Honoraria	500.00	4/6
	American Postal Workers	Honoraria	1500.00	5/24
	Letter Carriers	Honoraria	1182.00	5/29
	Nat'l Fed. Federal Emp.	Honoraria	1000.00	9/12
	Nat'l League of PMG	Honoraria	1000.00	8/9
	American Postal Workers	Honoraria	2000.00	7/30
	Nat'l Assoc. of Postal Sup	Honoraria	2000.00	10/19
	NEA (Erectors)	Honoraria	1000.00	9/21
	Roofers Union	Honoraria	2000.00	10/3
		TOTAL	$27,382.00	
		CHARITY	-1,385.00	
		TOTAL	$25,997.00	
B.	Certificate of Deposit - Congressional Federal CU			A
	Certificate of Deposit - Congressional Federal CU			A
	Certificate of Deposit - Congressional Federal CU			A
	NY Dormitory	Bond		A
	UNUM	Stock		A
	Missouri Health & Ed	Bond		C
	Missouri Health & Ed	Bond		A
	Missouri Health & Ed	Bond		A
	IBM	Stock		A
	Missouri State Housing	Bond		B
	Missouri State Housing	Bond		A
	Missouri State Housing	Bond		A
	Missouri State Housing	Bond		A
	IMIT	Bond		A
	IMIT	Bond		A
	Missouri 3rd State Building	Bond		A
	Missouri Housing Development	Bond		A
	Mississippi Highway	Bond		A
	Missouri PCR Association	Bond		A
III. HOLDINGS	C. D. Congressional Federal CU	C.D.		A
	C. D. Congressional Federal CU	C.D.		A
	C. D. Congressional Federal CU	C.D.		A
	C. D. Congressional Federal CU	C.D		E
	Wright Patman Bank Account			A
	Missouri State Housing Development	Bond		B
	Missouri State Housing Development	BOND		A
	Missouri State Housing Development	Bond		B
	Missouri State Housing Development	Bond		A
	Missouri State Health & Ed	Bond		C
	* Missouri State Health & Ed	BOND		A
	Missouri State Third Building General	Bond		A
	Mississippi Highway General	Bond		A
	IBM	Stock		A
	IBM	Stock		B
	Schwartz Brothers Stock	Stock		A
	Schwartz Brothers	Stock		A
	UNUM	Stock		A

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Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

William L. Clay
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
	x Anheuser BUsch	Stock		B
	Anheuser Busch	Stock		A
	Anheuser Busch	Stock		A
	Anheuser Busch	Stock		A
	Instituford Mid American	Stock		A
	Computer Console	Stock		A
	XEROX	Stock		A
	American Express	Stock		A
	SCS Compute Inc.	Stock		A
	I.C. Industry	Stock		A
	SYMS Corp	Stock		A
	Golden Isles, LTD (Stonegate Townhouses)	Stock		C
	* Missouri FCR Association Electric	Bond		A
	IMIT	Bond		A
	IMIT	Bond		A
	* IMIT	Bond		B
	I.C. Industry Whitman	Stock		A
	AT&T	Stock		A
	Jones Medical Industry Inc.	Stock		A
IV. TRANSACTIONS	Missouri Housing Development Mortgage Purchased	Bond	2/21	A
	Schwartz Brothers Split	Stock	7/5	A
VII. REIMBURSEMENTS	& board.			
	APWU (speech) - Round trip Wash., D.C. to Chicago, IL, room & board 1 day			
	Roofers Union (speech) - Round trip Wash., D.C. to Las Vegas, NV, room & board 2 days			
Amendments:				
IV. Transactions	* Missouri FCR Assoc electric (Purchased-	Bond		
	* Missouri State Health & Ed. (Purchased-	Bond		

* Additions

890/02/2323

[redacted]

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

ROBERT (BOB) N. CLEMENT
(Full Name)

8202 TREEBROOKE LANE
(Mailing Address)

ALEXANDRIA VA 22308

MC (Office Use Only)

'89 MAY 15 PM 3:30
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5th State Tennessee

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __XX__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
(SEE ATTACHED SCHEDULE 1)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
(SEE ATTACHED SCHEDULE 1)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
(SEE ATTACHED SCHEDULE 2)	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calender year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Purchased 1/2 interest in residential rental property Elberta St, Nashville, TN	8/31/88	C
Sold Note (Sabid Al-Haddad) due 1/1/92	8/1/88	E
Sold Henvestors Interest (Investment Club)	Various	A
Purchased 22% Interest in Loco Lures, Partnership Nashville, TN	5/10/88	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
(SEE ATTACHED SCHEDULE 3)	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
(SEE ATTACHED SCHEDULE 4)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
(SEE ATTACHED SCHEDULE 5)	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Robt (Bob) N. Clement	May 10, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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ROBERT N. CLEMENT

II INCOME

1988

SCHEDULE 1
Page 1 of 2

EARNED

IDENTITY	TYPE	AMOUNT
Cumberland University	Salary	3,987
University Medical Center (NME Hospitals, Inc.)	Director Fees	3,200
The Tobacco Institute	Honorarium	1,000
RJR Nabisco, Inc.	Honorarium	2,000
National Rural Electric Cooperative Assn.	Honorarium	500
Chicago Merchantile Exchange	Honorarium	500
Board of Trade City of Chicago	Honorarium	500
Trevecca Nazarene College	Honorarium	800
Outdoor Advertising Assn of TN	Honorarium	500
National Restaurant Assn	Honorarium	1,000

UNEARNED

	TYPE	CATEGORY
Dickson Financial Corp.	Dividend	A
Commercial Bldg. Transouth, LaFollette, TN (1)	Rent	Gross-C; net A (loss)
Commercial Bldg. Transouth, Elizabethton, TN (1)	Rent	Gross-D; net C
Rented Personal Residence, East Brookfield, Nashville, TN	Rent	Gross-D; net B (loss)

(continued on next page)

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ROBERT N. CLEMENT

SCHEDULE 1
Page 2 of 2

1988

II INCOME

UNEARNED

	TYPE	CATEGORY
Decatur Investments (5% Int) (1)	Rent Interest	Gross-C; net D (loss) A
Charter Equities, Real Est. Syndication Business Nashville, TN, 1/3 int. (1)	Rent	Gross C; net B
Normandy Chateau, Ltd. Apt. Complex, Knoxville, TN 6.6% interest (1)	Rent	Gross E; net C (loss)
Ridgeview Apt., Ltd. Jefferson City, TN 25% interest (1)	Rent	Net D (loss) (2)
RSC Investments, Nashville, TN, 9% int. (1)	Capital Gain	C
Forrest Hills Development real estate, 6.66% int. (1)	Real Estate Sales	Gross C; net C
Notes Receivable, Sabid Al-Aaddad, Nashville, TN	Capital Loss	C
Note Receivable, Greg Morton, Nashville, TN	Interest	C
First American National Bank Nashville, TN	Interest	A
Citizens for Bob Clement	Interest	D
Henvestors Investment Club	Capital Gain	A
Ridgeview Apt. Ltd., Jefferson City, TN. 25% int. (1)	Interest	C
Elberta Residential Rental, Nashville, TN (1)	Rent	A

(1)Distributive share for partnerships
(2)Gross Not Readily Available

89070292531

ROBERT N. CLEMENT

SCHEDULE 2
Page 1 of 2

1988

SECTION III, HOLDINGS

IDENTITY	CATEGORY
Note Receivable, Greg Morton	C
Note Receivable, Citizens for Bob Clement	E
Note Receivable, Sabid Al-Aaddad	E
Advantage Corp., 5,000 shares, Nashville, TN	C
Dickson Financial Corp., Dickson, TN	C
American Medical Credit Corp., 452,296 shares (cost) Nashville, TN	D
Tennessee Housing Development Authority Bonds	A
County of Lincoln Bonds, Lincoln Co., TN	A
Industrial Dev. Board, City of Franklin, TN	A
25 Acres of Farm Land, Wilson Co., TN	D
Personal Residence Rented, 4420 E Brookfield, Nashville, TN	E
Charter Equitites, PO Box 831, Murfreesboro, TN Real Estate Syndication Business, 1/3 partnership int.	C
Robinson Property II, Ltd, 283 Peters Road, Bldg. A Knoxville, TN, located Pellissippi Pkwy, Knoxville, TN 23 acres Real Estate inv. property 7% partnership interest	D
Commercial Rental Bldg., Transouth, Jacksboro Pk, LaFollette, TN, 1/3 int interest in partnership	B
Commercial Rental Bldg., Transouth, 505 Broad St., Elizabethton, TN, 50% partnership interest	C
Normandy Chateau, Residential rental apartments, 1506 Highland Dr., Knoxville, TN, 6.6% partnership interest	C
Ridgeview Apartments, Residential rental, Route 2, Jefferson City, TN, 25% partnership interest	C

(continued on next page)

ROBERT N. CLEMENT

SCHEDULE 2
Page 2 of 2

1988

SECTION III, HOLDINGS

IDENTITY	CATEGORY
RSC Investment, Real Estate Holdings, Highway 46 Dickson, TN, 9.09% partnership interest	C
Decatur Properties, Ltd., Residential Rental Apts., Parsons, TN, 5% partnership interest	A
Dickson County Farm, 230 acres held for investment, Dickson Co., TN, 1/3 partnership interest	C
Forrest Hills Development, Real Estate Held for Investment, Hwy 70, Dickson, TN, 6.67% partnership interest	A
Maybelle Clement Estate, 508 East College St., Dickson, TN, 4.5% interest in Estate Assets	C
Residential Rental Property, 316 Elberta St., Nashville, TN, 1/2 partnership interest	C
First American National Bank, Savings Account	A
Loco Lures, fish lures manufacturer, Nashville, TN 14% partnership interest	A

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ROBERT N. CLEMENT

SCHEDULE 3
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1988

SECTION V LIABILITIES

IDENTITY	TYPE OF DEBT	CATEGORY
City Fed Mortgage Company Springfield, Ohio	Mortgage on rented residence, Nashville, TN	D
First American Nat'l Bank Nashville, TN	Home Equity Loan on rented residence, Nashville, TN	E
Sovran Bank Lebanon, TN	Personal Note	C
Sovran Bank Williamson Co, TN	Personal Note	C
Federal Land Bank Lebanon, TN	Mortgage on 25 acre farm, Wilson County, TN	C
Fidelity Fed Savings & Loan Nashville, TN	Mortgage on Residential property Nashville, TN	B
Cumberland Savings Bank Gallatin, TN	Mortgage on Residential property Elberta, Nashville, TN	B

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ROBERT N. CLEMENT

SCHEDULE 4
Page 1 of 1

1988

VII REIMBURSEMENTS

SOURCE	BRIEF DESCRIPTION
Chicago Mercantile Exchange (visit to Exchange & speak to Chicago Board of Trade)	Roundtrip airfare (Wash. D.C.- to Chicago, ILL/Chicago, ILL to Nashville, TN);one day's food
Southeast Tourism Society (participant in Congressional Tourism Caucus Conference)	Roundtrip airfare (Nashville, TN to Orlando, FL/Orlando, FL to Nashville, TN) for self and wife; 2 nights food and lodging for self and wife
Foreign Policy Institute of Turkey (fact-finding trip)	Roundtrip airfare (Washington, D.C. to Turkey) for self & wife; 9 days food and lodging for self and wife

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ROBERT N. CLEMENT

SCHEDULE 5
Page 1 of 1

VIII POSITIONS

NAME OF ORGANIZATION	POSITION
University Medical Center (NME Hospitals, Inc)	Director
Robinson Property, II, Ltd.	Partner
Charter Equities	Partner
Normandy Chateau	Partner
Ridgeview Apartments	Partner
RSC Investments	Partner
Decatur Properties, Ltd.	Partner
Forrest Hills Development	Partner
American Medical Credit Corporation	Director
Loco Lures	Partner

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

WILLIAM FLOYD CLINGER
(Full Name)

ROOM 2160 RAYBURN HOB
(Mailing Address)

WASHINGTON, D.C. 20515

MC (Office Use Only)

89 MAY 15 PM 2 29

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 23rd State PENNSYLVANIA

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
THE BROOKINGS INSTITUTION	02/10/88	HONORARIUM	$300.00	NONE
THE BROOKINGS INSTITUTION	03/11/88	HONORARIUM	$300.00	NONE
AMERICAN TRUCKING ASSOCIATION	04/20/88	HONORARIUM	$1,000.00	NONE
THE BROOKINGS INSTITUTION	06/03/88	HONORARIUM	$300.00	NONE
THE BROOKINGS INSTITUTION	06/15/88	HONORARIUM	$300.00	NONE

SEE ATTACHMENT FOR ADDITIONAL LISTINGS

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
(H) AMERICAN ELECTRIC POWER	DIVIDEND	A
(H) BELL SOUTH	DIVIDEND	A
(H) CBS INC.	DIVIDEND	A
(H) CHASE MANHATTAN CORP.	DIVIDEND	A
(H) CHRYSLER CORP.	DIVIDEND	A
(H) CITICORP	DIVIDEND	A

SEE ATTACHMENT FOR ADDITIONAL LISTINGS

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
(H) AMERICAN ELECTRIC POWER	A
(H) AMERICAN TELEPHONE & TELEGRAPH	A
(H) BELL SOUTH CORP.	A
(H) CHASE MANHATTAN CORP.	A
(H) CHRYSLER CORP.	A
(H) CITICORP	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
KANSAS CITY SINGLE FAMILY MORTGAGE REVENUE BOND - CALLED	10/14/88	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
THE HONORABLE GENE SNYDER, LAWYER, LOUISVILLE, KY.	3 DAYS FOOD/LODGING/KENTUCKY DERBY RACE TICKETS

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether these expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE		BRIEF DESCRIPTION
CONGRESSIONAL INSTITUTE, INC.	(SEMINAR PARTICIPANT)	ROUND TRIP AIR TRANSPORTATION, DC TO HOUSTON, 3 DAYS FOOD/LODGING
THE RIPON SOCIETY	(SEMINAR PARTICIPANT)	ROUND TRIP AIR TRANSPORTATION, DC TO ENGLAND, 8 DAYS FOOD/LODGING
AMERICAN-EUROPEAN COMMUNITY ASSOCIATION	(SEMINAR PARTICIPANT)	ROUND TRIP AIR TRANSPORTATION, DC TO ENGLAND & BELGIUM, 8 DAYS FOOD/LODGING

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
CHAIRMAN	THE RIPON SOCIETY

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
William F. Clinger, Jr.	MAY 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

WILLIAM F. CLINGER
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)				
II. A.	MGMT. ASSOC. FOR PRIVATE PHOTOGRAMMETRIC SURVEYORS (MAPPS)	07/14/88	HONORARIUM	$500.00	NONE
	VERN CLARK & ASSOCIATES	10/05/88	HONORARIUM	$1000.00	NONE
II. B.	(H) CMA TAX EXEMPT FUND		DIVIDEND	C	
	(H) EASTMAN KODAK CO.		DIVIDEND	A	
	(H) EATON CORP.		DIVIDEND	A	
	(H) EXXON CORP.		DIVIDEND	A	
	(H) FISHER COMPANIES INC.		DIVIDEND	A	
	(H) GENERAL ELECTRIC CO.		DIVIDEND	A	
	(H) GENERAL MOTORS CORP.		DIVIDEND	A	
	(H) HALLIBURTON COMPANY		DIVIDEND	A	
	(H) IBM CORP.		DIVIDEND	A	
	(H) MANUFACTURERS NATIONAL		DIVIDEND	A	
	(H) MERRILL LYNCH		DIVIDEND	A	
	(H) MINNESOTA, MINING & MANUFACTURING		DIVIDEND	A	
	(H) NEW PROCESS COMPANY		DIVIDEND	D	
	(H) PACIFIC TELESIS		DIVIDEND	A	
	(H) PENNBANK CORP.		DIVIDEND	A	
	(H) PENNSYLVANIA POWER & LIGHT		DIVIDEND	A	
	(H) PFIZER, INC.		DIVIDEND	A	
	(H) PNC FINANCIAL CORP.		DIVIDEND	A	
	(H) SCOTT PAPER		DIVIDEND	A	
	(H) SEARS, ROEBUCK & CO.		DIVIDEND	A	
	(H) SOUTHERN CO.		DIVIDEND	A	
	(H) UNISYS		DIVIDEND	A	
	(H) WARNER LAMBERT CO.		DIVIDEND	A	
	(H) WELKOM GOLD MINING		DIVIDEND	A	
	(H) WEYERHAEUSER		DIVIDEND	A	
	(H) LA CENTRAL NET PROFITS UNITS		ROYALTY	B	
	(H) KANSAS CITY SINGLE FAMILY MORTGAGE REVENUE BOND		INTEREST	A	
	(H) WESTMORELAND COUNTY INDUSTRIAL DEVELOPMENT AUTHORITY		INTEREST	A	
	(H) ESTATE OF W.F. CLINGER, SR.		INCOME DIST.	E	
	(W) ALLIANCE FUND		DIVIDEND	B	
	(W) AMERICAN TELEPHONE & TELEGRAPH		DIVIDEND	B	
	(W) AMERITECH		DIVIDEND	A	
	(W) BELL ATLANTIC		DIVIDEND	A	
	(W) BELL SOUTH		DIVIDEND	B	
	(W) BORDEN		DIVIDEND	B	
	(W) CMA TAX EXEMPT FUND		DIVIDEND	D	
	(W) EASTMAN KODAK		DIVIDEND	A	
	(W) GENERAL ELECTRIC CO.		DIVIDEND	C	
	(W) GENERAL MOTORS CORP.		DIVIDEND	B	
	(W) IBM CORP.		DIVIDEND	D	
	(W) J.C. PENNEY CO.		DIVIDEND	C	
	(W) JOHNSON & JOHNSON		DIVIDEND	C	
	(W) MAY DEPARTMENT STORES		DIVIDEND	D	
	(W) MINNESOTA, MINING & MANUFACTURING		DIVIDEND	A	
	(W) NEW PROCESS CO.		DIVIDEND	C	
	(W) NYNEX		DIVIDEND	A	
	(W) PACIFIC TELESIS		DIVIDEND	A	
	(W) PEPSICO, INC.		DIVIDEND	B	
	(W) PETROLEUM & RESOURCES CORP.		DIVIDEND	B	
	(W) PHILADELPHIA ELECTRIC CO.		DIVIDEND	B	
	(W) POLAROID		DIVIDEND	A	

GPO: 1987 [illegible]

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

WILLIAM F. CLINGER
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II. B. CONT:	(W) QUAKER STATE OIL	DIVIDEND	B
	(W) SKYLINE CORP.	DIVIDEND	A
	(W) SOUTHWESTERN BELL CORP.	DIVIDEND	A
	(W) SQUIBB CORP.	DIVIDEND	B
	(W) US WEST	DIVIDEND	A
	(W) WESTINGHOUSE ELECTRIC CORP.	DIVIDEND	B
	(W) XEROX CORP.	DIVIDEND	A
III.	(H) CMA TAX EXEMPT FUND		D
	(H) EASTMAN KODAK CO.		B
	(H) EATON CORP.		B
	(H) EXXON CORP.		C
	(H) FISHER COMPANIES, INC.		E
	(H) GENERAL ELECTRIC CO.		C
	(H) GENERAL MOTORS CORP.		B
	(H) GULF RESOURCES & CHEMICAL CORP.		A
	(H) HALLIBURTON COMPANY		B
	(H) IBM CORP.		B
	(H) MANUFACTURERS NATIONAL CORP.		A
	(H) MARINE BANKCORP, INC.		A
	(H) MINNESOTA, MINING & MANUFACTURING		A
	(H) NEW PROCESS COMPANY		G
	(H) PACIFIC TELESIS GROUP		A
	(H) PENNBANCORP		A
	(H) PENNSYLVANIA POWER & LIGHT CO.		A
	(H) PFIZER, INC.		B
	(H) PNC FINANCIAL CORP.		B
	(H) SCOTT PAPER CO.		A
	(H) SEARS, ROEBUCK & CO.		B
	(H) SOUTHERN COMPANY		A
	(H) UNISYS		B
	(H) WARNER LAMBERT CO.		B
	(H) WEYERHEAUSER CO.		C
	(H) LA CENTRAL NET PROFITS UNITS		B
	(H) BEAVER COUNTY INDUSTRIAL DEVELOPMENT AUTHORITY		A
	(H) KANSAS CITY SINGLE FAMILY MORTGAGE REVENUE BOND		A
	(H) WESTMORELAND COUNTY INDUSTRIAL DEVELOPMENT AUTHORITY		A
	(H) THE LELLA MAY H. CLINGER TRUST B.:		
	AMERICAN TELEPHONE & TELEGRAPH		A
	AMOCO CORP.		C
	BELL ATLANTIC		D
	DOW CHEMICAL CO.		C
	E.I. DUPONT DE NEMOURS & CO.		C
	EXXON CORP.		D
	GENERAL ELECTRIC CORP.		B
	IBM		D
	INTERNATIONAL PAPER CO.		B
	PNC FINANCIAL CORP.		B
	SOUTHWESTERN BELL CORP.		A
	WEYERHAEUSER CO.		E
	(W) ALLIANCE FUND		C
	(W) AMERICAN TELEPHONE & TELEGRAPH		C
	(W) AMERITECH		B
	(W) BELL ATLANTIC		B
	(W) BELL SOUTH CORP.		B
	(W) BORDEN		C

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

WILLIAM F. CLINGER
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
III. CONT:	(W) EASTMAN KODAK CO.	C
	(W) GENERAL ELECTRIC CO.	D
	(W) GENERAL MOTORS CORP.	C
	(W) IBM CORP.	E
	(W) J.C. PENNEY	C
	(W) JOHNSON & JOHNSON	D
	(W) MAY DEPT. STORES	D
	(W) MINNESOTA, MINING & MANUFACTURING	C
	(W) NATIONAL PATENT DEVELOPMENT CORP.	A
	(W) NYNEX	B
	(W) PACIFIC TELESIS GROUP	A
	(W) PEPSICO, INC.	C
	(W) PETROLEUM & RESOURCES CORP.	A
	(W) PHILADLEPHIA ELECTRIC CO.	B
	(W) POLAROID CORP.	B
	(W) QUAKER STATE CORP.	C
	(W) SKYLINE CORP.	B
	(W) SOUTHWESTERN BELL CORP.	A
	(W) SQUIBB CORP.	C
	(W) US WEST	B
	(W) WESTINGHOUSE ELECTRIC CORP.	C
	(W) XEROX	B
	(W) CMA TAX EXEMPT FUND	D

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OFFICE OF RECORDS & REGISTRATION
1989 JUN -8 PM 3:22
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED

WILLIAM F. CLINGER, JR.
23D DISTRICT, PENNSYLVANIA

ASSISTANT REGIONAL WHIP

WASHINGTON OFFICE:
2160 RAYBURN BUILDING
(202) 225-5121

DISTRICT OFFICES:
SUITE 219
315 S. ALLEN STREET
STATE COLLEGE, PA 16801
(814) 238-1776

605 PENN BANK BUILDING
WARREN, PA 16365
(814) 726-3910

Congreſs of the United States
House of Representatives
Washington, DC 20515

COMMITTEES
PUBLIC WORKS AND TRANSPORTATION
SUBCOMMITTEE ON AVIATION
RANKING REPUBLICAN
SUBCOMMITTEE ON INVESTIGATIONS AND OVERSIGHT
SUBCOMMITTEE ON SURFACE TRANSPORTATION

GOVERNMENT OPERATIONS
SUBCOMMITTEE ON ENVIRONMENT, ENERGY, AND NATURAL RESOURCES
RANKING REPUBLICAN

SELECT COMMITTEE ON AGING

June 8, 1989

✓
MC

The Honorable Donnald K.Anderson
Clerk
U.S. House of Representatives
Room 1036 Longworth HOB
Washington, D.C. 20515

Dear Donn:

In response to the Committee on Standards of Official Conduct's letter of May 17, 1989 advising me of several technical errors found on my 1988 Financial Disclosure Statement, I am submitting these corrections and amendments, with explanations, as requested.

SECTION III. HOLDINGS.

The following items were inadvertently omitted from my 1988 Financial Disclosure Statement's holdings section. They should have appeared as follows:

(H) CBS, INC.	B
(H) WELKOM GOLD MINING	A
(H) MERRILL LYNCH	A
(W) NEW PROCESS COMPANY	F

The holdings cited as old/new transactions are not recent transactions. The following did appear on the previous disclosure statement as follows:

(H) CBS, INC.	B
(W) GREAT WESTERN FINANCIAL	A
(W) TEXAS INSTRUMENTS	A
(H) THE LELLA MAY H. CLINGER TRUST*	

*This trust was listed in whole on the 1987 Disclosure Statement. It was itemized on the 1988 Statement.

page 2
The Honorable Donnald K. Anderson
June 6, 1988

Please note the explanations for the following holdings as requested:

(H) MARINE BANKCORP, INC.*
*This holding was inadvertently omitted from my 1987 Financial Disclosure Statement. The value of the holding should have appeared as A.

(H) MANUFACTURERS NATIONAL CORP.*
*This holding was inadvertenly omitted from the holdings section on the 1987 Statement. It did appear in the income section as A. The value of the holding should have appeared as A.

(W) CMA TAX EXEMPT FUND*
*This holding was inadvertently omitted from my 1987 Financial Disclosure Statement. The income should have been reported as C. The value of the holding should have appeared as D.

In addition, I would like to amend the 1988 Statement as follows:

SECTION VI. GIFTS - Remove the item from this section to SECTION VII. REIMBURSEMENTS to read:

SECTION VII. REIMBURSEMENTS

The Honorable Gene Snyder, Lawyer, Louisville, KY.
Speech
3 days food/lodging/Kentucky Derby race tickets

Thank you for your assistance with this matter. If additional information is required, please contact me or Nancy Scott of my staff at 225-5121.

Sincerely,

William F. Clinger
WILLIAM F. CLINGER
MEMBER OF CONGRESS

WFC/ns

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED **Committee on Standards of Official Conduct**

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

John Howard Coble
(Full Name)

5741-L Bramblegate Road
(Mailing Address)

Greensboro, North Carolina 27409

MC (Office Use Only)

89 MAY 15 PM 2 53

U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 06 State North Carolina

☐ Officer or Employee—Employing Office ________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ____ NA X

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
The Tobacco Institute	4/12/88	honorarium	$1,000	retained
National Cable TV Association	5/20/88	honorarium	$1,500	retained
Smokeless Tobacco Council, Inc.	9/15/88	honorarium	$1,000	retained
N.C. Restaurant Association, Inc.	9/23/88	honorarium	$500	retained

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
1840 Villa Drive, Greensboro, NC	rents	C
Southeastern Savings and Loan	dividends	A
U Filler Up, Inc.	dividends	A
Gate City Savings and Loan	interest	B
State Employees Credit Union	interest	A
Merrill Lynch Cash Management Account	interest	A
(see addendum)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
Forum Group	common stock	A
Kinder Care	common stock	A
Ryans Steak House	common stock	A
Adia Services	common stock	A
Wal Mart Stores	common stock	A
Food Lion	common stock	B
(see addendum)		

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION		DATE	CATEGORY
Lot, Corolla, NC (½ interest)	sold	6/17/88	D
RJ Reynolds common stock (50 shares)	purchased	10/20/88	A
RJ Reynolds common stock (50 shares)	sold	11/30/88	A
Adia Services common stock (100 shares)	purchased	7/19/88	A
Food Lion common stock (100 shares)	purchased	7/19/88	A

(see addendum)

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
First American Mortgage Corp., mortgage on 1840 Villa Drive, Greensboro	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Congressional Charity Tennis Tournament (2/26/88 until 2/28/88)	roundtrip bus fare to Sulpher Springs, WV, from Washington, DC, plus 3 days food and lodging

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
National Cable TV Association Convention (participated in policy roundtable) 4/29/88 until 5/02/88	roundtrip air fare from Washington to Los Angeles, plus 4 days food and lodging
NCA Political Action Committee Meeting (gave speech at their annual luncheon) 12/13/88 until 12/15/88	roundtrip air fare from Washington to Los Angeles to Greensboro, NC, plus 3 days food and lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board of Associates	Greensboro College, Greensboro, NC
Congressional Advisory Board	Public Service Research Council, Vienna, VA
Congressional Advisory Board	Congressional Youth Leadership Council, Washington, DC

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	none	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
John Howard Coble	5-15-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

John Howard Coble

(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II. B	First Home Federal Savings and Loan (checking)	interest	A
	First Home Federal Savings and Loan (savings)	interest	B
	First Union National Bank (checking)	interest	A
III.	1840 Villa Drive, Greensboro, NC	rental property	C
	1 acre dwelling, Blowing Rock, NC		D
	78 acre farm, Henry County, VA (½ interest)		D
	U Filler Up, Inc.	stock certificate	B
	Southeastern Savings and Loan	stock certificate	B
	Merrill Lynch Individual Retirement Account	i.r.a.	A
	Merrill Lynch Cash Management Account	c.m.a.	A
	U.S. House of Rep., Sgt. at Arms	checking	C
	First Union National Bank	checking	B
	First Home Federal Savings and Loan	checking	C
	Gate City Savings and Loan	savings	C
	State Employees Credit Union	savings	A
	First Home Federal Savings and Loan	savings	B
IV.	Kinder Care common stock (190 shares)	purchased 7/19/88	A
	Ryans Steak House common stock (150 shares)	purchased 7/19/88	A
	Wal Mart Stores common stock (100 shares)	purchased 7/19/88	A
	Food Lion common stock (350 shares)	purchased 12/01/88	A
	Merrill Lynch Ready Asset Trust	account closed	B
	(money used to purchase stocks)		

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

MAY 15 PM 5:56

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

ANTHONY L. COELHO
(Full Name)

Room H-148, The Capitol
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

XX Member of the U.S. House of Representatives—District 15 State California

☐ Officer or Employee—Employing Office ________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Attached Schedule			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Attached Schedule		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property** (such as number of acres and indication of any improvements), and **its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES __X__ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Attached Schedule	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Attached Schedule		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See Attached Schedule	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Attached Schedule	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
See Attached Schedule		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Attached Schedule	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
See Attached Schedule	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
See Attached Schedule		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Coelho	5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989

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Schedule 1 to 1988 Financial Disclosure Statement of Congressman Tony Coelho

II. INCOME

Earned Income

Honoraria received (Attachment A)	$90,100.00
Less honoraria distributed to charity (Attachment B)	63,575.00
Honoraria Limit Allowed	$26,525.00

Unearned Income

B. Source

Gross rents -- rental dwelling -- Merced	rent	D
Congressional Federal Credit Union	interest	C

Spouse salary

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II. Income
Attachment
A

COELHO HONORARIA RECEIVED, 1988

ORGANIZATION	RECEIVED	AMOUNT	TOTAL TO DATE
Sunkist	1/5/88	$1,500	$1,500
University of Southern California	1/11/88	$500	$2,000
Gannett Outdoor Company, Inc. of Northern California	1/26/88	$1,000	$3,000
Gannett Outdoor Company of Arizona	1/26/88	$1,000	$4,000
Timmons and Company, Inc.	1/28/88	$2,000	$6,000
Pacific Telesis Group-Washington	1/29/88	$2,000	$8,000
The Tobacco Institute	2/3/88	$2,000	$10,000
Grocery Manufacturers of America, Inc.	2/8/88	$2,000	$12,000
Salomon Brothers, Inc.	2/17/88	$1,000	$13,000
American Association of Equipment Lessors	2/17/88	$2,000	$15,000
United States Telephone Association	2/18/88	$2,000	$17,000
Hotel & Restaurant Employees International Union	3/3/88	$2,000	$19,000
United States Tobacco Company	3/3/88	$2,000	$21,000
Drexel Burnham Lambert	3/15/88	$2,000	$23,000
Mitsubishi Electronics America, Inc.	3/16/88	$2,000	$25,000
National Retail Merchants Association	3/17/88	$2,000	$27,000
Mexican American Grocer's Association	3/21/88	$1,000	$28,000
International Association of Fire Fighters	3/22/88	$1,000	$29,000
Agricultural Education Foundation	3/23/88	$100	$29,100
National Council of State Housing Agencies	3/30/88	$2,000	$31,100
Sears, Roebuck and Co.	4/6/88	$1,000	$32,100
Connell Rice and Sugar Co., Inc.	4/12/88	$2,000	$34,100
California Grocers Association	4/19/88	$2,000	$36,100
American Business Conference, Inc.	4/20/88	$2,000	$38,100
Gannett Outdoor Company of Northern California	4/27/88	$2,000	$40,100
American Society of Anesthesiologists	4/29/88	$2,000	$42,100
The ATA Foundation, Inc.	5/3/88	$2,000	$44,100
Pfizer Inc.	5/24/88	$2,000	$46,100
Association of American Vintners	6/2/88	$1,000	$47,100
Glendale Federal Savings and Loan	6/2/88	$2,000	$49,100
American Suzuki Motor Corporation	6/6/88	$2,000	$51,100
Morgan Stanley & Co., Inc.	6/14/88	$2,000	$53,100
Smith, Bucklin & Assoc. for the National Association	-	-	-
of Food Equipment Manufacturers	6/14/88	$2,000	$55,100
Philip Morris Management Corporation	6/15/88	$1,000	$56,100
Gold and Liebengood, Inc.	6/21/88	$1,500	$57,600
The Government Research Corporation	7/1/88	$1,500	$59,100
American Horse Council	7/6/88	$1,500	$60,600
Mike Masaoka Associates, Inc. for Japan	-	-	-
Marketing Association	7/7/88	$1,500	$62,100
Wine Institute	7/7/88	$2,000	$64,100
API	7/12/88	$2,000	$66,100
Interstate Natural Gas Association	7/26/88	$2,000	$68,100
The Fertilizer Institute	8/4/88	$2,000	$70,100
Hispanics in Politics	8/26/88	$2,000	$72,100
Chicago Board Options Exchange	8/31/88	$2,000	$74,100
Board of Trade of the City of Chicago	8/31/88	$2,000	$76,100
Waste Management, Inc.	8/31/88	$2,000	$78,100
AMCOT, Inc.	9/19/88	$1,000	$79,100
A. B. Laffer Associates	9/27/88	$2,000	$81,100
SRI International	10/4/88	$1,000	$82,100
National Association of Broadcasters	10/6/88	$2,000	$84,100
Chicago Mercantile Exchange	10/14/88	$2,000	$86,100
The Fay Improvement Company	10/18/88	$2,000	$88,100
Federal Express Corporation	11/29/88	$2,000	$90,100
	TOTAL	$90,100	

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II
Income
Attachment
"B"

COELHO HONORARIA CONTRIBUTIONS, 1988

ORGANIZATION	AMOUNT
Adelson Hospice Foundation (Las Vegas)	$250
AG ONE—CSU, Fresno	$100
Agnes Whitson Center for Special People (Dos Palos)	$250
Agribusiness Committee Scholarship Fund (Merced)	$100
Air Force Association (Merced)	$100
Al Goman Community Center Fund (Gustine)	$250
Alcohol and Drug Services for Mariposa County	$200
Alcoholism and Drug Abuse Council (Fresno)	$100
Alzheimer's Disease and Related Disorders Association (Fresno)	$100
American Cancer Society, Merced-Mariposa Unit	$100
American Cancer Society, Stanislaus/Tuolumne Unit	$250
American Heart Association, Central Valley Chapter	$100
American Red Cross, Stanislaus Chapter	$100
Angel Cover/Alsa Trust Fund	$500
Annandale High School	$250
Anti-Defamation League (Modesto)	$75
Association for Development of the Catholic Univ. of Portugal (MA)	$100
Association for Retarded Citizens, Fresno Chapter	$100
Association for Retarded Citizens, Stanislaus Chapter	$500
Assyrian American Club (Turlock)	$1,000
Ballico's Crossroads Volunteer Fire Department	$250
Biola Community Services District	$250
Black Student Fund (Washington)	$100
CA Association of the Physically Handicapped (Fresno)	$250
CA Association of Student Councils (Hayward)	$100
CA St. Univ. Fresno—Armenian Studies Program	$500
CA St. Univ. Fresno—Bulldog Foundation	$250
CA St. Univ. Fresno—Center for Chicano Research	$100
CA St. Univ. Stanislaus Foundation	$500
CA St. Univ. Stanislaus Scholarship	$500
CA St. Univ. Stanislaus—John Thurman Scholarship	$250
California Association of Physically Handicapped, Modesto Chapter	$500
California Foundation on Aging	$1,000
Campus Crusade for Christ	$50
Cap Tree (Merced)	$100
Castle Air Force Base Community Council (Atwater)	$500
Catholic Churches of Merced	$1,000
Catholic Information Center (Washington)	$100
Central California Hispanic Chamber of Commerce	$100
Central Catholic High School Foundation (Modesto)	$500
Central Valley Hospice (Fresno)	$100
Ceres High School Scholarship Trust Fund	$2,000
Children of Hope Media Center (Washington)	$1,000
Christian Banks Camp for Handicapped Kids (Waterford)	$500
Coelho Fund at the University of California, Los Angeles	$2,250
Community Hospice Inc. (Modesto)	$250
Congressional Awards (Merced)	$1,000
Covenant House (New York)	$500
Creative Alternatives, Senior Aides Program (Modesto)	$100
David Lebel Fund	$500
Disability Rights Education and Defense Fund, Inc. (Berkeley)	$2,000
Dos Palos High School—Ken Sasser Scholarship Fund	$250
Dos Palos Memorial Hospital	$250
Downey High School Teacher Recognition Program (Modesto)	$250
Ducks Unlimited (Federal City Chapter, D.C.)	$500
Ducks Unlimited, Los Banos Chapter	$150
Ducks Unlimited, Merced County Chapter	$500
Emanuel Medical Center (Turlock)	$250
Epilepsy Foundation of the National Capital Area	$250
Epilepsy Society of Central California	$1,000
Fair Breeze Youth Ranch (Riverbank)	$250
Faith Home Teen Ranch (Turlock)	$250
Federal Employees Education and Assistance Fund (Washington)	$100
Foster Senior Citizens Center	$250
Fresno County 4-H Sponsoring Committee	$100

[illegible]

COELHO HONORARIA CONTRIBUTIONS, 1988

Fresno County Deputy Sheriffs' Association	$100
Fresno Mental Health Association	$100
Friends of the River Foundation (San Francisco)	$100
Golden Valley Girl Scout Council (Fresno)	$100
Hanot Foundation Home for the Retarded (Ceres)	$200
Haven Stanislaus Women's Refugee Center	$500
Help in Emotional Trouble (Fresno)	$100
High School Rodeo Association #5	$500
Hospice of Merced and Mariposa Counties	$250
House of Representatives Child Care Center (Washington)	$500
Hughson FFA	$250
Italo-American Lodge (Merced)	$100
Jack Miller Scholarship Fund (Turlock)	$100
Jill Dobbins Trust Fund	$500
John C. Fremont Hospital Assn. of Mariposa	$250
Junior Statesman Scholarship Fund for Michelle Mello	$100
Kerman High School Athletic Department	$100
Kids on the Block of Stanislaus County	$1,500
King-Kennedy Board of Directors (Modesto)	$300
Kings View Hospital Foundation (Reedley)	$500
Kingsburg Historical Society	$250
Kingsburg Swedish-American Memorial Waterfall	$250
KMTF Channel 18 Public Television (Fresno)	$100
KNXT Channel 49 Catholic Television (Fresno)	$100
League of Women Voters of Merced County	$250
Los Banos Community Arts Council	$250
Loyola Marymount Alumni Association	$500
Loyola Marymount University--Basketball Program	$250
Loyola Marymount University--Bull Pen Club	$250
Loyola Marymount University--Rev. Kila Alumni Scholarship Fund	$1,000
Lushmeadows Volunteer Fire Department (Mariposa)	$100
March of Dimes, Washington	$100
Mariposa County Arts Council	$1,000
Mariposa County Friends of the Library	$250
Mariposa County Student Loan Association	$250
Mariposa Golden Agers	$500
McHenry Museum Society (Modesto)	$250
Medic Alert Foundation, International (Turlock)	$500
Memorial Hospital of Stanislaus	$500
Merced AIDS Support Team	$250
Merced College Foundation	$250
Merced College Scholarship	$300
Merced County Academic Decathlon	$100
Merced County Library Literacy Project	$250
Merced County Office Economic Strategic Development Childcare Prog.	$100
Merced County School District Deaf Awareness Program	$250
Merced County Sheriff's Posse	$100
Merced Hostel Society	$250
Merced Interfaith Center for Peace and Justice	$100
Merced Regional Arts Council	$500
Merced Rotary Club	$1,300
Merced Symphony Association	$100
Mercy Health Foundation of Merced	$250
Minority Women's Network of Stanislaus County	$100
Miss Merced County Pageant	$100
Modesto Chapter of Hadassah	$250
Modesto Jr. College Scholarship	$300
Modesto Jr. College--Tony Coelho Disabled Persons Scholarship	$200
Modesto Jr. College--Friends of Music Scholarship	$100
Modesto Jr. College--Friends of the Gallery	$500
Modesto Junior College Foundation	$250
Modesto Peace/Life Center	$100
Modesto Performing Arts Association	$100
Modesto Union Gospel Mission	$500
Mothers Against Drunk Driving, Merced County Chapter	$200
Muir Trail Girl Scout Council (Modesto)	$250

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COELHO HONORARIA CONTRIBUTIONS, 1989

National 4-H Council (Chevy Chase)	$200
National Rehabilitation Hospital (Washington)	$500
National Therapeutic Riding Center (Washington)	$1,000
Nicole Rankin Trust Fund (Firebaugh)	$250
Northern Mariposa County History Center	$500
Notre Dame University, Nancy Hellmann Scholarship Fund	$250
Paracentral Tuberculosis Ladies Auxiliary (Kansas)	$200
Phi Sigma Kappa Foundation (Indianapolis)	$1,000
Piece Memorial Sculpture Committee (Merced)	$1,000
Pimentel Scholarship Fund at Calliope Church (Fresno)	$250
Poverello House (Fresno)	$500
Project RAFT for Todd Simonson	$100
Queen of Angels Church (Alexandria)	$250
Richard Prater Trust Fund (Merced)	$250
S.P.C.A. Merced Chapter	$100
Salvation Army (Modesto)	$100
Scenic General Hospital Foundation	$500
Senior Opportunity Service Program of Stanislaus	$250
Sickle Cell Anemia Foundation of Stanislaus County	$100
Sisters of the Cross Convent Auxiliary (Modesto)	$500
Southeast Community House Association (Washington)	$500
St. Joseph's School (Firebaugh)	$500
St. Stanislaus School (Modesto)	$250
Stanislaus Cnty Dept. of Education (for teacher recognition program)	$100
Stanislaus County Deputy Marshal's Association	$250
Stanislaus County Mayors' Committee on Employment of Disabled	$500
Stanislaus County Outdoor Education Foundation	$250
Stanislaus County Prayer Breakfast	$250
Stanislaus Rape Task Force	$100
Students Against Drunk Driving (Modesto)	$100
The Third Floor (Fresno)	$250
United Cerebral Palsy Association (Modesto)	$250
University of North Texas—Craig Reese Scholarship Fund	$500
Valley Childrens Hospital (Fresno)	$1,000
Very Special Arts—The Kennedy Center (Washington)	$250
Visiting Nurses Association of Stanislaus County	$250
Volunteer Bureau (Modesto)	$250
West Coast Christian College (Fresno)	$100
West Side Economic and Minority Alliance JOBY Program	$500
West Valley School of Special Education (Caruthers)	$250
Western Handicapped Workers of Stanislaus County	$500
Women's Center of Stanislaus	$500
Yosemite Area Boy Scouts Council (Modesto)	$250
Yosemite Fund	$250
Yosemite Institute	$250
YWCA, Fresno Chapter	$100
TOTAL	$63,575
1989 Honoraria Earned	$90,100
Minus Amount Contributed to Charities	$63,575
TOTAL HONORARIA KEPT	$26,525

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Schedule 2 to 1988 Financial Disclosure Statement of Congressman Tony Coelho

III. HOLDINGS

1035 W. 21st Street, Merced CA (rental dwelling)	D
Congressional Federal Credit Union (savings accounts)	C
Kemper Money Market Fund (money market account)	B
Guarantee Savings (2 IRA accounts)	B
Yosemite Avenue, Merced (rental duplexes) - transferred to blind trust	
First Fidelity S&L common stock - transferred to blind trust	
DMA limited partnership (2.475%) - transferred to blind trust	
Consolidated Investors limited partnership (2.394%) - transferred to blind trust	
Energy Fund 1982 limited partnership (0.3%) - transferred to blind trust	

Attached (Attachment C) for the record is a copy of Schedule A to the Tony Coelho Qualified Blind Trust approved by the Committee on Standards of Official Conduct on June 23, 1988, listing assets transferred to the trust on that date and their category of value at that time.

III. HOLDINGS
Attachment C

Tony Coelho Qualified Blind Trust

Schedule A

Solely for purposes of disclosure under the Ethics in Government Act of 1978 the Value of Assets Transferred:

ASSETS	A $0 to $5,000	B $5,001 to $15,000	C $15,001 to $50,000	D $50,001 to $100,000
NOTE:				
1) Yosemite Avenue, Merced (rental duplex) net of Bank of America mortgage				X
2) First Fidelity Savings & Loan Common Stock		X		
3) IMA limited partnership (2.475%)		X		
4) Consolidated Investors limited partnership - (2.394%)		X		
5) Energy Fund 1982 limited partnership (0.3%)	X			

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Schedule 3 to 1988 Financial Disclosure Statement
of Congressman Tony Coelho

IV. TRANSACTIONS

NONE

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Schedule 4 to 1988 Financial Disclosure Statement of Congressman Tony Coelho

V. LIABILITIES

Tracy Savings & Loan - mortgage on rental dwelling	D
Federal City National Bank - commercial loan (satisfied 10/88*)	D
Security Pacific National Bank - line of credit (satisfied 10/88*)	C
Wells Fargo Bank - line of credit (satisfied 10/88*)	C
Bank of America - mortgage - rental duplexes - Holding transferred to blind trust	

*These loans were satisfied through a refinancing of the Member's principal residence.

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Schedule 5 to 1988 Financial Disclosure Statement
of Congressman Tony Coelho

VI. GIFTS

A. Transportation, Lodging, Food or Entertainment

NONE

B. All Other Gifts

NONE

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Schedule 6 to 1988 Financial Disclosure Statement
of Congressman Tony Coelho

VII. REIMBURSEMENTS

American Cotton Company (speaking engagement)

Air Fare: Fresno/Oakland/Modesto

American Trucking Association (speaking engagement)

Air Fare: DC/Miami/Palm Beach; Ground Transportation; Meals/Lodging for 2 Nights in Key Largo

CBS (appearance on "Face the Nation")

Air Fare: Modesto/Los Angeles/Modesto; Lodgimg for 1 Night in Los Angeles

Chicago Board of Options Exchange (speaking engagement)

Ground Transportation; Meals/Lodging for 1 Night in Chicago

Chicago Mercantile Exchange (speaking engagement)

Air Fare: DC/Chicago/Las Vegas

Drexel Burnham (speaking engagement)

Air Fare: Iowa/Los Angeles; Ground Transportation in Los Angeles

Federal Express (speaking engagement)

Air Fare: DC/Memphis/Miami; Ground Transportation; Meals/ Lodging for 1 Night in Memphis

Franklin Mint (speaking engagement)

Air Fare: DC/Franklin, Pennsylvania/DC

Machinists Union (speaking engagement)

Air Fare: Palm Beach/Atlanta/DC; Ground Transportation; Meals/Lodging for 1 Night in Atlanta

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Schedule 6
Page 2

Mexican-American Grocers Association (speaking engagement)

Air Fare: Palm Springs/DC; Ground Transportation in Palm Springs

Mitsubishi (speaking engagement)

Air Fare: DC/LA; Ground Transportation; Meals/Lodging for 1 Night in Los Angeles

MONEX (speaking engagement)

Air Fare: DC/Los Angeles/Fresno

Morgan Stanley (speaking engagement)

Air Fare: New York/DC for Member, DC/New York/DC for Mrs. Coelho; Lodging for 2 Nights for Member, 1 Night for Mrs. Coelho in New York

Mortgage Bankers Association of America (speaking engagement)

Air Fare: DC/San Francisco; Ground Transportation; Meals/Lodging for 1 Night in San Francisco

Nevada Resort Association (speaking engagement)

Air Fare: Las Vegas/Laughlin, Nevada/Fresno; Ground Transportation; Meals/Lodging for 2 Nights in Las Vegas

Pacific Union College (speaking engagement)

Air Fare: Modesto/Napa/Modesto

Project Interchange (fact-finding trip)

Air Fare: New York/Tel Aviv/DC; Meals/Lodging for 5 Nights in Israel

Puccio & Associates (speaking engagement)

Meals/Lodging for 2 Nights for M/M Coelho in Marco Island, Florida

Suzuki (speaking engagement)

Meals/Lodging for 1 Night in Los Angeles

Tobacco Institute (speaking engagement)

Air Fare: DC/Palm Springs; Meals/Lodging for 2 Nights in Palm Springs

Union of Sheetmetal Workers (speaking engagement)

Air Fare: Miami/DC

Dr. Armand Hammer United World College (speaking engagement)

Air Fare: LA/New Mexico/New York; Ground Transportation; Meals in New Mexico

University of California at Los Angeles (speaking engagement)

Air Fare: DC/Los Angeles/New York; Meals/Lodging for 1 Night in Los Angeles

Wine Institute (speaking engagement)

Air Fare: San Francisco/DC; Ground Transportation; Meals/Lodging for 1 Night in San Francisco

Yosemite Park and Curry Company (fact-finding trip)

Ground Transportation; Meals/Lodging for 3 Nights in Yosemite National Park

Tony Coelho "Yes I Can" Disability Foundation

- Air Fare: DC/New York for Member; Air Fare: DC/New York/DC for Mrs. Coelho; Ground Transportation; Meals/Lodging for 3 Days for M/M Coelho
- Ground Transportation; Lodging for 1 Night in Los Angeles
- Ground Transportation; Lodging for 1 Night in New York for M/M Coelho
- Air Fare: Dallas/DC; Meals/Lodging for 1 Night in Dallas
- Air Fare: DC/San Francisco; Ground Transportation; Lodging for 1 Night in San Francisco
- Ground Transportation in Los Angeles on 2 Occasions
- Air Fare: Los Angeles/DC

Epilepsy Foundation of America

- Air Fare: Santa Rosa/Los Angeles
- Air Fare: Merced/Los Angeles/Modesto; Meals/Lodging for 1 Night in Los Angeles
- Air Fare: Fresno/Los Angeles/Fresno; Ground Transportation; Meals/Lodging for 1 Night in Los Angeles
- Air Fare: DC/New York/DC; Ground Transportation; Meals/Lodging for 2 Nights in New York

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Schedule 7 to 1988 Financial Disclosure Statement of Congressman Tony Coelho

VIII. POSITIONS

Tony Coelho "Yes I Can" Disability Foundation - President

Epilepsy Foundation of America - Member of Board

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Schedule 8 to 1988 Financial Disclosure Statement
of Congressman Tony Coelho

IX. AGREEMENTS

NONE

UNITED STATES HOUSE OF REPRESENTATIVES

[illegible] DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

MAY 12 PM 11:52

FORM A—For use by Members, officers, and employees

E. Thomas Coleman
(Full Name)

2468 RHOB
(Mailing Address)

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6 State Mo.

☐ Officer or Employee—Employing Office ________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,297, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT–2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed: identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
CONAGRA INC 1-5-88	Honorarium	$2000	None
Kansas City Board of Trade 1-6-88	"	1000	"
Higher Education Assistance Foundation 1-6-88	"	2000	"
Chicago Board Options Exchange 2-8-88	"	1600	"
Chicago Board of Trade 2-8-88	"	500	"

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
FED STAR SAVINGS BANK	Interest	B
CALVERT TAX Free Money Market FUND	"	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
one half interest in 5 acres Siskiyou Co., CALIFORNIA	B
CALVERT TAX Free Money Market FUND	D
FED STAR SAVINGS BANK	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Chicago Mercantile Exchange (Speech)	Round-Trip Airfare D.C. to Chicago, Ill 1 day Bed/Lodging
California State Univ. Long Beach Foundation (Speech, Self & Spouse)	Round Trip Airfare D.C. to L.A. 2 days Bed/Lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Member, Committee on the Graduate School	YALE UNIVERSITY COUNCIL, YALE UNIV.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
E. Tom Coleman	5-11-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

E. Thomas Coleman
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II A	Source	Type	Amount	Disposition
	Chicago Mercantile Exchange 2-5-88	Honorarium	500	None
	CAL. ST. University, Long Beach Foundation 2-25-88	"	2000	"
	SAN DIEGO Commt. College Dist. Foundation 2-29-88	"	500	"
	KANSAS City Board of Trade 3-10-88	"	1000	"
	Florida Fruit & Vegetable Assoc. 3-24-88	"	500	"
	MO. State Medical Assoc. 4-12-88	"	500	"
	Ocean Spray Cranberries Inc. 4-12-88	"	2000	"
	McDonnell-Douglas Corp. 4-27-88	"	1000	"
	Phillips Colleges Inc. 4-30-88	"	2000	"
	Council of Scientific Society Pres. 5-12-88	"	300	"
	National Restaurant Assoc. 5-21-88	"	1000	"
	National Assoc. of Arab Americans 6-2-88	"	1000	"
	Dow Chemical USA 6-5-88	"	2000	"
	Chemical Specialties Manufacturers Assoc. 11-28-88	"	500	"
	Rochester Institute of Technology 12-20-88	"	1000	"

Part	Source	Brief description
VII	Kansas City Board of Trade (Speech, Self & Spouse)	Roundtrip Airfare D.C. to Boca Raton, Fl. 3 days Food/Lodging
	Florida Fruit & Vegetable Assoc. (Speech, Self & Spouse)	Roundtrip Airfare D.C. to Orlando, Fl. 2 days Food, Lodging
	Phillips Colleges, Inc. (Speech)	Roundtrip Airfare D.C. to Gulfport, Miss. 1 day Food/Lodging
	National Restaurant Assoc. (Speech, Self & Spouse)	Roundtrip Airfare DC to Chicago, Ill. 3 days Food/Lodging
	Dow Chemical USA (Speech)	Roundtrip Airfare D.C. to Midland Michigan 1 day Food/Lodging
	Rochester Institute of Technology (Speech)	Roundtrip Airfare D.C. to Rochester, N.Y.

HAND DELIVERED 8 9 0 7 0 2 9 1 0 2 9

1989 MAY 19 PM 12:33

E. THOMAS COLEMAN
6TH DISTRICT MISSOURI

COMMITTEES
AGRICULTURE
SUBCOMMITTEES
CONSERVATION, CREDIT, AND RURAL DEVELOPMENT, VICE CHAIRMAN
DEPARTMENT OPERATIONS, RESEARCH AND FOREIGN AGRICULTURE

EDUCATION AND LABOR
SUBCOMMITTEES
POSTSECONDARY EDUCATION, RANKING
HUMAN RESOURCES
ELEMENTARY, SECONDARY AND VOCATIONAL EDUCATION

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE
[illegible] RAYBURN HOUSE OFFICE BUILDING
WASHINGTON DC 20515
(202) 225-[illegible]
DISTRICT OFFICES
[illegible] NW [illegible] STREET
KANSAS CITY MO [illegible]
(816) [illegible]
POST OFFICE AND FEDERAL BUILDING
8TH AND EDMOND
ST. JOSEPH MO [illegible]
(816) [illegible]

May 19, 1989

Clerk of the U.S. House
of Representatives
Office of Records and
Registration
1036 Longworth HOB
Washington, D.C. 20515

✓
MC

Dear Sir:

It has been brought to my attention that on my May, 1988 Financial Disclosure Statement I incorrectly listed under Part III, Holdings, "Rental property, Alexandria, Va." That property should not have been so listed as I had disposed of it the previous year and had reported the sale on my May, 1987 Disclosure forms.

Sincerely,

E. Tom Coleman

Tom Coleman
Member of Congress

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Ronald D. Coleman, M.C.
(Full Name)

3025 Wheeling
(Mailing Address)

El Paso, Texas 79930

MC (Office Use Only)

89 MAY 15 PM 4:53
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 16 State Texas

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPEND PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED B MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DATE ~~DISPOSITION~~
Distilled Spirits Council	Honorarium	$1,000	3/18/88
Pirelli Cable Corporation	Honorarium	$1,000	4/13/88
Baxter Travenol	Honorarium	$1,000	4/15/88
University of Houston	Honorarium	$1,000	6/13/88
American Medical Association	Honorarium	$2,000	3/20/88

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Prudential Insurance	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Limited Partnership interest in Mauzy Drilling Program #1	A
15% individual interest in commercial building in El Paso, Texas held as rental property (managed by Ronald D. Coleman Blind Trust)	E

89070282357

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY		CATEGORY
Charles L. Crowder (note held by Ronald Coleman Trust)		D
American Bank of Commerce		D
(loan to Ronald Coleman Trust)*	Deborah C. Kastrin	
*Guarantors:	William F. Kastrin	
	Patrick E. O'Rourke	
	John Best	
	Don Beardsworth	
	Allan Kahn	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE

A 9 0 7 0 2 8 2 3 6 8

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Pirelli Cable Corporation	Speaking engagement 4/1-4/3/88 Airfare, lodging, meals: Kansas City, MO
American Medical Association	Speaking engagement 3/17-3/20/88 Airfare, lodging, meals: Boca Raton, FL

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Limited Partner	Mauzy Drilling Program #1

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature

Date May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extension filing any Disclosure Statement. An extension request must be in writing, and should state the re tension is necessary, and be directed to the Chairman of the Committee, Representative Julia

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Cardiss R. Collins
(Full Name)

2264 Rayburn Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

1989 MAY 12 AM 11: 33
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 7th State Illinois

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

II.-INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Civil Service Retirement System	Pension	25,332	N/A
Television & Radio PAC	Honorarium	1,500	N/A
Chicago Mercantile Exch.	Honorarium	2,000	N/A
Nat. Assoc. of Broadcasters	Honorarium	1,500	N/A
Chicago Bd. of Trade	Honorarium	1,000	N/A

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Merrill Lynch	Dividends	A
Congressional Credit Union	Interest	A
First National Bank of Chicago	Interest	B
Riggs National Bank	Interest	A
Occidental Petroleum Co.	Dividends	A
FPL Group	Dividends	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
First National Bank of Chicago	Savings	C
First National Bank of Chicago	CD's	D
First National Bank of Chicago	IRA	B
First National Bank of Chicago	Money Market	A
First Lakeshore Tax Exempt M.M.		C
Pueblo County Rev. Bond		D

IV: TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Purchase - Occidental Petroleum Stock	10/88	B
Purchase - MMC Financial Corp. Stock	09/88	A
Purchase - Security Pacific Corp. Stock	10/88	B
Purchase - American Express Co. Stock	09/88	B
Sold - Nebraska St. Bond	01/88	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Cardiss Collins	May 11, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of ti filing any Disclosure Statement. An extension request must be in writing, and should state the reaso tension is necessary, and be directed to the Chairman of the Committee, Representative Juliar

U.S. GOVERNMENT PRINTING OFFICE: 1988

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Cardiss R. Collins
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
III	New Orleans Gen. Purp. Rev. Bond		D
III	Minneapolis, Minn. Rev. Bond		D
III	Nebraska St. Mtg. Rev. Bond		C
III	Westmoreland Cnty., Penn. Hosp. Rev. Bond		C
III	Chelan Cnty., Wash. Rev. Bond		D
III	FPL Group Common Stock		B
III	Middle South Utilities Common Stock		A
III	Commerce Clearing House Common Stock		B
III	McDonald's Corporation Common Stock		C
III	Kroger Co. Common Stock		B
III	AMP, Inc. Common Stock		B
III	Emerson Electric Co. Common Stock		B
III	National Medical Enterprises Common Stock		A
III	Norfolk Southern Corp. Common Stock		B
III	Federal Express Corp. Common Stock		B
III	Intel Corp. Common Stock		A
III	Georgia-Pacific Corp. Common Stock		A
III	Merrill Lynch - CD & Money Market		A
III	May Dept. Stores Common Stock		B
III	Occidental Petroleum Stock		B
III	MNC Financial Corp. Stock		A
III	Security Pacific Corp. Stock		B
III	American Express Co. Stock		B
III	Morton Thiokol Inc. Stock		B
II-A	Nat. Cable Television Assoc.; Honorarium;	1,500	
II-A	Cardinal Classic & VQH Futurity; Winnings;	377	
II-B	Public Service Co. of Colorado	- Dividends	A
II-B	Commerce Clearing House	- Dividends	A
II-B	McDonald's Corp.	- Dividends	A
II-B	May Dept. Stores	- Dividends	A
II-B	AMP Inc.	- Dividends	A
II-B	Emerson Electric	- Dividends	A
II-B	Norfolk & Southern Co.	- Dividends	A
II-B	Federal Express Co.	- Dividends	A
II-B	MNC Financial Inc.	- Dividends	A
II-B	Security Pacific Corp.	- Dividends	A
II-B	American Express Co.	- Dividends	A
II-B	Morton Thiokol Inc.	- Dividends	A
II-B	First Lakeshore Fund	- Interest	D
II-B	Pueblo Cnty. Co. Bond	- Interest	C
II-B	New Orleans, La. Bond	- Interest	C
II-B	Minneapolis, Minn. Bond	- Interest	C
II-B	Nebraska St. Mtg. Bond	- Interest	B
II-B	Westmoreland Cty., Penn. Bond	- Interest	C
II-B	Intel Corp.	- Dividends	A
II-B	National Med. Ent.	- Dividends	A
II-B	Kroger Co.	- Dividends	A
II-B	Middle South Utilities	- Dividends	A
II-B	Chelan Cnty. Bonds	- Interest	D
IV	Purchase - Morton Thiokol, Inc. Stock	10/88	B
IV	Sold - Intel Corp. Stock	09/88	B
IV	Sold - Nat. Med. Ent. Stock	09/88	A
IV	Sold - Georgia-Pacific Stock.	10/88	A
IV	Sold - Kroger Co. Stock	10/88	B
IV	Sold - McDonald's Stock	10/88	A
IV	Sold - Mid South Util. Stock	10/88	B
IV	Sold - Chelan Cnty. Bond	07/88	C

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Cardiss R. Collins
(Full Name)

2264 Rayburn Office Building
(Mailing Address)

Washington, D.C. 20515

✓
MC
(Office Use Only)

MAY 24 PM 3 30

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 7th State Illinois

☐ Officer or Employee—Employing Office ______

☒ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

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Nat. Assoc. of Broadcasters	Hororarium	1,500	N/A
Chicago Bd. of Trade	Honorarium	1,000	N/A

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Merrill Lynch	Dividends	A
Congressional Credit Union	Interest	A
First National Bank of Chicago	Interest	B
Riggs National Bank	Interest	A
Occidental Petroleum Co.	Dividends	A
FPL Group	Dividends	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A--not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
First National Bank of Chicago	Savings	C
First National Bank of Chicago	CD's	D
First National Bank of Chicago	IRA	B
First National Bank of Chicago	Money Market	A
First Lakeshore Tax Exempt M.M.		C
Pueblo County Rev. Bond		D

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Purchase - Occidental Petroleum Stock	10/88	B
Purchase - MNC Financial Corp. Stock	09/88	A
Purchase - Security Pacific Corp. Stock	10/88	B
Purchase - American Express Co. Stock	09/88	B
Sold - Nebraska St. Bond	01/88	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Cardiss Collins	5/24/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Cardiss R. Collins
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
III	New Orleans Gen. Purp. Rev. Bond			D
III	Minneapolis, Minn. Rev. Bond			D
III	Nebraska St. Mtg. Rev. Bond			C
III	Westmoreland Cnty., Penn. Hosp. Rev. Bond			C
III	Chelan Cnty., Wash. Rev. Bond			D
III	FPL Group Common Stock			B
III	Middle South Utilities Common Stock			A
III	Commerce Clearing House Common Stock			B
III	McDonald's Corporation Common Stock			C
III	Kroger Co. Common Stock			B
III	AMP, Inc. Common Stock			B
III	Emerson Electric Co. Common Stock			B
III	National Medical Enterprises Common Stock			A
III	Norfolk Southern Corp. Common Stock			B
III	Federal Express Corp. Common Stock			B
III	Intel Corp. Common Stock			A
III	Georgia-Pacific Corp. Common Stock			A
III	Merrill Lynch - CD & Money Market			A
III	May Dept. Stores Common Stock			B
III	Occidental Petroleum Stock			B
III	MNC Financial Corp. Stock			A
III	Security Pacific Corp. Stock			B
III	American Express Co. Stock			B
III	Morton Thiokol Inc. Stock			B
III	Public Service Company of Colorado Stock			B
II-A	Nat. Cable Television Assoc.;	Honorarium;	1,500	
II-A	Cardinal Classic & VQH Futurity;	Winnings;	377	
II-B	Public Service Co. of Colorado	- Dividends		A
II-B	Commerce Clearing House	- Dividends		A
II-B	McDonald's Corp.	- Dividends		A
II-B	May Dept. Stores	- Dividends		A
II-B	AMP Inc.	- Dividends		A
II-B	Emerson Electric	- Dividends		A
II-B	Norfolk & Southern Co.	- Dividends		A
II-B	Federal Express Co.	- Dividends		A
II-B	MNC Financial Inc.	- Dividends		A
II-B	Security Pacific Corp.	- Dividends		A
II-B	American Express Co.	- Dividends		A
II-B	Morton Thiokol Inc.	- Dividends		A
II-B	First Lakeshore Fund	- Interest		D
II-B	Pueblo Cnty. Co. Bond	- Interest		C
II-B	New Orleans, La. Bond	- Interest		C
II-B	Minneapolis, Minn. Bond	- Interest		C
II-B	Nebraska St. Mtg. Bond	- Interest		B
II-B	Westmoreland Cty., Penn. Bond	- Interest		C
II-B	Intel Corp.	- Dividends		A
II-B	National Med. Ent.	- Dividends		A
II-B	Kroger Co.	- Dividends		A
II-B	Middle South Utilities	- Dividends		A
II-B	Chelan Cnty. Bonds	- Interest		D
IV	Purchase - Morton Thiokol, Inc. Stock	10/88		B
IV	Sold - Intel Corp. Stock	09/88		B
IV	Sold - Nat. Med. Ent. Stock	09/88		A
IV	Sold - Georgia-Pacific Stock.	10/88		A
IV	Sold - Kroger Co. Stock	10/88		B
IV	Sold - McDonald's Stock	10/88		A
IV	Sold - Mid South Util. Stock	10/88		B
IV	Sold - Chelan Cnty. Bond	07/88		C

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

MAY 10 PM 11:18

FORM A—For use by Members, officers, and employees

Larry Ed Combest
(Full Name)

1527 Longworth H.O.B.
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 19 State Texas

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,086, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed: identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
see attached sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
see attached sheet		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
house-1606 Ave. X-Lubbock, Texas-see IV-Transactions	D
certificate of deposit-First National Bank-Lubbock, Tx.	B
inheritance from my late grandfather-E.E.Cudd of Memphis,Tx.	
a ½ interest in his estate which was 640 acres of farm land	
and a bank account in the name of the estate-First State	
Bank-Memphis, Texas. category of value of my ½ interest------	D

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
sold home at 1606 Ave. X-Lubbock, Texas	Feb. 15	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the repoçting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Southwest Lubbock National Bank-Lubbock, Texas-old business loan (now Lubbock National Bank)	D
Brownfield State Bank-old business loan	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Lombardi Charity Committee	golf shirt, shoes	75.00
Houston Golf Association	golf shirt, bag	150.00
Kemper Pro/Am Committee	golf shirt, sweater, world atlas, 4 glasses	316.00

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
see attached sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 12.

POSITION	NAME OF ORGANIZATION
none	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 12.

DATE	PARTIES TO	TERMS OF AGREEMENT
none		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Larry Combest	5/10/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Larry Ed Combest
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II-Income	self:
A	2/11-Western Peanut Growers Association-honorarium-1000.00
	3/13-Chicago Mercantile-Honorarium-1000.00
	5/2-Chicago Mercantile-Honorarium-500.00
	5/2-Chicago Board of Trade-honorarium-500.00
	5/10-Deaf Smith Electric Coop.-honorarium-500.00
	5/17-National Cattlemens Association-honorarium-1500.00
	6/22-Comex-honorarium-1000.00
	8/21-Permian Basin Petroleum Association-honorarium-400.00
	10/5-Fiber, Fabric, Apparel Coalition-honorarium-2000.00
	spouse:-Ritts, Brickfield, Kaufman-salary

B

Source	type	category
First National Bank-Lubbock	interest	A
Wright Patman Fed. Credit Union	interest	A
E.E.Cudd estate-farm income (see III-Holdings for further explanation)		C

VII-Reimbursements

Chicago Mercantile-round trip airline from Washington D.C. to Boca Raton, Fl.-two nights room and meals.

Chicago Board of Trade-round trip airline from Washington to Chicago, one night room and meals

Comex-round trip airline from Washington to New York one night room and meals

Houston Golf Association-round trip airline from Washington to Houston, meals for one day

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KEMPER
OPEN

May 29-June 4, 1989

Tournament office
Kemper Open
[illegible]

Honorary chairman
[illegible]

General chairman
[illegible]

Tournament director
[illegible]

Vice chairman
[illegible]

Executive committee
[illegible]

Advisory committee
[illegible]

April 19, 1989

MAY 02 1989

Dear Congressman Combest:

We have been asked by some of the Members of Congress who participated in the 1988 Kemper Open Pro-Am to furnish them with information on the value of the gifts provided them by our tournament. As a result, we have calculated the total value of the gifts to be $316.00 broken down as follows:

Carry-all (logoed and personalized)	$32.00
Waterford Brandy Balloons	$135.00
Shirt (logoed)	$15.75
Sweater vest (logoed)	$17.75
World Atlas	$13.86
Money Clip, Key Fob, Bag Tag (gift package)	$32.35
Dozen Golf Balls (logoed)	$15.00
Helene Curtis (gift boxed)	$15.00
Foot-Joy socks (three pair)	$7.50
Picture Frame/Perpetual Calendar	$23.00
Hard-bound program (logoed and personalized)	$8.94

Each year the Kemper Open Committee invites a number of prominent figures to participate in the Pro-Am portion of our tournament. This Committee is a separate entity from the Kemper Group, and no corporate funds were used to provide any of the above-mentioned items.

As you know, a portion of the proceeds from the Kemper Open Pro-Am is donated to various charities. In 1988 a total of $150,000 was given to the following charities: American Cancer Society, Maryland Division; American Red Cross, Montgomery County Chapter; Arthritis Foundation, Metropolitan Washington Chapter; Bethesda/Chevy Chase Rescue Squad; Boys' and Girls' Clubs of D.C.; Boy Scouts of America, National Capital Area; Boys' Club of America; Cabin John Volunteer Department; Children's Hospital; Columbia Lighthouse for the Blind; Girl Scout Council, National Capital Area; Heroes, Inc.; Kids; National Citizens' Commission on Alcoholism; National Association for Down Syndrome; Wolf Trap Foundation; YMCA of Metropolitan Washington.

We are pleased that you were able to participate in the 1988 Kemper Open Pro-Am.

Sincerely,

Ben

Ben F. Brundred, Jr.

nmm

The Honorable Larry E. Combest
1529 Longworth HOB
Washington, DC 20515

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

SILVIO O. CONTE
(Full Name)

2300 Rayburn Office Building
(Mailing Address)

Washington,D.C. 20515

SC
(Office Use Only)

MAY 10 PM 3 21

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District First State Massachusetts

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
United Technologies	Honorarium	1,000.00	
University of Nevada, Reno	Honorarium	2,000.00	
Assoc. of Comm. College Trustees	Honorarium	1,000.00	
Martin Marietta	Honorarium	1,000.00	
Nat'l Assoc. of Trade & Technical Schools	Honorarium	500.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Interest from savings		5,892.20
AMP, Inc.	Dividend	150.00
Anchor Glass Container	Dividend	30.00
Borden, Inc.	Dividend	468.00
Corning Glass Works	Dividend	74.00
Corroon & Black Corp.	Dividend	324.00

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed seperately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO __X__ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
Borden, Inc.	Stock	23,700.00
Burlington Res. Inc.	Stock	6,525.00
Businessland, Inc.	Stock	11,900.00
Cobe Laboratories, Inc.	Stock	11,925.00
Corroon & Black Corp.	Stock	12,450.00
Digital Equipment Corp.	Stock	19,675.00

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION		DATE	CATEGORY
Northeast Savings	Stock sale	12/2/88	6,269.56
Union Carbide	Stock sale	12/1/88	12,525.74
Anchor Glass	Stock sale	12/1/88	4,746.74
General Signal Corp.	Stock sale	11/17/88	9,439.00
Tyco Lab	Stock sale	6/10/88	26,865.37
Unisys Corp.	Stock sale	11/3/88	5,295.14

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mrtge-Perpetual Amer. Bk-2455 39th Pl.N.W.,Wash.,D.C.	3,860.45
Mrtge-John Hancock Ins. Co-3620 Warren St.N.W.,Wash.,D.C.	11,402.33
Mrtge-John Hancock Ins. Co-3731 W St.N.W.,Wash.,D.C.	10,436.62
Mrtge-First Agri. Bk-3802 Veazey St.,N.W.,Wash.,D.C.	68,730.60
Mrtge-Citicorp-3516 34th St.,N.W.,Wash.,D.C.	12,949.75
Mrtge-Berk. Co. Savings Bk-Blythewood Drive,Pittsfield,MA	7,370.99

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Berkshire Life Insurance Comapny	Transportation
United Technologies	Transportation
University of Nevada	Transportation
American Bus Association	Transportation
New England Assoc. of Educational Opportunity	Transportation

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board of Directors	Berkshire Life Insurance Company
Partnership	Consolati & Conte
Board of Trustees	Smithsonian Institution
Board of Directors	Massachusetts Polymer Science Center of [illegible]llence

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
o. Conte	5/10/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

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Page 1 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

SILVIO O. CONTE
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-A	Amer. Public Transit Assoc.	Honorarium	2,000.00
II-A	Nat'l Council of Educational Opportunities, Inc.	Honorarium	1,500.00
II-A	Nat'l Assoc. of Community Health Centers	Honorarium	500.00
II-A	New England Assoc. of Educational Opportunity Program Personnel	Honorarium	1,000.00
II-A	American Bus Association	Honorarium	2,000.00
II-A	Capitol Associates	Honorarium	1,000.00
II-A	American Gas Association	Honorarium	1,000.00
II-A	National Electrical Manufacturers Assoc.	Honorarium	2,000.00
II-A	Arthritis Foundation	Honorarium	1,000.00
II-A	American Dental Association	Honorarium	1,000.00
II-A	Wine Institute	Honorarium	1,000.00 *
		*assigned to charity	
II-A	Boston Institutional Services, Inc.	Honorarium	2,000.00
II-B	Walt Disney	Dividend	60.00
II-B	Fed. Nat'l Mortgage Assoc.	Dividend	24.00
II-B	Fidelcor, Inc.	Dividend	101.34
II-B	First Fid. Bancorporation	Dividend	222.72
II-B	General Electric Company	Dividend	210.00
II-B	General Re Corporation	Dividend	60.00
II-B	General Signal Corporation	Dividend	360.00
II-B	ITT Corp	Dividend	468.75
II-B	International Business Machines Corp.	Dividend	1,760.00
II-B	Kemper Corp.	Dividend	432.00
II-B	Motorola, Inc.	Dividend	48.00
II-B	Nicor, Inc.	Dividend	930.00
II-B	Northeast Svgs FA	Dividend	900.00
II-B	Quantum Chem. Corp.	Dividend	295.00
II-B	Rockefeller Center Properties, Inc.	Dividend	920.00
II-B	Service Corp. Int'l	Dividend	198.00
II-B	SLH Daily Dividend Fund	Dividend	2,673.80
II-B	Stanadyne, Inc.	Dividend	172.50
II-B	Tyco Laboratories, Inc.	Dividend	156.00
II-B	UGI Corp.	Dividend	836.00
II-B	Union Carbide Corporation	Dividend	575.00
II B	Warner Communications, Inc.	Dividend	208.00
II-B	West Point Pepperell, Inc.	Dividend	60.00
II-B	Xtra Corporation	Dividend	340.00
II-B	Cash Reserve Management Inc.	Dividend	185.51
II-B	Hershey Foods Corp	Dividend	132.00
II-B	N W A Inc.	Dividend	67.50
II-B	Marriott Corp.	Dividend	20.00
II-B	Paine Webber Group, Inc.	Dividend	39.00
II-B	Philadelphia Electric Co	Dividend	666.60
II-B	Shearson Lehman Hutton, Inc.	Dividend	75.00
II-B	Unisys Corp.	Dividend	192.96
II-B	Bemis Co., Inc.	Dividend	739.20
II-B	Royal Dutch Ptlm Co.	Dividend	724.40
II-B	Syntex Corp.	Dividend	1,960.00
II-B	Borden, Inc.	Dividend	117.00
II-B	Coastal Corp.	Dividend	190.40
II-B	Computer Data Systems, Inc.	Dividend	48.00
II-B	Continental Corp. N.Y.	Dividend	65.00
II-B	Dresser Ind. Inc.	Dividend	422.50
II-B	MCA Inc	Dividend	68.00

GPO : 1987 [illegible]

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Page 2 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

SILVIO O. CONTE
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-B	Santa Fe Southern Pacific Corp	Dividend	3,487.81
II-B	NV Ryan	Dividend	1.00
II-B	US Treasury	Dividend	565.20
II-B	Mrs. Corinne Conte	Social Security	
II-B	US Treasury	Pension	2,520.00
II-B	James Robinson	Sale of hay	250.00
II-B	Consolati & Conte	Partnership	8,754.80
II-B	Rental Income		37,517.73
III	ITT Corp.	Stock	25,187.50
III	Int'l Business Machines, Inc.	Stock	36,562.50
III	Johnson & Johnson	Stock	8,512.50
III	KN Energy	Stock	7,250.00
III	Kemper Corp.	Stock	14,400.00
III	Monarch Capital Corp	Stock	7,656.00
III	Motorola Inc.	Stock	4,200.00
III	Nicor, Inc.	Stock	15,625.00
III	Rockefeller Center Properties	Stock	9,812.50
III	Service Corp Int'l	Stock	7,875.00
III	Student Loan Marketing Assn	Stock	16,925.00
III	Transco Expl Partners LTD	Stock	172.50
III	UGI Corp	Stock	11,950.00
III	Warner Communications Inc.	Stock	14,650.00
III	West Point Pepperell, Inc.	Stock	4,387.50
III	Xtra Corp	Stock	18,750.00
III	America First Financial FD	Stock	5,000.00
III	Champions Sprots Inc.	Stock	4,600.00
III	Hershey Foods Corp	Stock	5,200.00
III	Int'l Business Machines, Inc.	Stock	12,187.50
III	Marriott Corp	Stock	3,162.50
III	Paine Webber Group, Inc.	Stock	4,837.50
III	Shopco Regional Malls	Limited Partnership	5,000.00
III	Silver Screen Partners IV	Limited Partnership	10,000.00
III	Bemis Co.,Inc.	Stock	39,060.00
III	Monarch Capital Corp	Stock	137.20
III	Syntex Corp	Stock	65,000.00
III	Borden, Inc.	Stock	5,925.00
III	Challenger Intl	Stock	12.50
III	Coastal Corp	Stock	17,125.00
III	Computer Data Systems Inc.	Stock	5,512.50
III	Dresser Industries, Inc	Stock	19,093.75
III	MCA Inc.	Stock	4,537.50
III	Philadelphia Electric Co	Stock	6,060.00
III	Quantum Chemical Corp	Stock	10,537.50
III	Royal Dutch Ptlm Co	Stock	11,400.00
III	Mass. State DTD	Government Fund	9,727.70
III	Mass. State G/O Const	State Fund	10,992.00
III	Northeast Savings FA	Stock	6,269.56
III	AMP, Inc.	Stock	8,377.10
III	Union Carbide Corp.	Stock	12,525.74
III	Anchor Glass Container Corp	Stock	4,746.74
III	General Signal Corp	Stock	9,439.00
III	Tyco Laboratories	Stock	20,192.00
III	Unisys Corp	Stock	5,295.00
III	NV Ryan	Limited Partnership	7,016.32
III	Shearson Lehman Brothers	Stock	6,063.57
III	Fed. Nat'l Mortgage Assoc	Stock	6,648.43
III	Stanadyne Inc.	Stock	29,362.96

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Page 3 of 3

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

SILVIO O. CONTE
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
III	Corning Glass Works	Stock	9,858.82
III	NWA Inc	Stock	5,366.51
III	Santa Fe Southern Pacific	Stock	7,097.18
III	Continental Corp	Stock	3,627.70
III	Walt Disney Company	Stock	26,300.00
III	First Fid Bancorporation	Stock	10,750.00
III	General Cinema Corp	Stock	5,100.00
III	General Electric Co	Stock	8,950.00
III	General Re Corp	Stock	11,100.00
III	Central Block, Lee, MA	Rental Property	72,300.00
III	Petersham Road, Hardwick	Real Estate	96,400.00
III	Bay Colony of Stuart,Florida (1 lot)	Real Estate	65,800.00
III	Hancock, MA	Real Estate	8,700.00
III	Elizabeth Manor, Dameron, MD (1 lot)	Real Estate	75,000.00
III	Savings Accounts		56,857.01

Part		PURCHASE PRICE	PRESENT ASSESSMENT
III	3713 W St.N.W.,Wash.D.C.	29,000	173,298.
III	3620 Warren St.N.W.,Wash.D.C.	27,500	165,198.
III	3802 Veazey St.N.W.,Wash.D.C.	28,000	165,961.
III	3516 34th St.N.W.,Wash.D.C.	34,000	166,560.
III	2455 39th Pl.N.W.,Wash.D.C.	27,000	166,450

Part				
IV	Shearson-Lehman	6/28/88	Stock sale	4,063.57
IV	Quantum Chemical	3/8/88	Stock sale	8,739.20
IV	Federal Nat'l Mortgage	2/23/88	Stock sale	6,648.43
IV	Stanadyne, Inc.	1/12/88	Stock sale	37,098.24
IV	NWA Inc	11/29/88	Stock sale	5,366.51
IV	Santa Fe So. Pacific	7/13/88	Stock sale	7,025.90
IV	Continental Corp	5/26/88	Stock sale	3,627.70
IV	Computer Data	7/13/88	Stock sale	2,600.00
IV	AMP, Inc.	12/1/88	Stock sale	8,377.10
IV	NV Ryan LP	7/6/88	Stock sale	7,016.32
IV	Corning Glass	1/25/88	Stock sale	9,858.82
IV	AMP, Inc.	4/12/88	Stock purchase	10,371.00
IV	NV Ryan LP	1/27/88	Stock purchase	5,455.00
IV	General Cinema	12/1/88	Stock purchase	4,876.99
IV	Burlington Res	12/12/88	Stock purchase	6,610.34
IV	Businessland, Inc.	12/2/88	Stock purchase	5,316.49
IV	West Point Pepperell	6/17/88	Stock purchase	4,037.90
IV	Borden, Inc	2/10/88	Stock purchase	25,436.96
IV	Corroon & Black Corp.	1/12/88	Stock purchase	11,874.16
IV	First Fid Bancorp.	1/12/88	Stock purchase	12,1[illegible]
IV	General Electric Co	2/3/88	Stock purchase	8,925.65
IV	General Re Corp	12/2/88	Stock purchase	11,156.80
IV	Johnson & Johnson	12/2/88	Stock purchase	8,565.90
IV	KN Energy, Inc.	12/2/88	Stock purchase	7,187.66
IV	Motorola, Inc.	3/8/88	Stock purchase	5,073.00
IV	Student Loan Marketing	12/2/88	Stock purchase	16,506.80
IV	Walt Disney	7/8/88	Stock purchase	25,363.20
IV	Champion Sports	3/18/88	Stock purchase	9,525.00
IV	Paine Webber Group	6/28/88	Stock purchase	5,348.54
IV	Shopco Reg. Malls	11/14/88	Stock purchase	5,000.00
IV	Silver Screen IV	4/27/88	Stock purchase	10,000.00
IV	Coastal Corp	2/24/88	Stock purchase	1,416.00

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HAND DELIVERED

[illegible]
FIRST DISTRICT, MASSACHUSETTS

COMMITTEE ON APPROPRIATIONS
RANKING MINORITY MEMBER
SUBCOMMITTEES:
LABOR—HEALTH AND HUMAN
SERVICES—EDUCATION
TRANSPORTATION
LEGISLATIVE
EX OFFICIO MEMBER
OF ALL SUBCOMMITTEES

COMMITTEE ON SMALL BUSINESS
SUBCOMMITTEE ON
PROCUREMENT, INNOVATION, AND
MINORITY ENTERPRISE DEVELOPMENT

MIGRATORY BIRD
CONSERVATION COMMISSION

BOARD OF REGENTS
SMITHSONIAN INSTITUTION

Congreſs of the United States
House of Representatives
Washington, DC 20515

WASHINGTON ADDRESS:
2300 RAYBURN OFFICE BUILDING
WASHINGTON, DC 20515
PHONE: 202-22[illegible]

DISTRICT OFFICES:
FEDERAL BUILDING
78 CENTER STREET ARTERIAL
PITTSFIELD, MA 01201
PHONE: 413-442-0946

187 [illegible] STREET
HOLYOKE, MA 0104[illegible]
PHONE: 41[illegible]

May 10, 1989

MAY 10 PM 3 52

MC ✓

Honorable Julian C. Dixon
Chairman, Committee on Standards of Official Conduct
U.S. Capitol
Washington, D.C. 20515

Dear Mr. Chairman:

This letter is to amend my 1988 Financial Disclosure Statement by adding the following dates, travel information and identity of savings accounts:

PART II-A

United Technologies	1/28/88	American Bus Assoc.	4/26/88
University of Nevada	2/23/88	Capitol Associates	5/10/88
Assoc. of Comm. College Trustees	3/1/88	American Gas Assoc.	5/26/88
Martin Marietta	3/16/88	Nat'l Elec. Mfg. Assoc.	6/2/88
Nat'l Assoc. of Trade & Tech. Sch.	3/16/88	Arthritis Foundation	6/8/88
Amer. Public Transit Assoc.	3/22/88	Amer. Dental Assoc.	6/21/88
Nat'l Council of Ed. Opportunities	3/29/88	Wine Institute	6/29/88
N.England Assoc of Ed. Opportunity	4/19/88	Boston Institutional Serv.	7/27/88

PART VII

Berkshire Life Insurance Co.-rnd trip air fare & mileage between Wash., D.C. and Pittsfield, MA/1/29 & 2/1/88; 4/3/ & 4/4/88; 7/29 & 8/1/88; 10/3 & 10/5/88/ Board of Director meetings.

United Technologies-rnd trip air fare between Wash.,D.C. & W.Palm Beach, Fla. 1/10 & 1/24/88/speaking engagement

University of Nevada- rnd trip air fare between Wash.,D.C. & Reno, Nevada 2/18 & 2/23/88/ speaking engagement

American Bus Assoc.-rnd trip air fare between Wash.,D.C. & Hartford, CN 4/25/88/speaking engagement

New England Assoc. of Educational Opportunity-rnd trip air fare between Wash., D.C. and Orono, Maine 4/15/88/speaking engagement

PART II-B and PART III

Interest from savings & Savings accounts: Federal Credit Union, Berk. Life Insurance Co., Pittsfield Cooperative Bk.,Lee Savings Bk.,First Nat'l Bank of Boston, Berkshire Co. Savings Bk., City Savings Bk, Shearson Lehman Hutton (Cash Reserve Mgt.)

Thank you for including this in my file and with best wishes, I am

Cordially yours,

Silvio O. Conte
Silvio O. Conte
Member of Congress

SOC:bom

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

John Conyers, Jr.
(Full Name)

2426 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

MAY 15 PM 4:10

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1st State Michigan

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,086, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA X

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
NY Times--OpEd art.	Rec'd 1-21-89	Hon.	150.00	
Prog. Corp. of Amer.	Rec'd 1-25-89	Hon.	2,000.00	
Benefit Guild Assoc.	Rec'd 1-27-89	Hon.	500.00	
Freedom Crusade	Rec'd 2-1-89	Hon.	300.00	
Charlie Parker Foundation	Rec'd 2-18-89	Hon.	500.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Riverside Ford	Use of Auto	D
Det. Fed. Empl. Credit Union, Ira Div.	Interest	A
Det. Fed. Empl. Credit Union	Interest	A
Wright-Patman Fed. Credit Union, Ira	Interest	A
Wright-Patman Fed. Credit Union, savings	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _X_ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
NONE	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
1/2/89 Freedom Crusade, New Orleans, LA	Travel expenses
2/1/89 LA Voter Registration, New Orleans, LA	Travel expenses
4/14 Amer.-Arab Anti-Discrim., Comm.,	Travel expenses

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
John Conyers Jr.	5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

JOHN CONYERS, JR.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
Part II. A (cont.)		
4/12	Christian Science Monitor, Op-Ed article	100.00
4/14	Las Energy Corp., NY City, speech	2,000.00
4/18	American Arab-Anti. Discrim. League, speech	800.00
4/18	United Method. Comm. Ctr., Kappa Alpha Psi. Speech	1,000.00
4/22	Hofstra Univ., Hempstead, NY - speech	1,500.00
6/7	Nat'l Assn. of Arab-Americans, WDC, speech	1,000.00
6/10	Computer & Communications Indus. Assn. Speech	1,000.00
6/20	Prog. Corp. of America, Mound City Bar Assn.	2,000.00
9/6	Minnesota Peace & Justice Coalition	1,000.00
10/5	Council of Masajid of Unites States, Inc.	2,000.00
11/23	Citizens Policy and Education Fund, NJ	500.00

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

James H. S. Cooper
(Full Name)

125 Cannon
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District 4 State TN

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed: identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES _____ NO XX NA _____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
see attached printout			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
see attached printout		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO X NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See attached printout	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
see attached printout		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
see attached printout	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
see attached printout	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
see attached printout		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
see attached printout	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
see attached printout	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
see attached printout		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Cooper	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

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[Congressman Jim Cooper's Disclosure Stmt. 1988, page 1]

II. A. **EARNED INCOME**

Source	Type	Amount
U.S. House of Representatives	Salary	$89,500
Smithsonian Institution (spouse's employer)	Salary	$27,021
World Wildlife Fund Retirement Plan, Termination Benefit (spouse's former employer)		$350

Honoraria:(none of which was directly assigned to charity)

Source	Date	Amount
Coalition for Regional Banks	1/26/88	$500
Nat. Ass. Temporary Services	3/24/88	$750
American Optometric Ass.	3/27/88	$1,000
Nat. Ass. Prof. Ins. Agents	3/31/88	$1,000
Tobacco Institute	4/12/88	$1,000
The New Republic	5/03/88	$50
Golembe Associates	6/02/88	$1,000
Tenn. Bankers Association	6/06/88	$1,000
National Assn. Broadcasters	6/21/88	$1,000
The Federal Forum	6/21/88	$1,000
Southern Company Services	9/08/88	$1,000
Instit. International Bankers	9/12/88	$2,000
Am. Automotive Leasing Ass.	9/18/88	$2,000
Nat. Telephone Co-op Ass.	10/03/88	$250
American Gas Association	10/18/88	$1,000
US Telephone Ass.	11/01/88	$1,000
Chicago Bd. Options Exchange	11/02/88	$1,000
Chicago Mercantile Exchange	11/02/88	$500
Board of Trade of Chicago	11/02/88	$500
Coopers & Lybrand	11/10/88	$1,500
Ass. of Bank Holding Cos.	11/17/88	$2,000
TV & Radio Pol. Educ. Comm.	12/07/88	$1,000
Nat. Rural Electric Co-op	12/08/88	$500
TOTAL		$22,550

II. B. **UNEARNED INCOME**

Source	Type	Category
First American Bank, Wash. DC	interest	C
Hancock Bank, Gulfport, MS	interest	A
Martha Hays Trust, Hancock Bank, MS	interest	B
PLC Properties Ltd.	interest	D
Cooper Brothers Land Co.	interest	A
Dominion Bancshares, Roanoke, VA	dividend	E
Cooper Brothers Land Co.	rental inc.	E
Cooper Brothers Land Co.	royalties	B
Cooper Brothers Land Co.	capital gain	B
PLC Properties Ltd.	capital gain	D
USDA-ASCS-Henderson Co. KY	CCC pmts	C

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[Congressman Jim Cooper's Disclosure Stmt. 1988, page 2]

III. HOLDINGS

Identity	Category
Money Market Account, Congressional Credit Union	C
Savings Account, Hancock Bank, Gulfport, MS	A
Savings Account, 1st American Bank, DC	B
Certificate of Deposit, 1st American Bank, DC	D
Dominion Bancshares Corp., common stock, Roanoke, VA (merged with Peoples National Bank)	F
Martha Hays Trust, administered by Hancock Bank Trust Dept.,Gulfport, MS (see attached printout)	C
Note Receivable - Cooper for Congress Committee	E
1/3 interest in Detroit Edison 9 7/8 Bonds 5/1/2004	A
IRA Accounts - A.G. Edwards & Co., Nashville,	B
4.5% Limited Partnership interest in PLC Properties Ltd., which owns 250 acres on Maryland Way and Murray Lane, Brentwood, TN - partly improved	F

Real Property (except for the Mississippi property, these parcels are held by the Cooper Brothers Land Co.; a good faith estimate of value has been made based upon county tax rolls):

1/3 interest in 863 acres (per county tax books) in Bedford Co. with some improvements on Jenkins Chapel Road and Fishingford Pike, Shelbyville, TN (925 ac. per deeds)	F
1/3 interest in 1,463 acres in Smith Mills, KY on Cooper/Cooper Road, State Hwy. 266, and Morganfield Road - some improvements	F
1/3 interest in Dominion Bank Branch lot, Madison St., Shelbyville, TN - improved	D
1/3 interest in surrounding Madison St. lots	D
1/3 interest in former Parks-Belk Building, Public Square, Shelbyville, TN - improved	C
1/3 interest in Holland Street lot, Shelbyville TN - improved	C
1/3 interest in 80 acres off Thompson Creek Rd., Shelbyville, TN - unimproved	B
1/3 interest in South Main Street lots, Shelbyville, TN - unimproved	B
1/3 interest in Depot & Thompson St. - unimproved	B
1/3 interest in 318 East Lane St. - improved	B
1/3 interest in 319 E. Lane St. - unimproved	A
1/3 interest in 615 Landers Street - improved	A
1/3 interest in lot across from 413 E. Lane St. - unimproved	B
1/3 interest in 01504 East Beach Drive, Gulfport, MS - improved	C

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[Congressman Jim Cooper's Disclosure Stmt. 1988, page 3]

IV. TRANSACTIONS

Brief Description and Date	Category
Loan by Jim Cooper to the Cooper for Congress Committee repaid, 8/15/88 and 12/12/88	E
Foreclosure on 318 E. Lane St., 3/22/88, by Cooper Brothers	B
Purchase of a small strip of land by Cooper Brothers, Feb. 1988, next to Madison St. property	A
Sale of 615 Landers St., 7/11/88, by Cooper Brothers	A
Sale of Detroit Edison 9 7/8% Bonds 5/1/2004 by Cooper Brothers, 12/12/88	A

V. LIABILITIES

Identity	Category
Third National Bank, Nashville, TN (this personal loan was repaid on 8/26/88)	B
Dominion Bank, Shelbyville, TN (this personal loan was repaid on 12/16/88)	C

VI. GIFTS

Source	Brief Description
A. None	
B. Congressional Golf Tournament Winner, Long Drive Competition	Set of Tommy Armour, Silver Scot Metal Woods $240
Avon Products, unsolicited office gift (returned 5/10/88)	Giorgio Perfume, $95-$175
Howard Levine, Chattanooga	Golf at the Honors Course $100

VII. REIMBURSEMENTS

Source, Brief Description	Date
Gore for President Campaign, private plane for Super Tuesday swing from Nashville TN, to Huntsville AL, to Jackson MS, to Monroe LA, to Fort Smith AR, to Nashville for spouse and self	3/07/88

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[Congressman Jim Cooper's Disclosure Stmt. 1988, page 4]

(**REIMBURSEMENTS** continued...)

American Optometric Association....................3/27/88
airfare D.C.-Memphis-D.C. for convention

American Heart Association.........................6/03/88
one night's lodging in Memphis for awards breakfast

American Automotive Leasing Ass. 9/17-18/88
airfare D.C.-Chicago-D.C. for convention

Chicago Mercantile Exchange........................11/01-02/88
airfare D.C.-Chicago-D.C., lodging, limo, for meetings with all Chicago exchanges

Dukakis for President Campaign, private plane.....11/05/88
for pre-election swing from Nashville, to Memphis, to Jackson, to Nashville, to Crossville, to Chattanooga, to Nashville

US Telephone Association...........................11/18-20/88
airfare for spouse and self, D.C.-West Palm Beach-D.C., lodging, limo, golf, for convention

VIII. POSITIONS

Position	Name of Organization
Ex-Officio Trustee	Walters State Community College
Honorary Member	Univ. Tenn. Space Institute Support Council
Limited Partner	PLC Properties Ltd.
General Partner	Cooper Brothers Land Company

IX. AGREEMENTS

None

Hancock Bank Trust Department

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                        —STATEMENT OF ASSETS—          12/31/88     PAGE   1

ACCOUNT NUMBER [illegible]     HANCOCK BANK                        ADM OFF - [illegible]
                               TRUSTEE U/A DTD 12/31/60 WITH       INV OFF - [illegible]
                               [illegible]

PAR VALUE      —BONDS—                          BOOK VALUE   UNIT PRICE   MARKET VALUE

 10,000    U.S. TREAS. NOTES @ 7 3/8%             9,984.58     99.2810       9,928.10
           DTD [illegible] DUE [illegible]

 10,000    U.S. TRS. NTS. @ 7 7/8%                9,878.12     97.9690       9,796.90
           DTD [illegible] DUE [illegible]

 10,000    U.S. TREAS NTS @ 7.75%                10,050.00     99.0940       9,909.40
           DTD [illegible] DUE [illegible]

                        TOTAL BONDS              29,912.70                  29,634.40 *


UNITS          —TIME DEPOSITS—

  4,740    GOVERNMENT INVESTMENT ACCOUNT          4,740.00                   4,740.00
           (MONEY ACCTS) (PRIN)

  1,277    GOVERNMENT INVESTMENT ACCOUNT          1,277.00                   1,277.00
           (MONEY ACCTS) (INCOME)
           ** INVESTED INCOME **

 10,000    HANCOCK BANK CD [illegible]           10,000.00                  10,000.00
           DTD [illegible] DUE [illegible] @ [illegible]
           INT MONTHLY

                   TOTAL TIME DEPOSITS           16,017.00                  16,017.00 *


               —MISCELLANEOUS—

           1/2 INT IN REPUBLIC NAT'L LIFE INS         1.00                       1.00
           POL [illegible]

                   TOTAL MISCELLANEOUS                1.00                       1.00 *


                          TOTAL ASSETS           45,930.70                  45,652.40 **
                           INCOME CASH              79.83-                     79.83-
                        PRINCIPAL CASH              50.34                      50.34
                   TOTAL CASH & ASSETS           45,901.21                  45,622.91 ***
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Hancock Bank Trust Department

—STATEMENT OF ASSETS— 12/31/88 PAGE 2

ACCOUNT NUMBER 33-3183-02

HANCOCK BANK
TRUSTEE U/A DTD 12/31/60 WITH
ARTHUR V. HAYS FBO MARTHA BRYAN HAYS

ADM OFF - RMH
INV OFF - ODB

—LIABILITIES—	BOOK VALUE	UNIT PRICE	MARKET VALUE
1/3 INT IN REPUBLIC NAT LF INS NOTE AGAINST POL #1Y5442 INSURING CRAWFORD CLEVELAND	2,039.87-		2,039.87-
TOTAL LIABILITIES	2,039.87-		2,039.87-*

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

James H. S. Cooper
(Full Name)

125 Cannon House Office Building
(Mailing Address)

Washington, D. C. 20515

MC ✓
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4th State TN.

☐ Officer or Employee—Employing Office ____________

☒ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO xx NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
see attached printout			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
see attached printout		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO XX _____ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
see attached printout	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
see attached printout		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
see attached printout	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
see attached printout	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
see attached printout		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
see attached printout	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
see attached printout	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
see attached printout		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
J. Cooper	May 22, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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[Congressman Jim Cooper's Disclosure Stmt. 1988, page 1]

II. A. EARNED INCOME

Source	Type	Amount
U.S. House of Representatives......	Salary.........	$89,500
Smithsonian Institution............ (spouse's employer)	Salary.........	$27,021
World Wildlife Fund Retirement Plan, Termination Benefit (spouse's former employer)......		$350

Honoraria:(none of which was directly assigned to charity)

Source	Date	Amount
Coalition for Regional Banks	1/26/88	$500
Nat. Ass. Temporary Services	3/24/88	$750
American Optometric Ass.	3/27/88	$1,000
Nat. Ass. Prof. Ins. Agents	3/31/88	$1,000
Tobacco Institute	4/12/88	$1,000
The New Republic	5/03/88	$50
Golembe Associates	6/02/88	$1,000
Tenn. Bankers Association	6/06/88	$1,000
National Assn. Broadcasters	6/21/88	$1,000
The Federal Forum	6/21/88	$1,000
Southern Company Services	9/08/88	$1,000
Instit. International Bankers	9/12/88	$2,000
Am. Automotive Leasing Ass.	9/18/88	$2,000
Nat. Telephone Co-op Ass.	10/03/88	$250
American Gas Association	10/18/88	$1,000
US Telephone Ass.	11/01/88	$1,000
Chicago Bd. Options Exchange	11/02/88	$1,000
Chicago Mercantile Exchange	11/02/88	$500
Board of Trade of Chicago	11/02/88	$500
Coopers & Lybrand	11/10/88	$1,500
Ass. of Bank Holding Cos.	11/17/88	$2,000
TV & Radio Pol. Educ. Comm.	12/07/88	$1,000
Nat. Rural Electric Co-op	12/08/88	$500
TOTAL		$22,550

II. B. UNEARNED INCOME

Source	Type	Category
First American Bank, Wash. DC	interest	C
Hancock Bank, Gulfport, MS	interest	A
Martha Hays Trust, Hancock Bank, MS	interest	B
PLC Properties Ltd.	interest	D
Cooper Brothers Land Co.	interest	A
Dominion Bancshares, Roanoke, VA	dividend	E
Cooper Brothers Land Co.	rental inc.	E
Cooper Brothers Land Co.	royalties	B
Cooper Brothers Land Co.	capital gain	B
PLC Properties Ltd.	capital gain	D
USDA-ASCS-Henderson Co. KY	CCC pmts	C

(the government pays me directly instead of to Cooper Bros.)

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[Congressman Jim Cooper's Disclosure Stmt. 1988, page 2]

III. HOLDINGS

Identity	Category
Money Market Account, Congressional Credit Union	C
Savings Account, Hancock Bank, Gulfport, MS	A
Savings Account, 1st American Bank, DC	B
Certificate of Deposit, 1st American Bank, DC	D
Dominion Bancshares Corp., common stock, Roanoke, VA (merged with Peoples National Bancorp)	F
Martha Hays Trust, administered by Hancock Bank Trust Dept.,Gulfport, MS (see attached printout)	C
Note Receivable - Cooper for Congress Committee	E
1/3 interest in Detroit Edison 9 7/8 Bonds 5/1/2004	A
IRA Accounts - A.G. Edwards & Co., Nashville,	B
4.5% Limited Partnership interest in PLC Properties Ltd., which owns 250 acres on Maryland Way and Murray Lane, Brentwood, TN - partly improved	F

Real Property (except for the Mississippi property, these parcels are held by the Cooper Brothers Land Co.; a good faith estimate of value has been made based upon county tax rolls):

1/3 interest in 863 acres (per county tax books) in Bedford Co. with some improvements on Jenkins Chapel Road and Fishingford Pike, Shelbyville, TN (925 ac. per deeds)	F
1/3 interest in 1,463 acres in Smith Mills, KY on Cooper/Cooper Road, State Hwy. 266, and Morganfield Road - some improvements	F
1/3 interest in Dominion Bank (formerly Peoples National Bank) Branch lot, Madison St., Shelbyville, TN - improved	D
1/3 interest in surrounding Madison St. lots, including strip purchased Feb. 1988	D
1/3 interest in former Parks-Belk Building, Public Square, Shelbyville, TN - improved	C
1/3 interest in Holland Street lot, Shelbyville TN - improved	C
1/3 interest in 80 acres off Thompson Creek Rd., Shelbyville, TN - unimproved	B
1/3 interest in South Main Street lots, Shelbyville, TN - unimproved	B
1/3 interest in Depot & Thompson St. - unimproved	B
1/3 interest in 318 East Lane St. - improved	B
1/3 interest in 319 E. Lane St. - unimproved	A
1/3 interest in 615 Landers Street - improved	A
1/3 interest in lot across from 413 E. Lane St. - unimproved	B
1/3 interest in 01504 East Beach Drive, Gulfport, MS - improved	C

[Congressman Jim Cooper's Disclosure Stmt. 1988, page 3]

IV. TRANSACTIONS

Brief Description and Date	Category
Merger of Peoples National Bancorp Inc. of Shelbyville, into Dominion Bancshares Corporation of Roanoke, VA, on April 20, 1988; my shares of Peoples common stock were exchanged for Dominion common shares	F
Loan by Jim Cooper to the Cooper for Congress Committee repaid, 8/15/88 and 12/12/88	E
Foreclosure on 318 E. Lane St., 3/22/88, by Cooper Brothers	B
Purchase of a small strip of land by Cooper Brothers, Feb. 1988, next to Madison St. property	A
Sale of 615 Landers St., 7/11/88, by Cooper Brothers	A
Sale of Detroit Edison 9 7/8% Bonds 5/1/2004 by Cooper Brothers, 12/12/88	A

V. LIABILITIES

Identity	Category
Third National Bank, Nashville, TN (this personal loan was repaid on 8/26/88)	B
Dominion Bank, Shelbyville, TN (this personal loan was repaid on 12/16/88)	C

VI. GIFTS

Source	Brief Description
A. None	
B. Congressional Golf Tournament Winner, Long Drive Competition	Set of Tommy Armour, Silver Scot Metal Woods $240
Avon Products, unsolicited office gift (returned 5/10/88)	Giorgio Perfume, $95-$175
Howard Levine, Chattanooga	Golf at the Honors Course $100

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[Congressman Jim Cooper's Disclosure Stmt. 1988, page 4]

VII. REIMBURSEMENTS

Source, Brief Description	Date
Gore for President Campaign, private plane for Super Tuesday swing from Nashville TN, to Huntsville AL, to Jackson MS, to Monroe LA, to Fort Smith AR, to Nashville for spouse and self	3/07/88
American Optometric Association airfare D.C.-Memphis-D.C. for convention	3/27/88
American Heart Association one night's lodging in Memphis for awards breakfast	6/03/88
American Automotive Leasing Ass. airfare D.C.-Chicago-D.C. for convention	9/17-18/88
Chicago Mercantile Exchange airfare D.C.-Chicago-D.C., lodging, limo, for meetings with all Chicago exchanges	11/01-02/88
Dukakis for President Campaign, private plane for pre-election swing from Nashville, to Memphis, to Jackson, to Nashville, to Crossville, to Chattanooga, to Nashville	11/05/88
US Telephone Association airfare for spouse and self, D.C.-West Palm Beach-D.C., lodging, limo, golf, for convention	11/18-20/88

VIII. POSITIONS

Position	Name of Organization
Ex-Officio Trustee	Walters State Community College
Honorary Member	Univ. Tenn. Space Institute Support Council
Limited Partner	PLC Properties Ltd.
General Partner	Cooper Brothers Land Company

IX. AGREEMENTS

None

Hancock Bank Trust Department

—STATEMENT OF ASSETS— 12/31/88 PAGE 1

ACCOUNT NUMBER 33-3163-02
HANCOCK BANK
TRUSTEE U/A DTD 12/31/60 WITH
ARTHUR V. HAYS FBO MARTHA BRYAN HAYS

ADM OFF - [illegible]
INV OFF - [illegible]

PAR VALUE	—BONDS—	BOOK VALUE	UNIT PRICE	MARKET VALUE
10,000	U.S. TREAS. NOTES @ 7 3/8% DTD 062087 DUE 062089	9,904.35	99.2810	9,928.10
10,000	U.S. TRS. NTS. @ 7 7/8% DTD 061787 DUE 061590	9,978.12	97.9690	9,796.90
10,000	U.S. TREAS NTS @ 7.75% DTD 082167 DUE 082189	10,030.00	99.0940	9,909.40
	TOTAL BONDS	29,912.70		29,634.40 *
UNITS	—TIME DEPOSITS—			
4,740	GOVERNMENT INVESTMENT ACCOUNT (PERS ACCTS) (PRIN)	4,740.00		4,740.00
1,277	GOVERNMENT INVESTMENT ACCOUNT (PERS ACCTS) (INCOME) ** INVESTED INCOME **	1,277.00		1,277.00
10,000	HANCOCK BANK CD 047143 DTD 022988 DUE 082991 @ 8.15% INT MONTHLY	10,000.00		10,000.00
	TOTAL TIME DEPOSITS	16,017.00		16,017.00 *
	—MISCELLANEOUS—			
	1/3 INT IN REPUBLIC NAT'L LIFE INS POL 0193642	1.00		1.00
	TOTAL MISCELLANEOUS	1.00		1.00 *
	TOTAL ASSETS	45,930.70		45,652.40 **
	INCOME CASH	79.83-		79.83-
	PRINCIPAL CASH	50.34		50.34
	TOTAL CASH & ASSETS	45,901.21		45,622.91 ***

Hancock Bank Trust Department

—STATEMENT OF ASSETS— 12/31/88 PAGE 2

ACCOUNT NUMBER 33-3102-02

HANCOCK BANK
TRUSTEE U/A DTD 12/31/60 WITH
ARTHUR V. HAYS FBO MARTHA BRYAN HAYS

ADM OFF - [illegible]
INV OFF - [illegible]

—LIABILITIES—	BOOK VALUE	UNIT PRICE	MARKET VALUE
1/3 INT IN REPUBLIC NAT LF INS NOTE AGAINST POL 01V3642 INSURING CRAWFORD CLEVELAND	2,809.87-		2,809.87-
TOTAL LIABILITIES	2,809.87-		2,809.87-*

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HAND DELIVERED

Congressman Jim Cooper
4th District, Tennessee

1989 MAY 22 AM 10:46

The Honorable Julian C. Dixon
Committee on Standards of Official Conduct
Suite HT-2, U.S. Capitol
Washington, D.C. 20515

MC May 22, 1989

Dear Julian,

I appreciate the staff of the Committee bringing my attention to several aspects of the Financial Disclosure Statement that I filed on May 15th. This is the explanation for the items that were noted.

The "USDA - ASCS - Henderson Co., KY CCC pmts." are deficiency payments made to me personally by the U.S. Department of Agriculture through its Agricultural Stabilization and Conservation Service on the 1,463 acres of Kentucky farm property that is described on my Disclosure Statement in the HOLDINGS section. This Kentucky land is operated by Cooper Brothers Land Co. and it would be much simpler if the USDA would simply pay Cooper Bros., but for some reason they have always sent the enclosed "Report of Payments to Producer" to me personally.

The "Purchase of the small strip of land next to the Madison St. property" was listed as a transaction but not as a holding because it has become an inseparable part of the Madison St. property that I already owned. What happened was that the State of Tennessee moved a road and left a tiny strip of land too small to build anything on between our land and the new road. Therefore we bought the strip from the State and it became a part of the larger lot. Visually it is almost indistinguishable from the larger lot.

The Peoples National Bank confusion is a result of the April 20, 1988 merger between the Peoples National Bancorp Inc. of Shelbyville, TN, and Dominion Bancshares Corp. of Roanoke, VA. Every Peoples shareholder exchanged his or her shares for Dominion shares, myself included. Therefore my Peoples stock became Dominion stock, as I tried to note in the HOLDINGS section when I wrote "(merged with Peoples National Bank)" behind the first Dominion reference. This also meant, of course, that the Peoples National Bank Branch that I owned became the Dominion Bank Branch. Nothing really changed other than the name on the stock and the bank building.

The reference to 319 E. Lane St. is to a vacant lot that I had inadvertently omitted from my past Disclosure Statements, but which I have owned for many years. It was not until recently that the Cooper Brothers Land Co. has become more organized, and when an inventory was taken this non-revenue producing parcel was discovered to have been omitted from past Disclosure Statements.

The "lot across from 413 E. Lane St." was not listed as a transaction because my mother gave me my interest in the property in 1988. I did not think that gifts from relatives had to be

41 D St., S.E., Washington, D.C. 20003 (Not printed at government expense.)

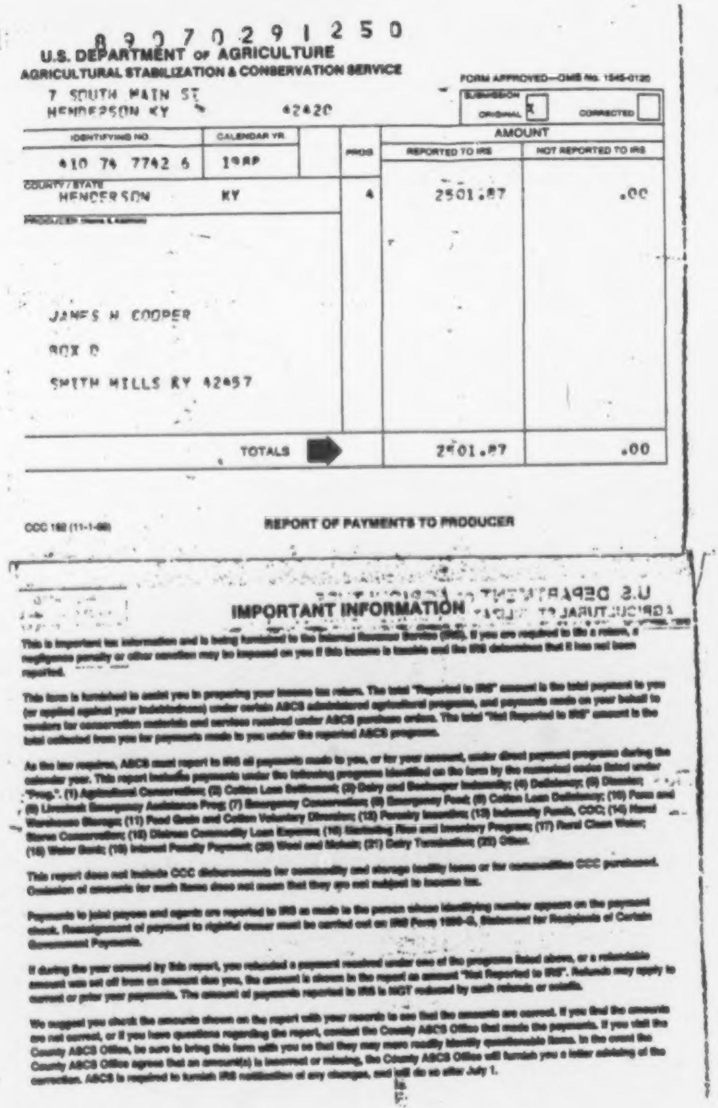
included as a transaction or gift.

The "01504 East Beach Drive" property in Mississippi was inherited by my wife when her grandmother died in 1988. I did not think that inheritance from family members had to be reported as a transaction or gift.

I very much appreciate your calling attention to these matters. It must have taken a lot of hard work to be able to ask such detailed questions. I have enclosed an amended Disclosure Statement that, I hope, clarifies the situation. If you have any further questions, please do not hesitate to call me.

Sincerely,

Jim Cooper



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U.S. DEPARTMENT OF AGRICULTURE
AGRICULTURAL STABILIZATION & CONSERVATION SERVICE

7 SOUTH MAIN ST
HENDERSON KY 42420

FORM APPROVED—OMB No. 1545-0120

SUBMISSION ORIGINAL ☒ CORRECTED ☐

IDENTIFYING NO.	CALENDAR YR.	PROG	AMOUNT REPORTED TO IRS	AMOUNT NOT REPORTED TO IRS
410 74 7742 6	1988			
COUNTY / STATE HENDERSON KY		4	2501.87	.00
PRODUCER (Name & Address) JAMES H COOPER BOX D SMITH MILLS KY 42457				
TOTALS			2501.87	.00

CCC 182 (11-1-88)

REPORT OF PAYMENTS TO PRODUCER

IMPORTANT INFORMATION

This is important tax information and is being furnished to the Internal Revenue Service (IRS). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

This form is furnished to assist you in preparing your income tax return. The total "Reported to IRS" amount is the total payment to you (or applied against your indebtedness) under certain ASCS administered agricultural programs, and payments made on your behalf to vendors for conservation materials and services received under ASCS purchase orders. The total "Not Reported to IRS" amount is the total collected from you for payments made to you under the reported ASCS programs.

As the law requires, ASCS must report to IRS all payments made to you, or for your account, under direct payment programs during the calendar year. This report includes payments under the following programs identified on the form by the numerical codes listed under "Prog.". (1) Agricultural Conservation; (2) Cotton Loan Settlement; (3) Dairy and Beekeeper Indemnity; (4) Deficiency; (5) Disaster; (6) Livestock Emergency Assistance Prog; (7) Emergency Conservation; (8) Emergency Feed; (9) Cotton Loan Deficiency; (10) Farm and Warehouse Storage; (11) Feed Grain and Cotton Voluntary Diversion; (12) Forestry Incentive; (13) Indemnity Funds, CCC; (14) Naval Stores Conservation; (15) Distress Commodity Loan Expense; (16) Marketing Rice and Inventory Program; (17) Rural Clean Water; (18) Water Bank; (19) Interest Penalty Payment; (20) Wool and Mohair; (21) Dairy Termination; (22) Other.

This report does not include CCC disbursements for commodity and storage facility loans or for commodities CCC purchased. Omission of amounts for such items does not mean that they are not subject to income tax.

Payments to joint payees and agents are reported to IRS as made to the person whose identifying number appears on the payment check. Reassignment of payment to rightful owner must be carried out on IRS Form 1099-G, Statement for Recipients of Certain Government Payments.

If during the year covered by this report, you refunded a payment received under one of the programs listed above, or a refundable amount was set off from an amount due you, the amount is shown in the report as amount "Not Reported to IRS". Refunds may apply to current or prior year payments. The amount of payments reported to IRS is NOT reduced by such refunds or setoffs.

We suggest you check the amounts shown on the report with your records to see that the amounts are correct. If you find the amounts are not correct, or if you have questions regarding the report, contact the County ASCS Office that made the payments. If you visit the County ASCS Office, be sure to bring this form with you so that they may more readily identify questionable items. In the event the County ASCS Office agrees that an amount(s) is incorrect or missing, the County ASCS Office will furnish you a letter advising of the correction. ASCS is required to furnish IRS notification of any changes, and will do so after July 1.

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Jerry F. Costello
(Full Name)

629 Garden Boulevard
(Mailing Address)

Belleville, IL 62221

MC (Office Use Only)

MAY 12 PM 2:45

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 21 State Illinois

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
St. Clair County, Belleville, IL	Salary	26,137	
East Side Health Dist., E. St. Louis, IL	Salary	1,835	
Belleville Public Schools, Belleville, IL (Spouse)	Salary		
Costello For Congress Committee Belleville, IL (Spouse)	Salary		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Magna Bank of Belleville	Interest	A
Commercial Bldg., Rt. 1 - Box 1193B Granite City, IL 1/2 interest	Rent	Gross-E; Net - A
Commercial Bldg., Rt. 1 - Box 1194B, Granite City, IL 1/2 interest	Rent	Gross-A; Net - A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO _X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Metro East Retail Corp., Granite City, IL Common Stock, Convenience Store	D
Metro East Produce Corp., Granite City, IL, Common Stock, Convenience Store	B
C & C Investments-Partnership, Commercial Bldgs., 1/2 interest	
Rt. 1 - Box 1193B, Granite City, IL	E
Rt. 1 - Box 1194B, Granite City, IL	D
Southwestern Illinois Limited Kickers, Belleville, IL, Music Recording 7 1/2% Interest	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Magna Bank, Belleville, IL Mortgage & notes, Commercial Bldgs. Rt. 1 - Box 1193B & Box 1194B, Granite City, IL	F

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
General Partner	C & C Investments
Secretary/Director	Metro East Retail Corporation
Secretary/Director	Metro East Produce Corporation
Limited Partner	Southwestern Illinois Limited Kickers

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
X Jerry F. Costello	5/12/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

✓ The Clerk, U.S. House of Representatives
✓ Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

HAND DELIVERY 3 / 1 2 8 2 2 4 5

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

89 MAY 15 PM 3 35
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

FORM A—For use by Members, officers, and employees

Lawrence Coughlin
(Full Name)

2309 Rayburn H.O.B.
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 13 State Pa

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
American Public Transit Ass.	3/12/88	Honorarium	$1,000	None
American Medical Ass.	4/15/88	"	"2,000	"
Air Transport Ass.	4/12/88	"	1,000	"
Morton Thiokol Inc.	4/25/88	"	1,000	"
General Electric Co.	5/13/88		2,000	"

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Congressional Federal Credit Union	Savings interest (wife)	A
Columbia First Federal S&L	" "	A
Alliance Tax-exempt reserves	Interest	B
Gaslight Square of West Jersey	Distribution	C
American Security Bank	IRA interest (wife)	A
222 S. Carolina Ave. S.E. Wash., D.C.	Apt. rent	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Congressional Federal Credit Union - Savings Accounts (wife)	A
222 S Carolina Ave. S.E. Wash. D.C. - Residential Apt.	A
Alliance Tax-exempt reserves - Money fund	D
American Security Bank - IRA	B
American Security Bank (wife) - IRA	A
Affiliated Publications, Inc. - Stock	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sold Abbott Laboratories Stock	12/28/88	B
Sold New York Times Class A Stock	12/28/88	B
Distribution: Gaslight Square at West Seneca Partnership interest	9/26/88	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
American Medical Ass. (seminar participant)	Round trip to Fla., food and lodging
Morton Thiokol, Inc. (speaking engagement)	Round trip to Utah, food and lodging
Illinois Ambassadors (seminar participant)	Round trip to Ill., food and lodging
Central Houston, Inc (speaking engagement)	Round trip to Tex., food and lodging
IRECO, Inc (speaking engagement)	Round trip to Utah, food and lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Lawrence Coughlin	5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Lawrence Coughlin
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)				
II. A	Tobacco Institute	5/23/88	Honorarium	$1,000	None
	Illinois Ambassadors	6/15/88	"	1,000	"
	Central Houston, Inc.	6/27/88	"	2,000	"
	TARCO, INC.	8/2/88	"	2,000	"
	U.S. Dept. of Transportation		Salary	Wife	
II B	Abbott Laboratories		Dividends	A	
	Affiliated Publications Inc.		"	A	
	American Brands, Inc		"	A	
	Great Foods, Inc.		"	A	
	John H. Harland Co		"	A	
	H.J. Heinz Co.		"	A	
	Lin Broadcasting Corp		"	A	
	New York Times Class A		"	A	
	Rubbermaid Inc		"	A	
	Tribune Co.		"	A	
	Weis Markets, Inc		"	A	
	Wilmington Trust Co		"	A	
III	American Brands, Inc		Stock	B	
	Great Foods Inc		"	B	
	John H Harland Co		"	B	
	H.J. Heinz Co		"	B	
	Lin Broadcasting Corp		"	C	
	Rubbermaid, Inc.		"	B	
	Tribune Co		"	B	
	Weis Markets, Inc		"	B	
	Wilmington Trust Co		"	B	

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

James A. Courter
(Full Name)

2422 Rayburn HOB
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

1989 MAY 15 PM 12:50

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 12 State NJ

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Attached.			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Attached.		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Attached.	

8 9 0 7 0 2 8 1 1 7 7

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Attached.		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See Attached.	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Attached.	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Attached.	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
See Attached.	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	N/A	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 10, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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II A

Earned Income

2-11-88	Christian Science Monitor	Op-Ed	$100.00
12-13-88	Connell Rice and Sugar	Honorarium	$2,000.00

II B

Unearned Income

Description	Dividends	Category
Mercury General	•	A
Nuveen Municipal Value Fund Inc.	•	B
Shore Ind. Inc.	•	A
JB Hunt Transport	•	A
Adobe Systems	•	A
Northrop Corp.	•	A
Baxter Travenol Exc.	•	A
Baxter Travenol Labs	•	A
Eastman Kodak	•	B
Champion Int. Corp.	•	A
General Electric	•	A
AMH Info. Tech. Corp.	•	A
American Tel. & Tel.	•	A
Bell Atlantic Corp	•	A
Bell South Corp.	•	A
Bristol Myers PV 1	•	A
NYNEX Corp.	•	A
Pacific Telesis	•	A
Southwestern Bell	•	A
U.S. West Inc.	•	A
MASCO Corp.	•	A
American Express Comp.	•	B
TECO Energy	•	B
Int'l Business Mach.	•	C
Nat'l Medical Entpr.	•	A
Minnesota MMH MFG.	•	B
Maytag Corp.	•	A
American Cyanamid Co.	•	A
Templeton Global Inc.	•	B
Baxter International Inc.	•	A
Baxter Inter. Inc. EXCH	•	A
Comstock Partners Strgy.	•	C
Merrill Lynch - CMA	•	D
Merrill Lynch - IRA	•	A
**Gilder Gagnon	•	B
Gilder Gagnon Interest from U.S. Government bonds	Interest	C
Sergeant at Arms	Interest	B
MIT - MJ - SR		B

89077231180

II B

Unearned Income, cont'd

Sale of Stocks	Category
Bristol Meyers	E
General Electric	E
IBM	E
Masco Corp.	E
3-M	E
American Cyanamid	E
Baxter Travenol Labs	D
Maytag Corp.	E
Municipal Investment Trust of New Jersey (Bonds)	A
General Public Utilities	A
**Gilder Gagnon	F

**Gilder Gagnon and Co. are investment managers who deal in stocks through Bear, Sterns and Company. Transactions are frequent. Detailed information can be received directly from Gilder, Gagnon, 1775 Broadway, New York, New York

Office Building - 256 Main Street Hackettstown, New Jersey	Rent	E
Rental Property - Roberts Lane Arlington, Virginia	(100% with wife)	C
Rental Property - Wood Duck Court Hackettstown, New Jersey	(100% with wife)	Loss A
Sandpiper West, Sanibel, Florida Condominium (1/3 interest)		Loss C
Rental Property - Bennington Square Hackettstown, New Jersey	(100% with wife)	Loss C
71 Schindler Court Hackettstown, New Jersey	(100% with wife)	Loss B
Woodring Road Sanibel, Florida	(100% with wife)	Loss C

Carmen Courter (Spouse)	Dividends	Category
Lakeland Saving Bank	"	A
Stock holdings with Prudential Bache	"	A
Anchor Bank	Interest	A
Carteret Savings	Interest	A

Donis Courter (daughter)	Dividends	Category
Baxter Travenol Labs	"	A
Liberty All Star Fund	"	A
Methode Electronics	"	A
Alza Corp	"	A
IBM	"	A
Bristol Meyers	"	A
Baxter International Inc.	"	A
Merrill Lynch Ready Assest Trust	"	A

Katrine Courter (daughter)	Dividends	Category
Baxter Travenol Labs	"	A
Liberty All Star Fund	"	A
Methode Electronics	"	A
Alza Corp	"	A
IBM	"	A
Bristol Meyers	"	A
Baxter International Inc.	"	A
Merrill Lynch Ready Assest Trust	"	A

III

Holdings

Alza Corp.	C
American Cyanamid	C
American Express Comp.	C
AMN INFO TECH Corp	A
American Tel. and Tel.	B
Baxter Travenol L. EXCH	B
Baxter Travenol Labs	C
Bell Atlantic Corp.	A
Bell South Corp.	A
Bristol Meyers	C
Champion Int'l Corp.	C
Comstock Partners Strgy. Fund	D
Eastman Kodak	C
Elan Corp.	B
Fisher Scientific Group	A
General Electric	C
Nuveen Mun. Value Fund	D
IBM	E
MASCO Corp.	C
MASCO Industries	A
Maytag Industries	B
UTS Mercury Corp	A
Minnesota MNG Corp.	C
National Medical Entrp.	C
NYNEX Corp.	A
Pacific Telesis Grp.	A
Southwestern Bell	A
TECO Energy	C
Templeton Global Inc.	C
U.S. West	A
MIT - NJ - SR	C
Delaware River Port	E
New Jersey Turnpike Auth.	C

Courter, Kobert, Laufer, et at
(50% owner - law firm) C
*--Office Building - 256 Main Street
Hackettstown, N.J. (100% with wife) F
*--Rental Property - Roberts Lane
Arlington, Virginia (100% with wife) F
*--Townshouse Development
Little Egg Harbor Towship, N.J.
(10% owner - Courter Partnership) B
*--6 acres plus house
Allamuchy, New Jersey
(50% owner - CK Partnership) E

III

Holdings. Cont'd

*--Vacant Land Hope, New Jersey (12% owner - Suburban Properties)	C
*--Rental Property - Wood Duck Court Hackettstown, New Jersey (100% with wife)	D
*--Sandpiper West Condominium Sanibel, Florida (1/3 interest)	D
*--Rental Property - Woodring Road Sanibel, Florida (100% with wife)	F
*--Rental Property - Schindler Court Hackettstown, New Jersey (100 % with wife)	E
*--Rental Property - Bennington Square Hackettstown, New Jersey (100% with wife)	E
*--HLP Properties - Little Egg Harbor Twp. Ocean County, New Jersey (1/2 interest)	E
*--DCR Partnership and Tranquility Inn Inc. loan receivable	A
*--Tall Cedars; 12 acres 1/2 interest with brother Joseph Courter	C
*--Condominium Maricopo County, Arizona (50% interest with brother Joseph Courter	D

* Reflects good faith estimate at net fair market value

Carmen Courter (spouse)

Inter. Reg. Finl. Group	A
Stockholder Systems Inc.	A
Summit Health	A
Syntrex	A
TGI Friday	A
Technalysis Corp.	A
Lakeland Savings	A
Anchor Bank	A
Anchor Savings Bank cash account & checking	B
Carteret Savings Bank	A

Donica Courter (daughter)

Alza Corp.	B
Baxter Travenol Labs	A
Baxter Travenol Int'l.	A
ELAN Corp.	B
IBM	C
Bristol Meyers	B
LaPetit Academy	A
Liberty All Star Fund	B
Merrill Lynch Ready Asset Trust	A
Methode Electronics	A

III

Holdings, cont'd

Katrina Courter (daughter)

Alza Corp.	B
Baxter Travenol Labs	A
Baxter Travenol Int'l	A
ELAN Corp.	B
IBM	C
Bristol Meyers	B
LaPetit Academy	A
Merrill Lynch Ready Asset Trust	A
Liberty All Star Fund	B
Methode Electronics	A

IV

Transactions

Bristol Meyers	Sold	C
General Electric	"	C
IBM	"	C
MASCO	"	C
3-M	"	C
American Cyamamid	"	C
Baxter Travenol Labs	"	B
Maytag Corp.	"	B
Municipal Investment Trust of N.J.	"	A
Templeton Global Inc.	Purchase	C
Comstock Partners Strgy. Fund	Purchase	D
Woodring Road Sanibel, Florida (Rental Property)	Purchase	F
71 Schindler Court Hackettstown, N.J. (Rental Property)		E
Sandpiper West Sanibel, Florida (Rental Property - 1/3 interest)	Sold	C
Gilder - Gagnon	Sold	F
Gilder - Gagnon	Purchase	F

Donica Courter (daughter)

Baxter Travenol	Purchase	A
Elan Corp.	Purshase	B
Liberty All Star Fund	Purchase	B

Katrina Courter (daughter)

Baxter Travenol	Purchase	A
Elan Corp.	Purchase	B
Liberty All Stary Fund	Purchase	B

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V

Liabilities

--3817 Roberts Lane Arlington, Virginia (100% interest with wife) Mrs. Edith Olds, Horshoe, N.C.	E
--99 Wood Duck Court Hackettstown, New Jersey (100% interest with wife) Howard Savings Bank	C
--Sandpiper West Sanibel, Florida (1/3 interest with wife) Crossland Savings	F
--46 Bennington Square Hackettstown, New Jersey (100% with wife) Howard Savings Bank	D
--Holly Lake Park Property Little Egg Harbor Twp., N.J. (Joseph Courter Sr.)	E
--Tall Cedars, 12 acres 1/2 interest with brother Joseph Courter	C
--6 acres plus house Allamuchy, New Jersey (50% interest - CK Partnership) National Community Bank	E
--71 Schindler Court Hackettstown, New Jersey (100% with wife) Hudson City Savings Bank	E

VI

Gifts

Source	Brief Desprition
State of New Jersey Trenton, New Jersey	use of Bay House for one week; Island Beach, New Jersey.

VII

Reimbursements

Source	Description
United Jewish Federation of Metro West 60 Glenwood Avenue East Orange, New Jersey	travel and lodging; four days; self and spouse; round trip Newark to Israel
Fund for Responsible Leadership In New Jersey 41 North Bridge Street Somerville, New Jersey	travel and lodging; 1 week self and spouse; round trip Newark to New Orleans

VIII

Partnerships

President -- Courter, Kobert, Laufer, et al, P.A.
General Partner -- Suburban Properties
Partner -- Courter Partnership
Partner -- DCR Partnership
Partner -- DMC Partnership - dissolved during 1988
Partner -- CK Partnership
Officer -- Courter Construction

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JIM COURTER
NEW JERSEY

COMMITTEES
ARMED SERVICES
SELECT COMMITTEE ON AGING

Congress of the United States
House of Representatives
Washington, DC 20515

HAND DELIVERED

May 19, 1987

MAY 19 PM 4:52
U.S. H. OF REPRESENTATIVES

Hon. Julian Dixon, Chairman
Hon. John T. Myers, Ranking
Minority Member
Committee on Standards of
Official Conduct
Suite HT-2
The Capitol
INSIDE MAIL

MC ✓

Dear Sirs:

In response to your letter of May 16, the following will amend my 1988 Financial Disclosure Statement:

1. In Section IIB, "Shore Ind. Inc." is an incorrect name; "Shaw Ind. Inc." is the correct name of the stock.

2. In Section III, I omitted a series of holdings whose income was listed in Section IIB. Section III should list the following holdings: Mercury General, B; Shaw Ind. Inc., B; J.B. Hunt Transport, B; Adobe Systems, B; Northrop Corp., B; Baxter International, Inc., B; Baxter Inter. Inc. EXCH, B; U.S. Government bonds, E.

3. In Section IIB, the unearned income reported from the source "Sergeant at Arms" represents interest paid to me upon leaving a federal retirement plan. I am advised that this does not require a parallel listing in Section III.

Please let me know if any further information is required.

Sincerely,

Jim Courter

JIM COURTER
Member of Congress

JC:pp

2422 RAYBURN HOUSE OFFICE BUILDING • WASHINGTON, DC 20515 • (202) 225-5801

INSIDE MAIL

INSIDE MAIL

H 9 1 / 1 2 8 2 0 1 3

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

C. CHRISTOPHER COX
(Full Name)

510 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

1989 MAY 15 PM 2:28
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 40 State Calif.

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. ***Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.***

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
The White House	salary	7,754	--
Amplicon, Inc.	fees	10,000	--
State of Illinois	refund	365	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Cox for Congress, Inc.	interest	D
Dean Witter Reynolds, Inc.		
Money Market Account	interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _____ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Dean Witter Reynolds, Inc. Money Market Account	B
Note from Cox for Congress, Inc.	E

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Security Pacific National Bank, Inc., personal note	C
David Industries, Inc., personal note	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Christopher Cox	5·14·89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

HAND DELIV 8 9 0 7 0 2 2 2 4 3 7

CHRISTOPHER COX
40TH DISTRICT, CALIFORNIA

COMMITTEE ON PUBLIC WORKS
AND TRANSPORTATION
SUBCOMMITTEES
SURFACE TRANSPORTATION
WATER RESOURCES
PUBLIC BUILDINGS AND GROUNDS
ECONOMIC DEVELOPMENT

COMMITTEE ON GOVERNMENT OPERATIONS
SUBCOMMITTEES
GOVERNMENT ACTIVITIES AND TRANSPORTATION
COMMERCE, CONSUMER, AND MONETARY AFFAIRS

Congreſs of the United States
House of Representatives

510 CANNON BUILDING
WASHINGTON, DC 20515
(202) 225-5611

4000 MACARTHUR BOULEVARD
EAST TOWER, SUITE 430
NEWPORT BEACH, CA 92660
(714) 756-2244

CHAIRMAN
TASK FORCE ON
CAPITAL MARKETS

CO-CHAIRMAN
TASK FORCE ON
BUDGET PROCESS REFORM

June 16, 1989

MC ✓

1989 JUN 19 AM 11:38

The Honorable Donnald K. Anderson
Clerk
U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

Re: Financial Disclosure Statement Dated May 14, 1989

Dear Mr. Anderson:

This letter is provided at the request of the Committee on Standards of Official Conduct, based upon Committee staff review. Their checklist indicated that the boxes at Part I and Part III were not checked, and that Part IV should have stated "none" if that is the case.

The Committee's letter invited appropriate amendment of the Financial Disclosure Statement by letter. This letter, therefore, hereby amends my Statement as follows:

1. The spouse and dependent disclosure exemption box at page 1 should be checked "NA."

2. The spouse and dependent holdings box at the bottom of page 2 should be checked "NA."

3. Part IV, which is presently blank, should state "none."

Thank you for your assistance in filing this amendment.

Sincerely,

Chris Cox

C. Christopher Cox
Member of Congress

CCC:jmy

RECEIVED
1989 JUN 16 PM 5:38
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

William J. Coyne
(Full Name)

2455 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 14 State PA

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,039, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES _____ NO _____ NA XX

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

	A. SOURCE	TYPE	AMOUNT	DISPOSITION
1)	Credit Union National Association	Honorarium	$1.000	2/3/88
	805 15th St., NW (300) Wash., D.C. 20005-2207			
2)	National Venture Capital Association	Honorarium	$1.000	2/2/88
	1655 N. Fort Myer Dr.(700) Arlington, VA 22209			
3)	American Iron & Steel Institute	Honorarium	$2.000	4/12/88
	1000 16th St., NW Wash., D.C. 20036			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Pittsburgh National Bank	Interest Income	B
Wright Patman Federal Credit Union	Interest Income	A
Dollar Savings Bank of Pittsburgh	Interest Income	A
~~Office of Personnel Mgt.; Civil Service Retirement~~	~~Interest Income~~	~~C~~
(Income generated in connection with Civil Service		
Retirement)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XX ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Pittsburgh National Bank	C
Wright Patman Federal Credit Union	B
Dollar Savings Bank of Pittsburgh	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Chicago Board of Trade & Chicago Mercantile Exchange	Rountrip airfare to Chicago, IL

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board Member	YMCA of Pittsburgh

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature William J. Coyne

Date 5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

William J. Coyne
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II. Income	4) American Psychological Association Honorarium 3/9/88
	1200 17th Street, NW; Washington, D.C. 20036 $1,000.00
	5) Chicago Board of Trade Honorarium 12/12/88
	141 West Jackson Boulevard; Chicago, IL 60604 $500.00
	6) Chicago Mercantile Exchange Honorarium 12/12/88
	30 So. Wacker Drive; Chicago, IL 60606 $500.00

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED Committee on Standards of Official Conduct

U.S. [illegible] REPRESENTATIVES

[illegible] MAY 11 [illegible] 9 23

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Larry E. Craig
(Full Name)

8356 Wagonwheel Road
Alexandria, VA 22309
(Mailing Address)

Room 1034, Longworth Building
Washington, DC 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District First State Idaho

☐ Officer or Employee—Employing Office __________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES _____ NO _X_ NA _____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Honoraria, See continuation sheet	Honoraria		None
Boise State University	Salary	Spouse	
Idaho Beef Council	Salary	Spouse	
National Association of Meat Purveyors	Salary	Spouse	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Contract receivable, real property 611 Indianhead Weiser, ID	Interest	C -Spouse
Key Bank of Idaho	Interest	A
Key Bank of Idaho - Individual Retirement	Interest	Spouse
Idaho First National Bank	Interest	A
Wright Patman Congressional Federal CU	Interest	A
tenants, 9008 Donnybrook Ct., Boise, ID	Rental Income	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included.** Filer may use a computer printout or similar listing, if so desired. **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _X___ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
Contract receivable, real property 611 Indianhead Weiser, ID	Contract-Spouse	C
Residence, Boise, Idaho	Produce Rental income	D
Individual Retirement Account	Spouse	C
Wright Patman Congressional Federal CU	Savings	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
contract real property 611 Indianhead Weiser, ID paid off Spouse	11/88	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Note payable, Moore Financial Services Spouse	B
Note payable, Treasure Valley Bank	C
Note payable, City Federal Savings Bank	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See continuation sheet	Airfare & Travel Expense
	//

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	National Rifle Association

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Larry E Craig	5/1 89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Larry E. Craig
(Name)

Continuation Sheet

Part *Source, Type, Amount, Category, Description or Value (As Applicable)*

IIA Income

Date	Source	Amount	Disposition
3/4	Columbia Institute for Political Research	$1,000	None
5/16	American Nuclear Energy Council	1,000	None
5/24	Public Power Council	500	None
6/8	The Freeman Institute, National Center Constitutional Studies	500	None
6/17	Association of American Railroads	500	None
6/23	National Forest Products Association	1,000	None
9/3	Security Pacific Capital Markets Group, Inc.	1,500	None
12/19	Intermountain Forest Industry Association	1,000	None
11/21	Western Coal Traffic League	1,000	None
	Grand total	$8,000	

VII Reimbursements

Date	Whom	Where to	Description
2/11	Columbia Insitute for Political Research, Inc.		Airfare & Expense
5/24	Public Power Council		Airfare
6/8	The Freeman Institute, National Center Congressional Studies	Salt Lake City	Airfare
7/18	Vucanovich for Congress	Reno, NV	Airfare & Expense
8/17	Security Pacific Capital Markets Group, Inc.	Sun Valley, ID	Airfare
8/16	Center for the Defence of Free Enterprise	Salt Lake City	Airfare
9/22	The Freeman Institute	Salt Lake City	Airfare

GPO 1987 75-048

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Larry E. Craig
(Full Name)

8356 Wagonwheel Road
Alexandria, VA 22309
(Mailing Address)

Room 1034, Longworth Building
Washington, D.C. 20515

✓ MC
(Office Use Only)

1989 MAY 25 PM 3 21
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District First State Idaho

☐ Officer or Employee—Employing Office __________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Honoraria, see continuation sheet	Honoraria		None
Boise State University	Salary	Spouse	
Idaho Beef Council	Salary	Spouse	
National Association of Meat Purveyors	Salary	Spouse	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Contract receivable, real property 611 Indianhead Weiser, ID	Interest	C-Spouse
Key Bank of Idaho	Interest	A
Key Bank of Idaho - Individual Retirement	Interest	Spouse
Idaho First National Bank	Interest	A
Wright Patman Congressional Federal CU	Interest	A
Tenants, 9008 Donnybrook Ct., Boise, ID	Rental Income	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______.

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
Contract receivable, real property 611 Indianhead Weiser, ID	Contract-Spouse	C
Residence, Boise, Idaho	Produce Rental Income	D
Individual Retirement Account	Spouse	C
Wright Patman Congressional Federal CU	Savings	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
611 Indianhead second mortgage contract real property Weiser, ID paid off Spouse	11/88	C
(Spouse held second mortgage on real property		
which was discounted and sold.)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calender year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Note payable, Moore Financial Services (second mortgage)	B
Note payable, Treasure Valley Bank	C
Note payable, City Federal Savings Bank	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description *of gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None.	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None.		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See continuation sheet	Airfare & Travel Expense

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	National Rifle Association

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None.		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date 5/24/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Larry E. Craig
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

IIA — Income

Date	Source	Amount	Disposition
3/4	Columbia Institute for Political Research	$1,000	None
5/16	American Nuclear Energy Council	1,000	None
5/24	Public Power Council	500	None
6/8	The Freeman Institute, National Center Constitutional Studies	500	None
6/17	Association of American Railroads	500	None
6/23	National Forest Products Association	1,000	None
9/3	Security Pacific Capital Markets Group, Inc.	1,500	None
12/19	Intermountain Forest Industry Association	1,000	None
11/21	Western Coal Traffic League	1,000	None
	Grand total	$8,000	

VII — Reimbursements

Date	Whom	Where to	Description
2/11	Columbia Institute for Political Research, Inc.	Spokane, WA to Phoenix, AZ	Airfare/Expenses
5/24	Public Power Council	DC-Boise-DC	Airfare
6/8	The Freeman Institute, National Center Congressional Studies	DC-Salt Lake-DC	Airfare
7/18	Vucanovich for Congress	DC-Reno Spokane-DC	Airfare/Expenses
8/17	Security Pacific Capital Markets Group, Inc	DC-SunValley-Boise	Airfare
8/16	Center for the Defense of Free Enterprise	DC-Reno-Boise	Airfare
9/22	The Freeman Institute (correction in payment)	DC-Salt Lake-DC	Airfare

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

PHILIP MILLER CRANE
(Full Name)

1035 Longworth Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

XX Member of the U.S. House of Representatives—District 12th State Illinois

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
American General Corporation	(01-13-88)	Honorarium	$2000	
C.C.M.S. Publishing Company	(01-07-88)	Article	$ 400	
National Venture Capital Association	(02-03-88)	Honorarium	$1000	
NOVA University	(02-12-88)	Honorarium	$2000	
Regular Common Carriers	(02-19-88)	Honorarium	$2000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman Congressional Credit Union	Interest	D
Fountain County, Indiana, Farm	Farm Income	D
Pinnacle West	Dividends	C
New England Life Money Market Account	Interest	A
Putnam Funds	Dividends	D
MFS Municipal Income Trust	Dividends	A
Nuveen Municipal Value Fund	Dividends	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __XX__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Micromet Corporation, Ann Arbor, MI, common stock	B
Pinnacle West, Arizona, common stock	C
Fountain County Farm, Indiana	C
Coin Collection	D
Putnam Income Mutual Fund	C
Putnam Insured Mutual Fund	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
J.A. Buralli & Associates, McHenry, IL - purchased land	07-21-88	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
none	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Kemper Open Committee	Carry-all, crystal glasses,	$316
	shirt, sweater vest, atlas,	
	money clip, bag tag, golf balls,	
	socks & misc other items	

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
American General Corporation (Speech)	Airfare: DC-Houston-Chicago; 1 night lodging and meals
*Investment Seminars (Speech)	Airfare: DC-Miami; 1 night and meals
Columbia Institute for Political Research (Speech)	Airfare: Miami-Indianapolis
*Warner-Lambert (Celebrity Golf Tournament)	Airfare: Indianapolis-Miami-DC (Member), Miami-DC (spouse); 3 nights and meals

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board of Advisors	John M. Ashbrook Center for Public Affairs, Ohio
Board of Trustees	Hillsdale College, Michigan
Trustee	Intercollegiate Studies Institute, Pennsylvania
Commissioner	Commission on the Bicentennial of the U.S. Constitution
Board of Trustees	Ashland College, Ohio

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
none		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Philip M. Crane	5/10/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

PHILIP MILLER CRANE
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

II. Income A.

Florida Caribbean Cruise Association	(04-11-88)	Honorarium	$1000
International Freedom Foundation	(04-27-88)	Article	$ 507.40
Burson-Marsteller	(05-04-88)	Article	$ 500
National Marine Manufacturers Association	(05-15-88)	Honorarium	$2000
Electronic Industries Association	(06-13-88)	Honorarium	$1000
American University	(06-30-88)	Honorarium	$ 100
Square D Company	(07-06-88)	Honorarium	$2000
Independent Oil Producers Association	(07-08-88)	Honorarium	$1500
Metropolitan Life	(07-11-88)	Honorarium	$2000
Hillsdale College	(09-01-88)	Honorarium	$3000 ($1758 given to charity)
A.B.E.R., Inc.	(09-16-88)	Honorarium	$1000
Welding Equipment Manufacturers Association	(09-26-88)	Honorarium	$ 500
Spiegel, Inc.	(10-17-88)	Honorarium	$1000
Elgin Area Life Underwriters Association	(10-21-88)	Honorarium	$ 100
Zurich Insurance Company	(10-26-88)	Honorarium	$2000
Wisconsin Forum	(11-01-88)	Honorarium	$1500
Public Affairs Luncheon Club	(11-21-88)	Honorarium	$ 500
Asiafest, Inc.	(11-30-88)	Honorarium	$1000

III. Holdings	Category
Wright Patman Congressional Credit Union (savings)	D
New England Life (money market account)	A
MFS Municipal Income Trust	C
Nuveen Municipal Value Fund	C
*First American Bank of Virginia (CDs)	E
J.A. Buralli & Associates, McHenry, IL, 15%, real estate	C

*spouse

VII. Reimbursements

Regular Common Carriers Conference (Speech)	Airfare: DC-West Palm-DC; 1 night & meals
*NOVA University Forum (Speech)	Airfare: DC-Miami-DC; 2 nights & meals
*Ashland County Republican Party (Speech)	Airfare: DC-Columbus-DC; 2 nights & meals
*Pratt & Whitney (Speech)	Airfare: DC-West Palm-DC; 2 nights & meals
*FL Caribbean Cruise Association (Speech)	Airfare: DC-Miami-DC
*Investment Seminars (Speech)	Airfare: DC-Las Vegas-DC; 4 nights & meals
National Defense Council Fdn (Meetings)	Airfare: DC-Miami-DC
Ashland College (Board Meeting)	Airfare: DC-Cleveland-DC
National Marine Manufacturers Assoc (Speech)	Airfare: Boston-Chicago-DC; 1 night & meals
Independent Oil Producers Assoc (Speech)	Airfare: DC-Evansville, IN-DC; 2 nights, meals
Metropolitan Life (Speech)	Airfare: DC-Colorado Springs-DC; 1 night & meals
Hillsdale College (Speech)	Airfare: Chicago-Louisville, KY-Chicago; 1 night & meals
*Grocery Manufacturers of America (Charity Golf Tournament)	Bus Transportation: DC-White Sulphur Springs, WV-DC; 2 nights, meals & golf
Asiafest (Speech)	Airfare: DC-Miami-DC; 1 night & meals
Singlaub Freedom Foundation (Speech)	Airfare for spouse: DC-Dallas-DC; 2 nights
Public Affairs Luncheon Club (Speech)	Airfare: Chicago-Dallas-DC; 1 night & meals
Caribbean Central American Action (Seminar)	Airfare: Miami-DC; 3 nights & meals

*transportation, food & lodging for spouse also provided

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

'89 MAY -9 PM 3:40

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A–For use by Members, officers, and employees

Geo. W. Crockett, Jr.
(Full Name)

1630 Upshur St., N.W.
(Mailing Address)

Washington, D.C. 20011

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives–District 13 State Michigan

☐ Officer or Employee–Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES X NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Wayne County, Michigan		Pension	7,160.40	
State of Michigan		Pension	15,425.04	
Nat'l Assoc of Broadcasters	4/12/88	Honorarium	1,500.00	
National Cable TV Assoc.	5/20/88	Honorarium	1,500.00	
Smithsonian Institution	3/15/88	Honorarium	362.00	
Spouse - self-employed physician		Fees		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
U.S. Treasury Bond	Interest	C
Dreyfus Tax Exempt Bond Fund	Interest	D
Dreyfus Intermediate Tax Exempt Bond Fund	dividends	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES __X__ NO ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Dreyfus Tax Exempt Bond Fund	D
Dreyfus Intermediate Tax Exempt Bond Fund	C
Civil Service Retirement Fund	C
U.S. Treasury Bond	D

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
CBS Records	2 fountain pens	$180.00

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Nat'l Assoc of Broadcasters	transport. & lodging to Las Vegas convention
Morehouse College Alumni Club of L.A.	transport & lodg to L.A. - speech

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee Emeritus	Morehouse College, Atlanta, Georgia
Trustee	Detroit Symphony Orchestra

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
1/79	State of Michigan	Pension plan
1/79	Wayne County, Michigan	Pension plan

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §709 and 18 U.S.C. §1001).

Signature	Date
	5/9/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

HAND DELIVERED 8 9 0 7 0 2 9 0 3 5 8

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

WILLIAM E. DANNEMEYER
(Full Name)

2351 RHOB
(Mailing Address)

Washington, D.C. 20515

Mc (Office Use Only)

MAY 16 PM 12: 19

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 39th State California

☐ Officer or Employee—Employing Office ________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
MAR 09 AMERICAN ASSN OF RAILROADS (D.C.)	HONORARIUM	$ 500.00	NONE
MAR 11 CLEVELAND STATE UNIVERSITY (travel)	HONORARIUM	$ 670.00	NONE
MAR 12 AMERICAN COUNCIL ON ECONOMICS (travel)	HONORARIUM	$ 500.00	NONE
MAR 26 NAT'L CNTR FOR CONSTITUTIONAL ST (t,l)	HONORARIUM	$1000.00	NONE
APR 22 BEATRICE HUNT SPEECH (39th)	HONORARIUM	$2000.00	NONE

—see continuation sheet..

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
PIONEER BANK	INTEREST	A
FULLERTON SAVINGS & LOAN	INTEREST	A
STANFORD APARTMENTS	RENT	F
CROWN VALLEY BUSINESS PARK	RENT	E
DANNEMEYER PROFESSIONAL BUILDING	RENT	E

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
STANFORD APARTMENTS: 18 one-bedroom apartments	F
DANNEMEYER PROFESSIONAL BUILDING: one acre of commercial property	F
CROWN VALLEY BUSINESS PARK: five acres of industrial land owned...	F
a. 50% William E. Dannemeyer	
b. 50% William E. Dannemeyer Law Corporation Profit Sharing Plan	
WILLIAM E. DANNEMEYER LAW CORPORATION	D
DUPLEX IN ORANGE, CALIFORNIA: ½ owned	E

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
DUPLEX IN ORANGE, CALIFORNIA: ½ interest	November '88	F

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
FULLERTON SAVINGS & LOAN	E
PIONEER BANK	E
SBA FLOOD DAMAGE LOAN	B
WELLS FARGO BANK	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

8 9 0 7 0 2 9 0 3 5 1

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
FEB 05 RUTGERS PROGRAM RE: AIDS D.C./Newark/D.C.	travel
MAR 05 KEMP FOR PRESIDENT SPEECH D.C./Portland, Or./D.C.	travel/lodging
MAR 11 CLEVELAND STATE UNIVERSITY D.C./Cleveland, OH/D.C.	travel

—see continuation sheet

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Attorney	WILLIAM E. DANNEMEYER LAW CORPORATION
Board of Directors	LUTHER BIBLE SOCIETY, Cleveland, Ohio

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
William E Dannemeyer	May 16, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

WILLIAM E. DANNEMEYER
(Name)

Continuation Sheet

Part — Source, Type, Amount, Category, Description or Value (As Applicable)

II. INCOME — A.

APR 24	NAT'L DIALYSIS ASSN SPEECH (travel)	HONORARIUM	$1000.00	NONE
JUN 18	PURCHASING MANAGEMENT ASSN (39th)	HONORARIUM	$ 500.00	NONE
JUN 24	NATIONAL REVIEW ARTICLE	HONORARIUM	$ 100.00	NONE
JUL 04	MEDICAL GROUP MANAGEMENT ASSN	HONORARIUM	$1000.00	NONE
OCT 13	NAT'L ASSN OF MANUFACTURERS (travel)	HONORARIUM	$1300.00	NONE

VII. REIMBURSEMENTS

MAR 12	AMERICAN COUNCIL ON ECONOMICS SOCIETY	
	D.C./Detroit, MI/D.C.	travel
MAR 21	CPLAR INTERVIEW	
	39th/Oakland, CA/D.C.	travel
MAR 24	CONGRESS OF TOMORROW SPEECH	
	D.C./Houston, TX/39th	travel/lodging
MAR 26	NAT'L CENTER FOR CONSTITUTIONAL STUDIES	
	D.C./Salt Lake City, UT/39th	travel/lodging
MAR 26	LUTHERAN HIGH SCHOOL ASSOCIATION	
	D.C./Cleveland, OH/39th	travel/lodging
MAR 28	TRADE MISSION TO INDONESIA	
	D.C./Indonesia, Singapore/D.C.	travel/lodging
APR 18	YOUNG AMERICANS FOR FREEDOM SPEECH	
	D.C./Amherst/D.C.	travel/lodging
APR 24	NAT'L DIALYSIS ASSN SPEECH	
	39th/Palm Springs/39th	travel
JUN 20	FRESNO ROTARY CLUB	
	39th/Fresno,CA/D.C.	travel
SEP 09	OREGON CITIZENS ALLIANCE	
	D.C./Medford, OR/39th	travel/lodging
OCT 22	OREGON CITIZENS ALLIANCE	
	39th/Medford, OR/39th	travel/lodging
NOV 12	INDIANA RIGHT TO LIFE	
	39th/Indianapolis, IN/39th	travel

UNITED STATES HOUSE OF REPRESENTATIVES

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OFFICE OF RECORDS & REGISTRATION
1989 JUN -6 AM 7:55

Committee on Standards of Official Conduct

INSIDE MAIL

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

1989 MAY 16 PM 12:19

FORM A—For use by Members, officers, and employees

WILLIAM E. DANNEMEYER
(Full Name)

2351 RHOB
(Mailing Address)

Washington, D.C. 20515

√
MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 39th State California

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

8 9 0 7 II. INCOME 2 4 4 3

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
MAR 09 AMERICAN ASSN OF RAILROADS (D.C.)	HONORARIUM	$ 500.00	NONE
MAR 11 CLEVELAND STATE UNIVERSITY (travel)	HONORARIUM	$ 670.00	NONE
MAR 12 AMERICAN COUNCIL ON ECONOMICS (travel)	HONORARIUM	$ 500.00	NONE
MAR 26 NAT'L CNTR FOR CONSTITUTIONAL ST (t,l)	HONORARIUM	$1000.00	NONE
APR 22 BEATRICE HUNT SPEECH (39th)	HONORARIUM	$2000.00	NONE

---see continuation sheet..

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
PIONEER BANK	INTEREST	A
FULLERTON SAVINGS & LOAN	INTEREST	A
STANFORD APARTMENTS	RENT	F
CROWN VALLEY BUSINESS PARK	RENT	E
DANNEMEYER PROFESSIONAL BUILDING	RENT	E

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
STANFORD APARTMENTS: 18 one-bedroom apartments	F
DANNEMEYER PROFESSIONAL BUILDING: one acre of commercial property	F
CROWN VALLEY BUSINESS PARK: five acres of industrial land owned...	F
a. 50% William E. Dannemeyer	
b. 50% William E. Dannemeyer Law Corporation Profit Sharing Plan	
WILLIAM E. DANNEMEYER LAW CORPORATION	D
DUPLEX IN ORANGE, CALIFORNIA: ½ owned	E

8 7 IV. TRANSACTIONS 4 4 4

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
DUPLEX IN ORANGE, CALIFORNIA: ½ interest	November '88	F

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
FULLERTON SAVINGS & LOAN: 1st trust deed on residence	E
PIONEER BANK: 2nd trust deed securing business loss	E
SBA FLOOD DAMAGE LOAN: 2nd trust deed secure loan caused by flood loss	B
WELLS FARGO BANK: 1st trust deed on business property	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. *The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1988.*

SOURCE	BRIEF DESCRIPTION
NONE	

B. *The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1988.*

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a **SPEAKING ENGAGEMENT** or **FACT-FINDING EVENT** related to official duties, whether those expenses were **REIMBURSED** to the individual or **PAID DIRECTLY** by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE		BRIEF DESCRIPTION
FEB 05	RUTGERS PROGRAM RE: AIDS D.C./Newark/D.C.	travel
MAR 05	KEMP FOR PRESIDENT SPEECH D.C./Portland, Or./D.C.	travel/lodging
MAR 11	CLEVELAND STATE UNIVERSITY D.C./Cleveland, OH/D.C.	travel

---see continuation sheet

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Attorney	WILLIAM E. DANNEMEYER LAW CORPORATION
Board of Directors	LUTHER BIBLE SOCIETY, Cleveland, Ohio

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
William E Dannemeyer	May 16, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

89070292446

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

WILLIAM E. DANNEMEYER
(Name)

Continuation Sheet

Part *Source, Type, Amount, Category, Description or Value (As Applicable)*

II. INCOME — A.

APR 24	NAT'L DIALYSIS ASSN SPEECH (travel)	HONORARIUM	$1000.00	NONE
JUN 18	PURCHASING MANAGEMENT ASSN (39th)	HONORARIUM	$ 500.00	NONE
JUN 24	NATIONAL REVIEW ARTICLE	HONORARIUM	$ 100.00	NONE
JUL 04	MEDICAL GROUP MANAGEMENT ASSN	HONORARIUM	$1000.00	NONE
OCT 13	NAT'L ASSN OF MANUFACTURERS (travel)	HONORARIUM	$1300.00	NONE

VII. REIMBURSEMENTS

MAR 12	AMERICAN COUNCIL ON ECONOMICS SOCIETY D.C./Detroit, MI/D.C.	travel
MAR 21	CPLAR INTERVIEW 39th/Oakland, CA/D.C.	travel
MAR 24	CONGRESS OF TOMORROW SPEECH D.C./Houston, TX/39th	travel/lodging
MAR 26	NAT'L CENTER FOR CONSTITUTIONAL STUDIES D.C./Salt Lake City, UT/39th	travel/lodging
MAR 26	LUTHERAN HIGH SCHOOL ASSOCIATION D.C./Cleveland, OH/39th	travel/lodging
MAR 28	TRADE MISSION TO INDONESIA D.C./Indonesia, Singapore/D.C.	travel/lodging
APR 18	YOUNG AMERICANS FOR FREEDOM SPEECH D.C./Amherst/D.C.	travel/lodging
APR 24	NAT'L DIALYSIS ASSN SPEECH 39th/Palm Springs/39th	travel
JUN 20	FRESNO ROTARY CLUB 39th/Fresno,CA/D.C.	travel
SEP 09	OREGON CITTIZENS ALLIANCE D.C./Medford, OR/39th	travel/lodging
OCT 22	OREGON CITTIZENS ALLIANCE 39th/Medford, OR/39th	travel/lodging
NOV 12	INDIANA RIGHT TO LIFE 39th/Indianapolis, IN/39th	travel

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Honorable George (Buddy) Darden
(Full Name)

228 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

MAY 12 PM 2 30

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District GA. State Seventh

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,036, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
refer to continuation sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Certificate of Deposit	interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO __X__ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
Allatoona Federal Savings Bank	Stock	A
Barnett Bank of Marietta, Georgia	Savings	B
Georgia Security Bank	Stock	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
refer to continuation sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board of Trustees	Richard B. Russell Foundation
Board of Directors	Cobb County Emergency Aid, Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
November, 1983	Awtrey & Parker, P.C. (no income received since 1985 nor is none anticipated)	written agreement to compensate for work done prior to election to House of Representatives in November, 1983.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
George (Buddy) Darden	5/11/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Honorable George (Buddy) Darden

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II	A. SOURCE	TYPE	AMOUNT
	United Technologies (recd 2/17/88)	HONORARIUM	$1000
	American Security Council (recd 3/3/88)	**********	$1000
	AVCO (recd 3/29/88)	**********	$1000
	General Dynamics (recd 4/11/88)	**********	$1000
	LTV (recd 4/19/88)	**********	$2000
	Bell Helicopter Textron (deferred payment to 1989)	**********	$1000
	American Security Council (recd 6/20/88)	**********	$2000
	Harris Corporation (recd 6/17/88)	**********	$1000
	Georgia Letter Carriers (recd 7/12/88)	*******	$750
	Healthcare Financial Management Assn. (recd 7/19/88)	*******	$1000
	Morton Thiokol (recd 7/28/88)	**********	$1000
	American Security Council (recd 8/25/88)	*****	$2000
	American Trucking Assn. (recd 10/6/88)	*******	$1000
	Life Chiropractic College (recd 10/18/88)	***	$500
	National Restaurant Assn. (recd 12/6/88)	*****	$750
	American Sugar Cane League (payment deferred to January, [illegible]9)	**********	$1000

Part	SOURCE	BRIEF DESCRIPTION
VII	United Technologies (speech)	- air fare- Wash/Hartford/ Atlanta
	Avco (speech)	airfare - Wash/Boston/Atlanta; one night lodging/food
	LTV (speech)	airfare - Atlanta/Dallas
	Bell Helicopter Textron (speech)	two night lodging/food
	General Dynamics (speech)	airfare Dallas/Atlanta
	Harris Corporation	airfare Wash/Orlando; one night lodging/food
	Southeastern Tourism Caucus (participant- expenses for self & spouse)	airfare for spouse Atlanta/ Orlando; two nights lodging/ food; rental car; self & spouse airfare Valdosta/Atlanta
	Morton Thiokol (speech)	airfare Wash/Ogden, Utah/Atlanta one night lodging/food
	American Sugar Cane League	airfare Atlanta/New Orleans/ Atlanta
	Anti-Defamation League of B'nai B'rith (participant of study mission to Israel - self & spouse)	airfare Atlanta/New York/ Tel Aviv, Israel/New York/Atlanta eight nights lodging/food

HAND DELIVERED

GEORGE (BUDDY) DARDEN
7TH DISTRICT, GEORGIA

COMMITTEES:
ARMED SERVICES
SUBCOMMITTEES:
RESEARCH AND DEVELOPMENT
READINESS
INTERIOR AND INSULAR AFFAIRS
SUBCOMMITTEES:
ENERGY AND ENVIRONMENT
PUBLIC LANDS
NATIONAL PARKS AND RECREATION

Congreſs of the United States
House of Representatives
Washington, DC 20515-1007

WASHINGTON OFFICE:
1330 LONGWORTH BUILDING
WASHINGTON, DC 20515-1007
(202) 225-2931

DISTRICT OFFICES:
376 POWDER SPRINGS STREET
MARIETTA, GA 30064-3424
TELEPHONE: (404) 422-4480

301 FEDERAL BUILDING
ROME, GA 30161-3144
TELEPHONE: (404) 291-7777

125 SOUTH MAIN STREET
LAFAYETTE, GA 30728-2908
TELEPHONE: (404) 638-7042

May 17, 1989

Honorable Julian C. Dixon
Chairman
Standards of Official Conduct
U.S. House of Representatives
Washington, D.C. 20515

✓
MC

Dear Mr. Chairman:

I am in receipt of your request to amend my 1988 Financial Disclosure Statement.

It is duly noted that section II-A should be amended with the omission of American Sugar Cane League and Bell Helicopter Textron from my 1988 Financial Disclosure. Receipt of these honoraria will be reported on my 1989 statement, even though they were earned in 1988.

Please consider this letter as my amendment. If you have any questions, please feel free to contact me.

Sincerely,

Buddy

George (Buddy) Darden
Member of Congress

GWD:dm

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Robert W. Davis
(Full Name)

2417 Rayburn HOB
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

MAY 12 PM 2 35

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 11 State Michigan

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide; and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
MD Communications		spouse salary		
Northrop	1/12/88	honorarium	2,000.	
Rockwell	1/15/88	"	1,000.	
United Technology, Pratt & Whitney	1/6/88	"	1,000.	
Aerojet General	1/13/88	"	2,000.	

(continued)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
none		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
None	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY		CATEGORY
State Bank of Escanaba	Personal	C
Old Kent Bank of Gaylord	Personal	C
Wright Patman Federal Credit Union	Personal	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11

A. *The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1988.*

SOURCE	BRIEF DESCRIPTION
None	

B. *The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1988.*

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Northrop	1/5 of airfare from Wash. to Calif., one night lodging and food
Rockwell	Airfare from Calif. to Washington, one night food and lodging
United Tech., Pratt & Whitney	transp. between Wash. and W. Palm for spouse, 2 meals for Member and spouse.
(continued)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Robert W. Davis	5-12-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Robert W. Davis
(Name)

Continuation Sheet

Part — Source, Type, Amount, Category, Description or Value (As Applicable)

II. Income A.

SOURCE		TYPE	AMOUNT	DISPOSITION
United Technology,Sikorsky	1/7/88	Honorarium	1,000.	
Marquardt Co.	1/12/88	"	2,000.	
General Dynamics	1/14/88	"	1,000.	
United Technology, Def. & Space Adv. Systems Div.	1/13/88	"	1,000.	
General Electric	1/18/88	"	1,000.	
Lockheed	1/14/88	"	1,000.	
Harris Corp.	1/5/88	"	1,000.	
LTV	1/19/88	"	1,000.	
McDonnell Douglas	2/5/88	"	2,000.	
Diagnostic Retrieval Systems, Inc.	2/3/88	"	2,000.	
Raytheon	2/22/88	"	1,000.	
Boeing	3/38/88	"	2,000.	
Nat. Ocean Industries Assoc., Inc.	4/12/88	"	500.	
Nat. Marine Manuf. Assoc., Inc.	4/13/88	"	500.	
Teledyne Continental Motors	5/6/88	"	2,000.	
Avco Systems Textron	5/27/88	"	1,000.	
Textron	5/31/88	"	1,000.	charity - 150.
Shipbuilders Council of America	6/28/88	"	1,000.	charity - 1,000.

VII. Reimbursements

SOURCE	BRIEF DESCRIPTION
Aerojet General	1/5 airfare between Wash. & Calif. and one night lodging
Sikorsky	One night lodging and meal
Marquardt Co.	transp. from L.A. to Palmdale,Calif. one night lodging and meal
General Dynamics	1/5 of airfare between Wash. & Calif.
United Tech.Def.& Space Adv. Systems	1/5 airfare between Wash. & Calif., one night lodging and meal
General Electric	transp. between Wash. and Boston, one meal
Lockheed	1/5 airfare between Wash. and Calif., one night lodging and meal
Harris Corp.	transp. between Wash. and W. Palm. 2 meals for Member and spouse.
LTV	transp. between Wash. and Dallas
McDonnell Douglas	transp. from Wash. to L.A.
Diagonostic Retrieval	one meal
Raytheon	transp. between Wash. and Boston, one meal
Boeing	transp. between Wash. & Phila., one meal
Teledyne Cont. Motors	Transp. from Wash.to Muskegon to Traverse City
Avco Systems Textron	transp from Wash. to Boston Sault Ste. Marie
Textron	transp. between Wash. and Louisiana
Electronic Industry Assoc.	transp. between Wash. & Fort Myers,three nights lodging and food for Member and spouse

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

1989 MAY 11 AM 10 28

FORM A—For use by Members, officers, and employees

Peter A. DeFazio
(Full Name)

1729 LHOB.
(Mailing Address)

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District 4 State OR

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ✓ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
(SELF) U.S. GOVT	SALARY		
(SPOUSE) CITY OF EUGENE OREGON	SALARY		
(SELF) NATIONAL ASSN OF LETTER CARRIERS 8/8/88	Honoraria	1,000	NONE
(SELF) OREGON PEOPLES CREDIT DISTRICT ASSN 12/6/88	Honoraria	250	NONE

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
RESIDENCE 1937 WALNUT GROVE SOLD UNDER LAND SALE	NO. INSTALLMENTS	B
CONTRACT TO J. JACKSON-[illegible] SET CAPITALGAIN	LAND SALE CONTRACT	
SELCO CREDIT UNION INTEREST SAVINGS	INTEREST	A
PEFCU CREDIT UNION SAVINGS	INTEREST	A
VALIC DEFERRED COMPENSATION	INTEREST	B
PERS DEFERRED COMPENSATION	INTEREST	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ✓ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
TREE FARM, 135 ACRES, PARTNERSHIP-BEAR VALLEY FARMS ½ INTEREST	C
TAX LOT #13271530 SCHOOL GRANT CTY OR, NO INCOME	
VALIC DEFERRED COMPENSATION	B
PERS DEFERRED COMPENSATION	B

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IV. TRANSACTIONS

GENFRAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
MARY GROEN c/o LAND TITLE & ESCROW, LAND SALE CONTRACT	
ON BEAR VALLEY FARMS AS LISTED in III	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Reimbursements (VII)	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NATIONAL ASSOCIATION OF LETTER CARRIERS REIMBURSEMENT FOR PLANE FARE TO SPEECH	REIMBURSEMENT 9/8/88
CHINESE CULTURE UNIVERSITY, TAIPEI TAIWAN	ROUND TRIP AIR FARE, TRAVEL & LODGING WHILE IN TAIWAN FOR SELF & SPOUSE PAID DIRECTLY JAN 4-11 1988

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
PARTNER	BEAR VALLEY FARMS (see III)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Pete A DeFazio	11 May 89

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989 [illegible]

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

DeFazio
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
II.B.	SELCO CREDIT UNION IRA INTEREST (SELF)	A
II.B.	SELCO CREDIT UNION IRA INTEREST (SPOUSE)	A
VII.		

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

E (Kika) de la Garza, M C
(Full Name)

1401 Longworth House Office Building
(Mailing Address)

Washington, D C 20515

MC (Office Use Only)

MAY 12 [illegible] 1:44

Check the appropriate box and fill in the blanks.

XXX Member of the U.S. House of Representatives—District 15 State Texas

☐ Officer or Employee—Employing Office __________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

1. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES _____ NO XXX __ NA _____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Chicago Board of Trade	Received 1/5/88	honorarium	$2000	None
Sun Diamond	Received 1/27/88	honorarium	2000	None
National Milk Producers	Received 2-2-88	honorarium	1000	None
American Sugar Beet Growers	Received 2-12-88	honorarium	2000	None
National Grain Trade Council	Received 2-17-88	honorarium	500	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
OPM/Civil Service Retirement (associated with retirement plan)	interest	C
~~Wright Patman Federal Credit Union (savings acct)~~	~~interest~~	~~A~~

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO XXX___ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
~~Texas Regional BancShares (shares)~~	~~C~~
~~Wright Patman Federal Credit Union (savings account)~~	~~C~~

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Chicago Board of Trade (Speech)	Airfare from Washington to Chicago to McAllen, Texas
National Grain Trade Council (Speech)	Round trip Airfare Washington to Coral Gables,FL/1 night food/lodging
American Sugar Beet Growers (Speech)	One night food/lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
E. de la Garza	5-12-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

E (Kika) de la Garza, M C

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)				
II A	North American Export Grain Assn	honorarium	Recv'd 2-17-88	500.	None
II A	American Frozen Food Institute	honorarium	Recv'd 3-21-88	1000.	None
II A	Dow Chemical USA	honorarium	Recv'd 3-30-88	2000.	None
II A	National Agric Chemical Assn	honorarium	Recv'd 4-21-88	1000.	None
II A	National Council of Farmer Coop	honorarium	Recv'd 4-22-88	1000.	None
II A	U S Cane Sugar Refiners Assn	honorarium	Recv'd 4-27-88	2000.	None
II A	Corn Refiners Assn Inc	honorarium	Recv'd 6-10-88	2000.	None
II A	Farmland Industries	honorarium	Recv'd 6-21-88	500	None
II A	Grocery Manufacturers	honorarium	Recv'd 6-21-88	2000	None
II A	U S Sweetener Group	honorarium	Recv'd 8-19-88	2000	None
II A	Texas Assn of Private Schools	honorarium	Recv'd 9-8-88	1000.	None
II A	Eagle Lake Rice	honorarium	Recv'd 9-26-88	2000.	None
II A	World Pork Expo	honorarium	Recv'd 10-26-88	1000.	None
II A	Montana Wool Growers	honorarium	Recv'd 11-21-88	300.	None
II A	The Fertilizer Institute	honorarium	Recv'd 11-28-88	2000.	Charity (950.00)
II A	National Milk Producers Federation	honorarium	Recv'd 12-28-88	2000.	Charity
VII	National Milk Producers (Speech)	Airfare from Wichita to New Orleans to Harlingen,Texas/1 night food/lodging			
VII	Dow Chemical USA (Speech)	Round trip airfare Washington to Midland, Mich			
VII	The Fertilizer Institute (Speech)	Airfare from San Antonio To Washington one night food & lodging			
VII	National Milk Producers (Speech)	Round trip airfare from McAllen, Texas to Los Angeles/1 night food & lodging			
VII	Monsanto Company (Speech)	Airfare McAllen, Texas to St Louis to Washington			

GPO: 1987 [illegible]

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Thomas Dale DeLay
(Full Name)

308 Cannon HOB
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 22 State Texas

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES X NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see Detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
continuation sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Exxon	Dividends	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Exxon	C
Albo Pest Control	E

89170292335

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
none		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
WP Congressional Credit Union	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
MTU of North America, Inc.	Airfare, lodging, spouse

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Kemper Open Charity Tournament	golf items	316.00
Congressional Tournament	Armitron watch	150.00

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
continuation sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Chairman of the Board	Albo Pest Control

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	none	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Thomas D. DeLay	5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Thomas D. DeLay
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)				
IIA	American Bus Assn.	1/5	Honorarium	$2000	
	Tobacco Insitute	1/5	"	1000	
	Rockwell International	1/18	"	1000	
	Northrop Corporation	1/19	"	2000	
	Kroger Company	1/22	"	1000	
	Browning-Ferris Services, Inc.	1/22	"	1000	
	Food Marketing Institute	2/26	"	1000	
	Intel Corporation	2/29	"	500	
	Associated General Contractors	3/21	"	2000	
	Morton Thiokol, Inc.	3/11	"	1000	
	Illinois Ambassadors	3/18	"	1000	
	American Public Transit Assn.	3/18	"	1000	
	Target, Inc.	5/4	"	2000	
	Wholesale Beer Distributors	5/4	"	2000	
	RJR Nabisco, Inc.	5/10	"	2000	
	AMI Houston	5/23	"	1000	
	United States Telephone Assn.	5/25	"	1000	
	Transportation Brokers Conference	6/1	"	1000	
	MTU of North America, Inc.	6/15	"	1000	
	Dannenbaum Engineering Corp.	6/23	"	(2000)1350/650	charity
	Tobacco Institute	7/13	"	1000	charity
	University of Houston Foundation	7/19	"	1000	charity
	Association of Chemical Industry	11-8	"	300	charity
	Frito-Lay, Inc.	3/20	"	1000	

VII	Tobacco Institute	Speech
		Roundtrip Airfare 1 day
		lodging, food
		spouse
		LA-Palm Springs-LA
	Rockwell International	Speech
		Roundtrip Airfare 3 days
		spouse
		California
	Northrop Corp.	Speech
		lodging, food
		spouse
		California
	Browning-Ferris Services, Inc.	Speech
		Roundtrip Airfare 3 days
		Texas
	Morton Thiokol, Inc.	Speech
		Roundtrip Airfare 2 days
		lodging, food
		Utah
	Associated General Contractors	Speech
		Roundtrip Airfare
		Louisiana
	Frito-Lay, Inc.	Speech
		Roundtrip Airfare
		Hou-Dallas-Hou

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Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Thomas D. DeLay
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
VII	Congressional Institute, Inc.	Seminar participant
		Roundtrip Airfare 3 days
		lodging, food
		Texas
	Target, Inc.	Speech
		Roundtrip Airfare 2 days
		lodging, food
		Florida
		family
	Wholesale Beer Distributors	Speech
		Roundtrip Airfare
		Hou-San Antonio-Hou
	Association of Chemical Industry	Speech
		Roundtrip Airfare
		Hou-Corpus Christi-Hou
	Danny Thomas Memorial Charity Tournament	Airfare, lodging 3 days
		food, family
	Alltel, Inc.	Airfare, lodging 3 days
		food, family
		West Virginia

[illegible]

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

89 MAY 15 PM 3 54

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Ronald V. Dellums
(Full Name)

2136 Rayburn Bldg.
(Mailing Address)

Washington, D.C. 20515

Me (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 8th State CA

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT–2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SPOUSE			
Assembly, State of California	salary		
- SEE ATTACHED			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
N/A		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
N/A	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
N/A		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
N/A	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. *The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1988.*

SOURCE	BRIEF DESCRIPTION
N/A	

B. *The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1988.*

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether these expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
SEE ATTACHED	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
N/A	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	N/A	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Ronald V. Dellums	5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

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1988 SPEAKING HONORARIUMS

DATE	ORGANIZATION	AMOUNT
Jan 12	University of CA/Davis	$2000
Jan 16	MLK Comte/ Las Vegas	2000
Jan 15	Norfolk State Univ./ Va.	2000
Jan 18	Kansas, Mo. (SCLC)	2000
Jan 19	Minority Political Participation Breakfast Kansas, Mo.	1000
Mar 8	Shipbuilders Council of America, Wash, DC	1000
Mar 14	St. Lawrence College Canton, NY	2000
Apr 15	Swarthmore College &	1000
	Jobs w/ Peace, Chester, PA	1000
Apr 17	NAACP, Providence RI	1604
Apr 28	Kennedy-King Dinner Wash, DC	1500
May 14	Dartmouth College Hanover, NH	1000
Sept 23	Nashville Peace Alliance Nashville, Tenn.	1000
Nov 2	Univ. of Calif./Northridge	2000
Dec 2	ACLU/New Orleans	1000

Other Fees

Chronicle	50.00
Post	50.00
Christian Science Monitor	100.00
Washington Post	100.00
Washington Post	200.00
LA Times	150.00

1988 TOTAL---------------------------------$22,754.00

Speaking Limit for 1988: $26,850.00

1988 TRAVEL REIMBURSEMENTS

LAS VEGAS, NEVADA---RT AIR SF-LV/LODGING

NORFOLK, VA.E---RT AIR WASH-NORFOLK

KANSAS CITY, MO.---AIR SF-KANSAS-WASH/LODGING

ST. LAWRENCE, NY---RT AIR WASH-SYRACUSE/LODGING

CHESTER, PENN. (PHILADELPHIA)---RT RAIL WASH-PHIL

PROVIDENCE, RI---RT AIR WASH-PROV/LODGING

HANOVER, NH---RT AIR WASH-HANOVER/LODGING

NASHVILLE, TENN.---AIR-WASH-NASH/LODGING

NEW YORK, NY---AIR WASH-NY

NORTHRIDGE, CA---RT SF-BURBANK

NEW ORLEANS, LA.---AIR WASH-NO/LODGING

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

89 MAY 15 AM 11:42

FORM A—For use by Members, officers, and employees

HONORABLE RON DE LUGO
(Full Name)

2238 RAYBURN H.O.B.
(Mailing Address)

WASHINGTON, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District At Large State Virgin Islands

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,086, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515: Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO xx ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
National Restaurant Association	Honorarium	$1,000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Apartment - Puerto Rico	Rental	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _____ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Attached	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Attached		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a **SPEAKING ENGAGEMENT** or **FACT-FINDING EVENT** related to official duties, whether those expenses were **REIMBURSED** to the individual or **PAID DIRECTLY** by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
National Restaurant Assoc. (speech, expenses	Airfare: Wash.,DC to Chicago, Ill
for self & Wife	and 2 nights lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	Fortuna Bay Estates, Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

HONORABLE RON DE LUGO
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		CATEGORY
HOLDINGS III	Wright Patman CFCU (IRA)		B
	Fortuna Bay Estates, Inc.	A/C Rec.	F
	Fortuna Bay Estates, Inc.	Stock	A
	Fortuna Bay Estates, Inc.	Land	E
	Estate Fortuna #1	5 A/C	D
	Estate Fortuna Parcel #A-1-60 (Sec. A.) Land		C
	Vanguard Prime Portfolio		A
	Mutual Shares Corp. (IRA)		B
	VMMR		A
	Strong Money Market		A
	Strong Money Market (IRA)		A
	Dyco Petroleum Corp		A
	Maximum Savings Bank (CD)		C
TRANSACTIONS IV	Ashford Imperial Condominium	6/88 (Sold)	D
	Vanguard	7/88 Purchase	B
	The Van Guard Group	7/88 Purchase	B
	VMMR Prime Portfoliu	6/88 Purchase	A
	The Van Guard Group	7/88 Purchase	A
	VMMR Prime Portfolio	9/88 Purchase	A
	VMMR	10/88 Purchase	B
	Vanguard	11/88 Purchase	A
	Money Market Trust	5/88 Sold	A
	U.S. Savings Bond	3/88 Sold	A
	U.S. Savings Bond	11/88 Sold	A
	Mutual Shares (transfered from Strong Money Market)	5/88	A
	Mutual Sahres	2/88 Purchase	A
	Mutual Shares	3/88 Purchase	A
	VMMR	10/88 Purchase	C
	VMMR	11/88 Purchase	A
	Maximum Savings Bank (CD)	10/88 Purchase	B
	Maximum Savings Bank	9/88 Purchase	C

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RON DE LUGO
DELEGATE, VIRGIN ISLANDS

COMMITTEE ON INTERIOR AND
INSULAR AFFAIRS

CHAIRMAN, SUBCOMMITTEE
ON INSULAR
AND INTERNATIONAL AFFAIRS

COMMITTEE ON PUBLIC WORKS
AND TRANSPORTATION

COMMITTEE ON POST OFFICE
AND CIVIL SERVICE

Congreſs of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE
2238 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-1790

DISTRICT OFFICES
U.S. FEDERAL BUILDING, SUITE 206
ST THOMAS, VI 00801
(809) 774-4408

P.O. BOX 5000
SUNNY ISLE SHOPPING CENTER
ST CROIX, VI 00820
(809) 778-5900

May 16, 1989

Office of the Clerk
Records and Registration
U.S. House of Representatives
Room No. 1036
Longworth House Office Building
Washington, D.C. 20515

✓
HC

Dear Sir:

Please amend my Financial Disclosure Statement to include the Ottley Communications Corporation which was inadvertently omitted from the previous Statement.

Thank you for your cooperation in this matter.

With best regards.

Sincerely,

Ron de Lugo
Member of Congress

RDL/lth

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Page 5 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

HONORABLE RON DE LUGO
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	CATEGORY
III	Wright Patman (CFCU (IRA)	B
	Fortuna Bay Estates, Inc. A/C Rec.	F
	Fortuna Bay Estates, Inc. Stock	A
	Fortuna Bay Estates, Inc. Land	E
	Estate Fortuna #3 5 A/C	D
	Estate Fortuna Parcel #4-1-60 (Sec. A.) Land	C
	Vanguard Prime Portfolio	A
	Mutual Shares Corp. (IRA)	B
	VMMR	A
	Strong Money Market	A
	Strong Money Market (IRA)	A
	Dyco Petroleum Corp.	A
	Maximum Savings Bank (CD)	C
	Ottley Communications Corp.	D
TRANSACTIONS IV	Ashford Imperial Condomium 6/88 (Sold)	D
	Vanguard 7/88 Purchase	B
	The Vanguard Group 7/88 Purchase	B
	VMMR Prime Portfolio 6/88 Purchase	A
	The Vanguard Group 7/88 Purchase	A
	VMMR Prime Portfolio 9/88 Purchase	A
	VMMR 10/88 Purchase	B
	Vanguard 11/88 Purchase	A
	Money Market Trust 5/88 Sold	A
	U.S. Savings Bond 3/88 Sold	A
	U.S. Savings Bond 11/88 Sold	A
	Mutual Shares (transferred from Strong Money Market) 5/88	A
	Mutual Shares 2/88 Purchase	A
	Mutual Shares 3/88 Purchase	A
	VMMR 10/88 Purchase	C
	VMMR 11/88 Purchase	A
	Maximum Savings Bank (CD) 10/88 Purchase	B
	Maximum Savings Bank 9/88 Purchase	C

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

HONORABLE RON DE LUGO
(Full Name)

2236 RAYBURN HOB
(Mailing Address)

Washington, D.C. 20515

✓
MC
(Office Use Only)

MAY 15 PM 1:58

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District At Large State Virgin Islands

☐ Officer or Employee—Employing Office ____________

☒ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,098, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
National Restaurant Association May 20-22, 1988	Honorarium	$1,000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Apartment - Puerto Rico Ashford Imperial Condo #1003 San Juan Vacations, Marbella del Caribe Oeste Local S-5, Isla Verde, PR 00913	Rental	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Attached	

89373290325

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Attached		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
National Restaurant Assoc. (speech, expenses for self & wife)	Airfare: Wash., DC to Chigaco, Ill and 2 nights lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 12.

POSITION	NAME OF ORGANIZATION
President	Fortuna Bay Estate, Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 18, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 5 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

HONORABLE RON DE LUGO
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	CATEGORY
III	Wright Patman (CFCU (IRA)	B
	Fortuna Bay Estates, Inc. A/C Rec.	F
	Fortuna Bay Estates, Inc. Stock	A
	Fortuna Bay Estates, Inc. Land	E
	Estate Fortuna #3 5 A/C	D
	Estate Fortuna Parcel #4-1-60 (Sec. A.) Land	C
	Vanguard Prime Portfolio	A
	Mutual Shares Corp. (IRA)	B
	VMMR	A
	Strong Money Market	A
	Strong Money Market (IRA)	A
	Dyco Petroleum Corp.	A
	Maximum Savings Bank (CD)	C
	Ottley Communications Corp.	D
TRANSACTIONS IV	Ashford Imperial Condomium 6/88 (Sold)	D
	Vanguard 7/88 Purchase	B
	The Vanguard Group 7/88 Purchase	B
	VMMR Prime Portfolio 6/88 Purchase	A
	The Vanguard Group 7/88 Purchase	A
	VMMR Prime Portfolio 9/88 Purchase	A
	VMMR 10/88 Purchase	B
	Vanguard 11/88 Purchase	A
	Money Market Trust 5/88 Sold	A
	U.S. Savings Bond 3/88 Sold	A
	U.S. Savings Bond 11/88 Sold	A
	Mutual Shares (transferred from Strong Money Market) 5/88	A
	Mutual Shares 2/88 Purchase	A
	Mutual Shares 3/88 Purchase	A
	VMMR 10/88 Purchase	C
	VMMR 11/88 Purchase	A
	Maximum Savings Bank (CD) 10/88 Purchase	B
	Maximum Savings Bank 9/88 Purchase	C

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HAND DELIVERED

DELEGATE, VIRGIN ISLANDS

COMMITTEE ON INTERIOR AND
INSULAR AFFAIRS

CHAIRMAN, SUBCOMMITTEE
ON INSULAR
AND INTERNATIONAL AFFAIRS

COMMITTEE ON PUBLIC WORKS
AND TRANSPORTATION

COMMITTEE ON POST OFFICE
AND CIVIL SERVICE

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE
2238 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-1790

DISTRICT OFFICES
U.S. FEDERAL BUILDING, SUITE 258
ST. THOMAS, VI 00801
(809) 774-4408

P.O. BOX 5998
SUNNY ISLE SHOPPING CENTER
ST. CROIX, VI 00820
(809) 778-5900

May 18, 1989

Office of the Clerk
Records and Registration
U.S. House of Representatives
Room No. 1036
Longworth House Office Building
Washington, D.C. 20515

Dear Sir:

Please amend my Financial Disclosure Statement to include the Ottley Communications Corporation as well as the following:

Part II - Section A, Number 2
Section B, Numbers 2 and 5
Part III - Numbers 2,5, and 6, and
Part IV - Numbers 6 and 7

These Sections were inadvertently omitted from the previous Statement.

Thank you for your cooperation in this matter.

With best regards.

Sincerely,

Ron de Lugo
Member of Congress

RDL/yg/lth
Enclosures
cc: Clerk's Office

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

BUTLER C. DERRICK, JR.
(Full Name)

201 CANNON HOUSE OFFICE BUILDING
(Mailing Address)

WASHINGTON, D.C. 20515

MC (Office Use Only)

MAY 15 5:39

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3RD State SOUTH CAROLINA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Acacia Mutual Life	-Rcvd 12/08/88	Honorarium	$2,000	Charity ($1,500)
Bankers Trust	-Rcvd 04/14/88	Honorarium	$2,000	Charity ($ 100)
CBS Records	-Rcvd 04/18/88	Honorarium	$1,000	Charity ($ 100)
The Secura Group	-Rcvd 05/20/88	Honorarium	$1,000	Charity ($ 100)
The Washington Caucus	-Rcvd 04/12/88	Honorarium	$ 500	Charity ($ 100)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
John Bowles, 651 A Street, SE, Washington, D.C.	Rent	B
Ray Quarles, The Blocker House, Edgefield, S.C.	Rent	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO _X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
(residence)	
The Blocker House, Hwy 25, Edgefield, SC (Farmland acreage & dwelling)	F
651 A Street, S.E., Washington, D.C. (residence)	E
Dwelling and acreage, Martin Town Road, Edgefield, S.C.	E
204 Boxwood Lane, Greenville, S.C. (property)	F
Delta Woodside Industries (stock)	C
House and Lot, Buncombe Street, Edgefield, S.C.	D
Business Development Corp., Edgefield, S.C. (Bonds)	A
Douglas and Chamberlin Corporation - 5% of equity in the Corporation, whose assets consisted of contributions from the shareholders. The sole purpose of the Corporation was to purchase one piece of real property. The property was not acquired during the current reporting period.	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Delta Woodside Industries - Stock - Purchased	06/14/88	A
Delta Woodside Industries - Stock - Purchased	08/01/88	C
Delta Woodside Industries - Stock - Sold (both of the above)	11/22/88	C
House and Lot, Buncombe Street, Edgefield, S.C.	04/18/88	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
County Bank, Greenwood, S.C.	C
Georgia Federal Savings and Loan	D
Maryland Federal Savings and Loan	D
South Carolina National Bank	D
CBBI Bank, Greenville, S.C.	E
Wright Patman Credit Union, Washington, D.C.	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Frank Ducate	Butane Gas Grill	$250.00

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
1. American Maritime Officers Service	Roundtrip airfare: Washington, D.C./ Florida/ Washington, D.C - 2 days lodging and meals
2. Chase Manhattan Bank	Roundtrip airfare: S.C./ N.Y./Washington, D.C - 1 day lodging and meals and taxifare
3. Chicago Mercantile Exchange	Roundtrip airfare: S.C./ Chicago, ILL./ Washington, D.C. - One day lodging and meals

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Douglas and Chamberlin Corporation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature

Date 5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

BUTLER C. DERRICK, JR.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			DISPOSITION
II-A (INCOME)				
Carolinas Hospital Public Relations and Market Society	12/10/88	Honorarium	$2.000	Charity ($ 100.00)
American Trucking Assn. Inc.	03/31/88	Honorarium	$1.000	Charity ($ 100.00)
Board of Trade of the City of Chicago	08/04/88	Honorarium	$1.000	Charity ($ 100.00)
INGAA *	05/13/88	Honorarium	$2.000	Charity ($ 168.00)
Liberty Life Insurance Company	04/15/88	Honorarium	$2.000	Charity ($ 100.00)
Chamber of Commerce of the U.S.	03/24/88	Honorarium	$ 500	Charity ($ 100.00)
U.S. League of Savings and Loans	03/09/88	Honorarium	$2.000	Charity ($ 82.00)
The Brookings Institute	06/17/88	Honorarium	$ 300	Charity ($ 25.00)
American Maritime Officers Service	04/20/88	Honorarium	$1.500	Charity ($ 75.00)
Chase Manhattan Bank	04/18/88	Honorarium	$2.000	Charity ($ 100.00)
Chicago Mercantile Exchange	08/05/88	Honorarium	$1.000	Charity ($ 100.00)
Connell Rice and Sugar. Co., Inc.	03/24/88	Honorarium	$2.000	Charity ($ 50.00)
Phizer	06/22/88	Honorarium	$1.000	Charity ($ 100.00)
S.C. Textile Manufacturers Assn.	03/18/88	Honorarium	$ 500	Charity ($ 100.00)
S. C. Bankers Assn.	05/24/88	Honorarium	$1.000	Charity ($ 100.00)
The Tobacco Institute	01/05/88	Honorarium	$1.000	Charity ($ 100.00)
U. S. Telephone Assn.	02/08/88	Honorarium	$2.000	Charity ($ 50.00)

*Interstate Natural Gas Assn of America

VII. REIMBURSEMENTS

4. U.S. Telephone Association
Airfare: Moline, ILL./ Palm Springs, CA./ San Diego, CA / Washington, D.C.
2 days food/ lodging

5. The Tobacco Institute
Airfare: Savannah, GA./ Palm Springs, CA./ SC
2 days food/ lodging/car rental

6. S. C. Bankers Assn.
1 day lodging/ food

7. S. C. Textile Manufacturers Assn.
1 day lodging/ food

8. Pfizer
Airfare: Washington, D.C./ Wilmington, N.C./ S.C.

9. Philip Morris Management Corp.
Roundtrip Airfare: Washington, D.C/ N.Y/ Washington, D.C
1 day lodging and food

10. Connell Rice and Sugar Co., Inc.
2 days lodging and food

11. Medical University of South Carolina
Roundtrip Airfare: Baltimore, MD./SC/Washington, D.C.
1 day lodging

NOTE: All of the items listed in VII are for Honoraria Trips excluding #11

HAND DELIVERED

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1989 MAY 16 AM 11

BUTLER DERRICK
3D DISTRICT, SOUTH CAROLINA

LEE COCO
ADMINISTRATIVE ASSISTANT

201 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-5301

COMMITTEES:
RULES
CHAIRMAN, SUBCOMMITTEE ON
THE LEGISLATIVE PROCESS
SELECT COMMITTEE ON AGING
DEMOCRATIC STEERING AND
POLICY COMMITTEE

Congreſs of the United States
House of Representatives
Washington, DC 20515

DISTRICT OFFICES:
POST OFFICE BOX 4120
ANDERSON, SC 29622
(803) 224-7401

BARBARA GAINES
DISTRICT MANAGER

8 FEDERAL BUILDING
211 YORK STREET, NE
AIKEN, SC 29801
(803) 649-5571

120 FEDERAL BUILDING
120 MAIN STREET
GREENWOOD, SC 29646
(803) 223-8251

May 16, 1989

Honorable Donnald K. Anderson
Clerk, U. S. House Of Representatives
1036 Longworth House Office Building
Washington, D.C. 20515

✓
MC

Dear Mr. Anderson:

Please accept the following amendents to Section II-A Income of my Financial Disclosure Statement for 1988:

1. Add:

   Philip Morris Management Corp. 04/22/88
   Honorarium $1,000

   It was left off my ethics report, but was included in the income limit.

2. Change:

   Phizer to Pfizer

   It was misspelled.

If you have further questions, please do not hesitate to contact me. Thank you for your attention to this matter.

With kind regards, I am

Respectfully,

Butler Derrick
Member of Congress

89070232519

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

MAY 15 4:20

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

RICHARD MICHAEL DEWINE
(Full Name)

2587 Conley Road
(Mailing Address)

Cedarville, Ohio 45314

HC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 7th State Ohio

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
The Brookings Institute/member/2-5	honoraria	300.00	none
National Assoc. of Independent Insurers/member, 3-8	honoraria	500.00	none
Nat'l Assoc. of Broadcasters, member, 4-9 to 4-11	honoraria	1500.00	none

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
DeWine Intern'l Seeds, Inc., member	Dividend	B
DeWine Enterprises Inc., member, spouse, children	Dividend	E
Ohio Twine Co., member, children	partnership income	D
Star Bank, member, spouse, children	interest	A
Wright Patman Cong. Fed. Credit Union, member, spouse, children,	interest	A
Home Federal Savings & Loan , spouse	interest	A
Personal Residence, home district, member, spouse,	gross rent	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO __X__ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
DeWine Enterprises Inc. Member, spouse, children	E
DeWine Internat'l Seed, Inc. member	C
Ohio Twine Co. member, children	E
DeWine, Rose, Haller & Sidell law partnership	B
BSRB/ spouse, one-third interest rental unit	B
Personal residence in home district, member, spouse rental	E

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
DeWine Enterprises Inc., Children	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Nat'l Assoc. of Broadcasters	one roundtrip airfare, DC-Las Vegas
	lodging and meals for two days
Nat'l Cable TV Assoc.	one roundtrip airfare, DC-Los Angeles
	lodging and meals for two days
World Affairs Council	two roundtrip airfare, DC-Amman Jordan
	lodging and meals, 9 days

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director, member, spouse	DeWine Enterprises, Inc.
Director, member, spouse	DeWine Export & Import, Inc.
Director, member	DeWine International Seeds, Inc.
Partner, member, children	Ohio Twine Co.
Partner, member	DeWine, Rose, Haller & Sidell, Attorneys at Law
Partner, spouse	DSRB, one-third interest rental unit

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Mike DeWine	May 11, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Richard Michael DeWine
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II A.	National Cable Television Assoc./member/ 4-30 to 5-1 Honoraria - 1500.00 None
II A.	Ohio Bell/member/9-13 - Honoraria $1,000 - none
II A.	DeWine, Rose, Haller & Sidell, Attorneys at Law, legal fees, $9,645, none

GPO: 1987 79-[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

MAY 15 PM 1:46

FORM A—For use by Members, officers, and employees

William L. Dickinson
(Full Name)

2406 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2nd State Alabama

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ___ NO _X_ NA ___

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Attachment I - Honoraria			
Spouse - Self Employed - Owner of Clothing Boutique			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Attachment II		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA __X__

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Attachment III	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Attachment IV		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Attachment V	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a **SPEAKING ENGAGEMENT** or **FACT-FINDING EVENT** related to official duties, whether those expenses were **REIMBURSED** to the individual or **PAID DIRECTLY** by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Attachment VI	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 12 '89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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ATTACHMENT I

WILLIAM L. DICKINSON, MEMBER OF CONGRESS
(2nd District Alabama)
Attachment to Financial Disclosure Statement for 1988

Part II, A - Earned Income - Honoraria Received:

Source	Date Received	Amount
Northrop Corporation	01/11/88	$ 2,000
McDonnell Douglas Corporation	01/11/88	2,000
Teledyne	01/12/88	2,000
ISC/Marquardt	01/12/88	2,000
Hughes Aircraft	01/13/88	2,000
General Dynamics Corporation	01/13/88	2,000
Tobacco Institute	01/14/88	1,000
McDonnell - Douglas Helicopters	01/15/88	2,000
Talley Corporation	01/15/88	2,000
Pratt - Whitney	01/22/88	1,000
General Electric	03/15/88	2,000
Sikorsky	03/18/88	1,000
Oshkosh Trucking	03/23/88	2,000
Martin Marietta	03/30/88	1,000
Textron	04/19/88	2,000
Westinghouse	05/08/88	2,000
Institution for Foreign Policy Analysis	05/18/88	250
American Helicopter Society	06/17/88	2,000
Morton Thiokal	08/28/88	2,000
Total Honoraria Received		$ 32,250
Less: Amount Contributed to Charity		5,400
NET HONORARIA		$ 26,850

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ATTACHMENT II

WILLIAM L. DICKINSON, MEMBER OF CONGRESS
(2nd District Alabama)
Attachment to Financial Disclosure Statement for 1988

Part II, B - Income, Unearned Income:

Source	Type	Category
Congressional Federal Credit Union	Dividends	A
Southtrust Dothan Trust Department	Investment	A
Eastman Kodak	Dividends	A
Eastman Kodak	Capital Gains	A
First American Bank - (IRA)	Investment	A
Bethlehem Steel Corporation Keough HR-10 Plan 001	Dividends	A
Kemper Money Market Keough HR-10 Plan 002	Dividends	A

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ATTACHMENT III

WILLIAM L. DICKINSON, MEMBER OF CONGRESS
(2nd District Alabama)
Attachment to Financial Disclosure Statement for 1988

Part III - Holdings:

		Category
National Health Care	Common Stock	A
Superior Marketing Research (Located in Saltlake City, Utah)	Common Stock	B
American Ed. Fin. Corp.	Common Stock	A
First American Bank	Individual Retirement Account	B
Sterne, Agee & Leach, Inc.	Retirement Plan	A
Bethlehem Steel	Common Stock (Retirement Plan)	B
Kemper Money Market	Cash Equivalents (Retirement Plan)	A
Pollux Corporation	Preffered Common Stock	C
Civil Service Retirement Fund	Retirement Annuity	D
Eastman Kodak	Common Stock	A
Virginia Firearms, Inc.	Promissory Note	C
Trust Agreement with Steve E. Strait, Trustee	Underlying Assets of the Trust are in Receivership	A
1/3 Interest in Montgomery Investment Club	Underlying Assets of the Trust are in Receivership	A

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ATTACHMENT IV

WILLIAM L. DICKINSON, MEMBER OF CONGRESS
(2nd District Alabama)
Attachment to Financial Disclosure Statement for 1988

Part IV - Transactions:

Brief Description	Date	Category
Contribution to Profit Sharing Plan	04/15/88	A
Contribution to Pension Plan	12/31/88	A
Sold Eastman Kodak	06/17/88	A
Sold National Health Care	12/21/88	A
Loan Payment to Citizens Bank	02/03/88	D
Loan Payment to Citizens Bank (Paid in Full)	02/08/88	A
Loan Proceeds from Citizens Bank	04/19/88	C
Loan Proceeds from Virginia Firearms Corp.	05/26/88	C

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ATTACHMENT V

WILLIAM L. DICKINSON, MEMBER OF CONGRESS
(2nd District Alabama)
Attachment to Financial Disclosure Statement for 1988

Part V - Liabilities:

Identity	Category
Cecil B. Collier - Business	B
AMSouth - Business Loan for Member's spouse's business	C

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ATTACHMENT VI

WILLIAM L. DICKINSON, MEMBER OF CONGRESS
(2nd District Alabama)
Attachment to Financial Disclosure Statement for 1988

Part VII - Reimbursements:

Northrop	3 days lodging
Northrop, McDonnell Douglas Corp.	3 days of meal costs
Teledyene, ISC/Marquanrdt, Hughes Aircraft, General Dynamics, Tobacco Institute	Airfare, D.C. to Los Angeles to Palm Springs, Phoenix to D.C. 1 day food/lodging
Garrett Corp.	Airfare Palm Springs to Phoenix 2 days food/lodging
Pratt - Whitney	Round trip airfare, D.C. to Hartford, Lunch
General Electric	Lunch
United Technologies - Sikorsky Aircrafts	Roundtrip airfare, D.C. to New York/lunch
Oshkosh Trucking Corp.	Breakfast
Martin Marietta	Breakfast
Textron	Lunch
Westinghouse	2 1/2 days food and lodging, transportation for member and spouse
Institute for Foreign Policy Analysis	Breakfast
American Helicopter Society	Dinner
Morton Thiokal	Roundtrip airfare, D.C. to Utah, 2 days food and lodging

NOTE: All of the above reimbursements were related to speaking engagements.

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Norman D. Dicks
(Full Name)

2429 Rayburn HOB
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

15 12 29

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6th State Washington

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
see attached sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Rental Property	rent	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
924 Shorewood Dr., Unit 60, Bremerton, WA (rental property)	D
IPA Account--Union Central Life, Cincinnati, Ohio	B
IRA Account--Union Central Life, Cincinnati, Ohio (spouse)	B
IRA Account--Fidelity Bankers Life, Richmond, Va	A
IRA Account--Fidelity Bankers Life, Richmond, Va (spouse)	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Farm property in Sunnyside, Wa (spouse) sold	5/88	E

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
The New York Guardian Mortgagee Corporation, Hempstead, NY	
(mortgage on Bremerton, Wa property)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

[illegible]

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1983, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1983.

SOURCE	BRIEF DESCRIPTION
see attached sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
none	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	none	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Norman D. Dicks	11 May 1984

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

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U.S. GOVERNMENT PRINTING OFFICE [illegible]

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Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Norman D. Dicks
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II.A Income	Spouse Salary - Civic Service		
	Honoraria:		
	Pratt & Whitney	$1000	1/5/88
	Lockheed	$1000	2/2/88
	McDonnell Douglas	$2000	2/23/88
	Alpase, Inc.	$2000	2/2/88
	U.S. Telephone Assn.	$1000	2/8/88
	Ocean Spray	$2000	2/12/88
	Physics International	$2000	2/16/88
	Congressional Quarterly	$300	4/12/88
	Dean Witter Realty	$2000	4/20/88
	Sheet Metal Contractors Assn.	$1000	3/7/88
	Pirelli Tire	$1000	4/14/88
	Rogers Olympic	$2000	3/28/88
	Boeing Veritol	$2000	4/8/88
	National Forest Products Assn.	$1000	5/12/88
	E. Bruce Harrison Co., Inc.	$2000	6/2/88
	Sea-Land Corp.	$2000	9/26/88
	Bogle & Gates	$1000	9/13/88
	Institute for Foreign Policy Analysis, Inc.	$250	9/15/88
	National Coal Assn.	$500	9/27/88

VII. Reimbursements

Pratt & Whitney, air fare to West Palm Beach, hotel and meals for self only in connection with a speaking engagement

U.S. Telephone Assn., air fare to Palm Springs, Ca., hotel and meals for self and spouse in connection with a speaking engagement

Ocean Spray, air fare to Phoenix, AZ, hotel and meals for self only in connection with a speaking engagement

Physics International, air fare to San Francisco, CA, for self only in connection with a speaking engagement

Grocery Manufacturers Assn., transportation to West Virginia, hotel and meals for self and spouse in connection with the Congressional Charity Tennis Tournament

Dean Witter Realty, air fare to Dana Point, CA, hotel and meals for self only in connection with a speaking engagement

Pirelli Tire, air fare to Kansas City, hotel and meals for self and spouse in connection with a speaking engagement

Alpase, Inc. air fare to Los Angeles, CA, hotel and meals for self only in connection with speaking engagement

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Norman D. Dicks
(Full Name)

2429 Rayburn HOB
(Mailing Address)

Washington, DC 20515

MC

(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6 State Washington

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

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- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,068, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(ii) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(iii) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __XX__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
see attached sheet,			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
rental property	rent	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO XX NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
924 Shorewood Dr., Unit 00, Bremerton, WA (rental property)	D
IRA Account—Union Central Life, Cincinnati, Ohio	B
IRA Account—Union Central Life, Cincinnati, Ohio (spouse)	B
IRA Account—Fidelity Bankers Life, Richmond, Va	A
IRA Account—Fidelity Bankers Life, Richmond, Va (spouse)	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Farm property in Sunnyside, Wa (spouse) sold	3/88	E

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
The New York Guardian Mortgagee Corporation, Hempstead, NY (mortgage on Bremerton, Wa property)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
see attached sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
none	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
none		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Norman D. Dicks	19 May 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989

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Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Cong. Norman D. Dicks
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
II.A INCOME	Spouse Salary — Civic Service		
	Honoraria:		
	Pratt & Whitney	$1000	1/5/88
	Lockheed	$1000	2/2/88
	McDonnell Douglas	$2000	2/23/88
	Alpase, Inc.	$2000	2/2/88
	U.S. Telephone Assn.	$1000	2/8/88
	Ocean Spray Cranberries, Inc.	$2000	2/12/88
	Physics International	$2000	2/16/88
	Congressional Quarterly	$300	4/12/88
	Dean Witter Realty	$2000	4/20/88
	Sheet Metal Contractors Assn.	$1000	3/7/88
	Pirelli Cable Corp.	$1000	4/14/88
	Rogers Olympic	$2000	3/28/88
	Boeing Vertol	$2000	4/8/88
	National Forest Products Assn.	$1000	5/12/88
	E. Bruce Harrison Co., Inc.	$2000	6/2/88
	Sea-Land Corp.	$2000	9/26/88
	Bogle & Gates	$1000	9/13/88
	Institute for Foreign Policy Analysis, Inc.	$250	9/15/88
	National Coal Assn.	$500	9/27/88
VII. REIMBURSEMENTS	Pratt & Whitney, round trip air fare to West Palm Beach, Fla., hotel and meals, one day, for self only in connection with speaking engagement		
	U.S. Telephone Assn., round trip air fare to Palm Spring, Calif., hotel and meals, three days, for self and spouse in connection with speaking engagement		
	Ocean Spray, round trip air fare to Phoenix, Az., hotel and meals, one day, for self only in connection with a speaking engagement		
	Physics International, air fare to San Francisco, Calif., from Phoenix, AZ, one day, for self only in connection with speaking engagement		
	Grocery Manufacturers Assn., ground transportation to West Virginia and return, hotel and meals, two days, for self and spouse in connection with the Congressional Charity Tennis Tournament		
	Dean Witter Realty, round trip air fare to Dana Point, Calif., hotel and meals, one day, for self only in connection with speaking engagement		
	Pirelli Cable Corp., (also sponsored by Ocean Spray Cranberries) round trip air fare to Kansas City, hotel and meals, four days, for self and spouse in connection with speaking engagement		
	Alpase, Inc., round trip air fare to Los Angeles, Calif., hotel and meals, two days, for self only in connection with speaking engagement		

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NORM DICKS
6TH DISTRICT, WASHINGTON

COMMITTEE
APPROPRIATIONS

SUBCOMMITTEES
DEFENSE
INTERIOR
MILITARY CONSTRUCTION

2429 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515-4706
PHONE (202) 225-5916

Congress of the United States
House of Representatives
Washington, DC

DISTRICT OFFICES:
PIERCE COUNTY
SUITE 201
ONE PACIFIC BUILDING
621 PACIFIC AVENUE
TACOMA, WA 98402-4820
PHONE (206) 593-6536
KITSAP COUNTY
SUITE 307
GREAT NORTHWEST BUILDING
500 PACIFIC AVENUE
BREMERTON, WA 98310-1904
PHONE (206) 479-4011

HAND DELIVERED

May 22, 1989

MC ✓

[illegible] MAY 22 PM 12:40
[illegible] STANDARDS

Honorable Julian C. Dixon
Chairman
Committee on Standards of Official Conduct
HT2 Capitol
Washington, D. C. 20515

Dear Mr. Chairman:

I would like to make an amendment to my 1988 financial disclosure statement, which was filed May 15, 1989.

Under Section IV. Transactions, the correct category should be C.

Thank you.

Sincerely,

Norm Dicks
NORM DICKS
Member of Congress

NDD/pjg
Enclosure

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

The Honorable John D. Dingell
(Full Name)

2221 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 16 State Michigan

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE CONTINUATION SHEET Page 1			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
SEE CONTINUATION SHEET Page 2		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES __X__ NO ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SEE CONTINUATION SHEET Page 3	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
SEE CONTINUATION SHEET Page 4		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
SEE CONTINUATION SHEET Page 5	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
SEE CONTINUATION SHEET Page 6	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
SEE CONTINUATION SHEET Page 6		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
SEE CONTINUATION SHEET Page 7	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
SEE CONTINUATION SHEET Page 8	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	SEE CONTINUATION SHEET Page 8	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

May 15, 1989 —

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 8

ETHICS IN GOVERNMENT ACT--FINANCIAL DISCLOSURE STATEMENT

The Honorable John D. Dingell
(Name)

Continuation Sheet

Source, Type, Amount, Category, Description or Value
(As Applicable)

Part
II. INCOME

A. Source		Type	Amount	Disposition
Executive Enterprises, Inc.	(1/15/88)	Honorarium	$1,000	
Merck & Co., Inc.	(2/12/88)	"	$2,000	
Grocery Mfgrs. America	(1/14/88)	"	$2,000	
Wunder & Diefenderfer	(1/20/88)	"	$2,000	
General Electric Co.	(1/25/88)	"	$2,000	
E. Bruce Harrison, Co.	(2/3/88)	"	$2,000	
Natl. Assn. Regulatory Utility Commissioners	(2/29/88)	"	$ 800	
TV & Radio Pol. Ed. Comte-- Natl. Assn. Broadcasters	(3/7/88)	"	$2,000	
Interstate Nat Gas Assn.	(2/22/88)	"	$2,000	
Securities Industry Assn.	(3/23/88)	"	$2,000	
Brhood Maintenance of Way	(3/10/88)	"	$2,000	
Preston, Thorgrimson, Ellis & Holman	(2/1/88)	"	$1,000	
Berman, Bergner & Boyette	(2/18/88)	"	$1,000	
Brookings Institution	(3/14/88)	"	$ 300	
Edison Electric Institute	(3/16/88)	"	$1,000	
Amer Nuclear Energy Council	(5/18/88)	"	$2,000	
Brookings Institution	(6/1/88)	"	$ 300	
Grocery Mfgrs. of Amer.	(12/22/88)	"	$1,450	
Grocery Mfgrs. of Amer.	(12/22/88)	"	$ 550	Assigned to Charity
U.S. Telephone Assn	(8/3/88)	"	$2,000	Assigned to Charity
General Foods Corporation	(1/26/88)	"	$2,000	Assigned to Charity
Amer. Dental Pol. Action Cmt	(7/5/88)	"	$2,000	Assigned to Charity
The Proprietary Assn.	(7/7/88)	"	$2,000	Assigned to Charity
Broadcasting Publications Inc.	(6/30/88)	"	$1,500	Assigned to Charity
Ameritech	(7/14/88)	"	$2,000	Assigned to Charity
Edison Electric Institute	(7/8/88)	"	$2,000	Assigned to Charity
E. Bruce Harrison Co.	(9/8/88)	"	$2,000	Assigned to Charity
Flexible Packaging Assn.	(9/29/88)	"	$2,000	Assigned to Charity
General Motors (spouse)		Salary		

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P.2 of 8

ETHICS IN GOVERNMENT ACT--FINANCIAL DISCLOSURE STATEMENT

The Honorable John D. Dingell
(Name)
Continuation Sheet

Source, Type, Amount, Category, Description or Value
(As Applicable)

A= Not more than $1,000
B= $1,001--2,500
C= $2,501--5,000
D= $5,001--15,000
E= $15,001--50,000
F= $50,001--100,000
G= over $100,000

UNEARNED INCOME

B. Source	Type	Category
1st American Bank MMIA Account	Interest	B
1st American Bank MMCA Account	Interest	A
Wright Patman Credit Union	Interest	B
Oppenheimer MM Fund	Dividend	B
Washington Federal	Interest	B
Mobil Stock	Dividend	A
Mobil Bonds	Interest	A
Sun Life Assurance	Dividend	A
Woolworth Co.	Dividend	A
Quantum Chemical Corp.(formerly Natl. Distillers)	Dividend	A
Selected American Shares	Dividend	A
U.S. Series H Bonds	Interest	A
Municipal Bonds-Oakland Cty	Interest	A
Shearson Lehman Agressive Growth	Dividend	A
Shearson Lehman Daily Dividend	Dividend	A
Shearson Lehman Govt & Agcys Fund	Dividend	A
Shearson Lehman Agressive Growth	Dividend	A
Shearson Lehman Daily Dividend	Dividend	A
Shearson Lehman Daily Dividend(spouse)	Dividend	A
Shearson Lehman FMA (spouse)	Dividend	A
General Electric (spouse)	Dividend	A
General Mills (spouse)	Dividend	A
General Motors Corp (spouse)	Dividend	D
General Motors Corp CL E (spouse)	Dividend	A
General Motors Corp CL H (spouse)	Dividend	A
K Mart Corp. (spouse)	Dividend	A
Marsh & McLennan Cos.Inc(spouse)	Dividend	A
Pepsico Inc. (spouse)	Dividend	A
Proctor & Gamble (spouse)	Dividend	A
Union Carbide Corp (spouse)	Dividend	A
Unisys Corp (spouse)	Dividend	A
Crystal Brands (spouse)	Dividend	A

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Page 3 of 8

ETHICS IN GOVERNMENT ACT--FINANCIAL DISCLOSURE STATEMENT

The Honorable John D. Dingell
(Name)
Continuation Sheet

Source, Type, Amount, Category, Description or Value
(As Applicable)

Part
III. HOLDINGS
A= not more than $5,000
B= $5,001--$15,000
C= $15,001--$50,000
D= $50,001--$100,000
E= $100,001--$250,000
F= over $250,000.

Identity	Category
Savings: First American Bank(CD)	D
First American Bank(MMIA)	B
First American Bank(MMCA)	C
W.Patman Credit Union Savings	A
W.Patman Credit Union (dependent)	A
Washington Federal (dependent)	A
Washington Federal:Savings Certificate #1	A
Washington Federal:Savings Certificate #2	A
Washington Federal Checking/Money Fund	B
Oppenheimer MM Fund	C
Mobil Stocks	A
Mobil Bonds	A
Woolworth Co.Stocks	B
Quantum Shares (Formerly National Distillers)	B
Selected American Shares	C
U.S.Series H-Bonds	B
Shearson Lehman (Agressive Growth Fund)	A
Shearson Lehman (Daily Dividend)	A
Shearson Lehman (Daily Dividend/Govt & Agcys Money Fund)	C
Shearson Lehman Daily Dividend(formerly FMA Cash Mgmt fund)(spouse)	A
General Electric Stock(spouse)	B
General Mills Stock(spouse)	B
*General Motors Corp.Stock(spouse)	E
General Motors Corp CL E Stock(spouse)	A
General Motors Corp CL H Stock(spouse)	A
K Mart Corp Stock (spouse)	A
Marsh & McLennan Cos. Inc Stock(spouse)	B
Pepsico Inc Stock(spouse)	B
Proctor & Gamble Stock(spouse)	A
Union Carbide Corp Stock (spouse)	A
Unisys Corp Stock (spouse)	A
Municipal Bonds Oakland Cty, MI	B
Crystal Brands Stock (spouse)	A
General Motors Deferred Savings Program (spouse)	D
General Motors Stock Ownership Plan (spouse)	B
RTS Union Carbide Stock (spouse)	A
Hunting Cabin,(92 acres) Rt 620,Shenandoah Co., VA.	E

*Value increased from 1987 due to stock received as part of spouse's salary compensation program.

8 9 0 7 0 2 8 3 0 2 3

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ETHICS IN GOVERNMENT ACT--FINANCIAL DISCLOSURE STATEMENT

The Honorable John D. Dingell
(Name)
Continuation Sheet

Source, Type, Amount, Category, Description or Value
(As Applicable)

IV. Transactions
A= not more than $5,000
B= $5,001--$15,000
C= $15,001--$50,000
D= $50,001--$100,000
E= $100,001--$250,000
F= over $250,000.

Description	Date	Category
Certificate of Deposit withdrawal (Washington Federal)	4/15/88	A
Savings withdrawal (Patman Credit Union)	5/26/88	C
MMIA Account Deposit (First American Bank)	6/2/88	C
Money Fund check redemption (Oppenheimer)	8/12/88	C
Sold Shearson Lehman Hutton-Aggressive Growth Fund	8/30/88	B
Sold Daily Dividend Fund (Shearson Lehman Hutton)	8/30/88	A
Purchased Govt & Agcys Fund (Shearson Lehman Hutton)	8/30/88	A
Purchased Govt & Agcys Fund (Shearson Lehman Hutton)	8/30/88	B
Sold Shearson Lehman Hutton-Aggressive Growth Fund	8/31/88	C
Purchased Govt & Agcys Fund (Shearson Lehman Hutton)	9/1/88	C
Certificate of Deposit purchased (First American)	8/29/88	D
Money Fund withdrawal (Shearson Lehman Hutton)	10/27/88	B
Money Fund withdrawal (Patman Credit Union)	11/14/88	B
Money Fund withdrawal (Washington Federal Savings)	11/22/88	B
Money Fund withdrawal (Shearson Lehman Hutton)	11/30/88	C
Selected American Shares sold	12/1/88	C
Purchased-Govt & Agencies Fund (Shearson Lehman Hutton)	12/1/88	C
Purchased 92 Acres Land, Rt. 620, Shenandoah Co., Va.	7/22/88	D

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ETHICS IN GOVERNMENT ACT--FINANCIAL DISCLOSURE STATEMENT

The Honorable John D. Dingell
(Name)

Continuation Sheet

Source, Type, Amount, Category, Description or Value
(As Applicable)

V. Liabilities
A= not more than $5,000
B= $5,001--$15,000
C= $15,001--$50,000
D= $50,001--$100,000
E= $100,001--$250,000
F= over $250,000.

Identity	Category
First American Bank N.A. (Mortgage) Rt.620, Shenandoah Co. Va.	D

ETHICS IN GOVERNMENT ACT--FINANCIAL DISCLOSURE STATEMENT

The Honorable John D. Dingell
(Name)

Continuation Sheet

Source, Type, Amount, Category, Description or Value
(As Applicable)

VI. Gifts

Source	Description
NONE	

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ETHICS IN GOVERNMENT ACT--FINANCIAL DISCLOSURE STATEMENT

The Honorable John D. Dingell
(Name)

Continuation Sheet

Source, Type, Amount, Category, Description or Value
(As Applicable)

VII. Reimbursements:

Source		Description
Hofstra University (Speech)	(Apr. 15,88)	Helicopter flgt from Hempstead NY to airport. Speech & Honorary degree, Hofstra University.
Proprietary Association (new name: Nonprescription Drug Manufacturers Ass'n.)	(May 14, 88)	Provided Spouse Private aircraft (1 way) from DC to Greenbrier, W.Va. to attend Proprietary Confer. with M.C.
Upjohn Company	(May 15-88)	Provided private aircraft Detroit,MI to Greenbrier W.Va.to speak during Proprietary Assn.Conf.
Proprietary Association (Speech) (new name: Nonprescription Drug Manufacturers Ass'n.)	(May 15-16)	2 days, 2 nights lodging and meals for self (3 nights for spouse) to participate in Seminar, conf. & give speech.
Shering Plough	(May 17,88)	Provided Private aircraft Greenbrier,WVA to D.C. after Proprietary speech for self & spouse.

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Page 8 of 8

ETHICS IN GOVERNMENT ACT--FINANCIAL DISCLOSURE STATEMENT

The Honorable John D. Dingell
(Name)

Continuation Sheet

Source, Type, Amount, Category, Description or Value
(As Applicable)

VIII. Positions

Position	Name of Organization
Board Member	National Rifle Association

IX. AGREEMENTS

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

89 MAY 15 AM 11:48

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

JULIAN C. DIXON
(Full Name)

2400 RAYBURN HOB
(Mailing Address)

WASHINGTON, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 28 State CA

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed: identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Much Ado About Something, Inc., Wash., DC (spouse)	salary		
Mir Kanon, Inc. & Peideau, Inc., Los Angeles CA (spouse)	Dir Fees		
Illinois Ambassadors (received 6/16/88)	Honoraria	$1000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Queen City Bank, Long Beach, CA	Interest on Savings	A
Industrial Bank of Washington	Dividend	A
Mir Kanon, Inc. & Peideau, Inc. (spouse)	Dividend	G
Merrill Lynch Money Market Account	Interest	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _X_ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Queen City Bank (shares)	D
Industrial Bank of Washington (shares)	A
Store inventory Much Ado About Something, Inc (Gifts & Accents shop) 2000 Pennsylvania Ave., NW, Wash., DC	A
Much Ado About Something, Inc., (Gifts & Accents Shop) 2000 Pennsylvania Ave., NW, Wash., DC	D

[illegible]

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Purchase of five (5) rare coins	11/88	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Century National Bank; line of credit for inventory & real improvements; Much Ado About Something, Inc. (spouse)	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Illinois Ambassadors (Speech;self)	roundtrip airfare Washington, D. C to Chicago, Ill. & lodging.
Connell Rice & Sugar Company (Speech; self)	roundtrip airfare Washington, D.C., to Newark, New Jersey

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President (Spouse)	Much Ado About Something, Inc.
Director (Spouse)	Mir Kanon, Inc. & Peideau, Inc.
Vice Pres. (Member)	Much Ado About Something, Inc.
President (Member)	CBC Foundation, Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 12, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

JULIAN C. DIXON
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
II	Wine Institute (received 6/28/88) Honoraria: $1000.00	
	Tobacco Institute (received 10/25/88) Honoraria: $2000.00	
	Connell Rice & Sugar Company (received 12/16/88) Honoraria: $2000.00	
	Syndicate It Productions (received 11/7/88) Fees: $517.80	
	Twelve (12) percent interest in	
III	Mir Kanom, Inc. & Peideau, Inc. (spouse) Shares	"E"
	Merrill Lynch Money Market Account; Shares	"E"
	Five (5) rare coins	"C"

GPO 1987 [illegible]

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MAY 15 PM 4:56

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Brian Donnelly
(Full Name)

59 Clearwater Drive
(Mailing Address)

Dorchester, MA 02126

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 11 State MA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed: identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
see continuation sheet page #1			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Workingmen's Co-Operative Bank	interest	A
Congressional Federal Credit Union	interest	A
property/house, Monroe County, Fl	rent	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _x_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Lehman Brothers Capital Fund, IRA	A
real property/house Monroe County, Fl	E
Workingmen's Co-Operative Bank (stock)	C
Congressional Federal Credit Union IRA	A
Congressional Federal Credit Union - Savings	B
Hewins Farm Realty Trust, Needham, MA	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
none		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Workingmen's Co-Operative Bank, Boston, MA	E
Wright Patman Federal Credit Union	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
none	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
none	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	none	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
J. Donnelly	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Congressman Brian Donnelly
(Name)

Continuation Sheet

Part *Source, Type, Amount, Category, Description or Value (As Applicable)*

DATE	SOURCE	TYPE	AMOUNT
2/2/88	National Venture Capital Assoc.	honoraria	1,000.00
2/4/88	American Assoc. of Equipment Lessors	honoraria	1,200.00
2/24/88	International Assoc. of Firefighters	honoraria	1,000.00
3/9/88	American Waterways Operators	honoraria	1,000.00
3/22/88	National Council of State Housing Agencies	honoraria	2,000.00
3/30/88	Hecht, Spencer&Ogelsby	honoraria	1,000.00
4/27/88	Alliance of American Insurers	honoraria	2,000.00
4/27/88	Akin, Gump, Strauss, Hauer & Field	honoraria	2,000.00
5/24/88	Peabody & Brown	honoraria	2,000.00
6/30/88	National Restaurant Assoc.	honoraria	1,000.00
9/6/88	American International Group	honoraria	2,000.00
12/16/88	Dawson Mathis & Assoc.	honoraria	2,000.00

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

89 MAY 15 PM 4: 34

Byron Leslie Dorgan
(Full Name)

1431 McLean Mews Court
(Mailing Address)

McLean, VA 22101

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District AL State North Dakota

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Spouse - Kimberly Olson Dorgan *Salary from APCO Associates January - August *September - December Sole proprietor in Public Relations Work $5,000 income			
1/21/88 National Rural Electric Cooperative Assn.	Honorarium		$1,000
1/28/88 Sterling Drug	"		2,000
(SEE CONTINUATION SHEET)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman Congressional Federal Credit Union	Interest	B
Capital Credit Union, Bismarck, ND	Interest	A
S&S Apartments, (8-plex) in Bismarck, ND	*Income/loss from rent	
Frontier Apartments, (11-unit) in Bismarck, ND	[illegible]come/loss from rent	
*NOTE: Gross rents from two apartment buildings tota[illegible]75,136 but resulted in a net loss after expenses for 1988.		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Frontier Apartments, 113 W. Interstate Avenue, Bismarck, ND	C - net equity *
S&S Apartments, 1001 E. Central Avenue, Bismarck, ND	C - net equity *
Wright Patman Congressional FCU & Capital Credit Union, Bismarck, ND	B
*NOTE: The market values of these buildings have decreased as a result of a depressed real estate market -- that has resulted in a reduction of my net equity.	
(SEE CONTINUATION SHEET)	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY			CATEGORY
Northwestern Federal Savings & Loan	)Mortgages on	*	(SEE HOLDINGS)
Kavaney Investors (Interstate Investments)	)two apartment	*	
Metropolitan Savings Bank (Rothschild)	)buildings	*	
Wright Patman Congressional FCU - Loan		A	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. *The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1988.*

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all other gifts aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Kemper Pro-Am Charity Golf -	Participant Gifts - $200	
Kemper Pro-Am Charity Golf -	Crystal Bowl - est. $200	

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a **SPEAKING ENGAGEMENT** or **FACT-FINDING EVENT** related to official duties, whether these expenses were **REIMBURSED** to the individual or **PAID DIRECTLY** by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Sterling Drug (1/16/88)	roundtrip airfare (Puerto Rico/DC)
	for speaking engagement; 1 night
	lodging for Dorgan and spouse
For the People Foundation (2/11/88)	airfare for Dorgan one-way (Houston/
SEE CONTINUATION SHEET	DC); roundtrip for spouse (Houston/
	DC), one night lodging for Dorgan
	and spouse for speaking engagement

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature: Byron L. Dorgan

Date: May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Byron Leslie Dorgan
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II. A			
2/1/88	Paine-Webber	Honorarium	$1,500
2/3/88	National Liquor Stores	"	2,000
2/5/88	American Mining Congress	"	1,000
2/9/88	Credit Union National Assn.	"	1,000
2/23/88	Nat'l Council of Farmers Cooperatives	"	1,000
2/25/88	Smokeless Tobacco	"	1,000
3/18/88	Campbell-Raupe (The New England)	"	2,000
3/4/88	Connell Rice & Sugar	"	2,000
3/8/88	National Assn. of Federal Credit Unions	"	500
3/9/88	NASBIC	"	500
3/18/88	Securities Industries Assn.	"	2,000
3/18/88	Tax Executives Institute, Inc.	"	1,000
4/15/88	American Bar Assn. - Tax Section	"	1,000
4/22/88	Health & Life Organization	"	500
5/4/88	Financial Exec. Institute	"	500
5/6/88	Nat'l Assn. of Broadcasters	"	1,500
5/12/88	Lutheran Brotherhood	"	2,000
5/17/88	Nat'l Assn. of Retail Druggists	"	1,000
5/20/88	Nat'l Venture Capital Assn.	"	2,000
6/7/88	Tobacco Institute	"	1,000
6/23/88	Independent Bankers	"	2,000
6/28/88	Wine Institute	"	1,000
7/15/88	Philip Morris	"	1,000
7/22/88	American International Group	"	2,000
9/8/88	Interstate Natural Gas Assn. of America	"	2,000

NOTE: The above total represents $35,000 of outside income from speaking fees with $8,150 of it donated to charity.

III.
HOLDINGS: The two apartment buildings described above, Frontier Apartments and S&S Apartments have a total value of $400,000 to $450,000. The estimated value of Frontier Apartments is $225,000 and the estimated value of S&S Apartments is $210,000. My equity in those two buildings I estimate to be about $80,000. The category I've designated on the disclosure form represents my equity interest in these buildings. The difference between the gross value and my equity is represented by a mortgage at Northwestern Federal (est. $95,000) and at Interstate Investments (est. $62,000) on Frontier Apartments, and a mortgage (of $136,000) at Rothschild Financial and a ($24,500) loan at Metropolitan Federal on the S&S Apartment building.

VII.

3/4/88	Connell Rice & Sugar - roundtrip airfare (New York/DC) for speaking engagement
4/9/88	Nat'l Assn of Broadcasters - roundtrip airfare (Las Vegas/DC); 2 nights lodging & expenses for speaking engagement
5/6/88	American Horse Council - airfare for Dorgan (Bismarck, ND/Lexington, KY/DC), roundtrip (Lexington, KY/DC) for spouse & two nights lodging for Dorgan and spouse for meeting with American Horse Council

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

ROBERT K. DORNAN
(Full Name)

301 CANNON HOUSE OFFICE BUILDING
(Mailing Address)

WASHINGTON, D.C. 20515

MC (Office Use Only)

MAY 11 11: 20

Check the appropriate box and fill in the blanks.

X☒ Member of the U.S. House of Representatives—District 38 State CALIFORNIA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT–2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NAXXX ______

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
--see attachment--			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001–$2,500; C—$2,501–$5,000; D—$5,001–$15,000; E—$15,001–$50,000; F—$50,001–$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
--none--		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001–$15,000; C—$15,001–$50,000; D—$50,001–$100,000; E—$100,001–$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ____ NA XXX

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
--none--	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
--none--		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
--none--	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
--none--	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
--none--		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
--see attachments--	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 12.

POSITION	NAME OF ORGANIZATION
--none--	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	--none--	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Robert K. Dornan	MAY 11, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

CONGRESSMAN ROBERT K. DORNAN

Continuation Sheet

PART II, A. INCOME

1. Columbia Institute Conference, Indianapolis, Indiana, speaking honorarium, $1000
2. KLMO-TV "Aids: Public Health v. Human Rights", Seattle, Washington, guest appearances, $2000, paid by Barton- Prince Productions
3. Jackson County Republican Party, Medford, Oregon, speaking honorarium, $1000
4. Cardinal Mindzenty Foundation 30th Anniversary, St. Louis, Missouri, speaking honorarium, $1000
5. Castleton College, Rutland, Vermont, speaking honorarium, $1000
6. Houston Life Advocates, Houston, Texas, speaking honorarium, $1000
7. Dallas Right To Life, Dallas, Texas, speaking honorarium, $1000
8. Schwan's Ice Cream Convention, Las Vegas, Nevada, speaking honorarium, $2000

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Page 1 of 3

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

CONGRESSMAN ROBERT K. DORNAN

Continuation Sheet

Part VII REIMBURSEMENTS

1. George Bush for President, campaign appearances in Tennessee, Georgia, Florida, Michigan, California, January 11-16, all airfare and lodging
2. Morton Downey Show, Secaucus, New Jersey, guest apperance, January 26, one night's lodging and roundtrip airfare between Washington, D.C. and Newark, New Jersey
3. Columbia Institute Conference, Indianapolis, Indiana, speaking engagement, January 29, airfare: Washington, D.C.-Indianapolis-Los Angeles
4. KLMO-TV, Seattle Washington, guest appearance, January 31, roundtrip airfare between Los Angeles and Seattle (check was for: $2958, $2000 honorarium & 958 first class?)
5. George Bush for President, campaign appearances in New Hampshire, North and South Carolina, Tennessee, Louisana, Florida, February 6-19, all airfare and lodging
6. Jackson County Republican Party, Medford Oregon, speaking appearance, February 21, one night's lodging and airfare: San Jose, California-Medford, Oregon-Washington, D.C.
7. George Bush for President, campaign appearances in Mississippi, Texas, Oklahoma, Feburary 26-28, all lodging and airfare
8. George Bush for President, campaign appearances in Georgia, Alabama, North Carolina, Texas, Tennessee, March 4-9, all lodging and airfare
9. George Bush for President, campaign appearances at California Republican Assembly Nominating Convention, Redwood City, California, March 18-20, all lodging and airfare
10. Cardinal Mindzenty Foundation, St. Louis, Missouri, speaking engagement, April 23, airfare: Washington-St.Louis-Los Angeles
11. George Bush for President, campaign appearances in Michigan, and Mississippi, May 20-21, all lodging and airfare

Page 2 of 3
Part VII

12. "The World This Week", British Independent Television, guest appearance, June 18, two nights lodging and airfare: Washington-London-Washington

13. United Conservatives of Ohio, Columbus, Ohio, speaking engagement, June 27, airfare: Los Angeles-Columbus-Washington, D.C.

14. George Bush for President, campaign appearance in Washington State, July 1, roundtrip airfare: Los Angeles-Tacoma

15. Morton Downey Show, Secaucus, New Jersey, guest appearance, July 7, one night's lodging and rountrip airfare: Washington-Newark

16. National Republican Congressional Committee, campaign appearance at Atlanta Democratic Convention, July 20-21, one night's lodging and airfare: Washington-Atlanta-Los Angeles

17. George Bush for President, campaign appearances in Massachusetts, North Carolina, Tennessee, September 10-12, all lodging and airfare

18. George Bush for President, campaign appearances in Michigan, September 20, airfare: Los Angeles-Detroit-Washington

19. George Bush for President, campaign appearances in New York City, September 24, one way airfare: Washington-New York

20. George Bush for President, campaign appearances in Connecticut and Massachusetts, September 25, one night's lodging and airfare

21. Castleton State College, Rutland, Vermont, speaking appearance, September 26, one night's lodging and airfare: Boston-Rutland-Washington

22. Houston Life Advocates, Houston Texas, speaking engagement, October 1, airfare: Washington-Houston-Los Angeles

23. George Bush for President, campaign appearances in Massachusetts, October 7, airfare: Washington-Boston-Washington

24. George Bush for President, campaign appearance in Ohio, October 13, one night's lodging and airfare: Washington-Cincinnati-Washington

Page 3 of 3
Part VII

25. George Bush for President, campaign appearances in Texas, Kansas, Washington, D.C., New York, New Jersey, November 1-4, all lodging and airfare

26. Schwan's Ice Cream Convention, Las Vegas, Nevada, speaking engagement, December 2, airfare: Los Angeles-Las Vegas-Los Angeles

27. "The World This Week", British Independent Television, guest appearance, December 4, two nights lodging and airfare: Washington-London-Washington

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HAND DELIVERED

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1989 MAY 16 AM 11: 38

ROBERT K. DORNAN
38TH DISTRICT, CALIFORNIA

INTELLIGENCE COMMITTEE

ARMED SERVICES COMMITTEE
SUBCOMMITTEES:
COMBAT READINESS
RESEARCH AND DEVELOPMENT

SELECT COMMITTEE ON
NARCOTICS ABUSE AND CONTROL

PERMANENT OBSERVER TO
GENEVA ARMS TALKS

Congreſs of the United States
House of Representatives

CHAIRMAN
HOUSE REPUBLICAN STUDY
COMMITTEE

CHAIRMAN
RSC TASK FORCE ON
FOREIGN AFFAIRS

EXECUTIVE COMMITTEE
HOUSE REPUBLICAN RESEARCH
COMMITTEE

TASK FORCES:
AMERICANS MISSING IN ACTION
BUDGET REFORM
INTERNATIONAL NARCOTICS CONTROL
DEFENSE HIGH TECHNOLOGY
HISPANIC CAUCUS
GRACE COMMISSION CAUCUS

May 16, 1989

✓
MC

The Honorable Julian C. Dixon
Chairman
Committee on Standards of Official Conduct
Suite HT-2, The Capitol
Washington, D.C. 20515

Dear Mr. Chairman:

Pursuant to your letter of May 15th I have attached hereto an amendment to my 1988 Financial Disclosure Report.

As requested, I have included the date of receipt for all honorarium received.

If you have any additional questions, please feel free to contact me.

Sincerely,

Bob Dornan
Robert K. Dornan
U.S. Congressman

WASHINGTON, DC OFFICE: 301 Cannon House Office Building, Washington, DC 20515, (202) 225-[illegible]
DISTRICT OFFICE: 12387 Lewis Street, #[illegible], Garden Grove, CA [illegible], (714) 971-[illegible]

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1989 MAY 16 AM 11:38

Page 1 of 1

AMENDMENT

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

CONGRESSMAN ROBERT K. DORNAN

Continuation Sheet

PART II, A. INCOME

1. Columbia Institute Conference, Indianapolis, Indiana, speaking honorarium, $1000, January 29, 1988
2. KLMO-TV "Aids: Public Health v. Human Rights", Seattle, Washington, guest appearances, $2000, paid by Barton- Prince Productions, January 31, 1988
3. Jackson County Republican Party, Medford, Oregon, speaking honorarium, $1000, February 21, 1988
4. Cardinal Mindszenty Foundation 30th Anniversary, St. Louis, Missouri, speaking honorarium, $1000, April 23, 1988
5. Castleton College, Rutland, Vermont, speaking honorarium, $1000, September 26, 1988
6. Houston Life Advocates, Houston, Texas, speaking honorarium, $1000, October 1, 1988
7. Dallas Right To Life, Dallas, Texas, speaking honorarium, $1000, October 1, 1988
8. Schwan's Ice Cream Convention, Las Vegas, Nevada, speaking honorarium, $2000, December 2, 1988

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UNITED STATES HOUSE OF REPRESENTATIVES

INSIDE MAIL

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Charles G. Douglas
(Full Name)

14 Ridge Road
(Mailing Address)

Concord, N.H. 03301

MC
(Office Use Only)

1989 MAY 24 AM 10 30

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2 State N.H.

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

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- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

EARNED:

SOURCE:	TYPE	AMOUNT	DISPOSITION
McSwiney, Jones, Semple & Douglas	P'ship	$90,877	NONE
McSwiney, Jones, Semple & Douglas, PC.	Salary	73,119	NONE
N.H. Army National Guard	Pay	3,627	NONE
Phi Alpha Delta Public Service Ctr. Bethesda, MD. (Spouse)	Fee	N/A	NONE
N.H. Council for Law Related Educ. Concord, NH. (Spouse)	Salary	N/A	NONE

UNEARNED:

SOURCE	TYPE	CATEGORY
Equity Publishing Corp.	Royalties	B
Equity Publishign Corp. (Spouse)	Royalties	E
McSwiney, Jones, Semple & Douglas, P.C.	Interest	C
Bank of N.H., Concord, NH.	Interest	A
Northwestern Mutual Life, Milwaukee, Wisconsin	Interest	A
Charles Schwab & Co., S.F., Calif.	Dividends	A

III. HOLDINGS

IDENTITY	TYPE	CATEGORY
Potala Realty, Inc., School St., Concord	Common Stock	C
Guardian Funds, NY (spouse)	Mutual Funds	B
Fidelity Funds, Boston, MA	Mutual Funds	B
Stamp, Coin, Silver collection, Concord, NH	Miscellaneous	C
McSwiney, Jones, Semple & Douglas, P.C. Concord, NH	Common Stock	C
Playa Linda Resort, Aruba	Time Share	B
Fidelity Funds, Boston, MA (Spouse)	Mutual Fund	C
The Ridge, Lake Tahoe, Nevada	Time Share	B
Charles Schwab & Co., San Francisco, CA	Common Stock (listed on annex)	B
Bank of New Hampshire, N.A., (See Schwab list)	Common Stock	A
Potala Realty, Inc., School Street, Concord, NH (spouse)	Common Stock	C
Douglas for Congress	Loan to Campaign	C
McSwiney, Jones, Semple & Douglas, P.C. Incentive Savings Plan (began 1988)	401K	B
Merrill Lynch, NY (IRA)	Bond	A

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES________ NO___X___ NA________

IV. TRANSACTIONS

Fidelity Funds, Boston, MA. SEPP Investment for Calendar 1988 and intrafund transfers (spouse)	B
Schwab & Co., S.F., California sale of Wang Labs (see attached)	A
Purchase of Purecycle & Northewest Passage through Brennan Ross Securities, Inc. Denver, Colorado (see attached)	A
McSwiney, Jones, Semple & Douglas, P.C. Incentive Savings Plan invested & contributions in 1988	B

[illegible]

V. LIABILITIES

IDENTITY		CATEGORY
Irving Trust Company, New York, NY	Personal Note	C
United Fidelity Trust of Aruba, Aruba	Mortgage	B
The Ridge, Tahoe, Nevada	Mortgage	B
Lincoln First National Bank, NY	Personal Note	B
First National Bank of Deleware, Wilmington, Delaware	Revolving Credit	B
Merrimack County Savings Bank, Concord, NH - (1/2 Interest in a $121,000 mortgage to secure real estate holding listed above as Potala Realty, Inc. (husband & wife)		E

Total value gross is in excess of mortgage in the high end of the E range.

VI. GIFTS

NONE

3 3 0 7 0 2 1 2 5 - 0

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	McSwiney, Jones, Semple & Douglas, P.C., Concord, N.H.
Treasurer	N.H. Mediation Program, Concord, NH (nonpaid)
President	N.H. Constitution Education Corp., Concord, NH (nonpaid)
Chairman	N.H. Council for Law Related Education, Concord, NH (nonpaid)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	N/A for 1988	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Charles G. Douglas	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Charles Schwab Statement
America's Largest Discount Broker

ACCOUNT NUMBER	TAX ID NUMBER	PERIOD COVERED	LAST STATEMENT	PAGE
BN 2932-3755	188-34-3064	JAN 1 - JAN 31 1988	DEC 1987	1

TITLE OF ACCOUNT	OFFICE SERVING YOUR ACCOUNT
CHARLES G DOUGLAS III 49 RIDGE ROAD CONCORD NH 03301	82 WATER STREET BOSTON MA 02109 617-482-9500

*** FOR QUESTIONS ABOUT THIS STATEMENT: 800-742-6262 EXT. 401 ***

STATUS OF KEY VALUES AS OF JAN 31 1988	
Investments Owned	$7,794.38
Net Portfolio Value	$7,794.38
Ending Cash Balance	$192.84
TOTAL ACCOUNT VALUE	$7,987.22
Total Funds Available	$192.84

ACCOUNT TRANSACTION SUMMARY	
OPENING CASH BALANCE	$155.84
Investment Income	$37.00
Other Credits	$1,334.76
Total Credits	$1,371.76
Investment Purchases	($1,334.76)
Total Debits	($1,334.76)
ENDING CASH BALANCE	$192.84

INVESTMENT INCOME SUMMARY

SOURCE OF INCOME	CURRENT PERIOD	YEAR TO DATE
FEDERALLY TAXABLE Cash Dividends	$37.00	$37.00

RATE SUMMARY AS OF 01/31	
MMP Yield	6.51%
TEF Yield	4.37%
GSP Yield	6.37%
Schwab 1 Yield	6.50%
Margin Loan Rate	8.75%
to	9.75%

PORTFOLIO POSITION DETAIL

CATEGORY	ST	LONG/ SHORT	QUOTE SYMBOL	QUANTITY	INVESTMENT DESCRIPTION	LATEST PRICE	MARKET VALUE
STOCKS	C	Long	BSDM	300	B S D MED CORP DEL	1.3750	$412.50
	C	Long	BNHC	100	BANK NEW HAMPSHIRE CORP	18.0000	$1,800.00
	C	Long	BENH	100	BANKEAST CORP	8.5000	$850.00
	C	Long		50	BIRDVIEW SAT COMUN INC	N/A	N/A
	C	Long	MIGI	100	MERIDIAN INSURANCE GROUP	5.7500	$575.00
	C	Long	MEMB	185	MICRO MEMBRANES INC	.3750	$69.38
	C	Long	NFSF	100	N F S FINANCIAL CORP	10.2500	$1,025.00
	C	Long	NHSB	100	NEW HAMPSHIRE SVGS BK	12.7500	$1,275.00
	C	Long		100	PINCH OR POUND	N/A	N/A
	C	Long	SNIC	3000	SIGMATRON NOVA INC	.1250	$375.00
	C	Long	WANB	100	WANG LABS INC CLASS B	14.1250	$1,412.50
Net Portfolio Value					$7,794.38		

ACCOUNT TRANSACTION DETAIL

DATE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
*****			Opening Cash Balance		$155.84
01/13	Bought 01/06	300	B S D MED CORP DEL	1.5000	($484.00)
01/13	Bought 01/06	100	MERIDIAN INSURANCE GROUP	6.6250	($696.50)
01/13	Bought 01/06	185	MICRO MEMBRANES INC	.6250	($154.26)
01/14	Misc Cash Entry		FUNDS RECEIVED		$1,334.76

* * * CONTINUED ON NEXT PAGE * * *

SEE REVERSE SIDE FOR STATEMENT INFORMATION

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CHARLES G DOUGLAS III
14 RIDGE ROAD
CONCORD NH 03301

TAX I.D. # 186-24-3044 1988

BN 2932-5708

AB & CO., INC
101 MONTGOMERY STREET
SAN FRANCISCO, CA 94104

FEDERAL I.D. # 94-1737782

Recipients of Proceeds from Broker and Barter Exchange Transactions
This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if this income is taxable and the IRS determines that it has not been reported.

A. DATE OF SALE	B. QUANTITY	C. DESCRIPTION	D. CUSIP NO.	E. SALES PROCEEDS (LESS COMMISSIONS)	F. FEDERAL INCOME TAX WITHHELD
05/22/88	100	[illegible] LABS INC CLASS B	[illegible]	1,179.38	

Form 1099-B (SUBSTITUTE)

Copy
For Recipient

590/0272500

Charles Schwab [redacted] Statement

America's Largest Discount Broker

ACCOUNT NUMBER	TAX ID NUMBER	PERIOD COVERED	[illegible]	PAGE
BN 2932-3755	188-34-3064	FEB 1 - FEB 28 1989	JAN 1989	1

TITLE OF ACCOUNT	OFFICE SERVING YOUR ACCOUNT

CHARLES G DOUGLAS III
14 RIDGE ROAD
CONCORD NH 03301

82 WATER STREET
BOSTON MA 02109

617-482-9500

*** FOR QUESTIONS ABOUT THIS STATEMENT: CONTACT YOUR BRANCH ***

STATUS OF KEY VALUES AS OF FEB 28 1989	
Investments Owned	$6,071.75
Net Portfolio Value	$6,071.75
Ending Cash Balance	$213.00
TOTAL ACCOUNT VALUE	$6,284.75
Change in Value from 01/89	($157.46)
Total Funds Available	$213.00

ACCOUNT TRANSACTION SUMMARY	
OPENING CASH BALANCE	$201.00
Investment Income	$12.00
ENDING CASH BALANCE	$213.00

INVESTMENT INCOME SUMMARY		
SOURCE OF INCOME	CURRENT PERIOD	YEAR TO DATE
FEDERALLY TAXABLE Cash Dividends	[illegible]	$50.00

RATE SUMMARY AS OF 02/28	
MMP Yield	8.44%
TEF Yield	5.89%
GSP Yield	8.67%
Schwab 1 Yield	8.71%
Margin Loan Rate	11.37% to 12.37%

PORTFOLIO POSITION DETAIL

CATEGORY	S T	LONG/ SHORT	QUOTE SYMBOL	QUANTITY	INVESTMENT DESCRIPTION	LATEST PRICE	MARKET VALUE
STOCKS	C	Long	BSDM	300	B S D MED CORP DEL	2.3750	$712.50
	C	Long	BNHC	100	~~BANK NEW HAMPSHIRE CORP~~	21.5000	~~$2,150.00~~
	C	Long	BENH	100	BANKEAST CORP	6.6250	$662.50
	C	Long		50	BIRDVIEW SAT COMUN INC ** DO NOT RECEIVE IN **	N/A	N/A
	C	Long	MIGI	100	MERIDIAN INSURANCE GROUP	4.2500	$425.00
	C	Long		185	MICRO MEMBRANES INC	.0500	$9.25
	C	Long	NFSF	100	N F S FINANCIAL CORP	9.7500	$975.00
	C	Long	NHSB	100	NEW HAMPSHIRE SVGS BK	9.5000	$950.00
	C	Long		100	PINCH OR POUND ** DO NOT RECEIVE IN **	N/A	N/A
	C	Long	SNIC	3000	SIGMATRON NOVA INC	.0625	$187.50
Net Portfolio Value					$6,071.75		

ACCOUNT TRANSACTION DETAIL

DATE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
*****			Opening Cash Balance		$201.00
02/15	Cash Dividend		NEW HAMPSHIRE SVGS BK		$12.00
*****			Ending Cash Balance		$213.00

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PERIOD ENDING  FIRM  TAX IDENTIFICATION  PERIOD   PAGE NO
  12/30/88     100   188-34-3084         ANNUAL     1       080-440      BRENNAN ROSS SECURITIES INC
ACCOUNT NO.   AE NO.                                                     5975 DTC BLVD. SUITE 180
                                                                         ENGLEWOOD CO 80111
  90-18420    440   CHARLES G DOUGLAS                                    (800) 444-2774
                    BOX 199
                    SKIDMORE COLLEGE
                    SARATOGA SPRINGS NY 12866
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| [illegible] |       DESCRIPTION                              |  PRICE  |   DEBIT   |  CREDIT  |
                 ......... ACTIVITY THIS YEAR

1-4 01/28        1000      PURECYCLE CORPORATION               .33          330.00
                             TRADED AS OF 01/18/88
1-4 01/28                  CUST CKS REC FIB L/B DVR            R                        330.00
1-4 02/08                  CUSTOMER CHECKS REC'D FIB L/B DENVER R                       810.00
1-4 02/11       10000      UTS-NORTHWEST PASSAGE OF NORTH AMER .08          810.00
                             REBILLED TO CORR ACCT
                             TRADED AS OF 02/04/88
                                                 NY
1-4 02/11            1000 PURECYCLE CORPORATION                DEL
1-4 03/14       30000      UTS-NORTHWEST PASSAGE OF NORTH AMER .031       1,030.00
                             UNSOLICITED ORDER
1-4 03/18                  CUST CKS REC FIB L/B DVR            R                        700.00
1-4 03/18                  CUST CKS REC FIB L/B DVR            R                        330.00
1-4 06/08           30000 UTS-NORTHWEST PASSAGE NO AM 1CNA1A   DEL
1-4 06/13       30000      UTS-NORTHWEST PASSAGE NO AM 1CNA1A   REC
1-4 10/21           30000 UTS-NORTHWEST PASSAGE NO AM 1CNA1A   DEL

                           ACTIVITY NET AMOUNT                                   $ -0-

     ***************************** S T A T E M E N T   S U M M A R Y *****************************

     ACCOUNT                              OPENING       CLOSING
     TYPE      TYPE OF ACCOUNT            BALANCE       BALANCE        MKT VALUE      EQUITY

       1-4     CASH ACCOUNT                 -0-           -0-

                              .... END OF STATEMENT ....
```

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

THOMAS J. DOWNEY
(Full Name)

155 Cedar Street
(Mailing Address)

Amityville, New York 11701

MC (Office Use Only)

89 MAY 15 PM 2 56

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2 State NY

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,038, as of May 15, 1989.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Attached			
U.S. Senate Disbursing Office (Spouse)	Salary		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE see attached	TYPE	CATEGORY
155 Cedar Street		
Amityville, New York - 2 -family dwelling	rent	D
323 D Street, S.E.	rent	D
Washington, D. C.		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO X NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
155 Cedar Street	
Amityville, New York (rental Property)	E
323 D Street, S.E.	E
Washington, D. C.	

see attached

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
N/A		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
CrossLand Mortgage Company P. O. Box 30065 Salt Lake City, Utah 84130	F
Bank of Long Island -- home equity West Babylon, New York	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
N/A	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
N/A	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
U.S. President	Parliamentarians Global Action

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
N/A		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-12-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

II. INCOME

SOUCE	TYPE	AMOUNT	DISPOSITION
American Electronic Manufacturers January 8, 1988 Washington, D. C.	honorarium	$1,000	
Computer and Business Equipment Manufacturers January 26, 1988 Washington, D. C.	honorarium	1,000	
Kenneth G. Meuser and Associates January 19, 1988 Washington, D. C.	honorarium	2,000	
Chocolate Manufacturers Association February 10, 1988 Washington, D. C.	honorarium	2,000	
Autranet, Inc. February 10, 1988 Washington, D. C.	honorarium	1,000	
United States Telephone Association March 17, 1988 Washington, D. C.	honorarium	1,000	
Association of America Publishers March 21, 1988 Palm Beach, Florida	honorarium	1,000	Donated: AIDS project
Autranet, Inc. March 17-20, 1988 Beaver Creek, Colorado	honorarium	2,000	
National Marine Manufacturers April 19, 1988 Washington, D. C.	honorarium	1,000	
Soft Drink Association April 19, 1988 Washington, D. C.	honorarium	2,000	
Securities Industry Association March 4, 1988 Washington, D. C.	honorarium	2,000	
Distilled Spirits Council April 14, 1988 Washington, D. C.	honorarium	1,000	

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Employers Council on Flexible Compensation March 24, 1988 Washington, D. C.	honorarium	2,000	
Metropolitan Distribution April 11, 1988 Washington, D. C.	honorarium	2,000	
Department of Surgery University of Massachusetts Medical Center May 7, 1988 Worcester, Massachusetts	honorarium	500	
Coalition of Publicly Traded Partnerships April 28, 1988 Washington, D. C.	honorarium	1,000	
IDS Advisory Group, Inc May 6, 1988 Washington, D. C.	honorarium	2,000	
Mutual of New York June 21, 1988 Washington, D. C.	honorarium	1,000	donated: HUGS
Metropolitan Life Insurance May 27, 1988 New York	honorarium	2,000	
Wunder and Diefenderfer June 8, 1988 Washington, D. C.	honorarium	1,000	donated: HUGS
Alliance for Capital Access June 20, 1988 New York, New York	honorarium	2,000	donated: 1,000YMCA-BayShore 1,000-Foster Grandparents West Islip
International Ice Cream Association June 23, 1988 Washington, D. C.	honorarium	2,000	donated: HUGS
National Custom Brokers and Forwarders Association September 25, 1988 Washington, D. C.	honorarium	500	donated: Copiague Harbour Civic Association
The Washington Tax July 27, 1988 Washington, D. C.	honorarium	1,000	donated: HUGS
Newsday	article	100	donated: Church of the Brethren
Gannett Company,Inc	article	125	endorsed to staff

II. INCOME

UNEARNED INCOME:

Congressional Federal Credit Union	interest	A
American Cable TV Investors	interest	A
Integrated Cash Fund	dividend	A
Integrated Income Plus Fund	dividend	A
Integrated Money Market	dividend	A

III. HOLDINGS

American Cable TV Investors	securities	B
Integrated Cash Fund	securities	B

89[illegible]

VII. REIMBURSEMENTS

Association of American Publishers Speech; expenses	Round-trip airfare; Palm Beach, Florida *to Washington* - 1 day food/lodging
Autranet, Incorporated Speech, expenses for self and spouse	Round-trip airfare; Washington, D. C. to Denver Colorado to Palm Beach, Florida - 3 days food/lodging spouse airfare-Washimgton/Denver/Washingto
Stockholm, Sweden Meeting/conference; expenses	Round-trip airfare; New York to Sweden with return to New York 1 day food/lodging Expenses paid for by Parliamentarians Global Action

With this in mind, I respectfully request, Mr. Speaker, that Mr. Patterson's letter be included in today's CONGRESSIONAL RECORD:

Rochester, NY,
February 24, 1989.

Hon. Frank Horton,
U.S. House of Representatives,
Washington, DC

Dear Mr. Horton: With your permission, let's sit back and take a look at what Congress has done to the current and future Senior Citizens of this country . . . those who struggled through a Depression, risked their lives and gave up several years of their life in a World War and then worked diligently to build a financial reserve for a well earned retirement in spite of these obstacles.

First, Congress developed a plan whereby those born from 1917 to 1921 would receive a smaller amount of Social Security for the same earnings [illegible] than those born prior to or after those dates . . . a just reward for serving your country in World War II. [illegible]

Then, Congress put a tax on 50% of the Social Security for those who worked hard [illegible]

Next, Congress did the Seniors a big favor by passing a Catastrophic Health Bill without informing them of the pros and [illegible]

Did they publicize that the cost will be borne by about [illegible] of the Seniors and the other [illegible] will be receiving "Welfare Benefits" at no cost to them?

[illegible]

Did they publicize that only a very small percentage ever reach the catastrophic provision?

Did they publicize that the cost to a Senior Couple will rise from the initial [illegible] a year to [illegible] a year in [illegible] . . . this estimate from the Treasury Department?

The answers are "No" and the reason is because they had an election coming up and [illegible]

Now let's go one step further and look at what the results are on Seniors ONLY:

1. [illegible]

2. The new Medicare tax for the Catastrophic Health Bill [illegible]

3. [illegible]

Needless to say, I am angry with Congress [illegible]

Congress should:

1. Repeal the law immediately.

2. Hold public hearings to hear what the Senior Citizens really want and need.

3. Explain in detail the projected costs and benefits.

4. Spread the cost over the entire population who will all benefit some day the same way they do under Social Security.

Thank you for your indulgence.

Sincerely,

Delmer G. Patterson.

SUMMARY OF 1988 TAX RETURN DATA

HON. THOMAS J. DOWNEY

OF NEW YORK

IN THE HOUSE OF REPRESENTATIVES

Wednesday, April 12, 1989

Mr. DOWNEY. Mr. Speaker, I am once again making a summary of my tax return public because I believe that Federal officeholders should be forthcoming about the sources of their income. Therefore, I ask that the following summary be printed in the official RECORD of the day's proceedings:

Hon. Thomas J. Downey, Summary of 1988 Tax Return Data

Salary—U.S. House of Representatives	[illegible]
Less Contributions to sec. 401(k) plan	[illegible]
Salary—U.S. Senate Disbursing Office (Mrs. Downey)	[illegible]
Interest income	[illegible]
Dividend income	[illegible]
New York State tax refund	[illegible]
Rental and partnership losses (after application of passive loss limitations)	[illegible]
Business income—Honoraria (Gross)	[illegible]
Total income	[illegible]
Less Contributions to Keogh retirement plan	[illegible]
Adjusted gross income	[illegible]
Itemized deductions:	
Taxes	[illegible]
Interest expense	[illegible]
Contributions	[illegible]
Miscellaneous deductions (after 2 percent AGI limitation)	[illegible]
Total itemized deductions	[illegible]
Total	[illegible]
Less: Personal exemptions	[illegible]
1988 taxable income	[illegible]
Federal income tax	[illegible]
New York State income tax	[illegible]
Colorado income tax	[illegible]

INTRODUCTION OF LEGISLATION REFORMING MEDICARE CAPITAL PAYMENT SYSTEM

HON. BRIAN J. DONNELLY

OF MASSACHUSETTS

IN THE HOUSE OF REPRESENTATIVES

Wednesday, April 12, 1989

Mr. DONNELLY. Mr. Speaker, I am introducing legislation today to reform the system used under the Medicare Program to reimburse hospitals for capital expenditures. The current system, in my view, encourages building and under-utilization, and fails to provide hospitals which accept their responsibility to the poor with sufficient incentives to provide uncompensated care.

As the ranking Democrat on the Subcommittee on Health, I become concerned when I hear of hospitals with low occupancy—especially at a time when our subcommittee is faced with the potential of cutting [illegible] billion out of the Medicare Program. To the extent that the current system of reimbursement for capital costs encourages over-building, that system needs to be reformed.

President Bush's proposal in the area of capital reimbursement does not, in my view, properly address the need for reform of the system. In many ways, the proposal is more revenue driven than policy driven. Nonetheless, if the Subcommittee on Health is unable to resolve the very serious policy questions concerning capital reimbursement, I am prepared to support the President's recommendation.

In general, States which have [illegible] tend to have hospitals with the lowest rates of occupancy. Some estimates show that there are [illegible] staffed but unused beds in the country. This translates into a [illegible] percent occupancy rate nationwide. There is clearly too much excess capacity—especially at a time when the Federal Government will spend [illegible] billion on capital reimbursement in fiscal year 1989. If States are not able to limit hospital overbuilding, the Federal Government should not be writing a blank check to hospitals. My bill imposes an additional level of control on hospital capital reimbursement to discourage overbuilding and to encourage hospitals to address their true mission: caring for sick people.

A description of present law, and my bill, follows:

PRESENT LAW

Medicare reimburses hospitals on the basis of a prospective payment system (PPS). The major exception to this general rule is reimbursement for capital expenditures, which are generally reimbursed on a reasonable cost (i.e., passthrough) basis.

When the prospective payment system was created in 1983, Congress agreed to incorporate capital reimbursement into the prospective payment system in [illegible]. [illegible] However, Congress has twice delayed the effective date of linking capital reimbursement to PPS; thus, under current law, capital payments are reimbursed on a reasonable cost basis through October 1, 1991.

Under present law, capital payments to hospitals are reduced by [illegible] in fiscal year 1989. Beginning October 1, 1989, there will be no reduction in capital payments. Reimbursable capital expenditures include depreciation, interest, and return on equity capital of proprietary hospitals.

The prospective payment system also contains a disproportionate share adjustment, which is an attempt to measure the amount of care provided to low income individuals. In general, a hospital receives an increase in its PPS payment when [illegible] or more of its patients are low income. The percentage of low income patients is determined by adding two fractions. The first fraction compares Medicare beneficiaries who also receive a SSI benefit to total Medicare patient days.

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

HON. DAVID DREIER, M.C.
(Full Name)

Room 411, Cannon House Office Building
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

MAY 15 PM 3:43

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 33rd State California

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE CONTINUATION SHEET			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
SEE CONTINUATION SHEET		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _XX_ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SEE CONTINUATION SHEET	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
SEE CONTINUATION SHEET		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
SEE CONTINUATION SHEET	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Member of the Board of Trustees	Foundation For The Private Sector
Vice President	Dreier Development Company

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
David Dreier	5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

HON. DAVID DREIER, M.C.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
INCOME II-A:	Date	Source	Type	Amount
	2/5/88	California Federal S & L Ass'n.	Honorarium	2,000
	2/12/88	American Bankers Association	Honorarium	2,000
	2/16/88	Mortgage Bankers Ass'n of America	Honorarium	1,000
	3/4/88	First Interstate Bank	Honorarium	1,500
	4/8/88	TRW Information Systems Group Staff	Honorarium	2,000
	4/15/88	Drexel Burnham Lambert	Honorarium	1,000
	4/7/88	California Bankers Association	Honorarium	1,000
	5/26/88	Brown & Williamson Tobacco Corp.	Honorarium	1,000
	5/28/88	1988 A.C.I. Congress, Inc.	Honorarium	2,000
	6/17/88	Cal. Society of Enrolled Agents	Honorarium	1,000
	9/26/88	American Bankers Association	Honorarium	2,000
	10/20/88	Claremont McKenna College	Honorarium	2,000
	10/23/88	Mortgage Bankers Association	Honorarium	2,000
	11/16/88	Great Western Savings and Loan	Honorarium	2,000
	11/17/88	Nat'l Center for Policy Analysis	Honorarium	2,000
	11/21/88	Irvine Corporation	Honorarium	2,000
	11/19/88	Western Independent Bankers	Honorarium	1,000 *

* (assigned to charity)

Part	Source	Type	Category
INCOME II-B:	Wright Patman Federal Credit Union	Interest	A
	The Southgate Bank	Interest	A
	Empire Bank, N.A.	Interest	D
	1st Interstate Bank	Interest	A
	Business Men's Assurance Company of America	Dividends	A
	BMA Corporation	Dividends	A
	American Capital Pace Fund	Dividends	A
	Ford Motor Company	Dividends	A
	Rubbermaid, Inc.	Dividends	A
	International Business Machines, Inc.	Dividends	B
	Merrill Lynch Cash Management Account	Dividends	B
	Roseville Telephone Company	Dividends	A
	1st American Bank, N.A.	Dividends	A
	N. B. Clearing Corporation	Dividends	A

0 9 0 7 0 2 9 2 2 5 5

Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

HON. DAVID DREIER, M.C.
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

III - HOLDINGS:

Identity	Category
1,491 Shares - Roseville Telephone Company	B
(10% Stock dividend given by Roseville Telephone Co. on 12/15/88)	
453 Shares - IBM Corporation	D
1,062 Shares - BMA Corporation	C
30 Units - Municipal Invest. Trust Fund-13th Cal.Ser.	C
50 Units - Municipal Invest. Trust Fund-16th Cal.Ser.	D
2,370 Shares - American Capital Pace Fund, Inc.	D
7,172 Shares - Texas Hitech, Inc.	C
Certificate of Deposit - Wright Patman Cong. Fed. C.U.	A
Certificate of Deposit - Wright Patman Cong. Fed. C.U.	B
IRA Plan - Calvert Group	B
Cash - Merrill Lynch Cash Management Account	C
Cash - 1st American Money Market Account	D
Certificate of Deposit - Empire Bank	D
Certificate of Deposit - Empire Bank	C
1,000 Shares - Rubbermaid, Inc.	C
200 Shares - Ford Motor Co.	B
Copper Futures - Trading Resources, Inc.	A
1,250 Shares - Oklahoma Publishing Company	C

IV. - TRANSACTIONS:

Date	Description	Category
10/10/88	Sold - 518 Shares Am. Capital Pace Fund	B
11/16/88	Sold - 1,500 Shares-Texas Hitech	A
11/21/88	Sold - 3,000 Shares Texas Hitech	B
11/22/88	Sold - 2,672 Shares Texas Hitech	B
12/6/88	Sold - 1,852 Shares AM. Capital Pace Fund	D
12/6/88	Sold - 3,125 Shares Am. Performance, Inc.	A
12/6/88	Sold - 868 Shares Dynamic Science, Int'l (Formerly National Pay Telephone)	A
12/6/88	Sold - 10,900 Shares Westmore International	A
10/20/88	Purchase- C.D. Wright Patman Cong. Fed.C.U.	B

VII. - REIMBURSEMENTS:

Source	Brief Description
1988 A.C.I. Congress, Inc.	Round-trip airfare,DC/Honolulu/DC, 5/27/88-5/31/88, food and lodging in connection with speaking engagement
American Bankers Association	Round-trip airfare,DC/Los Angeles/DC, 2/4/88-2/14/88, in connection with speaking engagement
American Bankers Association	Airfare, Ontario/San Francisco/DC,9/26/88, in connection with speaking engagement
Mortgage Bankers Association	Airfare, DC/San Francisco/Ontario, 10/22/88-10/23/88, food and lodging in connection with speaking engagement
Nat'l Center for Policy Analysis	Round-trip airfare,Ontario/Dallas/Ontario,11/17/88, in connection with speaking engagement
Nat'l Defense Council Foundation	Fact-finding trip to Costa Rica and Honduras, 12/27/88-12/31/88, including round-trip airfare, meals and lodging

✓
✓

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

JOHN JAMES DUNCAN, JR.
(Full Name)

5402 EAST SUNSET ROAD
(Mailing Address)

KNOXVILLE, TENN. 37914

MC (Office Use Only)

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
89 MAY 15 PM 4:33

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District 2ND State TENN.

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,068, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ✓ NA ______

For more information, see detailed Instruction Booklet at page 7.

H91/1232,31

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
STATE OF TENN.	SALARY	27,775	ALL OR MOST SPENT ON ORDINARY LIVING EXPENSES
KNOXVILLE BASEBALL CLUB	SALARY	11,250	
U.S. HOUSE	SALARY	5,718.48	
AMERICAN GENERAL INS. Co.	(DEF. COMP.)	2,400.34	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
SHONEY'S, INC.	(DIVIDENDS & DIST. OF STOCK)	D
THIRD NATIONAL BANK	INTEREST	A
CRACKER BARREL	DIVIDEND	A
BOB EVANS	DIVIDEND	A
BOB EVANS (ZANE)	DIVIDEND	A
BAXTER TRAVENOL (JOHN)	DIVIDEND	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ✓ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SHONEY'S, INC.	[illegible]
CRACKER BARREL	B
BAXTER TRAVENOL (SON, JOHN)	B
TRUST (BLIND—SON JOHN)	A
TRUST (BLIND—DAUGHTER, WHITNEY)	A
BOB EVANS (SON, ZANE	A
BOB EVANS	A
CARTER OAKS (1/3 INT. IN SUBDIV.—ONE OR TWO LOTS REMAIN UNSOLD)	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
SALE OF LOTS (FOUR) IN CARTER OAKS		CUMULATIVE
SUBDIVISION (1/3 INTEREST)		VALUE IN
		CATEGORY
		B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE OTHER THAN FIRST MORTGAGE ON	C
HOME IN KNOXVILLE (LOAN AMOUNT	
PROBABLY AVERAGED $25,000 IN 1988	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
PART OWNER, CONSULTANT —	KNOXVILLE BASEBALL CLUB, INC. (OWN 7 1/2 % INTEREST)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
John J Duncan Jr	5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

TARA DUNCAN, DEPENDENT CHILD, HAD ROUGHLY $1500 INCOME FROM PARTTIME EMPLOYMENT AT A DRESS SHOP IN KNOXVILLE. SHE USES THIS TO PAY SOME SMALL PERSONAL EXPENSES AND PART OF HER COLLEGE EXPENSES. I HAVE NO CONTROL OVER THIS MONEY AT ALL AND I DO NOT BELIEVE IT EVEN NEEDS TO BE REPORTED. HOWEVER, I AM ATTACHING THIS ADDENDUM IN AN ABUNDANCE OF CAUTION. IF YOU FEEL IT SHOULD BE INCLUDED, THEN IT CAN BE ATTACHED TO MY STATEMENT. IF NOT, THEN IT CAN BE RETURNED OR DESTROYED.

HAND DELIVERED A 9 3 7 3 2 3 2 / 1 7

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

89 [illegible] 15 [illegible] 3

FORM A—For use by Members, officers, and employees

Richard J. Durbin
(Full Name)

1525 South Bates
(Mailing Address)

Springfield, Illinois 62704

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 20 State Illinois

☐ Officer or Employee—Employing Office ____________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,082, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __XX__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
U.S. House of Representatives (R)	salary	89,499.96	
Law Practice (Richard)	salary	2,850.00	
Honoraria (Richard) see continuation sheet	honoraria	15,500.00	
State of Illinois (Loretta)	salary	24,912.51	
U.S. Senate (Christine)	salary	1,690.00	
see attached continuation sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
*Interest Income (Richard/Loretta)		5,399.00 D
*Dividend Income (Richard/Loretta)		807.00 A
*Capital Gains & Losses (Richard/Loretta)		(-19,115.00)
*Rental Property Losses (Richard/Loretta)		(-4,179.00)
*Interest Income (Paul)		39.00 A
*Interest Income (Jennifer)		53.00 A
*See Attached Schedules B, D & E (Form 1040)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See attached continuation sheet and attached schedules	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See attached schedules D & E (Form 1040)		
See "Holdings" for additional detailed information		
-Interest paid to Cong. Wm. Lehman for real estate		
investment option (period of June 6 to August		
11, 1988 @ 8.5%)		197.88- A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Citizens Savings & Loan (mortgage - 1525 Bates, Spfld)	50,142.74 - D
Illinois National Bank, Spfld(mortgage - 520 Chenery)	35,552.45 - C
Illinois National Bank, Splfd (personal note - 520 Chenery)	12,641.00 - B
Fairbanks Plaza Ltd. Part. (prom. note)	20,000.00 - C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
bottle of Giorgio-Red perfume from Avon	
Products - value disputed - returned to donor	
May 12, 1989	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
see attached continuation sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Catholic Charities - Springfield, Illinois
Proprietor	Richard J. Durbin - Attorney at Law, Springfield, Illinois
Assoc. Professor	SIU School of Medicine - Springfield, Illinois

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Richard J. Durbin	5-15-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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Page 1 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Richard J. Durbin
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II A salary	State of Illinois (Christine)	salary	1,440.00	
	ABF Freight Systems (Paul)	salary	2,272.00	
	City of Springfield (Paul)	salary	943.00	
	Brian Nolan, M.D.(Jennifer)	salary	285.00	
	Springfield Munros Taco Bell (Jennifer)	salary	927.00	
	Youthland, Inc. (Jennifer)	salary	1,083.00	
II A honoraria	3/3 - Connell Rice & Sugar		2,000.00	
	3/30 - California Medical Association		1,000.00	
	4/21 - CSX		1,000.00	
	5/11 - National Council of Community Hospitals		2,000.00	
	4/7 - CMT		2,000.00	
	6/13 - Hazeltine		1,000.00	
	6/16 - Johnson & Johnson		1,000.00	
	5/6 - National Restaurant Association		1,000.00	
	6/22 - Benard Johnson, Inc.		1,000.00	
	6/22 - Dillon, Read & Co., Inc.		1,000.00	
	8/1 - Chicago Mercantile		1,000.00	
	8/1 - Board of Trade		1,000.00	
	10/25 - American Respiratory Care Foundation		500.00	
III holdings	(valuation 12/31/88 unless otherwise noted) (est.-*)			
	Family Residence (1525 Bates, Springfield*)		160,000.00	- E
	Office Equipment (law practice) (cost)		6,960.00	- B
	Rental Property (520 Chenery - Springfield*)		50,000.30	- C
	Fidelity Magellan Fund (240.7sh-IRA/RJD)		11,632.75	- B
	Fidelity Magellan Fund (240.7sh-IRA/LMD)		11,632.75	- B
	Merrill-Lynch Fund for Tomorrow (703sh.Keogh/RJD)		9,897.00	- B
	Marrill-Lynch Ret.Ben. (7 sh - Keogh/RJD)		75.00	- A
	Merrill-Lynch Ret.Reserves(Keogh/RJD)		5,849.13	- B
	Kinder-Care (300 sh - IRA/RJD)		2,250.00	- A
	Safeway Stores Inc. (Bond - IRA/RJD)		1,046.00	- A
	M-L Ret. Reserves (IRA/RJD)		1,505.02	- A
	Safeway Stores Inc. (Bond - IRA/LMD)		1,046.00	- A
	SPX Corp. (Bond - IRA/LMD)		2,292.00	- A
	M-l Ret. Reserves (IRA/LMD)		2,107.08	- A
	Pacific-Horizon Agg.Growth (IRA/LMD)		3,411.38	- A
	Templeton World Fund (IRA/RJD)		12,350.96	- B
	A... Edwards D.C.A.		5,721.00	- B
	San Fiego, CA (Mort.Rev.Bond 11/15/94)		5,000.00	- B
	M-L Ready Assets Trust		163.35	- A
	Motorola Corp. (135 sh pur. 12/21/88)		5,501.25	- B
	Hospital Corp. of America (37 shares)		1,887.00	- A
	Hadson Corp. (Wt - 95 sh)*		831.25	- A
	Fairbanks Plaza Ltd. Partnership (900 S. Wabash - Chicago - Rehab) (Initial payment 1988 - 8,740)		28,740.00	- C
	Wright-Patman Federal Employees Credit Union		18,544.33	- C

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Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Richard J. Durbin
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
VII	TRAVEL REIMBURSEMENT	
1/3-1/10	Foreign Policy Institute/Turkey. Provided roundtrip airfare/Washington, D.C., Ankara, Turkey, Washington, D.C.; seven days food and lodging - fact finding visit (accompanied by spouse)	
4/21-4/24	National Council of Community Hospitals - lodging, meals and transportation for attendance at seminar (accompanied by spouse - speech	1,117.00 transportation
7/1-7/5	Yosemite Park & Curry Company, Yosemite National Park, meals and lodging	
8/1	Chicago Board of Trade - speech	171.00
6/23-6/24	FAA - transportation and lodging to attend field hearing in Houston	
6/12	Mrazek for Congress Committee - transportation - speaking engagement	375.00
6/5-6/6	Glickman for Congress Committee - transportation and lodging - speaking engagement	490.00
3/4	Connell Rice & Sugar Co - transportation speech	282.00

GPO: [illegible]

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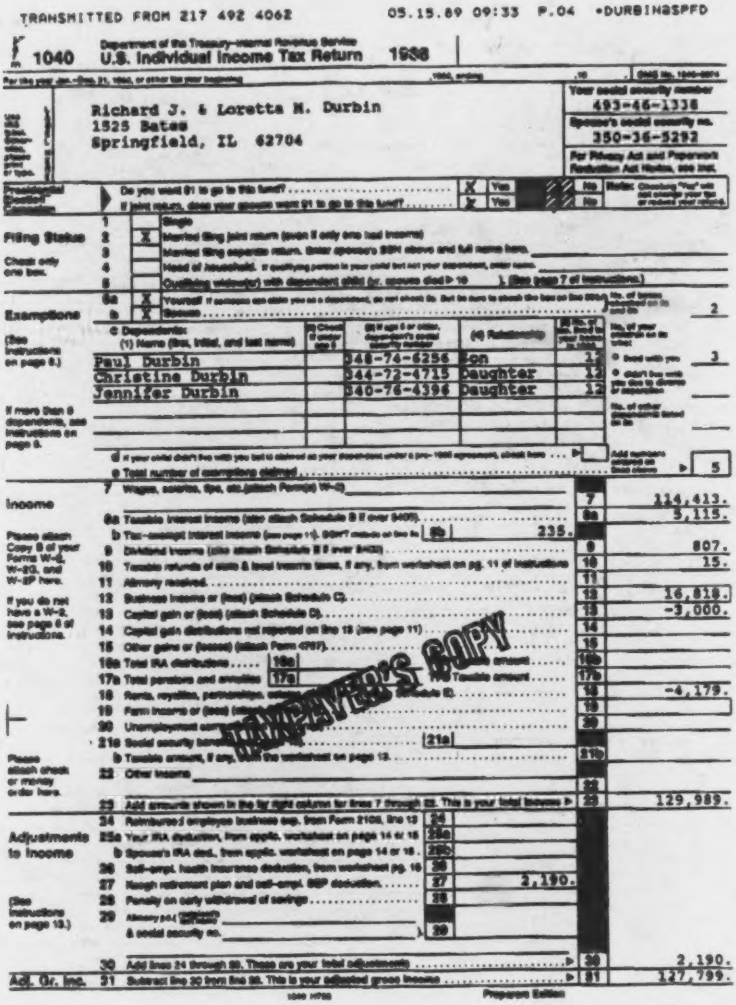

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Form 1040 Department of the Treasury—Internal Revenue Service
U.S. Individual Income Tax Return 1988

For the year Jan.–Dec. 31, 1988, or other tax year beginning , 1988, ending , 19 . OMB No. 1545-0074

Richard J. & Loretta M. Durbin
1525 Bates
Springfield, IL 62704

Your social security number 493-46-1338
Spouse's social security no. 350-36-5292
For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Presidential Election Campaign
Do you want $1 to go to this fund? ☒ Yes ☐ No
If joint return, does your spouse want $1 to go to this fund? ☒ Yes ☐ No
Note: Checking "Yes" will not change your tax or reduce your refund.

Filing Status Check only one box.
1 ☐ Single
2 ☒ Married filing joint return (even if only one had income)
3 ☐ Married filing separate return. Enter spouse's SSN above and full name here.
4 ☐ Head of household. If qualifying person is your child but not your dependent, enter name.
5 ☐ Qualifying widow(er) with dependent child (yr. spouse died ▶ 19). (See page 7 of Instructions.)

Exemptions (See Instructions on page 8.)
6a ☒ Yourself. If someone can claim you as a dependent, do not check box 6a. But be sure to check the box on line 33b on page 2.
b ☒ Spouse
No. of boxes checked on 6a and 6b: 2

c Dependents: (1) Name (first, initial, and last name)	(2) Check if under age 5	(3) If age 5 or older, dependent's social security number	(4) Relationship	(5) No. of months lived in your home in 1988
Paul Durbin		348-74-6256	Son	12
Christine Durbin		344-72-4715	Daughter	12
Jennifer Durbin		340-76-4396	Daughter	12

No. of your children on 6c who: • lived with you 3
• didn't live with you due to divorce or separation
No. of other dependents listed on 6c
If more than 6 dependents, see Instructions on page 8.
d If your child didn't live with you but is claimed as your dependent under a pre-1985 agreement, check here ▶ ☐
e Total number of exemptions claimed
Add numbers entered on lines above ▶ 5

Income
Please attach Copy B of your Forms W-2, W-2G, and W-2P here.
If you do not have a W-2, see page 6 of Instructions.
Please attach check or money order here.

7	Wages, salaries, tips, etc. (attach Form(s) W-2)		7	114,413.
8a	Taxable interest income (also attach Schedule B if over $400)		8a	5,115.
b	Tax-exempt interest income (see page 11). DON'T include on line 8a	8b 235.		
9	Dividend income (also attach Schedule B if over $400)		9	807.
10	Taxable refunds of state & local income taxes, if any, from worksheet on pg. 11 of Instructions		10	15.
11	Alimony received		11	
12	Business income or (loss) (attach Schedule C)		12	16,818.
13	Capital gain or (loss) (attach Schedule D)		13	-3,000.
14	Capital gain distributions not reported on line 13 (see page 11)		14	
15	Other gains or (losses) (attach Form 4797)		15	
16a	Total IRA distributions	16a	16b Taxable amount 16b	
17a	Total pensions and annuities	17a	17b Taxable amount 17b	
18	Rents, royalties, partnerships, estates, trusts, etc. (attach Schedule E)		18	-4,179.
19	Farm income or (loss) (attach Schedule F)		19	
20	Unemployment compensation (insurance)		20	
21a	Social security benefits	21a		
b	Taxable amount, if any, from the worksheet on page 13		21b	
22	Other income		22	
23	Add amounts shown in the far right column for lines 7 through 22. This is your total income ▶		23	129,989.

TAXPAYER'S COPY

Adjustments to Income (See Instructions on page 13.)

24	Reimbursed employee business exp. from Form 2106, line 13	24		
25a	Your IRA deduction, from applic. worksheet on page 14 or 15	25a		
b	Spouse's IRA ded., from applic. worksheet on page 14 or 15	25b		
26	Self-empl. health insurance deduction, from worksheet pg. 15	26		
27	Keogh retirement plan and self-empl. SEP deduction	27	2,190.	
28	Penalty on early withdrawal of savings	28		
29	Alimony paid (recipient's last name & social security no.)	29		
30	Add lines 24 through 29. These are your total adjustments ▶		30	2,190.
Adj. Gr. Inc. 31	Subtract line 30 from line 23. This is your adjusted gross income ▶		31	127,799.

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NAME: Richard J. & Loretta M. Durbin SSN: 493-46-1338

Form 1040 (1988) Page 2

	32	Amount from line 31 (adjusted gross income)			32	127,799.
Tax Computation	33a	Check if: ☐ You were 65 or older ☐ Blind; ☐ Spouse was 65 or older ☐ Blind. Add the number of boxes checked and enter the total here ▶ 33a				
	b	If someone (such as your parent) can claim you as a dependent, check here ▶ 33b ☐				
	c	If you are married filing a separate return and your spouse itemizes deductions, or you are a dual-status alien, see page 16 and check here ▶ 33c ☐				
	34	Enter the larger of: • Your standard deduction (from page 17 of the Instructions), OR • Your itemized deductions (from Schedule A, line 26). If you itemize, attach Schedule A & check here ▶ ☒			34	16,349.
	35	Subtract line 34 from line 32. Enter the result here.			35	111,450.
	36	Multiply $1,950 by the total number of exemptions claimed on line 6e			36	9,750.
	37	Taxable income. Subtract line 36 from line 35. Enter the result (if less than zero, enter zero).			37	101,700.
		Caution: If under age 14 and you have more than $1,000 of investment income, check ▶ ☐ and see page 17 to see if you have to use Form 8615 to figure your tax.				
	38	Enter tax. Check if from: ☐ Tax Table, ☒ Tax Rate Schedules, or ☐ Form 8615.			38	26,099.
	39	Additional taxes (see pg. 17). Check if from: ☐ Form 4970 ☐ Form 4972.			39	
	40	Add lines 38 and 39. Enter the total ▶			40	26,099.
Credits	41	Credit for child & dependent care expenses (attach Form 2441)	41			
	42	Credit for the elderly or the disabled (attach Schedule R).	42			
	43	Foreign tax credit (attach Form 1116)	43			
(See Instructions on page 18.)	44	General business credit. Check if from: ☐ Form 3800 or ☒ Form 3468	44	7,427.		
	45	Credit for prior year minimum tax (attach Form 8801).	45			
	46	Add lines 41 through 45. Enter the total			46	7,427.
	47	Subtract line 46 from line 40. Enter the result (if less than zero, enter zero) ▶			47	18,672.
Other Taxes	48	Self-employment tax (attach Schedule SE).			48	
	49	Alternative minimum tax (attach Form 6251).			49	
	50	Recapture taxes (see pg. 18). Check if from: ☐ Form 4255 ☐ Form 8611			50	
	51	Social security tax on tip income not reported to employer (attach Form 4137).			51	
(Including Advance EIC Payments)	52	Tax on an IRA or a qualified retirement plan (attach Form 5329).			52	
	53	Add lines 47 through 52. This is your total tax ▶			53	18,672.
Payments	54	Fed. inc. tax withheld (if any is from Form(s) 1099, check ▶ ☐)	54	23,022.		
	55	1988 estimated tax payments & amt. applied from 1987 return	55			
	56	Earned income credit.	56			
Attach Forms W-2, W-2G, and W-2P to front.	57	Amount paid with Form 4868 (extension request)	57			
	58	Excess social security tax and RRTA tax withheld.	58			
	59	Credit for Federal tax on fuels (attach Form 4136).	59			
	60	Regulated investment company credit (attach Form 2439)	60			
	61	Add lines 54 through 60. These are your total payments ▶			61	23,022.
Refund or Amount You Owe	62	If line 61 is larger than line 53, enter amount OVERPAID ▶			62	4,350.
	63	Amount of line 62 to be REFUNDED TO YOU ▶			63	4,350.
	64	Amount of line 62 to be applied to your 1989 estimated tax ▶	64			
	65	If line 53 is larger than line 61, enter AMOUNT YOU OWE. Attach check or money order for full amount payable to "Internal Revenue Service." Write your social security number, daytime phone number, and "1988 Form 1040" on it. Check ▶ ☐ if Form 2210 (2210F) is att. See page 21. Penalty: $			65	

Please Sign Here

Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

▶ Your signature	Date	Your occupation Congressman
▶ Spouse's signature (if joint return, BOTH must sign)	Date	Spouse's occupation Civil Servant

Paid Preparer's Use Only

Preparer's signature ▶ Francis L. Bayley	Date 04-15-89	Check if self-employed ☒	Preparer's social sec. no. 146-28-8672
Firm's name (or yours if self-employed) and address ▶ Pecunia, Ltd. 114 Seminole Drive Springfield, IL		E.I. No.	37-1158447
		ZIP code	62704

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SCHEDULE A
(Form 1040)
Dept. of the Treasury
Internal Revenue Service

Schedule A — Itemized Deductions

▶ Attach to Form 1040. ▶ See Instructions for Schedule A (Form 1040).

OMB No. 1545-0074
1988
Sequence No. 07

Name(s) as shown on Form 1040: Richard J. & Loretta M. Durbin

Your social security number: 493-46-1338

Medical and Dental Expenses	1a	Prescription medicines and drugs, insulin, doctors, dentists, nurses, hospitals, medical insurance premiums you paid, etc.	1a			
(Do not include expenses reimbursed or paid by others.)	b	Other ▶	1b			
(See Instructions on page 23.)	2	Add lines 1a and 1b, and enter the total here	2			
	3	Multiply the amount on Form 1040, line 32, by 7.5% (.075)	3			
	4	Subtract line 3 from line 2. If zero or less, enter -0-. Total medical and dental ▶			4	0.
Taxes You Paid	5	State and local income taxes	5	2,807.		
(See Instructions on page 23.)	6	Real estate taxes	6	1,754.		
	7	Other taxes ▶	7			
	8	Add the amounts on lines 5 through 7. Enter the total here. Total taxes ▶			8	4,561.
Interest You Paid		Note: New rules apply to the home mortgage interest deduction. See Instructions.				
	9a	Deductible home mortgage interest you paid to financial institutions (report deductible points on line 10)	9a	4,447.		
(See Instructions on page 24.)	b	Deductible home mortgage interest you paid to individuals ▶	9b			
	10	Deductible points. (See Instructions for special rules.)	10			
	11	Deductible investment interest	11			
	12a	Personal interest you paid 12a 5,613.				
	b	Multiply the amount on line 12a by 40% (.40). Enter the result	12b	2,245.		
	13	Add the amounts on lines 9a through 11, and 12b. Enter the total here. Total interest ▶			13	6,692.
Gifts to Charity	14	Contributions by cash or check. (If you gave $3,000 or more to any one organization, show to whom you gave & how much you gave.) ▶	14	3,582.		
(See Instructions on page 25.)	15	Other than cash or check. (You must attach Form 8283 if over $500.)	15			
	16	Carryover from prior year	16			
	17	Add the amounts on lines 14 through 16. Enter the total here. Total contributions ▶			17	3,582.
Casualty, Theft	18	Casualty or theft loss(es) (attach Form 4684). (See page 25 of the Instructions.) ▶			18	
Moving Exp.	19	Moving expenses (attach Form 3903 or 3903F). (See page 26 of the Instructions.) ▶			19	
Job Expenses and Most Other Miscellaneous Deductions	20	Unreimbursed employee expenses. (You MUST attach Form 2106 in some cases.) ▶	20	3,720.		
(See page 26 for expenses to deduct here.)	21	Other expenses ▶ 1987 Tax Preparation 350.	21	350.		
	22	Add the amounts on lines 20 and 21. Enter the total	22	4,070.		
	23	Multiply the amount on Form 1040, line 32, by 2% (.02). Enter the result here	23	2,556.		
	24	Subtract line 23 from line 22. Enter the result (if zero or less, enter zero) ▶			24	1,514.
Other Miscellaneous Deductions	25	Other ▶			25	
Total Itemized Deductions	26	Add amounts on lines 4, 8, 13, 17, 18, 19, 24, and 25. Enter total here. Then enter on Form 1040, line 34, the LARGER of this total or your standard deduction from page 17 of the Instructions ▶			26	16,349.

For Paperwork Reduction Act Notice, see Form 1040 Instructions. Preparers Edition Schedule A (Form 1040) 1988

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Schedule B — Interest and Dividend Income

Attachment Sequence No. 08   OMB No. 1545-0074

Name(s) as shown on Form 1040. (Do not enter name and social security number if shown on other side.) Richard J. & Loretta M. Durbin

Your social security number 493-46-1338

Part I Interest Income

(See Instructions on pages 10 and 26.)

If you received more than $400 in taxable interest income, you must complete Part I and Part III and list ALL interest received. You must report all taxable interest on Form 1040, even if you are not required to complete Part I and Part III. If you received, as a nominee, interest that actually belongs to another person, or you received or paid accrued interest on securities transferred between interest payment dates, see page 27.

Note: If you received a Form 1099-INT or Form 1099-OID from a brokerage firm, list the firm's name as the payer and enter the total interest shown on that form.

Interest Income		Amount
1 Interest income from seller-financed mortgages. (See Instructions and list name of payer.) ▶	1	
2 Other interest income ▶	2	
Town & Country Bank 0010100000347840		14.
Town & Country Bank 0010100000377095		25.
Illinois National Bank 0010010001184172		44.
U.S. Treasury Department		64.
Cong. Federal Credit Union 80596		1,235.
Office of Personnel Management		3,650.
Credit Union One 13 00415700		55.
Credit Union One 13 00420323		5.
Hadson Petroleum 01325		62.
San Diego CA S/F MTG 42900023777		235.
Less: Nominee Distributions		-39.
Less: Tax Exempt Interest		-235.
3 Add the amounts on lines 1 and 2. Enter the total here and on Form 1040, line 8a ▶	3	5,115.

Part II Dividend Income

(See Instructions on pages 11 and 27.)

If you received more than $400 in gross dividends and/or other distributions on stock, complete Part II and Part III. You must report all taxable dividends on Form 1040, even if you are not required to complete Part II and Part III. If you received, as a nominee, dividends that actually belong to another person, see page 27.

Note: If you received a Form 1099-DIV from a brokerage firm, list the firm's name as the payer and enter the total dividends shown on that form.

Dividend Income			Amount
4 Dividend income ▶	4		
A.G. Edwards 90 341855 077			373.
Hospital Corp. of Am. 12-68-40000-0			27.
Bear Stearns & Co. 820-08255			31.
Merrill Lynch 441-22485			376.
5 Add the amounts on line 4. Enter the total here	5		807.
6 Capital gain distributions. Enter here and on line 13, Schedule D.*	6		
7 Nontaxable distributions. (See Schedule B Instructions for adjustment to basis.)	7		
8 Add the amounts on lines 6 and 7. Enter the total here	8		
9 Subtract line 8 from line 5. Enter the result here and on Form 1040, line 9 ▶	9		807.

*If you received capital gain distributions but do not need Schedule D to report any other gains or losses, enter your capital gain distributions on Form 1040, line 14.

Part III Foreign Accounts and Foreign Trusts

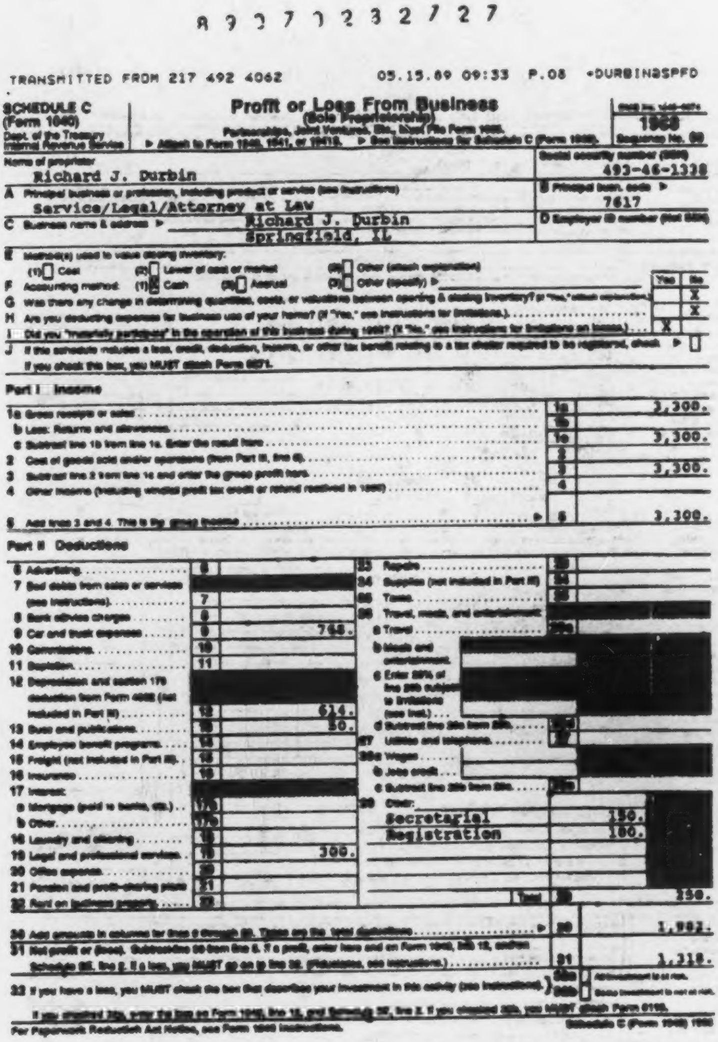
(See Instructions on page 27.)

If you received more than $400 of interest or dividends, OR if you had a foreign account or were a grantor of, or a transferor to, a foreign trust, you must answer both questions in Part III.

	Yes	No
10 At any time during the tax year, did you have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)? (See page 27 of the Instructions for exceptions and filing requirements for Form TD F 90-22.1.)		X
If "Yes," enter the name of the foreign country ▶		
11 Were you the grantor of, or transferor to, a foreign trust which existed during the current tax year, whether or not you have any beneficial interest in it? If "Yes," you may have to file Form 3520, 3520-A, or 926		X

For Paperwork Reduction Act Notice, see Form 1040 Instructions.

Schedule B (Form 1040) 1988



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SCHEDULE C
(Form 1040)
Dept. of the Treasury
Internal Revenue Service

Profit or Loss From Business
(Sole Proprietorship)
Partnerships, Joint Ventures, Etc., Must File Form 1065.
▶ Attach to Form 1040, 1041, or 1041S. ▶ See Instructions for Schedule C (Form 1040).

OMB No. 1545-0074
1988
Sequence No. 09

Name of proprietor: Richard J. Durbin
Social security number (SSN): 493-46-1338
A Principal business or profession, including product or service (see Instructions): Service/Legal/Attorney at Law
B Principal busn. code ▶ 7617
C Business name & address ▶ Richard J. Durbin, Springfield, IL
D Employer ID number (Not SSN):

E Method(s) used to value closing inventory: (1) ☐ Cost (2) ☐ Lower of cost or market (3) ☐ Other (attach explanation)
F Accounting method: (1) ☒ Cash (2) ☐ Accrual (3) ☐ Other (specify) ▶

	Yes	No
G Was there any change in determining quantities, costs, or valuations between opening & closing inventory? (If "Yes," attach explanation.)		X
H Are you deducting expenses for business use of your home? (If "Yes," see Instructions for limitations.)		X
I Did you "materially participate" in the operation of this business during 1988? (If "No," see Instructions for limitations on losses.)	X	

J If this schedule includes a loss, credit, deduction, income, or other tax benefit relating to a tax shelter required to be registered, check ▶ ☐
If you check this box, you MUST attach Form 8271.

Part I Income

1a Gross receipts or sales	1a	3,300.
b Less: Returns and allowances	1b	
c Subtract line 1b from line 1a. Enter the result here	1c	3,300.
2 Cost of goods sold and/or operations (from Part III, line 8)	2	
3 Subtract line 2 from line 1c and enter the gross profit here	3	3,300.
4 Other income (including windfall profit tax credit or refund received in 1988)	4	
5 Add lines 3 and 4. This is the gross income ▶	5	3,300.

Part II Deductions

6 Advertising	6		23 Repairs	23	
7 Bad debts from sales or services (see Instructions)	7		24 Supplies (not included in Part III)	24	
8 Bank service charges	8		25 Taxes	25	
9 Car and truck expenses	9	768.	26 Travel, meals, and entertainment: a Travel	26a	
10 Commissions	10		b Meals and entertainment		
11 Depletion	11		c Enter 20% of line 26b subject to limitations (see Inst.)		
12 Depreciation and section 179 deduction from Form 4562 (not included in Part III)	12	614.	d Subtract line 26c from 26b	26d	
13 Dues and publications	13	50.	27 Utilities and telephone	27	
14 Employee benefit programs	14		28a Wages		
15 Freight (not included in Part III)	15		b Jobs credit		
16 Insurance	16		c Subtract line 28b from 28a	28c	
17 Interest: a Mortgage (paid to banks, etc.)	17a		29 Other:		
b Other	17b		Secretarial		150.
18 Laundry and cleaning	18		Registration		100.
19 Legal and professional services	19	300.			
20 Office expense	20				
21 Pension and profit-sharing plans	21				
22 Rent on business property	22		Total	29	250.

30 Add amounts in columns for lines 6 through 29. These are the total deductions ▶	30	1,982.
31 Net profit or (loss). Subtract line 30 from line 5. If a profit, enter here and on Form 1040, line 12, and on Schedule SE, line 2. If a loss, you MUST go on to line 32. (Fiduciaries, see Instructions.)	31	1,318.
32 If you have a loss, you MUST check the box that describes your investment in this activity (see Instructions).	32a ☐ All investment is at risk. 32b ☐ Some investment is not at risk.	

If you checked 32a, enter the loss on Form 1040, line 12, and Schedule SE, line 2. If you checked 32b, you MUST attach Form 6198.

For Paperwork Reduction Act Notice, see Form 1040 Instructions. Schedule C (Form 1040) 1988

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SCHEDULE C
(Form 1040)
Dept. of the Treasury
Internal Revenue Service

Profit or Loss From Business
(Sole Proprietorship)

Partnerships, Joint Ventures, Etc., Must File Form 1065.
▶ Attach to Form 1040, 1041, or 1041S. ▶ See Instructions for Schedule C (Form 1040).

OMB No. 1545-0074
1988
Sequence No. 09

Name of proprietor: Richard J. Durbin
Social security number (SSN): 493-46-1238
A Principal business or profession, including product or service (see Instructions): Service/Speaking/Speaker
B Principal busn. code ▶ 6882
C Business name & address ▶ Richard J. Durbin Springfield, IL
D Employer ID number (Not SSN)
E Method(s) used to value closing inventory: (1) ☐ Cost (2) ☐ Lower of cost or market (3) ☐ Other (attach explanation)
F Accounting method: (1) ☒ Cash (2) ☐ Accrual (3) ☐ Other (specify) ▶

	Yes	No
G Was there any change in determining quantities, costs, or valuations between opening & closing inventory? (If "Yes," attach explanation.)		X
H Are you deducting expenses for business use of your home? (If "Yes," see Instructions for limitations.)		X
I Did you "materially participate" in the operation of this business during 1988? (If "No," see Instructions for limitations on losses.)	X	

J If this schedule includes a loss, credit, deduction, income, or other tax benefit relating to a tax shelter required to be registered, check ▶ ☐
If you check this box, you MUST attach Form 8271.

Part I Income

1a Gross receipts or sales	1a	15,500.
b Less: Returns and allowances	1b	
c Subtract line 1b from line 1a. Enter the result here	1c	15,500.
2 Cost of goods sold and/or operations (from Part III, line 8)	2	
3 Subtract line 2 from line 1c and enter the gross profit here	3	15,500.
4 Other income (including windfall profit tax credit or refund received in 1988)	4	
5 Add lines 3 and 4. This is the gross income ▶	5	15,500.

Part II Deductions

6 Advertising	6		23 Repairs	23	
7 Bad debts from sales or services (see Instructions)	7		24 Supplies (not included in Part III)	24	
8 Bank service charges	8		25 Taxes	25	
9 Car and truck expenses	9		26 Travel, meals, and entertainment:		
10 Commissions	10		a Travel	26a	
11 Depletion	11		b Meals and entertainment		
12 Depreciation and section 179 deduction from Form 4562 (not included in Part III)	12		c Enter 20% of line 26b subject to limitations (see Inst.)		
13 Dues and publications	13		d Subtract line 26c from 26b	26d	
14 Employee benefit programs	14		27 Utilities and telephone	27	
15 Freight (not included in Part III)	15		28a Wages		
16 Insurance	16		b Jobs credit		
17 Interest:			c Subtract line 28b from 28a	28c	
a Mortgage (paid to banks, etc.)	17a		29 Other:		
b Other	17b				
18 Laundry and cleaning	18				
19 Legal and professional services	19				
20 Office expense	20				
21 Pension and profit-sharing plans	21				
22 Rent on business property	22		Total	29	

30 Add amounts in columns for lines 6 through 29. These are the total deductions ▶	30	
31 Net profit or (loss). Subtract line 30 from line 5. If a profit, enter here and on Form 1040, line 12, and on Schedule SE, line 2. If a loss, you MUST go on to line 32. (Fiduciaries, see Instructions.)	31	15,500.

32 If you have a loss, you MUST check the box that describes your investment in this activity (see Instructions). } 32a ☐ All investment is at risk. 32b ☐ Some investment is not at risk.

If you checked 32a, enter the loss on Form 1040, line 12, and Schedule SE, line 2. If you checked 32b, you MUST attach Form 6198.

For Paperwork Reduction Act Notice, see Form 1040 Instructions. Schedule C (Form 1040) 1988

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me: Richard J. Durbin SSN: 493-46-1338

Schedule C (Form 1040) 1988 Page 2

Part III Cost of Goods Sold and/or Operations (See Schedule C Instructions for Part III)

1	Inventory at beginning of year. (If different from last year's closing inventory, attach explanation.)	1	
2	Purchases less cost of items withdrawn for personal use	2	
3	Cost of labor. (Do not include salary paid to yourself.)	3	
4	Materials and supplies	4	
5	Other costs	5	
6	Add lines 1 through 5	6	
7	Less: Inventory at end of year	7	
8	Cost of goods sold and/or operations. Subtract line 7 from line 6. Enter the result here & in Part I, line 2.	8	

Part IV Codes for Principal Business or Professional Activity

Locate the major business category that best describes your activity (for example, Retail Trade, Services, etc.). Within the major category, select the activity code that identifies (or most closely identifies) the business or profession that is the principal source of your sales or receipts. Enter this 4-digit code on line B on page 1 of Schedule C. (Note: If your principal source of income is from farming activities, you should file Schedule F (Form 1040), Farm Income and Expenses.)

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SCHEDULE D
(Form 1040)
Dept. of the Treasury
Internal Revenue Service

Capital Gains and Losses

(And Reconciliation of Forms 1099-B)

▶ Attach to Form 1040.   ▶ See Instructions for Schedule D (Form 1040).

OMB No. 1545-0074
1988
Sequence No. 12

Name(s) as shown on Form 1040: Richard J. & Loretta M. Durbin

Your social security number: 493-46-1338

1 Report here the total sales of stocks, bonds, etc., reported for 1988 to you on Form(s) 1099-B or an equivalent substitute statement(s). If this amount differs from the total of lines 2b and 9b, column (d), attach a statement explaining the difference. See the instructions for line 1 for examples .... 1 11,711.

Part I Short-Term Capital Gains and Losses – Assets Held One Year or Less (6 mos. or less if acquired before 1/1/88)

(a) Description of property (Example, 100 shares 7% preferred of "Z" Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold (Mo., day, yr.)	(d) Sales price (see instructions)	(e) Cost or other basis (see instructions)	(f) LOSS If (e) is more than (d), subtract (d) from (e)	(g) GAIN If (d) is more than (e), subtract (e) from (d)
2a Stocks, Bonds, and Other Securities (Include all Form 1099-B transactions. See Instructions.)						
Am. Realty Tr.	01/26/88	01/26/88	886.	752.		134.
2b Total (add column (d)) .... 2b ▶			886.			
2c Other Transactions (Include Real Estate Transactions From Forms 1099-S)						

			(f)	(g)
3	Short-term gain from sale or exchange of your home from Form 2119, line 8a or 14	3		
4	Short-term gain from installment sales from Form 6252, line 22 or 30	4		
5	Net short-term gain or (loss) from partnerships, S corporations, and fiduciaries	5		
6	Short-term capital loss carryover	6		
7	Add all of the transactions on lines 2a and 2c and lines 3 through 6 in columns (f) and (g)	7	( )	134.
8	Net short-term gain or (loss), combine columns (f) and (g) of line 7	8		134.

Part II Long-Term Capital Gains & Losses – Assets Held More Than One Yr. (more than 6 mos. if acquired before 1/1/88)

(a) Description of property	(b) Date acquired	(c) Date sold	(d) Sales price	(e) Cost or other basis	(f) LOSS	(g) GAIN
9a Stocks, Bonds, and Other Securities (Include all Form 1099-B transactions. See Instructions.)						
Am. Realty Tr.	05/22/87	01/26/88	86.	73.		13.
San Diego Bond	01/08/87	01/08/88	5,000.	5,000.		
Francis Denney	08/03/87	10/12/88	3,170.	14,500.	11,330.	
Southmark	04/22/87	10/12/88	2,569.	10,501.	7,932.	
9b Total (add column (d)) .... 9b ▶			10,825.			
9c Other Transactions (Include Real Estate Transactions From Forms 1099-S)						

			(f)	(g)
10	Long-term gain from sale or exchange of your home from Form 2119, line 8a, 10, or 14	10		
11	Long-term gain from installment sales from Form 6252, line 22 or 30	11		
12	Net long-term gain or (loss) from partnerships, S corporations, and fiduciaries	12		
13	Capital gain distributions	13		
14	Enter gain from Form 4797, line 7 or 9	14		
15	Long-term capital loss carryover	15		
16	Add all of the transactions on lines 9a and 9c and lines 10 through 15 in columns (f) and (g)	16	(19,262)	13.
17	Net long-term gain or (loss), combine columns (f) and (g) of line 16	17		-19,249.

For Paperwork Reduction Act Notice, see Form 1040 Instructions.   Proposed Edition   Schedule D (Form 1040) 1988

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Schedule D (Form 1040) 1989 Attachment Sequence No. 12 Page 2

Name(s) as shown on Form 1040. (Do not enter name and social security number if shown on the other side.)	Your social security number
Richard J. & Loretta M. Durbin	493-46-1338

Part III Summary of Parts I and II

18	Combine lines 8 and 17, and enter the net gain or (loss) here. If result is a gain, also enter the gain on Form 1040, line 13	18	-19,115.
19	If line 18 is a (loss), enter here and as a (loss) on Form 1040, line 13, the smaller of: a The (loss) on line 18; or b ($3,000) or, if married filing a separate return, ($1,500)	19	(-3,000.)

Note: When figuring which amount is smaller, treat both as if they were positive numbers.

Part IV Computation of Capital Loss Carryovers From 1989 to 1990

(Complete this part if the loss on line 18 is more than the loss on line 19.)

20	Enter the loss shown on line 8; if none, enter zero and skip lines 21 through 24	20	0.
21	Enter gain shown on line 17. If that line is blank or shows a loss, enter zero	21	
22	Subtract line 21 from line 20	22	
23	Enter the smaller of line 19 or 22	23	
24	Subtract line 23 from line 22. This is your short-term capital loss carryover from 1989 to 1990	24	
25	Enter loss from line 17; if none, enter zero and skip lines 26 through 29	25	19,249.
26	Enter gain shown on line 8. If that line is blank or shows a loss, enter zero	26	134.
27	Subtract line 26 from line 25	27	19,115.
28	Subtract line 23 from line 19. (Note: If you skipped lines 21 through 24, enter the amount from line 19.)	28	3,000.
29	Subtract line 28 from line 27. This is your long-term capital loss carryover from 1989 to 1990	29	16,115.

Part V Complete This Part Only If You Elect Out of the Installment Method and Report a Note or Other Obligation at Less Than Full Face Value.

30 Check here if you elect out of the installment method ▶ ☐

31 Enter the face amount of the note or other obligation ▶ ______

32 Enter the percentage of valuation of the note or other obligation ▶ ______

Part VI Reconciliation of Forms 1099-B for Bartering Transactions

(Complete this part if you received one or more Form(s) 1099-B or an equivalent substitute statement(s) reporting bartering income.)

			Amount of bartering income from Form 1099-B or equivalent statement reported on form or schedule
33	Form 1040, line 22	33	
34	Schedule C (Form 1040)	34	
35	Schedule D (Form 1040)	35	
36	Schedule E (Form 1040)	36	
37	Schedule F (Form 1040)	37	
38	Other (identify) (if not taxable, indicate reason — attach additional sheets if necessary) ▶	38	
39	Total (add lines 33 through 38)	39	

Note: The amount on line 39 should be the same as the total bartering income on all Forms 1099-B and equivalent statements received.

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SCHEDULE E
(Form 1040)
Department of the Treasury
Internal Revenue Service

Supplemental Income Schedule

(From rents, royalties, partnerships, estates, trusts, REMICs, etc.)
▶ Attach to Form 1040, Form 1041, or Form 1041S.
▶ See Instructions for Schedule E (Form 1040).

OMB No. 1545-0074
1988
Sequence No. 13

Name(s) as shown on return: Richard J. & Loretta M. Durbin

Your social security number: 493-46-1338

Part I Rental and Royalty Income or Loss **Caution:** Your rental loss may be limited. See Instructions.

1 In the space provided below, show the kind and location of each rental property.

2 For each rental property listed, did you or a member of your family use it for personal purposes more than the greater of 14 days or 10% of the total days rented at fair rental value during the tax year?

3 For each rental real estate property listed in item 1, did you actively participate in its operation during the tax year? (See Instructions.)

		2 Yes	2 No	3	3 Yes	3 No
Property A	520 Chenery, Spfld. ▶		X	Property A ▶	X	
Property B	▶			Property B ▶		
Property C	▶			Property C ▶		

Rental and Royalty Income		Properties A	Properties B	Properties C		Totals (Add columns A, B, and C)
4 Rents received		10,777.			4	10,777.
5 Royalties received					5	
Rental and Royalty Expenses						
6 Advertising	6					
7 Auto and travel	7	37.				
8 Cleaning and maintenance	8	1,685.				
9 Commissions	9	410.				
10 Insurance	10	671.				
11 Legal and other professional fees	11	58.				
12 Mortgage interest paid to banks, etc. (see Instructions)	12	3,207.			12	3,207.
13 Other interest	13					
14 Repairs	14					
15 Supplies	15					
16 Taxes (Do not include windfall profit tax here. See Part V, line 40.)	16	1,038.				
17 Utilities	17	3,958.				
18 Wages and salaries	18					
19 Other ▶	19					
Management Fee		757.				
Snow Removal		30.				
Bank Charges		42.				
Miscellaneous		20.				
20 Total expenses other than depreciation and depletion. Add lines 6 through 19	20	11,913.			20	11,913.
21 Depreciation expense (see Instructions), or depletion (see Pub. 535)	21	3,043.			21	3,043.
22 Total. Add lines 20 and 21	22	14,956.				
23 Income or (loss) from rental or royalty properties. Subtract line 22 from line 4 (rents) or 5 (royalties)	23	-4,179.				
24 Deductible rental loss. Caution: Your rental loss on line 23 may be limited. See Instructions to determine if you must file Form 8582, Passive Activity Loss Limitations	24	-4,179.				

25 Profits. Add rental and royalty profits from line 23. Enter the total profits here	25	
26 Losses. Add royalty losses from line 23 and rental losses from line 24. Enter the total (losses) here	26	(4,179)
27 Combine amounts on lines 25 and 26. Enter the net profit or (loss) here	27	-4,179.
28 Net farm rental profit or loss from Form 4835. (Also complete Part VI, line 42.)	28	
29 Total rental or royalty income or (loss). Combine amounts on lines 27 and 28. Enter the total here. If Parts II, III, IV, and V on page 2 do not apply to you, enter the amount from line 29 on Form 1040, line 18. Otherwise, include the amount from line 29 in line 41 on page 2 of Schedule E.	29	-4,179.

For Paperwork Reduction Act Notice, see Form 1040 Instructions. Preparers Edition Schedule E (Form 1040) 1988

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Schedule E (Form 1040) 1988 Attachment Sequence No. 13 Page 2

Name(s) as shown on return. (Do not enter name and social security number if shown on other side.)	Your social security number
Richard J. & Loretta M. Durbin	493-46-1338

Part II Income or Loss From Partnerships and S Corporations

If you report a loss from an at-risk activity, you MUST check either column (e) or (f) to describe your investment in the activity. See Instructions.

If you check column (f), you must attach Form 6198.

	(a) Name	(b) Enter P for partnership; S for S corp.	(c) Check if foreign partnership	(d) Employer identification number	Investment At Risk? (e) All is at risk	(f) Some is not at risk
A	Fairbanks Plaza LP	P		36-3550245		X
B						
C						
D						
E						

	Passive Income and Loss		Nonpassive Income and Loss		
	(g) Passive loss allowed from Form 8582	(h) Passive income from Schedule K-1	(i) Nonpassive loss from Schedule K-1	(j) Section 179 deduction (see Instructions for limits)	(k) Nonpassive income from Schedule K-1
A					
B					
C					
D					
E					
30a Totals					
b Totals					

31 Add amounts in columns (h) and (k), line 30a. Enter total income here	31	
32 Add amounts in columns (g), (i), and (j), line 30b. Enter total here	32	( )
33 Total partnership and S corporation income or (loss). Combine amounts on lines 31 and 32. Enter the total here and include in line 42 below	33	

Part III Income or Loss From Estates and Trusts

	(a) Name	(b) Employer identification number
A		
B		
C		

	Passive Income and Loss		Nonpassive Income and Loss	
	(c) Passive deduction or loss allowed from Form 8582	(d) Passive income from Schedule K-1	(e) Deduction or loss from Schedule K-1	(f) Other income from Schedule K-1
A				
B				
C				
34a Totals				
b Totals				

35 Add amounts in columns (d) and (f), line 34a. Enter total income here	35	
36 Add amounts in columns (c) and (e), line 34b. Enter total here	36	( )
37 Total estate and trust income or (loss). Combine amounts on lines 35 and 36. Enter the total here and include in line 42 below	37	

Part IV Income or Loss From Real Estate Mortgage Investment Conduits (REMICs) — Residual Holder

(a) Name	(b) Employer identification number	(c) Excess inclusion from Schedules Q, line 2c (see Instructions)	(d) Taxable income (net loss) from Sch. Q, line 1b	(e) Income from Schedules Q, line 3b

38 Combine amounts in columns (d) and (e) only. Enter the total here and include in line 42 below	38	

Part V Windfall Profit Tax Summary

39 Windfall profit tax credit or refund received in 1988 (see Instructions)	39	
40 Windfall profit tax withheld in 1988 (see Instructions)	40	( )
41 Combine amounts on lines 39 and 40. Enter the total here and include in line 42 below	41	

Part VI Summary

42 TOTAL income or (loss). Combine amounts on lines 26, 33, 37, 38, and 41. Enter the total here and on Form 1040, line 18 ▶			42	-4,179.
43 Farmers and fishermen: Enter your share of GROSS FARMING AND FISHING INCOME applicable to Parts I, II, and III (see Instructions)	43			

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SCHEDULE SE
(Form 1040)
Department of the Treasury
Internal Revenue Service

Social Security Self-Employment Tax

▶ See Instructions for Schedule SE (Form 1040).
▶ Attach to Form 1040.

OMB No. 1545-0074
1988
Sequence No. 18

Name of person with self-employment income (as shown on social security card) Richard J. Durbin	Social security number of person with self-employment income ▶ 493-46-1338

Who Must File Schedule SE

You must file Schedule SE if:

- Your net earnings from self-employment were $400 or more (or you had wages of $100 or more from an electing church or church organization); AND
- You did not have wages (subject to social security or railroad retirement tax) of $45,000 or more.

For more information about Schedule SE, see the Instructions.

Note: Most taxpayers can now use the new short Schedule SE on this page. But, you may have to use the longer Schedule SE that is on the back.

Who MUST Use the Long Schedule SE (Section B)

You must use Section B if ANY of the following applies:

- You choose the "optional method" to figure your self-employment tax. See Section B, Part II;
- You are a minister, member of a religious order, or Christian Science practitioner and received IRS approval (from Form 4361) not to be taxed on your earnings from these sources, but you owe self-employment tax on other earnings;
- You are an employee of a church or church organization that chose by law not to pay employer social security taxes;
- You have tip income that is subject to social security tax, but you did not report those tips to your employer; OR
- You are a government employee with wages subject ONLY to the 1.45% medicare part of the social security tax.

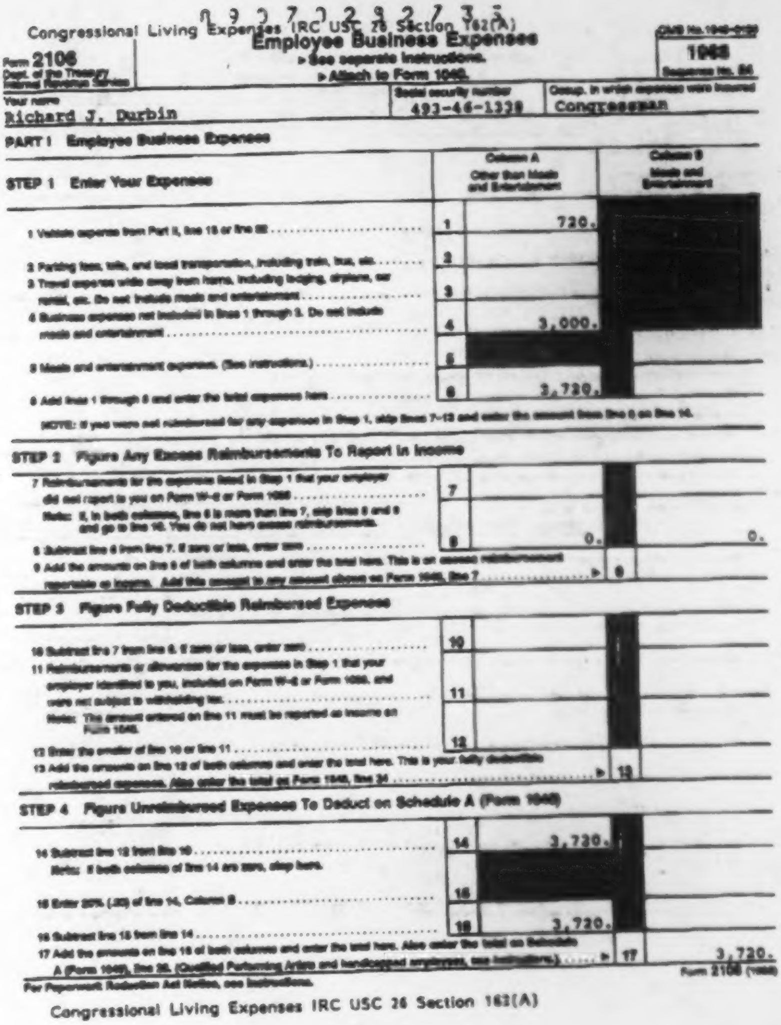
Section A — Short Schedule SE

(Read above to see if you must use the long Schedule SE on the back (Section B).)

1	Net farm profit or (loss) from Schedule F (Form 1040), line 36, and farm partnerships, Schedule K-1 (Form 1065), line 14a	1	
2	Net profit or (loss) from Schedule C (Form 1040), line 31, and Schedule K-1 (Form 1065), line 14a (other than farming). See the Instructions for other income to report	2	16,818.
3	Add lines 1 and 2. If the total is less than $400, do not file this schedule	3	16,818.
4	The largest amount of combined wages and self-employment earnings subject to social security or railroad retirement tax (tier 1) for 1988 is	4	$45,000.00
5	Total social security wages and tips from Forms W-2 and railroad retirement compensation (tier 1)	5	45,000.
6	Subtract line 5 from line 4. Enter the result. (If the result is zero or less, do not file this schedule.)	6	
7	Enter the smaller of line 3 or line 6	7	
	If line 7 is $45,000, enter $5,859 on line 8. Otherwise, multiply line 7 by .1302 and enter the result on line 8		x .1302
8	Self-employment tax. Enter this amount on Form 1040, line 48	8	

For Paperwork Reduction Act Notice, see Form 1040 Instructions.

Schedule SE (Form 1040) 1988



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Congressional Living Expenses IRC USC 26 Section 162(A)

Form **2106**
Dept. of the Treasury
Internal Revenue Service

Employee Business Expenses

▸ See separate instructions.
▸ Attach to Form 1040.

OMB No. 1545-0139
1988
Sequence No. 54

Your name Richard J. Durbin	Social security number 493-46-1338	Occup. in which expenses were incurred Congressman

PART I Employee Business Expenses

		Column A Other than Meals and Entertainment	Column B Meals and Entertainment
STEP 1 Enter Your Expenses			
1 Vehicle expense from Part II, line 15 or line 22	1	720.	
2 Parking fees, tolls, and local transportation, including train, bus, etc.	2		
3 Travel expense while away from home, including lodging, airplane, car rental, etc. Do not include meals and entertainment	3		
4 Business expenses not included in lines 1 through 3. Do not include meals and entertainment	4	3,000.	
5 Meals and entertainment expenses. (See instructions.)	5		
6 Add lines 1 through 5 and enter the total expenses here	6	3,720.	

NOTE: If you were not reimbursed for any expenses in Step 1, skip lines 7–13 and enter the amount from line 6 on line 14.

		Column A	Column B
STEP 2 Figure Any Excess Reimbursements To Report in Income			
7 Reimbursements for the expenses listed in Step 1 that your employer did not report to you on Form W-2 or Form 1099	7		
Note: If, in both columns, line 6 is more than line 7, skip lines 8 and 9 and go to line 10. You do not have excess reimbursements.			
8 Subtract line 6 from line 7. If zero or less, enter zero	8	0.	0.
9 Add the amounts on line 8 of both columns and enter the total here. This is an excess reimbursement reportable as income. Add this amount to any amount shown on Form 1040, line 7 ▸	9		
STEP 3 Figure Fully Deductible Reimbursed Expenses			
10 Subtract line 7 from line 6. If zero or less, enter zero	10		
11 Reimbursements or allowances for the expenses in Step 1 that your employer identified to you, included on Form W-2 or Form 1099, and were not subject to withholding tax	11		
Note: The amount entered on line 11 must be reported as income on Form 1040.			
12 Enter the smaller of line 10 or line 11	12		
13 Add the amounts on line 12 of both columns and enter the total here. This is your fully deductible reimbursed expenses. Also enter the total on Form 1040, line 24 ▸	13		
STEP 4 Figure Unreimbursed Expenses To Deduct on Schedule A (Form 1040)			
14 Subtract line 12 from line 10	14	3,720.	
Note: If both columns of line 14 are zero, stop here.			
15 Enter 20% (.20) of line 14, Column B	15		
16 Subtract line 15 from line 14	16	3,720.	
17 Add the amounts on line 16 of both columns and enter the total here. Also enter the total on Schedule A (Form 1040), line 20. (Qualified Performing Artists and handicapped employees, see instructions.) ▸	17		3,720.

For Paperwork Reduction Act Notice, see Instructions.

Form 2106 (1988)

Congressional Living Expenses IRC USC 26 Section 162(A)

TRANSMITTED FROM 217 492 4062   05.15.89 10:10 P.05 •DURBIN&SPFD

Richard J. Durbin 0 9 0 7 0 2 9 2 7 3 6 493-46-1338

Form 2106 (1988) Page 2

Part II Vehicle Expenses (Use either your actual expenses (Section C) or standard mileage rate (Section B).)

Section A. — General Information		Vehicle 1	Vehicle 2
1 Enter the date vehicle was placed in service	1	06/20/88	
2 Total mileage vehicle was used during 1988	2	18,000 miles	miles
3 Miles included on line 2 that vehicle was used for business	3	3,000 miles	miles
4 Percent of business use (divide line 3 by line 2)	4	17 %	%
5 Average daily round trip commuting distance	5	10 miles	miles
6 Miles included on line 2 that vehicle was used for commuting	6	2,500 miles	miles
7 Other personal mileage (subtract line 6 plus line 3 from line 2)	7	12,500 miles	miles

8 Do you (or your spouse) have another vehicle available for personal purposes? ☒ Yes ☐ No

9 If your employer provided you with a vehicle, is personal use during off duty hours permitted? ☐ Yes ☒ No ☐ Not applic.

10 Do you have evidence to support your deduction? ☒ Yes ☐ No. If "Yes," is the evidence written? ☒ Yes ☐ No

Section B. — Standard Mileage Rate (Do not use this section unless you own the vehicle.)		
11 Enter the smaller of Part II, line 3 or 15,000 miles	11	3,000 miles
12 Subtract line 11 from Part II, line 3	12	miles
13 Multiply line 11 by 24¢ (.24) (see Instructions if vehicle is fully depreciated)	13	720.
14 Multiply line 12 by 11¢ (.11)	14	
15 Add lines 13 and 14. Enter total here and on Part I, line 1	15	720.

Section C. — Actual Expenses		Vehicle 1	Vehicle 2
16 Gasoline, oil, repairs, vehicle insurance, etc.	16		
17 Vehicle rentals	17		
18 Value of employer-provided vehicle (applies only if included on Form W-2 at 100% fair rental value, see Instructions)	18		
19 Add lines 16 through 18	19		
20 Multiply line 19 by the percentage on Part II, line 4	20		
21 Depreciation from Section D, column (f) (see Instructions)	21		
22 Add lines 20 and 21. Enter total here and on Part I, line 1	22		

Section D. — Depreciation of Vehicles (You can only claim depreciation for a vehicle you own. If you use a vehicle 50 percent or less in a trade or business, you cannot claim the Section 179 deduction and you must figure depreciation using the straight line method over 5 years. For other limitations, see Instructions.)

	Cost or other basis (a)	Basis for depreciation (Business use only - see Instructions) (b)	Method of figuring depreciation (c)	Depreciation deduction (d)	Section 179 expense (e)	Total col.(d) + col.(e) (enter in Section C, line 21) (f)
Vehicle 1						
Vehicle 2						

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Form **8582**
Department of the Treasury
Internal Revenue Service

Passive Activity Loss Limitations

▶ See separate instructions.
▶ Attach to Form 1040 or 1041.

OMB No. 1545-1008
1988
Sequence No. 88

Name(s) as shown on return: Richard J. & Loretta M. Durbin
Social security or employer ID number: 493-46-1338

Part I Computation of 1988 Passive Activity Loss

Caution: See the instructions for Worksheet I before completing Part I.

	Rental Real Estate Activities With Active Participation (See the definition of active participation under Rental Activities in the instructions.)				
	Activities acquired before 10-23-86 (Pre-enactment):				
1a	Activities with net income, Worksheet 1, Part 1, column (a)	1a			
1b	Activities with net loss, Worksheet 1, Part 1, column (b)	1b	-4,179.		
1c	Combine lines 1a and 1b	1c	-4,179.		
	Activities acquired after 10-22-86 (Post-enactment):				
1d	Activities with net income, Worksheet 1, Part 2, column (a)	1d			
1e	Activities with net loss, Worksheet 1, Part 2, column (b)	1e			
1f	Combine lines 1d and 1e	1f			
1g	Net income or (loss). Combine lines 1c and 1f			1g	-4,179.
1h	Prior year unallowed losses from 1987, Worksheet 1, Parts 1 and 2, column (c)			1h	
1i	Combine lines 1g and 1h			1i	-4,179.
	All Other Passive Activities (See the instructions for lines 2a through 2i.)				
	Activities acquired before 10-23-86 (Pre-enactment):				
2a	Activities with net income, Worksheet 2, Part 1, column (a)	2a			
2b	Activities with net loss, Worksheet 2, Part 1, column (b)	2b			
2c	Combine lines 2a and 2b	2c			
	Activities acquired after 10-22-86 (Post-enactment):				
2d	Activities with net income, Worksheet 2, Part 2, column (a)	2d			
2e	Activities with net loss, Worksheet 2, Part 2, column (b)	2e	-1,288.		
2f	Combine lines 2d and 2e	2f	-1,288.		
2g	Net income or (loss). Combine lines 2c and 2f			2g	-1,288.
2h	Prior year unallowed losses from 1987, Worksheet 2, Parts 1 and 2, column (c)			2h	
2i	Combine lines 2g and 2h			2i	-1,288.
3	Combine lines 1i and 2i. If the result is net income or -0-, see the instructions for line 3. If this line and line 1c or line 1i are losses, go to line 4. Otherwise, enter -0- on lines 8 and 9 and go to line 10			3	-5,467.

Part II Computation of the Special Allowance for Rental Real Estate With Active Participation

Note: Before completing Parts II and III, see the instructions for how to treat numbers as if they were all positive.

4	Enter the smaller of the loss on line 1i or the loss on line 3. If line 1i is -0- or net income, enter -0- and complete lines 5 through 9			4	4,179.
5	Enter $150,000. If married filing separately, see instructions	5	150,000.		
6	Enter modified adjusted gross income, but not less than -0- (see instructions). If line 6 is equal to or greater than line 5, skip line 7, enter -0- on lines 8 and 9 and then go to line 10. Otherwise, go to line 7	6	134,168.		
7	Subtract line 6 from line 5	7	15,832.		
8	Multiply line 7 by 50% (.5). Do not enter more than $25,000. If married filing separately, see instructions			8	7,916.
9	Enter the smaller of line 4 or line 8			9	4,179.

Part III Computation of Passive Activity Loss Allowed

10	Combine lines 1c and 2c and enter the result. If the result is -0- or net income, skip to line 16. (See Inst.)	10	4,179.
11	If line 1i shows income, has no entry, or shows -0-, enter -0- on line 11. Otherwise, enter the smaller of line 1i or line 9	11	4,179.
12	Subtract line 11 from line 10. If line 11 is equal to or greater than line 10, enter -0-	12	
13	Subtract line 9 from line 3	13	1,288.
14	Enter the smaller of line 12 or line 13	14	
15	Multiply line 14 by 40% (.4) and enter the result	15	
16	Enter the amount from line 9	16	4,179.
17	Passive Activity Loss Allowed for 1988. Add lines 15 and 16	17	4,179.
18	Add the income, if any, on lines 1a, 1d, 2a, and 2d and enter the result	18	
19	Total losses allowed from all passive activities for 1988. Add lines 17 and 18. See the instructions to see how to report the losses on your tax return	19	4,179.

For Paperwork Reduction Act Notice, see separate instructions.

Form **8582** (1988)

TRANSMITTED FROM 217 492 4062 05.15.89 10:32 P.08 •DURBINSSPFD

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Form **8271** (Rev. November 1987) Department of the Treasury Internal Revenue Service

Investor Reporting of Tax Shelter Registration Number

▶ Attach to your tax return

▶ If you received this form from a partnership, S corporation, or trust, see the instructions.

OMB No. 1545-0881 Expires 9-30-90 Attachment Sequence No. 71

Investor's name(s) as shown on return	Investor's taxpayer identification number	Investor's tax year ending
Richard J. & Loretta M. Durbin	493-46-1338	12-31-89

Tax Shelter Information

	a. Tax Shelter Name	b. Tax Shelter Registration Number (11-digit number)	c. Tax Shelter Taxpayer Identification Number	d. Type of Tax Shelter (see list in instructions)
1	Fairbanks Plaza LP	88028000664	36-3550245	35
2				
3				
4				
5				

Tax Shelter Benefits Claimed or Reported By Investor on Return to Which This Form 8271 Is Attached

Note: *If you received any Schedules K-1 for these benefits, see the specific instructions for Part II on page 2.*

	a. Gross Income, Gross Profits, and Gains	b. Deductions and Losses	c. Credits	d. Forms and Schedules Where Benefits Are Claimed or Reported
1				See attached K-1
2				
3				
4				
5				

General Instructions

Paperwork Reduction Act Notice.—We ask for this information to carry out the Internal Revenue laws of the United States. We need it to ensure that taxpayers are complying with these laws and to allow us to figure and collect the right amount of tax. You are required to give us this information.

Purpose of Form.—Use Form 8271 to report the tax shelter registration number the IRS assigns to certain tax shelters required to be registered under section 6111 of the Internal Revenue Code ("registration-required tax shelters") and to report other information about the tax shelter and its benefits. The tax shelter registration number and the other information must be reported, even if the particular interest is producing net income to the filer of Form 8271 (but see *Projected Income Investments* below). Use additional forms to report more than five tax shelter registration numbers.

Note: *Investors are reminded that a tax shelter registration number does not indicate that the tax shelter or its claimed tax benefits have been reviewed, examined, or approved by the IRS.*

Who Must File.—Any person claiming or reporting any deduction, loss, credit, or other tax benefit, or reporting any income on any tax return from an interest purchased or otherwise acquired in a registration-required tax shelter must file Form 8271. (If you are an investor in a partnership or an S corporation, look at item F, Schedule K-1 (Form 1065), or item C, Schedule K-1 (Form 1120S). If a tax shelter registration number or the words "Applied For" appear there, then the entity is a registration-required tax shelter.) If the interest is purchased or otherwise acquired by a pass-through entity, both the pass-through entity and its partners, shareholders, or beneficiaries must file Form 8271.

A pass-through entity that is the registration-required tax shelter does not have to prepare Form 8271 and give a copy to its partners, shareholders, or beneficiaries unless the pass-through entity itself has invested in a registration-required tax shelter.

Note: *Even if you have an interest in a registration-required tax shelter, you do not have to file Form 8271 if you did not claim or report any deduction, loss, credit, or other tax benefit, or report any income on your tax return from an interest in a registration-required tax shelter. This could occur, for example, if for a particular year you are unable to claim any portion of a loss because of the passive activity loss limitation, and that loss is your only tax shelter benefit.*

Projected Income Investments.—In certain cases, a tax shelter that does not expect to reduce the cumulative tax liability of any investor during the 5-year period ending after the date the investment is first offered for sale may be considered a "projected income investment." Such a tax shelter will not have to register, and thus not have to furnish a tax shelter registration number to investors, unless and until it ceases to be a projected income investment. It is possible, therefore, that you may not be furnished a tax shelter registration number, and not have to report it, for several years after you purchase or otherwise acquire your interest in the tax shelter. If you are subsequently furnished a tax shelter registration number because of a tax shelter that ceases to be a projected income investment, follow the instructions for Form 8271. However, you are required to file Form 8271 only for tax years ending on or after the date the tax shelter ceases to be a projected income investment.

Filing Form 8271.—Attach Form 8271, and any other required information, to any return, including applications for tentative refund (Forms 1045 and 1139), and amended returns (Forms 1040X and 1120X), on which a deduction, loss, credit, or other tax benefit is claimed or reported, or any income reported, from an interest in a registration-required tax shelter.

If you are a pass-through entity, you must provide your partners, shareholders, or beneficiaries with copies of the following documents (in addition to your Schedule K-1, which you normally provide): your Form 8271 (unless you are the registration-required tax shelter and have not invested in any other registration-required tax shelter); Forms 8271 provided to you by any other pass-through entity; and Schedules K-1 (for entities listed on the Forms 8271 that have been provided to you, or listed on your Form 8271).

If you received Schedules K-1 from tax shelters that are pass-through entities, or Forms 8271 and Schedules K-1 from pass-through entities that have invested in tax shelters, attach copies of those forms and schedules to this Form 8271. See the instructions for Part II.

Example 1: XYZ partnership is a registration-required tax shelter. It has not invested in any other registration-required tax shelter. A is a partner in XYZ. XYZ does not have to prepare a Form 8271 and give a copy to A. If A claims a deduction, loss, credit, or other tax benefit from XYZ (or reports any income from XYZ) on any return, A must prepare a Form 8271 and attach it to A's tax return, along with a copy of XYZ's Schedule K-1.

Example 2: DEF partnership is a registration-required tax shelter. DEF is a partner in GHI partnership, which is also a registration-required tax shelter (GHI has not invested in any other registration-required tax shelter). B is a partner in DEF. GHI must give a Schedule K-1 to DEF. DEF must prepare its own Form 8271 and give B a copy, along with DEF's Schedule K-1 and

For Paperwork Reduction Act Notice, see instructions.

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Form **6251**
Department of the Treasury
Internal Revenue Service

Alternative Minimum Tax — Individuals

▶ See separate instructions.
▶ Attach to Form 1040 or Form 1040NR. Estates and trusts, use Form 8656.

OMB No. 1545-0227
1988
Attachment Sequence No. 32

Name(s) as shown on Form 1040: Richard J. & Loretta E. Durbin
Your social security number: 493-46-1338

1	Taxable income from Form 1040, line 37 (can be less than zero)			1	101,700.
2	Net operating loss deduction. (Do not enter as a negative amount.)			2	
3	Add lines 1 and 2			3	101,700.
4	Adjustments: (See line-by-line instructions before completing.)				
a	Standard deduction from Form 1040, line 34	4a			
b	Personal exemption amount from Form 1040, line 36	4b	9,750.		
c	Medical and dental expense	4c			
d	Miscellaneous itemized deductions from Schedule A, line 24	4d	1,514.		
e	Taxes from Schedule A, line 8	4e	4,561.		
f	Refund of taxes	4f	( )		
g	Interest from Schedule A, line 12b	4g	2,245.		
h	Other interest adjustments	4h			
i	Combine lines 4a through 4h			4i	18,070.
j	Depreciation of property placed in service after 1986	4j	40.		
k	Circulation and research and experimental expenditures paid or incurred after 1986	4k			
l	Mining exploration and development costs paid or incurred after 1986	4l			
m	Long-term contracts entered into after 2/28/86	4m			
n	Pollution control facilities placed in service after 1986	4n			
o	Installment sales of certain property	4o			
p	Adjusted gain or loss	4p			
q	Certain loss limitations	4q			
r	Tax shelter farm loss	4r			
s	Passive activity loss	4s	40.		
t	Beneficiaries of estates and trusts	4t			
u	Combine lines 4j through 4t			4u	80.
5	Tax preference items: (See line-by-line instructions before completing.)				
a	Appreciated property charitable deduction	5a			
b	Tax-exempt interest from private activity bonds issued after August 7, 1986	5b			
c	Depletion	5c			
d	Add lines 5a through 5c			5d	
e	Accelerated depreciation of real property placed in service before 1987	5e			
f	Accelerated depreciation of leased personal property placed in service before 1987	5f			
g	Amortization of certified pollution control facilities placed in service before 1987	5g			
h	Incentive stock options. See instructions.	5h			
i	Intangible drilling costs	5i			
j	Reserves for losses on bad debts of financial institutions	5j			
k	Add lines 5e through 5j			5k	
6	Combine lines 3, 4i, 4u, 5d, and 5k			6	119,850.
7	Alternative tax net operating loss deduction. (Do not enter more than 90% of line 6.) See instructions			7	
8	Alternative minimum taxable income (subtract line 7 from line 6). If married filing separate returns, see inst.			8	119,850.
9	Enter: $40,000 ($20,000 if married filing separately; $30,000 if single or head of household)			9	40,000.
10	Enter: $150,000 ($75,000 if married filing separately; $112,500 if single or head of household)			10	150,000.
11	Subtract line 10 from line 8. If -0- or less, enter -0- here and on line 12 and go to line 13. If this line is more than -0-, go to line 12.			11	
12	Multiply line 11 by 25% (.25)			12	
13	Subtract line 12 from line 9. If -0- or less, enter -0-.			13	40,000.
14	Subtract line 13 from line 8. If -0- or less, enter -0- here and on line 19. If this line is more than -0-, go to line 15.			14	79,850.
15	Multiply line 14 by 21% (.21)			15	16,769.
16	Alternative minimum tax foreign tax credit. See instructions.			16	
17	Tentative minimum tax (subtract line 16 from line 15)			17	16,769.
18	Regular tax before credits (Form 1040, line 39) minus foreign tax credit (Form 1040, line 43). See instructions			18	26,099.
19	Alternative minimum tax (subtract line 18 from line 17). Enter on Form 1040, line 48. If -0- or less, enter -0-.			19	

For Paperwork Reduction Act Notice, see separate instructions.

Form 6251 (1988)

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Richard J. & Loretta M. Durbin 493-46-1338

Form 4562 (1988) Page 2

Part III Automobiles, Certain Other Vehicles, Computers, and Property Used for Entertainment, Recreation, or Amusement (Listed Property).

If you are using the standard mileage rate or deducting vehicle lease expense, complete columns (a) through (d) of Section A, all of Section B, and Section C if applicable.

Section A. — Depreciation (If automobiles and other listed property placed in service after June 18, 1984, are used 50% or less in a trade or business, the section 179 deduction is not allowed and depreciation must be taken using the straight line method over 5 years. For other limitations, see Instructions.)

Do you have evidence to support the business use claimed? ☐ Yes ☐ No If "Yes" is the evidence written? ☐ Yes ☐ No

(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business use percentage (%)	(d) Cost or other basis (see Instructions for leased property)	(e) Basis for depreciation (Business use only — see Instructions)	(f) Depreciation method and recovery period	(g) Depreciation deduction	(h) Section 179 expense

Total (Enter here and on line 2, page 1.) ....

Total (Enter here and on line 8, page 1.) ....

Section B. — Information Regarding Use of Vehicles

Complete this section as follows, if you deduct expenses for vehicles:

- Always complete this section for vehicles used by a sole proprietor, partner, or other more than 5% owner or related person.
- If you provided vehicles to employees, first answer the questions in Section C to see if you meet an exception to completing this section for those items.

	Vehicle 1		Vehicle 2		Vehicle 3		Vehicle 4		Vehicle 5		Vehicle 6	
1 Total miles driven during the year												
2 Total business miles driven during the year ..												
3 Total commuting miles driven during the year												
4 Total other personal (noncommuting) miles driven												
	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
5 Was the vehicle available for personal use during off-duty hours?												
6 Was the vehicle used primarily by a more than 5% owner or related person?												
7 Is another vehicle available for personal use?												

Section C. — Questions for Employers Who Provide Vehicles for Use by Employees.

(Answer these questions to determine if you meet an exception to completing Section B. Note: Section B must always be completed for vehicles used by sole proprietors, partners, or other more than 5% owners or related persons.)

	Yes	No
8 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees		
9 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? (See Instructions for vehicles used by corporate officers, directors, or 1% or more owners.)		
10 Do you treat all use of vehicles by employees as personal use?		
11 Do you provide more than five vehicles to your employees and retain the information received from your employees concerning the use of the vehicles?		
12 Do you meet the requirements concerning qualified automobile demonstration use (see Instructions)?		

Note: If your answer to 8, 9, 10, 11, or 12 is "Yes" you need not complete Section B for the covered vehicles.

A 9 3 7 7 2 8 2 7 4 1

Form **4562**
Department of the Treasury
Internal Revenue Service

Depreciation and Amortization

▶ See separate Instructions.
▶ Attach this form to your return.

OMB 1545-0172
1988
Sequence No. 67

Name(s) as shown on return Richard J. & Lorette M. Durbin	Identifying number 493-46-1338

Business or activity to which this form relates
Schedule E - 520 Chenery, Spfld.

Part I Depreciation (Use Part III for automobiles, certain other vehicles, computers, and property used for entertainment, recreation, or amusement.)

Section A. -- Election To Expense Depreciable Assets (Section 179)

1 (a) Description of property	(b) Date placed in service	(c) Cost	(d) Expense deduction

2 List property -- Enter total from Part III, Section A, column (h)	
3 Total (add lines 1 and 2, but do not enter more than $10,000)	
4 Enter the amount, if any, by which the cost of all 179 property placed in service during this tax year is more than $200,000.	
5 Subtract line 4 from line 3. If less than zero, enter zero. (See instructions for other limitations.)	0.

Section B. -- Depreciation

(a) Class of property	(b) Date placed in service	(c) Basis for depreciation (Business use only -- see instructions)	(d) Recovery period	(e) Method of figuring depreciation	(f) Deduction
6 Modified Accelerated Cost Recovery System (MACRS) (see instructions): For assets placed in service ONLY during tax year beginning in 1988					
a 3-year property					
b 5-year property					
c 7-year property		591.	7.0	DDB1/2	84.
d 10-year property					
e 15-year property					
f 20-year property					
g Residential rental property					
h Nonresidential real property					
7 Alternative Depr. System (ADS)					

8 Listed property -- Enter total from Part III, Section A, column (g)	
9 MACRS deduction for assets placed in service prior to 1988 (see instructions)	

Section C. -- ACRS and/or Other Depreciation

10 Property subject to section 168(f)(1) election (see instructions)	
11 ACRS and/or other depreciation (see instructions)	2,959.

Section D. -- Summary

12 Total (add deductions on lines 5 through 11). Enter here and on the Depreciation line of your return (Partnerships and S corporations -- Do NOT include any amounts entered on line 5.)		3,043.
13 For assets above placed in service during the current year, enter the portion of the basis attributable to section 263A costs. (See instructions)		

Part II Amortization

(a) Description of property	(b) Date acquired	(c) Cost or other basis	(d) Code section	(e) Amort. period or percentage	(f) Amortization for this year
1 Amortization for property placed in service only during tax year beginning in 1988					

2 Amortization for property placed in service prior to 1988	
3 Total. Enter here and on Other Deductions or Other Expenses line of your return.	

See Paperwork Reduction Act Notice on page 1 of the separate Instructions.

Form 4562 (1988)

8 9 0 7 7 2 3 2 7 4 2

Richard J. Durbin 493-46-1338

Form 4562 (1988) Page 2

Part III Automobiles, Certain Other Vehicles, Computers, and Property Used for Entertainment, Recreation, or Amusement (Listed Property).

If you are using the standard mileage rate or deducting vehicle lease expense, complete columns (a) through (d) of Section A, all of Section B, and Section C if applicable.

Section A. — Depreciation (If automobiles and other listed property placed in service after June 18, 1984, are used 50% or less in a trade or business, the section 179 deduction is not allowed and depreciation must be taken using the straight line method over 5 years. For other limitations, see instructions.)

Do you have evidence to support the business use claimed? ☐ Yes ☐ No If "Yes," is the evidence written? ☐ Yes ☐ No

(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business use percentage (%)	(d) Cost or other basis (see instructions for leased property)	(e) Basis for depreciation (Business use only — see instructions)	(f) Depreciation method and recovery period	(g) Depreciation deduction	(h) Section 179 expense
Total (Enter here and on line 8, page 1.)						▨	
Total (Enter here and on line 6, page 1.)							▨

Section B. — Information Regarding Use of Vehicles

Complete this section as follows, if you deduct expenses for vehicles:

- Always complete this section for vehicles used by a sole proprietor, partner, or other more than 5% owner or related person.
- If you provided vehicles to employees, first answer the questions in Section C to see if you meet an exception to completing this section for those items.

	Vehicle 1		Vehicle 2		Vehicle 3		Vehicle 4		Vehicle 5		Vehicle 6	
1 Total miles driven during the year												
2 Total business miles driven during the year												
3 Total commuting miles driven during the year												
4 Total other personal (noncommuting) miles driven												
	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
5 Was the vehicle available for personal use during off-duty hours?												
6 Was the vehicle used primarily by a more than 5% owner or related person?												
7 Is another vehicle available for personal use?												

Section C. — Questions for Employers Who Provide Vehicles for Use by Employees.

(Answer these questions to determine if you meet an exception to completing Section B. Note: Section B must always be completed for vehicles used by sole proprietors, partners, or other more than 5% owners or related persons.)

	Yes	No
8 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?		
9 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? (See instructions for vehicles used by corporate officers, directors, or 1% or more owners.)		
10 Do you treat all use of vehicles by employees as personal use?		
11 Do you provide more than five vehicles to your employees and retain the information received from your employees concerning the use of the vehicles?		
12 Do you meet the requirements concerning qualified automobile demonstration use (see instructions)?		

Note: If your answer to 8, 9, 10, 11, or 12 is "Yes" you need not complete Section B for the covered vehicles.

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Form **4562**
Department of the Treasury
Internal Revenue Service

Depreciation and Amortization

▶ See separate instructions.
▶ Attach this form to your return.

OMB 1545-0172
1988
Sequence No. 67

Name(s) as shown on return: Richard J. Durbin

Identifying number: 493-46-1338

Business or activity to which this form relates: Schedule C - Richard J. Durbin

Part I Depreciation (Use Part III for automobiles, certain other vehicles, computers, and property used for entertainment, recreation, or amusement.)

Section A. -- Election To Expense Depreciable Assets (Section 179)

1 (a) Description of property	(b) Date placed in service	(c) Cost	(d) Expense deduction

2 Listed property -- Enter total from Part III, Section A, column (h)	
3 Total (add lines 1 and 2, but do not enter more than $10,000)	
4 Enter the amount, if any, by which the cost of all 179 property placed in service during this tax year is more than $200,000	
5 Subtract line 4 from line 3. If less than zero, enter zero. (See instructions for other limitations.)	0.

Section B. -- Depreciation

(a) Class of property	(b) Date placed in service	(c) Basis for depreciation (Business use only -- see instructions)	(d) Recovery period	(e) Method of figuring depreciation	(f) Deduction
6 Modified Accelerated Cost Recovery System (MACRS) (see instructions): For assets placed in service ONLY during tax year beginning in 1988					
a 3-year property					
b 5-year property					
c 7-year property					
d 10-year property					
e 15-year property					
f 20-year property					
g Residential rental property					
h Nonresidential real property					
7 Alternative Depr. System (ADS)					

8 Listed property -- Enter total from Part III, Section A, column (g)	
9 MACRS deduction for assets placed in service prior to 1988 (see instructions)	

Section C. -- ACRS and/or Other Depreciation

10 Property subject to section 168(f)(1) election (see instructions)	
11 ACRS and/or other depreciation (see instructions)	614.

Section D. -- Summary

12 Total (add deductions on lines 5 through 11). Enter here and on the Depreciation line of your return (Partnerships and S corporations -- Do NOT include any amounts entered on line 5.)		614.
13 For assets above placed in service during the current year, enter the portion of the basis attributable to section 263A costs. (See instructions)		

Part II Amortization

(a) Description of property	(b) Date acquired	(c) Cost or other basis	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
1 Amortization for property placed in service only during tax year beginning in 1988					

2 Amortization for property placed in service prior to 1988	
3 Total. Enter here and on Other Deductions or Other Expenses line of your return	

See Paperwork Reduction Act Notice on page 1 of the separate instructions.

Form 4562 (1988)

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0 9 0 7 0 2 9 2 7 4 4

Richard J. & Loretta M. Durbin 493-46-1338

Form 3468 (1988)

Schedule B. — Business Energy Investment Credit

1 Enter on lines 1(a) through 1(e) your qualified investment in business energy property.

Type of Property	Line	(1) Class of Property or Life Years	(2) Code	(3) Basis	(4) Applicable Percentage	(5) Qualified Investment (Column 3 x column 4)
Recovery	(a)	5-year			60	
	(b)	Other			100	
Nonrecovery	(c)	3 or more but less than 5			33 1/3	
	(d)	5 or more but less than 7			66 2/3	
	(e)	7 or more			100	

2 Total qualified investment — Add lines 1(a) through 1(e), column (5) 2

3 Multiply the portion of the line 2 amount attributable to the following types of property by the applicable percentages:

a Solar energy property (10%) 3a

b Geothermal property (10%) 3b

c Ocean thermal property (10%) 3c

4 Certain other property (See instructions below for special limits):

a Certain long-term section 46(b)(2)(C) projects under way by 12-31-85 (10%) 4a

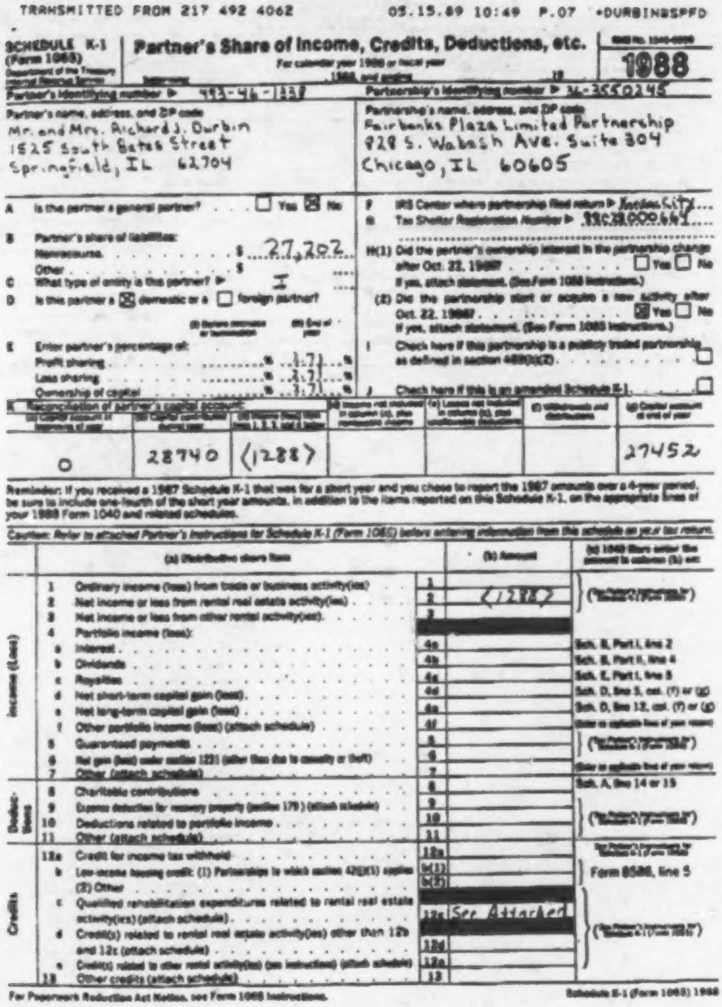
b Hydroelectric generating property placed in service by 12-31-88 (if docketed with the Federal Energy Regulatory Commission by 12-31-85)(11%) 4b

5 Cooperative credit — Enter business energy investment credit from cooperatives 5

6 Tentative business energy investment credit — Add lines 3a through 5. Enter here and on line 7 of page 1 6

[illegible]

8 9 3 7 7 2 8 2 7 4 5



TRANSMITTED FROM 217 492 4062 05.15.89 10:49 P.07 •DURBIN8SPFD

SCHEDULE K-1 (Form 1065) Department of the Treasury Internal Revenue Service

Partner's Share of Income, Credits, Deductions, etc.

For calendar year 1988 or fiscal year beginning ......, 1988, and ending ......, 19...

OMB No. 1545-0099

1988

Partner's identifying number ▶ [illegible]

Partnership's identifying number ▶ 36-3550245

Partner's name, address, and ZIP code

Mr. and Mrs. Richard J. Durbin
1525 South Bates Street
Springfield, IL 62704

Partnership's name, address, and ZIP code

Fairbanks Plaza Limited Partnership
928 S. Wabash Ave. Suite 304
Chicago, IL 60605

A Is the partner a general partner? ☐ Yes ☒ No

B Partner's share of liabilities:
Nonrecourse $ 27,202
Other $

C What type of entity is this partner? ▶ I

D Is this partner a ☒ domestic or a ☐ foreign partner?

E Enter partner's percentage of: (i) Before decrease or termination (ii) End of year
Profit sharing % 3.71 %
Loss sharing % 3.71 %
Ownership of capital % 3.71 %

F IRS Center where partnership filed return ▶ Kansas City

G Tax Shelter Registration Number ▶ [illegible]

H(1) Did the partner's ownership interest in the partnership change after Oct. 22, 1986? ☐ Yes ☐ No
If yes, attach statement. (See Form 1065 Instructions.)

(2) Did the partnership start or acquire a new activity after Oct. 22, 1986? ☒ Yes ☐ No
If yes, attach statement. (See Form 1065 Instructions.)

I Check here if this partnership is a publicly traded partnership as defined in section 469(k)(2) ☐

J Check here if this is an amended Schedule K-1 ☐

K Reconciliation of partner's capital account:

(a) Capital account at beginning of year	(b) Capital contributed during year	(c) Income (loss) from lines 1, 2, 3, and 4 below	(d) Income not included in column (c), plus nontaxable income	(e) Losses not included in column (c), plus unallowable deductions	(f) Withdrawals and distributions	(g) Capital account at end of year
0	28740	⟨1288⟩				27452

Reminder: If you received a 1987 Schedule K-1 that was for a short year and you chose to report the 1987 amounts over a 4-year period, be sure to include one-fourth of the short year amounts, in addition to the items reported on this Schedule K-1, on the appropriate lines of your 1988 Form 1040 and related schedules.

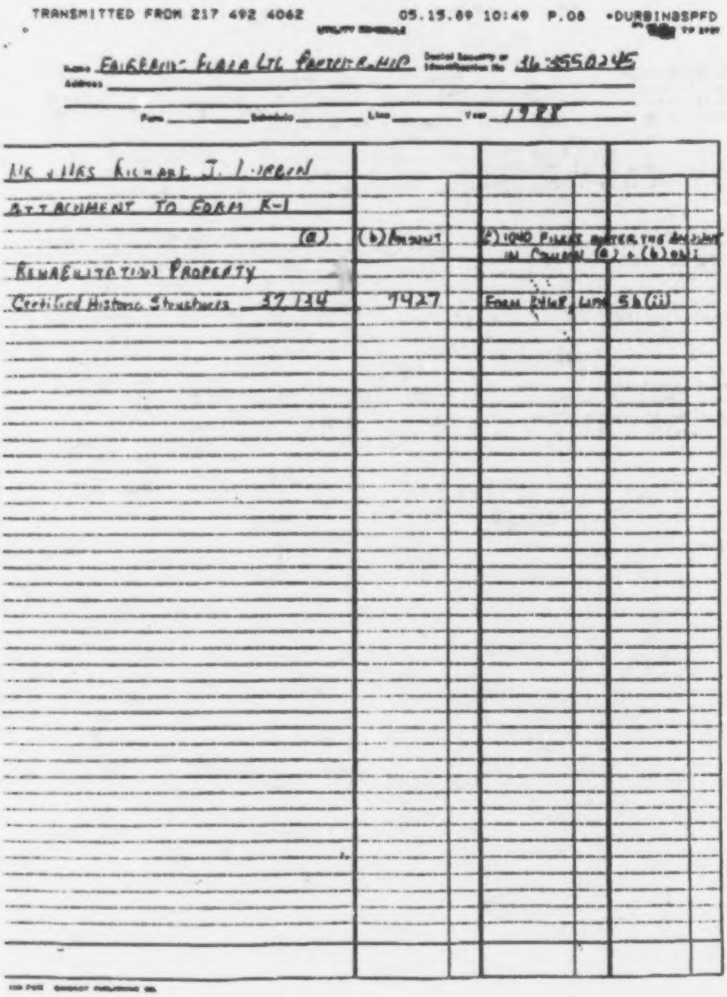
Caution: Refer to attached Partner's Instructions for Schedule K-1 (Form 1065) before entering information from this schedule on your tax return.

	(a) Distributive share item		(b) Amount	(c) 1040 filers enter the amount in column (b) on:
Income (Loss)	1 Ordinary income (loss) from trade or business activity(ies)	1		(See Partner's Instructions for Schedule K-1 (Form 1065))
	2 Net income or loss from rental real estate activity(ies)	2	⟨1288⟩	
	3 Net income or loss from other rental activity(ies)	3		
	4 Portfolio income (loss):			
	a Interest	4a		Sch. B, Part I, line 2
	b Dividends	4b		Sch. B, Part II, line 4
	c Royalties	4c		Sch. E, Part I, line 5
	d Net short-term capital gain (loss)	4d		Sch. D, line 5, col. (f) or (g)
	e Net long-term capital gain (loss)	4e		Sch. D, line 12, col. (f) or (g)
	f Other portfolio income (loss) (attach schedule)	4f		(Enter on applicable line of your return)
	5 Guaranteed payments	5		(See Partner's Instructions for Schedule K-1 (Form 1065))
	6 Net gain (loss) under section 1231 (other than due to casualty or theft)	6		
	7 Other (attach schedule)	7		(Enter on applicable line of your return)
Deductions	8 Charitable contributions	8		Sch. A, line 14 or 15
	9 Expense deduction for recovery property (section 179) (attach schedule)	9		(See Partner's Instructions for Schedule K-1 (Form 1065))
	10 Deductions related to portfolio income	10		
	11 Other (attach schedule)	11		
Credits	12a Credit for income tax withheld	12a		(See Partner's Instructions for Schedule K-1 (Form 1065))
	b Low-income housing credit: (1) Partnerships to which section 42(j)(5) applies	b(1)		Form 8586, line 5
	(2) Other	b(2)		
	c Qualified rehabilitation expenditures related to rental real estate activity(ies) (attach schedule)	12c	See Attached	(See Partner's Instructions for Schedule K-1 (Form 1065))
	d Credit(s) related to rental real estate activity(ies) other than 12b and 12c (attach schedule)	12d		
	e Credit(s) related to other rental activity(ies) (see instructions) (attach schedule)	12e		
	13 Other credits (attach schedule)	13		

For Paperwork Reduction Act Notice, see Form 1065 Instructions.

Schedule K-1 (Form 1065) 1988

0 9 0 7 0 2 9 2 7 4 5



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UTILITY SCHEDULE

Name Fairbanks Plaza Ltd Partnership Social Security or Identification No. 36-3550245

Address

Form Schedule Line Year 1988

Mr & Mrs Richard J. Durbin						
Attachment to Form K-1						
(a)	(b) Amount		(c) 1040 Filers enter the amount in Column (a) + (b) on:			
Rehabilitation Property						
Certified Historic Structures 37,134	9427		Form 3468, Line 5b(ii)			

91 Worksheet Supporting Schedules 1988
me: Richard J. & Loretta M. Durbin SSN: 493-46-1338

****

rksheet 4 - Allocation of Unallowed Losses

Enter line 3 of Form 8582............................	5,427.
Enter line 17 of Form 8582...........................	4,139.
Subtract line B from line A..........................	1,288.

me of Activity	Report On	(a) Loss	(b) Ratio	(c) Unallowed Loss
irbanks Plaza LP	Sch E P2	1,288.	1.000	1,288.
		1,288.	1.000	1,288.

rksheet 5 - Allowed Losses

me of Activity	Report On	(a) Loss	Unallowed (b) Loss	Allowed (c) Loss
irbanks Plaza LP	Sch E P2	1,288.	1,288.	
0 Chenery, Spfld.	Sch E P1	4,139.		4,139.
		5,427.	1,288.	4,139.

R 9 0 7 0 2 3 2 7 4 8

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```
51 Worksheet                    Supporting Schedules                        1988
ae: Richard J. & Loretta M. Durbin                               SSN: 493-46-1338
*********************************************************************************
---------------------------------------------------------------------------------
rksheet 1 for Lines 1a, 1b, 1d, 1e, and 1h of the 8582      (for your records)
---------------------------------------------------------------------------------
rt 1 -- Pre-enactment  |     Current Year      | Prior Yr.| Overall Gain or Loss
ae of Activity         | (a)Income|(b) Loss  | (c)Unallw| (d) Gain | (e) Loss
---------------------  ---------- ---------   ---------  ---------  ---------
) Chenery, Spfld.      |          |   4,139. |           |          |    4,139.
---------------------- ---------- ---------  ---------- ---------- ----------
:als, lines 1a and 1b..|          |   4,139. |///////////\//////////\//////////
---------------------------------------------------------------------------------
rt 2 -- Post-enactment | /////////\/////////\//////////\//////////\//////////
ae of Activity         | (a)Income|(b) Loss  |//////////| (d) Gain | (e) Loss
---------------------  ---------- ---------   ---------  ---------  ---------
---------------------- ---------- ---------  ---------- ---------- ----------
:als, lines 1d, 1e, 1h.|          |          |           |//////////\//////////
=================================================================================

---------------------------------------------------------------------------------
:ksheet 2 for Lines 2a, 2b, 2d, 2e, and 2h of the 8582      (for your records)
---------------------------------------------------------------------------------
:t 1 -- Pre-enactment  |     Current Year      | Prior Yr.| Overall Gain or Loss
ae of Activity         | (a)Income|(b) Loss  | (c)Unallw| (d) Gain | (e) Loss
---------------------  ---------- ---------   ---------  ---------  ---------
---------------------- ---------- ---------  ---------- ---------- ----------
:als, lines 2a and 2b..|          |          |//////////\//////////\//////////
---------------------------------------------------------------------------------
:t 2 -- Post-enactment | /////////\/////////\//////////\//////////\//////////
ae of Activity         | (a)Income|(b) Loss  |//////////| (d) Gain | (e) Loss
---------------------  ---------- ---------   ---------  ---------  ---------
:rbanks Plaza LP       |          |   1,288. |           |          |    1,288.
---------------------- ---------- ---------  ---------- ---------- ----------
:als, lines 2d, 2e, 2h.|          |   1,288. |           |//////////\//////////
=================================================================================

:ksheet 3 for Losses on Lines 1b and/or 1e.

 Add Amounts in col.(d) Parts 1 & 2 of Worksheet 1..          0.
 Enter the amount from line 9 of Form 8582..........      4,139.
 Add lines A and B..................................      4,139.

ae of Activity          Report On (a) Loss   (b) Ratio  (c)SpcAll  (a) - (c)
---------------------   --------- ---------  ---------  ---------  ---------
) Chenery, Spfld.       Sch E P1    4,139.      1.000     4,139.
                                  ---------  ---------  ---------  ---------
                                    4,139.      1.000     4,139.
                                  =========  =========  =========  =========
```

Alternative Minimum Passive Activity Loss

8 9 0 7 1 2 3 2 / 5 0

```
                    Alternative Minimum Passive Activity Loss
251 Worksheet                 Supporting Schedules                        1988
------------------------------------------------------------------------------
NAME:                                                       SSN:
  Richard J. & Loretta M. Durbin                                   493-46-1338
------------------------------------------------------------------------------
ART I - COMPUTATION  OF 1988 PASSIVE ACTIVITY LOSS
------------------------------------------------------------------------------
ental Real Estate Activities With Active Participation
  Activities acquired before 10-23-86:
1a  Activities with net income............| 1a|             |///|///////////
1b  Activities with net loss..............| 1b|      -4,139.|///|///////////
1c  Combine lines 1a and 1b...............| 1c|      -4,139.|///|///////////
  Activities acquired after 10-22-86:     |   |             |///|///////////
1d  Activities with net income............| 1d|             |///|///////////
1e  Activities with net loss..............| 1e|             |///|///////////
1f  Combine lines 1d and 1e...............| 1f|             |///|///////////
1g  Net income or (loss). Combine lines 1c and 1f...........| 1g|     -4,139.
1h  Prior year unallowed losses from 1987...................| 1h|
1i  Combine lines 1g and 1h.................................| 1i|     -4,139.
11 Other Passive Activities                                 |///|///////////
  Activities acquired before 10-23-86:                      |///|///////////
2a  Activities with net income............| 2a|             |///|///////////
2b  Activities with net loss..............| 2b|             |///|///////////
2c  Combine lines 2a and 2b...............| 2c|             |///|///////////
  Activities acquired after 10-22-86:     |   |             |///|///////////
2d  Activities with net income............| 2d|             |///|///////////
2e  Activities with net loss..............| 2e|      -1,288.|///|///////////
2f  Combine lines 2d and 2e...............| 2f|      -1,288.|///|///////////
2g  Net income or (loss). Combine lines 2c and 2f...........| 2g|     -1,288.
2h  Prior year unallowed losses from 1987...................| 2h|
2i  Combine lines 2g and 2h.................................| 2i|     -1,288.
3   Combine lines 1i and 2i. If result is a gain or 0, see  |   |
    instrs. If result and 1c or 1i are losses, go to line 4.| 3 |     -5,427.
ART II - COMPUTATION OF THE SPECIAL ALLOWANCE FOR RENTAL REAL ESTATE WITH
         ACTIVE PARTICIPATION
4   Enter smaller of loss on line 1i or line 3. If line 1i  |///|///////////
    is 0 or gain, enter 0 and complete lines 5 through 9....| 4 |      4,139.
5   Enter $150,000 (if MFS, see instrs.)..| 5 |     150,000.|///|///////////
6   Enter modified AGI, but not less than |   |             |///|///////////
    0. If ln 6 exceeds ln 5, skip ln 7 and|   |             |///|///////////
    enter 0 in 8 & 9. Otherwise go to ln 7| 6 |     134,168.|///|///////////
7   Subtract line 6 from line 5...........| 7 |      15,832.|///|///////////
8   Multiply line 7 by 50%. Do not enter more than $25,000. |///|///////////
    If married filing separately, see instructions..........| 8 |      7,916.
9   Enter the smaller of line 4 or line 8...................| 9 |      4,139.
ART III - COMPUTATION OF PASSIVE ACTIVITY LOSS ALLOWED
0   Combine lines 1c and 2c and enter here..................|10 |
1   If 1c shows income or 0, enter 0, else smaller of 1c & 8|11 |
2   Line 10 minus line 11. If line 11 exceeds ln 10, enter 0|12 |
3   Subtract line 9 from line 3 ............................|13 |
4   Enter the smaller of line 12 or line 13.................|14 |
5   Multiply line 14 by 40% and enter the result............|15 |
6   Enter the amount from line 9............................|16 |      4,139.
7   Passive Activity Loss Allowed for 1988. (line 15 + 16)..|17 |      4,139.
8   Add the income, if any, on lines 1a, 1d, 2a, and 2d.....|18 |
9   Total losses allowed from all passive activities for    |   |
    1988.  Add lines 17 and 18..............................|19 |      4,139.
------------------------------------------------------------------------------
```

8 9 3 7 7 2 3 2 7 5 1

582 Worksheet Supporting Schedules 1988
me: Richard J. & Loretta N. Durbin SSN: 493-46-1338

****

rksheet 4 - Allocation of Unallowed Losses

. Enter line 3 of Form 8582.......................... 5,467.
. Enter line 17 of Form 8582......................... 4,179.
. Subtract line B from line A......................... 1,288.

me of Activity	Report On	(a) Loss	(b) Ratio	(c) Unallowed Loss
irbanks Plaza LP	Sch E P2	1,288.	1.000	1,288.
		1,288.	1.000	1,288.

rksheet 5 - Allowed Losses

me of Activity	Report On	(a) Loss	Unallowed (b) Loss	Allowed (c) Loss
irbanks Plaza LP	Sch E P2	1,288.	1,288.	
20 Chenery, Spfld.	Sch E P1	4,179.		4,179.
		5,467.	1,288.	4,179.

0 9 7 0 2 8 2 / 5 2

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```
2 Worksheet                    Supporting Schedules                       1988
e: Richard J. & Loretta M. Durbin                              SSN: 493-46-1338
*******************************************************************************
-------------------------------------------------------------------------------
ksheet 1 for Lines 1a, 1b, 1d, 1e, and 1h of the 8582     (for your records)
-------------------------------------------------------------------------------
t 1 -- Pre-enactment  |     Current Year     | Prior Yr.| Overall Gain or Loss
e of Activity         | (a)Income|(b) Loss  | (c)Unallw| (d) Gain  | (e) Loss
--------------------- ---------- ---------- ---------- ----------- ----------
 Chenery, Spfld.      |          |   4,179. |          |           |    4,179.
--------------------- ---------- ---------- ---------- ----------- ----------
als, lines 1a and 1b..|          |   4,179. |///////////|///////////|//////////
-------------------------------------------------------------------------------
t 2 -- Post-enactment |//////////|//////////|///////////|///////////|//////////
e of Activity         | (a)Income|(b) Loss  |///////////| (d) Gain  | (e) Loss
--------------------- ---------- ---------- ---------- ----------- ----------
--------------------- ---------- ---------- ---------- ----------- ----------
als, lines 1d, 1e, 1h.|          |          |           |///////////|//////////
===============================================================================


-------------------------------------------------------------------------------
ksheet 2 for Lines 2a, 2b, 2d, 2e, and 2h of the 8582     (for your records)
-------------------------------------------------------------------------------
t 1 -- Pre-enactment  |     Current Year     | Prior Yr.| Overall Gain or Loss
e of Activity         | (a)Income|(b) Loss  | (c)Unallw| (d) Gain  | (e) Loss
--------------------- ---------- ---------- ---------- ----------- ----------
--------------------- ---------- ---------- ---------- ----------- ----------
als, lines 2a and 2b..|          |          |///////////|///////////|//////////
-------------------------------------------------------------------------------
t 2 -- Post-enactment |//////////|//////////|///////////|///////////|//////////
e of Activity         | (a)Income|(b) Loss  |///////////| (d) Gain  | (e) Loss
--------------------- ---------- ---------- ---------- ----------- ----------
rbanks Plaza LP       |          |   1,288. |           |           |    1,288.
--------------------- ---------- ---------- ---------- ----------- ----------
als, lines 2d, 2e, 2h.|          |   1,288. |           |///////////|//////////
===============================================================================

ksheet 3 for Losses on Lines 1b and/or 1e.

 Add Amounts in col.(d) Parts 1 & 2 of Worksheet 1..        0.
 Enter the amount from line 9 of Form 8582..........    4,179.
 Add lines A and B..................................    4,179.

e of Activity              Report On (a) Loss   (b) Ratio  (c)SpcAll  (a) - (c)
-------------------------  --------- ---------  ---------  ---------  ---------
 Chenery, Spfld.           Sch E P1     4,179.     1.000     4,179.
                                     ---------  ---------  ---------  ---------
                                        4,179.     1.000     4,179.
                                     =========  =========  =========  =========
```

R 9 3 7 7 2 3 2 7 5 3

SUPPORTING SCHEDULES

DEPRECIATION
1988

Name: Richard J. & Loretta M. Durbin **SSN:** 493-46-1338

Business or Activity: Schedule E - 520 Chenery, Spfld.

-----Asset----- # Descriptn	T	Acq. Date	Cost or Basis	D M	Est Life	Curr. ITC	Salvage Value	Prior Deprec.	Prior ITC	Sect. 179	Curren Deprec
1 Building	R	070180	76485	2	20			29138			295
2 Carpeting	P	070188	891	M	7						8
Total Prior Year Amounts								29138			
Total Current Year Amounts											304

8 9 3 7 3 2 8 2 7 5 1

```
                              SUPPORTING SCHEDULES

                                  DEPRECIATION
                                      1988
Name:   Richard J. Durbin                                        SSN: 493-46-1338
---------------------------------------------------------------------------------
Business or Activity:  Schedule C - Richard J. Durbin

-----Asset-----  Acq.  Cost or D Est  Curr. Salvage  Prior   Prior Sect. Curren
 # Descriptn  T  Date   Basis  M Life  ITC   Value  Deprec.   ITC   179  Deprec

 1 Computer   P 050184   6933 A   5                   2310     154  3855     61
                                       ------------------------------------------
Total Prior Year Amounts                              2310     154  3855
Total Current Year Amounts                                                   61
                                       ==========================================
```

89370232755

Form 1040 Supporting Schedules 1988
Name: Richard J. & Loretta M. Durbin SSN: 493-46-1338

Line 7 - W-2 Information

Employer	T/S	G/C	Gross wages	FICA wages	Taxes withheld Federal	FICA	State	Local
U.S. House of Rep	T		89,500.	45,000.	19,780.	3,380.	2,184.	
State of Illinois	S		24,913.		3,242.		623.	
			114,413.	45,000.	23,022.	3,380.	2,807.	

8 9 3 7 3 2 9 2 7 5 5

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IL-1040 1988

MAIL TO: Illinois Department of Revenue
Springfield IL 62719-0001

OFFICIAL USE

FOR JANUARY 1 - DECEMBER 31, 1988 OR OTHER TAX YEAR (beginning) ______ 1988 – (ending) ______ 19__

FIRST NAME & INITIAL (IF JOINT, INCLUDE SPOUSE'S) LAST NAME Richard J. & Loretta M. Durbin	YOUR SOCIAL SECURITY NO. 493 | 46 | 1338		FULL-YEAR RESIDENT ASSUMED UNLESS A BOX IS CHECKED
ADDRESS & APT. NO. or RURAL ROUTE 1525 Bates	SPOUSE'S SOCIAL SECURITY NO. 350 | 36 | 5292		☐ NONRESIDENT ☐ PART-YEAR RESIDENT
CITY/TOWN/POST OFFICE STATE ZIP Springfield, IL 62704			MUST ATTACH SCHEDULE NR
FILING STATUS (CHECK ONE) 1☐ Single/Head of Household 2☒ Married Filing Jointly 3☐ Married Filing Separately* 4☐ Widowed *Spouse's SSN ___/___/___	SCHOOL CODE 1 | 0 | 5 | 5		FARMERS: If two-thirds of your total gross income was derived from farming, CHECK BOX ▶ ☐

1	Adjusted gross income (U.S. Form 1040, line 31 or U.S. Form 1040A, line 13 or U.S. Form 1040EZ, line 3)			1 127,799.
	2a Federally tax exempt interest/dividends (U.S. 1040 or U.S. 1040A, line 8b)	2a	235.	
	2b Other additions (SPECIFY)	2b		
2	Total additions —Add lines 2a and 2b			2 235.
3	Total income —Add lines 1 and 2			3 128,034.
	4a Illinois property taxes paid on principal residence (SEE INSTRUCTIONS)	4a	1,754.	
	4b August 1, 1989 valuation limitation amount (Schedule F, line 17)	4b		
	4c Federally taxed retirement and social security income. ATTACH A COPY OF U.S. 1040, PAGE 1	4c		
	4d Military pay earned while a resident and included on line 1	4d		
	4e Illinois income tax refund (U.S. 1040, line 10)	4e	15.	
	4f Income from U.S. government obligations. ATTACH A COPY OF U.S. SCHEDULE B	4f		
	4g Other subtractions (SPECIFY)	4g		
4	Total subtractions —Add lines 4a through 4g			4 1,769.
5	Base income —Subtract line 4 from line 3			5 126,265.
6	Enter number of exemptions (SEE INSTRUCTIONS), then FULL-YEAR RESIDENTS multiply by $1,000 and enter on line 6 ▶ 5 NONRESIDENTS/PART-YEAR RESIDENTS make no entries on lines 6 and 7. ATTACH COMPLETED SCHEDULE NR			6 5,000.
7	FULL-YEAR RESIDENTS: Net income —Subtract line 6 from line 5			7 121,265.
	8a FULL-YEAR RESIDENTS —Multiply line 7 by 2.5% (.025) or use the Tax Tables. NONRESIDENTS/PART-YEAR RESIDENTS, enter the amount from Schedule NR, Part I, line 4	8a	3,032.	
	8b Recapture of investment credits (Schedule 4255, Part IV, line 12)	8b		
8	Total tax —Add lines 8a and 8b			8 3,032.
	9a Illinois income taxes withheld. ATTACH A COPY OF ALL W-2's	9a	2,807.	
	9b Estimated payments (IL-1040-ES, IL-505-I, and 1987 overpayments credited to 1988)	9b		
	9c Credit for taxes paid to other states (Schedule CR, line 11)	9c		
	9d Tax credits (Schedule 1299-C, Part VI, line 9)	9d		
9	Total payments and credits —Add lines 9a through 9d			9 2,807.
10	If line 9 is larger than line 8, enter the amount overpaid			▶ 10
	11a Illinois Non-Game Wildlife Conservation Fund ☐ You ($10 or less) + ☐ Spouse ($10 or less) =	11a		
	11b Child Abuse Prevention Fund ☐ You ($10 or less) + ☐ Spouse ($10 or less) =	11b		
	11c Alzheimer's Disease Research Fund ☐ You ($10 or less) + ☐ Spouse ($10 or less) =	11c		
	11d Heritage Preservation Fund ☐ You ($10 or less) + ☐ Spouse ($10 or less) =	11d		
11	Total voluntary contributions —Add lines 11a through 11d			11
12	Overpayment after contributions —Subtract line 11 from line 10			12
13	Amount of line 12 to be credited to 1989 ▶ 13 ____ AND/OR 14. Amount of line 12 to be refunded			14
15	If line 8 is larger than line 9, enter the balance due. Include SS N on payment			▶ 15 225.

ATTACH REMITTANCE PAYABLE TO "ILLINOIS DEPT. OF REVENUE" ◆ ATTACH COPY OF ALL W-2's, W-2G's, W-2P's, 1099-R's & 1099's HERE

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge it is true, correct, and complete. Declaration of paid preparer is based on all information of which preparer has any knowledge. *Return must be signed to be processed.*

OFFICIAL USE

YOUR SIGNATURE ______ DATE ______ Daytime telephone no. ______ SPOUSE'S SIGNATURE ______ DATE ______

Paid Tax Preparer's SIGNATURE Francis L. Bailey DATE 05-15-89 Check if self-employed ▶ ☐ Paid Tax Preparer's Identification No. 196-28-8672

FIRM'S NAME (or yours, if self-employed) ▶ Pecunia, Ltd. ▶ FEIN 37-1150447

FIRM'S ADDRESS ▶ 114 Seminole Drive, Springfield, IL 62704 ▶ PHONE NO. (217) 787-7289

8 9 0 7 0 2 3 2 1 5 7

Department of the Treasury—Internal Revenue Service

1040 U.S. Individual Income Tax Return 1988

For the year Jan.–Dec. 31, 1988, or other tax year beginning , 1988, ending , 19 . OMB No. 1545-0074

Use IRS label. Otherwise, please print or type.

****** CAR-RT-SORT**CR90
YP 344-72-4715 SQ5 50
CHRISTINE A DURBIN
3525 BATES 373
SPRINGFIELD IL 62704

Your social security number 344-72-4715

Spouse's social security no.

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Presidential Election Campaign
Do you want $1 to go to this fund? ☐ Yes ☒ No
If joint return, does your spouse want $1 to go to this fund? ☐ Yes ☐ No
Note: Checking "Yes" will not change your tax or reduce your refund.

Filing Status (Check only one box.)

1 ☒ Single
2 ☐ Married filing joint return (even if only one had income)
3 ☐ Married filing separate return. Enter spouse's SSN above and full name here.
4 ☐ Head of household. If qualifying person is your child but not your dependent, enter name.
5 ☐ Qualifying widow(er) with dependent child (yr. spouse died ▶ 19). (See page 7 of Instructions.)

Exemptions (See Instructions on page 8.)

6a ☐ Yourself If someone can claim you as a dependent, do not check box 6a. But be sure to check the box on line 33b on page 2.
b ☐ Spouse
No. of boxes checked on 6a and 6b

c Dependents:

(1) Name (first, initial, and last name)	(2) Check if under age 5	(3) If age 5 or older, dependent's social security number	(4) Relationship	(5) No. of months lived in your home in 1988

No. of your children on 6c who:
• lived with you
• didn't live with you due to divorce or separation
No. of other dependents listed on 6c

If more than 6 dependents, see Instructions on page 8.

d If your child didn't live with you but is claimed as your dependent under a pre-1985 agreement, check here ▶ ☐
e Total number of exemptions claimed
Add numbers entered on lines above ▶ ☐

Income

Please attach Copy B of your Forms W-2, W-2G, and W-2P here.

If you do not have a W-2, see page 6 of Instructions.

Please attach check or money order here.

7	Wages, salaries, tips, etc. (attach Form(s) W-2)	7	3,130.
8a	Taxable interest income (also attach Schedule B if over $400)	8a	
b	Tax-exempt interest income (see page 11). DON'T include on line 8a 8b		
9	Dividend income (also attach Schedule B if over $400)	9	
10	Taxable refunds of state & local income taxes, if any, from worksheet on pg. 11 of Instructions	10	
11	Alimony received	11	
12	Business income or (loss) (attach Schedule C)	12	
13	Capital gain or (loss) (attach Schedule D)	13	
14	Capital gain distributions not reported on line 13 (see page 11)	14	
15	Other gains or (losses) (attach Form 4797)	15	
16a	Total IRA distributions 16a 16b Taxable amount	16b	
17a	Total pensions and annuities 17a 17b Taxable amount	17b	
18	Rents, royalties, partnerships, estates, trusts, etc. (attach Schedule E)	18	
19	Farm income or (loss) (attach Schedule F)	19	
20	Unemployment compensation (insurance)	20	
21a	Social security benefits (see page 13) 21a		
b	Taxable amount, if any, from the worksheet on page 13	21b	
22	Other income	22	
23	Add the amounts shown in the far right column for lines 7 through 22. This is your total income ▶	23	3,130.

Adjustments to Income (See Instructions on page 13.)

24	Reimbursed employee business exp. from Form 2106, line 13	24	
25a	Your IRA deduction, from applic. worksheet on page 14 or 15	25a	
b	Spouse's IRA ded., from applic. worksheet on page 14 or 15	25b	
26	Self-empl. health insurance deduction, from worksheet pg. 15	26	
27	Keogh retirement plan and self-empl. SEP deduction	27	
28	Penalty on early withdrawal of savings	28	
29	Alimony paid (recipient's last name & social security no.)	29	
30	Add lines 24 through 29. These are your total adjustments ▶	30	

Adj. Gr. Inc. 31 Subtract line 30 from line 23. This is your adjusted gross income ▶ 31 3,130.

NAME: Christine A. Durbin 7 7 2 3 2 7 5 8 SSN: 344-74-4715

Form 1040 (1988) Page 2

	32	Amount from line 31 (adjusted gross income)		32 3,130.
Tax Compu-tation	33a	Check if: ☐ You were 65 or older ☐ Blind; ☐ Spouse was 65 or older ☐ Blind. Add the number of boxes checked and enter the total here ▶	33a	
	b	If someone (such as your parent) can claim you as a dependent, check here ▶	33b ☐	
	c	If you are married filing a separate return and your spouse itemizes deductions, or you are a dual-status alien, see page 16 and check here ▶	33c ☐	
	34	Enter the larger of: • Your standard deduction (from page 17 of the Instructions), OR • Your itemized deductions (from Schedule A, line 26). If you itemize, attach Schedule A & check here ▶ ☐		34 3,000.
	35	Subtract line 34 from line 32. Enter the result here.		35 130.
	36	Multiply $1,950 by the total number of exemptions claimed on line 6e.		36
	37	Taxable income. Subtract line 36 from line 35. Enter the result (if less than zero, enter zero). Caution: If under age 14 and you have more than $1,000 of investment income, check here ▶ ☐ and see page 17 to see if you have to use Form 8615 to figure your tax.		37 130.
	38	Enter tax. Check if from ☒ Tax Table, ☐ Tax Rate Schedules, or ☐ Form 8615.		38 21.
	39	Additional taxes (see pg. 17). Check if from: ☐ Form 4970 ☐ Form 4972		39
	40	Add lines 38 and 39. Enter the total. ▶		40 21.
Credits	41	Credit for child & dependent care expenses (attach Form 2441)	41	
	42	Credit for the elderly or the disabled (attach Schedule R)	42	
(See Instructions on page 18.)	43	Foreign tax credit (attach Form 1116)	43	
	44	General business credit. Check if from: ☐ Form 3800 or ☐ Form	44	
	45	Credit for prior year minimum tax (attach Form 8801)	45	
	46	Add lines 41 through 45. Enter the total.		46
	47	Subtract line 46 from line 40. Enter the result (if less than zero, enter zero). ▶		47 21.
Other Taxes	48	Self-employment tax (attach Schedule SE)		48
	49	Alternative minimum tax (attach Form 6251)		49
(Including Advance EIC Payments)	50	Recapture taxes (see pg. 18). Check if from: ☐ Form 4255 ☐ Form 8611		50
	51	Social security tax on tip income not reported to employer (attach Form 4137)		51
	52	Tax on an IRA or a qualified retirement plan (attach Form 5329)		52
	53	Add lines 47 through 52. This is your total tax. ▶		53 21.
Payments	54	Federal income tax withheld (If any is from Form(s) 1099, check ▶ ☐)	54 298.	
	55	1988 estimated tax payments & amt. applied from 1987 return	55	
Attach Forms W-2, W-2G, and W-2P to front.	56	Earned income credit	56	
	57	Amount paid with Form 4868 (extension request)	57	
	58	Excess social security tax and RRTA tax withheld	58	
	59	Credit for Federal tax on fuels (attach Form 4136)	59	
	60	Regulated investment company credit (attach Form 2439)	60	
	61	Add lines 54 through 60. These are your total payments. ▶		61 298.
Refund or Amount You Owe	62	If line 61 is larger than line 53, enter amount OVERPAID ▶		62 277.
	63	Amount of line 62 to be REFUNDED TO YOU ▶		63 277.
	64	Amount of line 62 to be applied to your 1989 estimated tax ▶	64	
	65	If line 53 is larger than line 61, enter AMOUNT YOU OWE. Attach check or money order for full amount payable to "Internal Revenue Service." Write your social security number, daytime phone number, and "1988 Form 1040" on it. Check ▶ ☐ if Form 2210 (2210F) is att. See page 21. Penalty: $		65

Please Sign Here

Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

▶ Your signature	Date 4-10-89	Your occupation Student
▶ Spouse's signature (if joint return, BOTH must sign)	Date	Spouse's occupation

Paid Preparer's Use Only

Preparer's signature ▶ Francis L Bayley	Date 04-08-89	Check if self-employed ☒	Preparer's social sec. no. 146-28-8672
Firm's name (or yours if self-employed) and address ▶ Pecunia, Ltd. 114 Seminole Drive Springfield, IL		E.I. No.	37-1158447
		ZIP code	62704

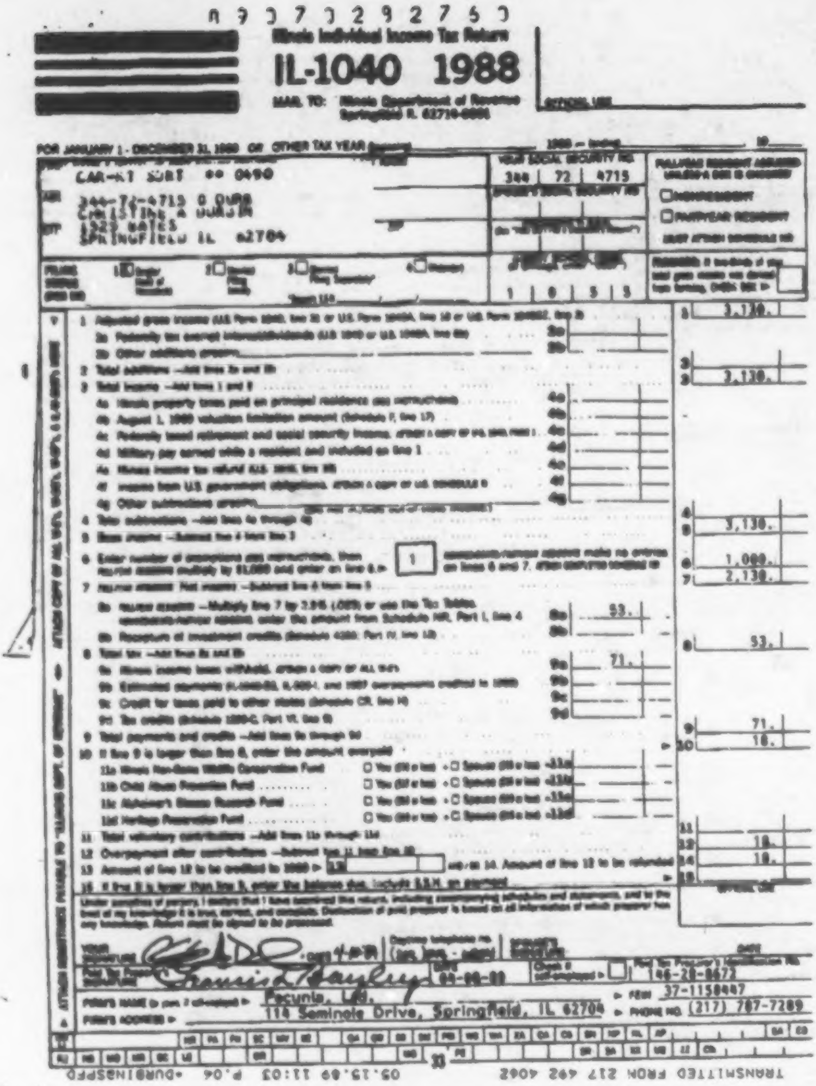
Preparer's Edition

A 9 0 7 0 2 3 2 1 5 9

rm 1040 Supporting Schedules 1988
ame: Christine A. Durbin SSN: 344-72-4715

Line 7 - W-2 Information

Employer	T/S	G/C	Gross wages	FICA wages	Taxes withheld Federal	Taxes withheld FICA	Taxes withheld State	Taxes withheld Local
U.S. Senate	T		1,690.	1,690.	115.	127.	35.	
State of Illinois	T		1,440.	1,440.	183.	21.	36.	
			3,130.	3,130.	298.	148.	71.	



A 9 0 7 0 2 9 2 7 5 0

Illinois Individual Income Tax Return

IL-1040 1988

MAIL TO: Illinois Department of Revenue
Springfield IL 62719-0001

OFFICIAL USE

FOR JANUARY 1 - DECEMBER 31, 1988 OR OTHER TAX YEAR beginning ______ 1988 — ending ______ 19__

CAR-RT SORT ** 0490
344-72-4715 D DURB
CHRISTINE A DURBIN
1523 BATES
SPRINGFIELD IL 62704

YOUR SOCIAL SECURITY NO. 344 | 72 | 4715

SPOUSE'S SOCIAL SECURITY NO.

☐ NONRESIDENT
☐ PART-YEAR RESIDENT
MUST ATTACH SCHEDULE NR

FILING STATUS: 1 ☒ Single ... 2 ☐ Married Filing Jointly 3 ☐ Married Filing Separately 4 ☐ Widowed

1 | 0 | 5 | 3

1	Adjusted gross income (U.S. Form 1040, line 31 or U.S. Form 1040A, line 14 or U.S. Form 1040EZ, line 3)	3,130.
2a	Federally tax exempt interest/dividends (U.S. 1040 or U.S. 1040A, line 8b)	
2b	Other additions	
2	Total additions —Add lines 2a and 2b	
3	Total income —Add lines 1 and 2	3,130.
4a	Illinois property taxes paid on principal residence	
4b	August 1, 1989 valuation limitation amount (Schedule F, line 17)	
4c	Federally taxed retirement and social security income	
4d	Military pay earned while a resident and included on line 1	
4e	Illinois income tax refund	
4f	Income from U.S. government obligations	
4g	Other subtractions	
4	Total subtractions —Add lines 4a through 4g	
5	Base income —Subtract line 4 from line 3	3,130.
6	Enter number of exemptions, then multiply by $1,000 and enter on line 6 ▸ 1	1,000.
7	Net income —Subtract line 6 from line 5	2,130.
8a	Multiply line 7 by 2.5% (.025) or use the Tax Tables	53.
8b	Recapture of investment credits	
8	Total tax —Add lines 8a and 8b	53.
9a	Illinois income taxes withheld	71.
9b	Estimated payments and 1987 overpayments credited to 1988	
9c	Credit for taxes paid to other states (Schedule CR)	
9d	Tax credits (Schedule 1299-C, Part VI)	
9	Total payments and credits —Add lines 9a through 9d	71.
10	If line 9 is larger than line 8, enter the amount overpaid	18.
11a	Illinois Non-Game Wildlife Conservation Fund ☐ You ☐ Spouse	
11b	Child Abuse Prevention Fund ☐ You ☐ Spouse	
11c	Alzheimer's Disease Research Fund ☐ You ☐ Spouse	
11d	Heritage Preservation Fund ☐ You ☐ Spouse	
11	Total voluntary contributions —Add lines 11a through 11d	
12	Overpayment after contributions —Subtract line 11 from line 10	18.
13	Amount of line 12 to be credited to 1989 ▸ and/or 14. Amount of line 12 to be refunded	18.
15	If line 8 is larger than line 9, enter the balance due	

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge it is true, correct, and complete. Declaration of paid preparer is based on all information of which preparer has any knowledge. Return must be signed to be processed.

YOUR SIGNATURE DATE 4-?-89

Paid Tax Preparer's Signature Francis L. Bayley Date 04-08-89

Paid Tax Preparer's Identification No. 146-28-8673

FIRM'S NAME ▸ Pecunia, Ltd.
FIRM'S ADDRESS ▸ 114 Seminole Drive, Springfield, IL 62704
▸ FEIN 37-1150447
▸ PHONE NO. (217) 787-7289

33

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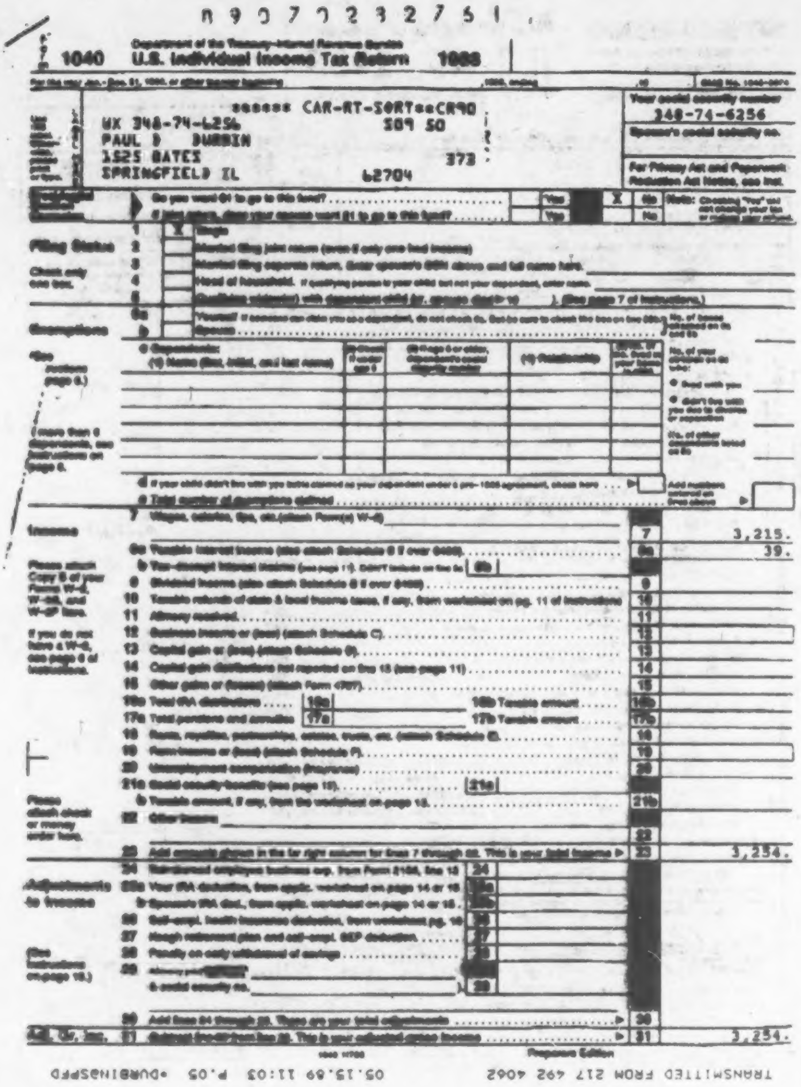

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Department of the Treasury—Internal Revenue Service

1040 U.S. Individual Income Tax Return 1988

For the year Jan.–Dec. 31, 1988, or other tax year beginning , 1988, ending , 19 OMB No. 1545-0074

****** CAR-RT-SORT**CR90
WX 348-74-6256 509 50
PAUL D DURBIN
1525 BATES 373
SPRINGFIELD IL 62704

Your social security number: 348-74-6256

Spouse's social security no.

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Presidential Election Campaign: Do you want $1 to go to this fund? ☐ Yes ☒ No
If joint return, does your spouse want $1 to go to this fund? ☐ Yes ☐ No

Note: Checking "Yes" will not change your tax or reduce your refund.

Filing Status (Check only one box.)

1 ☒ Single
2 ☐ Married filing joint return (even if only one had income)
3 ☐ Married filing separate return. Enter spouse's SSN above and full name here.
4 ☐ Head of household. If qualifying person is your child but not your dependent, enter name.
5 ☐ Qualifying widow(er) with dependent child (year spouse died ▶ 19). (See page 7 of Instructions.)

Exemptions

6a ☐ Yourself
b ☐ Spouse
c Dependents

(1) Name (first, initial, and last name)	(2) Check if under age 5	(3) If age 5 or older, dependent's social security number	(4) Relationship	(5) No. of months lived in your home in 1988

d If your child didn't live with you but is claimed as your dependent under a pre-1985 agreement, check here ▶ ☐
e Total number of exemptions claimed

Income

7	Wages, salaries, tips, etc. (attach Form(s) W-2)	7	3,215.
8a	Taxable interest income (also attach Schedule B if over $400)	8a	39.
b	Tax-exempt interest income (see page 11). DON'T include on line 8a 8b		
9	Dividend income (also attach Schedule B if over $400)	9	
10	Taxable refunds of state & local income taxes, if any, from worksheet on pg. 11 of Instructions	10	
11	Alimony received	11	
12	Business income or (loss) (attach Schedule C)	12	
13	Capital gain or (loss) (attach Schedule D)	13	
14	Capital gain distributions not reported on line 13 (see page 11)	14	
15	Other gains or (losses) (attach Form 4797)	15	
16a	Total IRA distributions 16a; 16b Taxable amount	16b	
17a	Total pensions and annuities 17a; 17b Taxable amount	17b	
18	Rents, royalties, partnerships, estates, trusts, etc. (attach Schedule E)	18	
19	Farm income or (loss) (attach Schedule F)	19	
20	Unemployment compensation (insurance)	20	
21a	Social security benefits (see page 13) 21a		
b	Taxable amount, if any, from the worksheet on page 13	21b	
22	Other income	22	
23	Add amounts shown in the far right column for lines 7 through 22. This is your total income ▶	23	3,254.

Adjustments to Income

24	Reimbursed employee business exp. from Form 2106, line 13	24	
25a	Your IRA deduction, from applic. worksheet on page 14 or 15	25a	
b	Spouse's IRA ded., from applic. worksheet on page 14 or 15	25b	
26	Self-empl. health insurance deduction, from worksheet pg. 15	26	
27	Keogh retirement plan and self-empl. SEP deduction	27	
28	Penalty on early withdrawal of savings	28	
29	Alimony paid (recipient's last name & social security no.)	29	
30	Add lines 24 through 29. These are your total adjustments ▶	30	

Adj. Gr. Inc. 31 Subtract line 30 from line 23. This is your adjusted gross income ▶ 31 3,254.

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Schedule B — Interest and Dividend Income

Attachment Sequence No. 08 | OMB No. 1545-0074

Name(s) as shown on Form 1040. (Do not enter name and social security number if shown on other side.)	Your social security number
Paul D. Durbin	348-74-6256

Part I Interest Income (See Instructions on pages 10 and 26.)

If you received more than $400 in taxable interest income, you must complete Part I and Part III and list ALL interest received. You must report all taxable interest on Form 1040, even if you are not required to complete Part I and Part III. If you received, as a nominee, interest that actually belongs to another person, or you received or paid accrued interest on securities transferred between interest payment dates, see page 27.

Note: If you received a Form 1099-INT or Form 1099-OID from a brokerage firm, list the firm's name as the payer and enter the total interest shown on that form.

Interest Income		Amount
1 Interest income from seller-financed mortgages. (See Instructions and list name of payer.) ▶	1	
2 Other interest income ▶	2	
Town & Country Bank 0010100000347840		14.
Town & Country Bank 0010100000377095		25.
3 Add the amounts on lines 1 and 2. Enter the total here and on Form 1040, line 8a . . . . ▶	3	39.

Part II Dividend Income (See Instructions on pages 11 and 27.)

If you received more than $400 in gross dividends and/or other distributions on stock, complete Part II and Part III. You must report all taxable dividends on Form 1040, even if you are not required to complete Part II and Part III. If you received, as a nominee, dividends that actually belong to another person, see page 27.

Note: If you received a Form 1099-DIV from a brokerage firm, list the firm's name as the payer and enter the total dividends shown on that form.

Dividend Income				Amount
4 Dividend income ▶			4	
5 Add the amounts on line 4. Enter the total here			5	
6 Capital gain distributions. Enter here and on line 13, Schedule D.* . . .	6			
7 Nontaxable distributions. (See Schedule D Instructions for adjustment to basis)	7			
8 Add the amounts on lines 6 and 7. Enter the total here			8	
9 Subtract line 8 from line 5. Enter the result here and on Form 1040, line 9 . . . . ▶			9	0.

*If you received capital gain distributions but do not need Schedule D to report any other gains or losses, enter your capital gain distributions on Form 1040, line 14.

Part III Foreign Accounts and Foreign Trusts (See Instructions on page 27.)

If you received more than $400 of interest or dividends, OR if you had a foreign account or were a grantor of, or a transferor to, a foreign trust, you must answer both questions in Part III.	Yes	No
10 At any time during the tax year, did you have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)? (See page 27 of the Instructions for exceptions and filing requirements for Form TD F 90-22.1.)		X
If "Yes," enter the name of the foreign country ▶		
11 Were you the grantor of, or transferor to, a foreign trust which existed during the current tax year, whether or not you have any beneficial interest in it? If "Yes," you may have to file Form 3520, 3520-A, or 926		X

For Paperwork Reduction Act Notice, see Form 1040 Instructions. Schedule B (Form 1040) 1988

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8 9 0 7 0 2 8 2 / 6 3

NAME: Paul D. Durbin SSN: 348-74-6256

Form 1040 (1988) Page 2

Tax Computation

32 Amount from line 31 (adjusted gross income) 32 3,254.

33a Check if: ☐ You were 65 or older ☐ Blind; ☐ Spouse was 65 or older ☐ Blind.
Add the number of boxes checked and enter the total here ▶ 33a

b If someone (such as your parent) can claim you as a dependent, check here ▶ 33b ☒

c If you are married filing a separate return and your spouse itemizes deductions, or you are a dual-status alien, see page 16 and check here ▶ 33c ☐

34 Enter the larger of: • Your standard deduction (from page 17 of the Instructions), OR • Your itemized deductions (from Schedule A, line 26). If you itemize, attach Schedule A & check here ▶ ☐ 34 3,000.

35 Subtract line 34 from line 32. Enter the result here. 35 254.

36 Multiply $1,950 by the total number of exemptions claimed on line 6e. 36

37 Taxable income. Subtract line 36 from line 35. Enter the result (if less than zero, enter zero). 37 254.

Caution: If under age 14 and you have more than $1,000 of investment income, check ▶ ☐ and see page 17 to see if you have to use Form 8615 to figure your tax.

38 Enter tax. Check if from ☒ Tax Table, ☐ Tax Rate Schedules, or ☐ Form 8615. 38 39.

39 Additional taxes (see pg. 17). Check if from: ☐ Form 4970 ☐ Form 4972. 39

40 Add lines 38 and 39. Enter the total ▶ 40 39.

Credits

(See Instructions on page 18.)

41 Credit for child & dependent care expenses (attach Form 2441) 41

42 Credit for the elderly or the disabled (attach Schedule R) 42

43 Foreign tax credit (attach Form 1116) 43

44 General business credit. Check if from ☐ Form 3800 or ☐ Form 44

45 Credit for prior year minimum tax (attach Form 8801) 45

46 Add lines 41 through 45. Enter the total. 46

47 Subtract line 46 from line 40. Enter the result (if less than zero, enter zero). ▶ 47 39.

Other Taxes

(Including Advance EIC Payments)

48 Self-employment tax (attach Schedule SE) 48

49 Alternative minimum tax (attach Form 6251) 49

50 Recapture taxes (see pg. 18). Check if from ☐ Form 4255 ☐ Form 8611 50

51 Social security tax on tip income not reported to employer (attach Form 4137) 51

52 Tax on an IRA or a qualified retirement plan (attach Form 5329) 52

53 Add lines 47 through 52. This is your total tax ▶ 53 39.

Payments

Attach Forms W-2, W-2G, and W-2P to front.

54 Fed. inc. tax withheld (if any is from Form(s) 1099, check ▶ ☐) 54 344.

55 1988 estimated tax payments & amt. applied from 1987 return 55

56 Earned income credit 56

57 Amount paid with Form 4868 (extension request) 57

58 Excess social security tax and RRTA tax withheld 58

59 Credit for Federal tax on fuels (attach Form 4136) 59

60 Regulated investment company credit (attach Form 2439) 60

61 Add lines 54 through 60. These are your total payments ▶ 61 344.

Refund or Amount You Owe

62 If line 61 is larger than line 53, enter amount OVERPAID ▶ 62 305.

63 Amount of line 62 to be REFUNDED TO YOU ▶ 63 305.

64 Amount of line 62 to be applied to your 1989 estimated tax ▶ 64

65 If line 53 is larger than line 61, enter AMOUNT YOU OWE. Attach check or money order for full amount payable to "Internal Revenue Service." Write your social security number, daytime phone number, and "1988 Form 1040" on it. 65

Check ▶ ☐ if Form 2210 (2210F) is att. See page 21. Penalty $

Please Sign Here

Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Your signature	Date	Your occupation Student
Spouse's signature (if joint return, BOTH must sign)	Date	Spouse's occupation

Paid Preparer's Use Only

Preparer's signature	Francis L Bayley	Date 04-08-89	Check if self-employed ☒	Preparer's social sec. no. 146-28-8672
Firm's name (or yours if self-employed) and address	Pecunia, Ltd. 114 Seminole Drive Springfield, IL		E.I. No.	37-1158447
			ZIP code	62704

Preparers Edition

TRANSMITTED FROM 217 492 4062 05.15.89 11:03 P.06 •DURBIN&SPFD

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a 1040                            Supporting Schedules                      198
: Paul D. Durbin                                                SSN: 348-74-625
*******************************************************************************
me 7 - W-2 Information
                             Gross     FICA      ----------Taxes withheld----------
ployer           T/S G/C  wages     wages      Federal  FICA      State     Local
---------------- --- --- --------- ---------  -------- --------- --------- -------
BF Freight Sys.   T          2,272.    2,272.     260.      171.       52.
city of Sp'fld    T            943.      943.      84.       71.       22.
                          --------- ---------  -------- --------- --------- -------
                             3,215.    3,215.     344.      242.       74.
                          ========= =========  ======== ========= ========= =======
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[redacted]

8 9 2 7 2 2 2 2 7 6 5

Illinois Individual Income Tax Return

IL-1040 1988

MAIL TO: Illinois Department of Revenue
Springfield IL 62719-0001

OFFICIAL USE

FOR JANUARY 1 - DECEMBER 31, 1988 OR OTHER TAX YEAR (beginning) ______ 1988 — (ending) ______ 19__

YOUR SOCIAL SECURITY NO. 348 | 74 | 6256

SPOUSE'S SOCIAL SECURITY NO.

FULL-YEAR RESIDENT ASSUMED UNLESS A BOX IS CHECKED
☐ NONRESIDENT
☐ PART-YEAR RESIDENT
MUST ATTACH SCHEDULE NR

ADDRESS / CITY, ZIP

C... T S..T 9U
348 74 6256 ... .
PAUL L DU..IN
1545 ......
SPRINGFIELD IL 62704

FILING STATUS: 1 ☒ Single, Head of Household 2 ☐ Married Filing Jointly 3 ☐ Married Filing Separately 4 ☐ Widow(er)

COUNTY/TOWNSHIP CODE: 1 | 0 | 5 | 5

FARMERS: If two-thirds of your total gross income was derived from farming, CHECK BOX ☐

1	Adjusted gross income (U.S. Form 1040, line 31 or U.S. Form 1040A, line 13 or U.S. Form 1040EZ, line 3)		1	3,254.
2a	Federally tax exempt interest/dividends (U.S. 1040 or U.S. 1040A, line 8b)	2a		
2b	Other additions (specify)	2b		
2	Total additions —Add lines 2a and 2b		2	
3	Total income —Add lines 1 and 2		3	3,254.
4a	Illinois property taxes paid on principal residence (see instructions)	4a		
4b	August 1, 1989 valuation limitation amount (Schedule F, line 17)	4b		
4c	Federally taxed retirement and social security income. ATTACH A COPY OF U.S. 1040, PAGE 1	4c		
4d	Military pay earned while a resident and included on line 3	4d		
4e	Illinois income tax refund (U.S. 1040, line 10)	4e		
4f	Income from U.S. government obligations. ATTACH A COPY OF U.S. SCHEDULE B	4f		
4g	Other subtractions (specify)	4g		
4	Total subtractions —Add lines 4a through 4g		4	
5	Base income —Subtract line 4 from line 3		5	3,254.
6	Enter number of exemptions (see instructions), then FULL-YEAR RESIDENTS multiply by $1,000 and enter on line 6. ▶ 1 — NONRESIDENTS/PART-YEAR RESIDENTS make no entries on lines 6 and 7. ATTACH COMPLETED SCHEDULE NR		6	1,000.
7	FULL-YEAR RESIDENTS: Net income —Subtract line 6 from line 5		7	2,254.
8a	FULL-YEAR RESIDENTS —Multiply line 7 by 2.5% (.025) or use the Tax Tables. NONRESIDENTS/PART-YEAR RESIDENTS: enter the amount from Schedule NR, Part I, line 4	8a	56.	
8b	Recapture of investment credits (Schedule 4255, Part IV, line 13)	8b		
8	Total tax —Add lines 8a and 8b		8	56.
9a	Illinois income taxes withheld. ATTACH A COPY OF ALL W-2's	9a	74.	
9b	Estimated payments (IL-1040-ES, IL-505-I, and 1987 overpayments credited to 1988)	9b		
9c	Credit for taxes paid to other states (Schedule CR, line 14)	9c		
9d	Tax credits (Schedule 1299-C, Part VI, line 5)	9d		
9	Total payments and credits —Add lines 9a through 9d		9	74.
10	If line 9 is larger than line 8, enter the amount overpaid ▶		10	18.
11a	Illinois Non-Game Wildlife Conservation Fund ○ You ($10 or less) + ☐ Spouse ($10 or less) =	11a		
11b	Child Abuse Prevention Fund ○ You ($10 or less) + ☐ Spouse ($10 or less) =	11b		
11c	Alzheimer's Disease Research Fund ○ You ($10 or less) + ☐ Spouse ($10 or less) =	11c		
11d	Heritage Preservation Fund ○ You ($10 or less) + ☐ Spouse ($10 or less) =	11d		
11	Total voluntary contributions —Add lines 11a through 11d		11	
12	Overpayment after contributions —Subtract line 11 from line 10		12	18.
13	Amount of line 12 to be credited to 1989 ▶ 13 ______ and/or 14. Amount of line 12 to be refunded		14	18.
15	If line 8 is larger than line 9, enter the balance due. Include S.S.N. on payment ▶		15	

ATTACH REMITTANCE PAYABLE TO "ILLINOIS DEPT. OF REVENUE" ▶ ATTACH COPY OF ALL W-2's, W-2G's, W-2P's, & 1099R'S HERE

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge it is true, correct, and complete. Declaration of paid preparer is based on all information of which preparer has any knowledge. *Return must be signed to be processed.*

OFFICIAL USE

YOUR SIGNATURE ______ DATE ______ Daytime telephone no. ( ) - SPOUSE'S SIGNATURE ______ DATE ______

Paid Tax Preparer's SIGNATURE: Francis L Bayley DATE 04-08-89 Check if self-employed ▶ ☐ Paid Tax Preparer's Identification No. 146-28-8672

FIRM'S NAME (or yours, if self-employed) ▶ Pecunia, Ltd. ▶ FEIN 37-1158447

FIRM'S ADDRESS ▶ 114 Seminole Drive, Springfield, IL 62704 ▶ PHONE NO. (217) 787-7289

33

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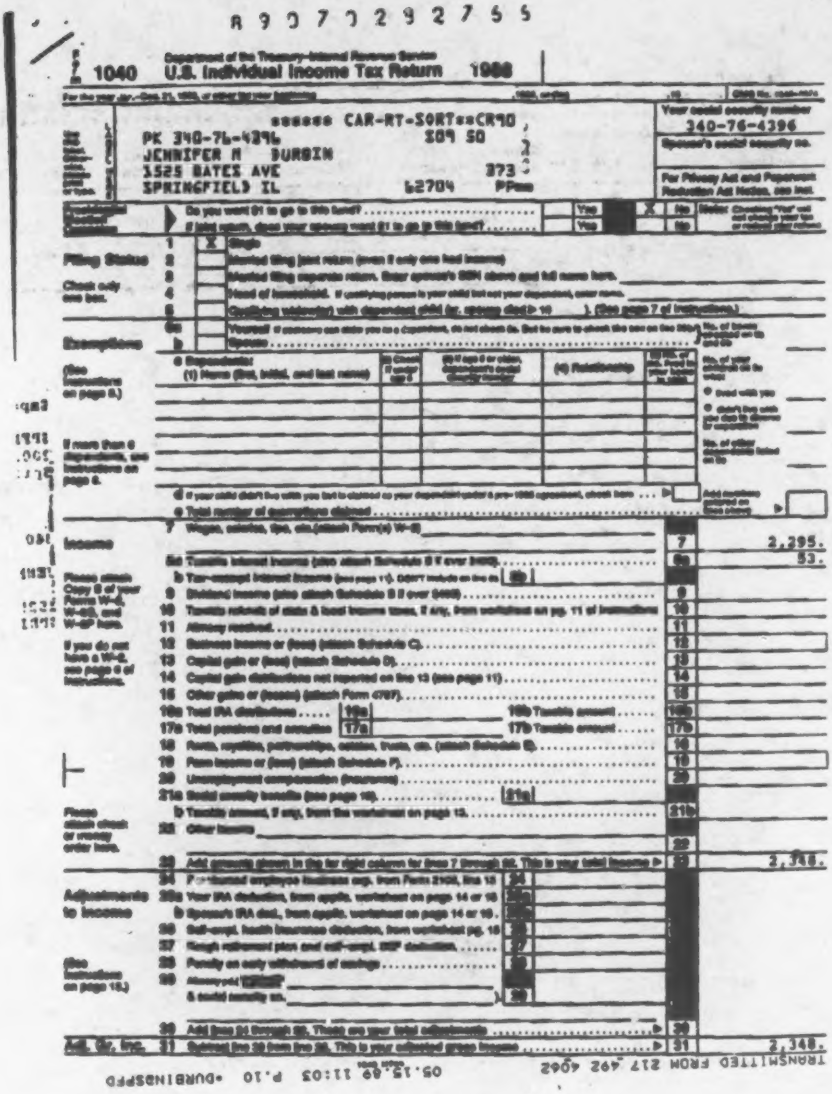

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Form 1040 Department of the Treasury—Internal Revenue Service
U.S. Individual Income Tax Return 1988

For the year Jan.–Dec. 31, 1988, or other tax year beginning , 1988, ending , 19 . OMB No. 1545-0074

****** CAR-RT-SORT**CR90 PK 340-76-4396 509 50 JENNIFER M DURBIN 3525 BATES AVE 373 SPRINGFIELD IL 62704 PPm	Your social security number 340-76-4396 Spouse's social security no. For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Presidential Election Campaign ▶ Do you want $1 to go to this fund? ☐ Yes ☒ No
If joint return, does your spouse want $1 to go to this fund? ☐ Yes ☐ No
Note: Checking "Yes" will not change your tax or reduce your refund.

Filing Status (Check only one box.)
1 ☒ Single
2 ☐ Married filing joint return (even if only one had income)
3 ☐ Married filing separate return. Enter spouse's SSN above and full name here.
4 ☐ Head of household. If qualifying person is your child but not your dependent, enter name.
5 ☐ Qualifying widow(er) with dependent child (yr. spouse died ▶ 19). (See page 7 of Instructions.)

Exemptions (See Instructions on page 8.)
6a ☐ Yourself
b ☐ Spouse
c Dependents: (1) Name (first, initial, and last name) (2) Check if under age 5 (3) If age 5 or older, dependent's social security number (4) Relationship (5) No. of months lived in your home in 1988
If more than 6 dependents, see Instructions on page 8.
d If your child didn't live with you but is claimed as your dependent under a pre-1985 agreement, check here ▶ ☐
e Total number of exemptions claimed
No. of boxes checked on 6a and 6b
No. of your children on 6c who: ● lived with you ● didn't live with you due to divorce or separation
No. of other dependents listed on 6c
Add numbers entered on lines above ▶ ☐

Income
Please attach Copy B of your Forms W-2, W-2G, and W-2P here.
If you do not have a W-2, see page 6 of Instructions.
Please attach check or money order here.

7	Wages, salaries, tips, etc. (attach Form(s) W-2)	7	2,295.
8a	Taxable interest income (also attach Schedule B if over $400)	8a	53.
b	Tax-exempt interest income (see page 11). DON'T include on line 8a 8b		
9	Dividend income (also attach Schedule B if over $400)	9	
10	Taxable refunds of state & local income taxes, if any, from worksheet on pg. 11 of Instructions	10	
11	Alimony received	11	
12	Business income or (loss) (attach Schedule C)	12	
13	Capital gain or (loss) (attach Schedule D)	13	
14	Capital gain distributions not reported on line 13 (see page 11)	14	
15	Other gains or (losses) (attach Form 4797)	15	
16a	Total IRA distributions 16a 16b Taxable amount	16b	
17a	Total pensions and annuities 17a 17b Taxable amount	17b	
18	Rents, royalties, partnerships, estates, trusts, etc. (attach Schedule E)	18	
19	Farm income or (loss) (attach Schedule F)	19	
20	Unemployment compensation (insurance)	20	
21a	Social security benefits (see page 13) 21a		
b	Taxable amount, if any, from the worksheet on page 13	21b	
22	Other income	22	
23	Add the amounts shown in the far right column for lines 7 through 22. This is your total income ▶	23	2,348.

Adjustments to Income (See Instructions on page 13.)

24	Reimbursed employee business expenses from Form 2106, line 13	24	
25a	Your IRA deduction, from applicable worksheet on page 14 or 15	25a	
b	Spouse's IRA deduction, from applicable worksheet on page 14 or 15	25b	
26	Self-employed health insurance deduction, from worksheet on pg. 15	26	
27	Keogh retirement plan and self-employed SEP deduction	27	
28	Penalty on early withdrawal of savings	28	
29	Alimony paid recipient's last name & social security no.	29	
30	Add lines 24 through 29. These are your total adjustments ▶	30	

Adj. Gr. Inc. 31 Subtract line 30 from line 23. This is your adjusted gross income ▶ 31 2,348.

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rm 1040 **Supporting Schedules** 198

me: Jennifer M. Durbin SSN: 340-76-439

****

ine 7 - W-2 Information

Employer	T/S	G/C	Gross wages	FICA wages	Taxes withheld Federal	FICA	State	Local
Brian Nolan, MD	T		285.	285.	20.	21.	7.	
Spfld Monroe Taco	T		927.	927.	42.	70.	16.	
Youthland, Inc.	T		1,083.	1,083.		81.	27.	
			2,295.	2,295.	62.	172.	50.	

8 9 3 7 7 2 3 1 2 7 5 3

Schedule B — Interest and Dividend Income

Attachment Sequence No. 08 OMB No. 1545-0074

Name(s) as shown on Form 1040. (Do not enter name and social security number if shown on other side.)	Your social security number
Jennifer M. Durbin	340-76-4396

Part I Interest Income

(See Instructions on pages 10 and 26.)

If you received more than $400 in taxable interest income, you must complete Part I and Part III and list ALL interest received. You must report all taxable interest on Form 1040, even if you are not required to complete Part I and Part III. If you received, as a nominee, interest that actually belongs to another person, or you received or paid accrued interest on securities transferred between interest payment dates, see page 27.

Note: If you received a Form 1099-INT or Form 1099-OID from a brokerage firm, list the firm's name as the payer and enter the total interest shown on that form.

Interest Income		Amount
1 Interest income from seller-financed mortgages. (See Instructions and list name of payer.) ▶	1	
2 Other interest income ▶ Town & Country Bank 0010100000201137	2	53.
3 Add the amounts on lines 1 and 2. Enter the total here and on Form 1040, line 8a .... ▶	3	53.

Part II Dividend Income

(See Instructions on pages 11 and 27.)

If you received more than $400 in gross dividends and/or other distributions on stock, complete Part II and Part III. You must report all taxable dividends on Form 1040, even if you are not required to complete Part II and Part III. If you received, as a nominee, dividends that actually belong to another person, see page 27.

Note: If you received a Form 1099-DIV from a brokerage firm, list the firm's name as the payer and enter the total dividends shown on that form.

Dividend Income				Amount
4 Dividend income ▶			4	
5 Add the amounts on line 4. Enter the total here			5	
6 Capital gain distributions. Enter here and on line 13, Schedule D.* ...	6			
7 Nontaxable distributions. (See Schedule D Instructions for adjustment to basis.)	7			
8 Add the amounts on lines 6 and 7. Enter the total here			8	
9 Subtract line 8 from line 5. Enter the result here and on Form 1040, line 9. .... ▶			9	0.

*If you received capital gain distributions but do not need Schedule D to report any other gains or losses, enter your capital gain distributions on Form 1040, line 14.

Part III Foreign Accounts and Foreign Trusts

(See Instructions on page 27.)

If you received more than $400 of interest or dividends, OR if you had a foreign account or were a grantor of, or a transferor to, a foreign trust, you must answer both questions in Part III.	Yes	No
10 At any time during the tax year, did you have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)? (See page 27 of the Instructions for exceptions and filing requirements for Form TD F 90-22.1.)		X
If "Yes," enter the name of the foreign country ▶		
11 Were you the grantor of, or transferor to, a foreign trust which existed during the current tax year, whether or not you have any beneficial interest in it? If "Yes," you may have to file Form 3520, 3520-A, or 926		X

For Paperwork Reduction Act Notice, see Form 1040 Instructions. Schedule B (Form 1040) 1988

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NAME: Jennifer M. Durbin SSN: 340-76-4396

Form 1040 (1988) Page 2

Tax Computation	32	Amount from line 31 (adjusted gross income).	32	2,348.
	33a	Check if: ☐ You were 65 or older ☐ Blind; ☐ Spouse was 65 or older ☐ Blind. Add the number of boxes checked and enter the total here ▶	33a	
	b	If someone (such as your parent) can claim you as a dependent, check here ▶	33b ☐	
	c	If you are married filing a separate return and your spouse itemizes deductions, or you are a dual-status alien, see page 16 and check here ▶	33c ☐	
	34	Enter the larger of: • Your standard deduction (from page 17 of the Instructions), OR • Your itemized deductions (from Schedule A, line 26). If you itemize, attach Schedule A & check here ▶ ☐	34	2,295.
	35	Subtract line 34 from line 32. Enter the result here.	35	53.
	36	Multiply $1,950 by the total number of exemptions claimed on line 6e	36	
	37	Taxable income. Subtract line 36 from line 35. Enter the result (if less than zero, enter zero). Caution: If under age 14 and you have more than $1,000 of investment income, check ▶ ☐ and see page 17 to see if you have to use Form 8615 to figure your tax.	37	53.
	38	Enter tax. Check if from: ☒ Tax Table, ☐ Tax Rate Schedules, or ☐ Form 8615.	38	9.
	39	Additional taxes (pg. 17). Check if from: ☐ Form 4970 ☐ Form 4972.	39	
	40	Add lines 38 and 39. Enter the total ▶	40	9.
Credits (See Instructions on page 18.)	41	Credit for child & dependent care expenses (attach Form 2441)	41	
	42	Credit for the elderly or the disabled (attach Schedule R).	42	
	43	Foreign tax credit (attach Form 1116)	43	
	44	General business credit. Check if from: ☐ Form 3800 or ☐ Form	44	
	45	Credit for prior year minimum tax (attach Form 8801).	45	
	46	Add lines 41 through 45. Enter the total	46	
	47	Subtract line 46 from line 40. Enter the result (if less than zero, enter zero) ▶	47	9.
Other Taxes (Including Advance EIC Payments)	48	Self-employment tax (attach Schedule SE).	48	
	49	Alternative minimum tax (attach Form 6251).	49	
	50	Recapture taxes (see pg. 18). Check if from: ☐ Form 4255 ☐ Form 8611	50	
	51	Social security tax on tip income not reported to employer (attach Form 4137)	51	
	52	Tax on an IRA or a qualified retirement plan (attach Form 5329).	52	
	53	Add lines 47 through 52. This is your total tax. ▶	53	9.
Payments Attach Forms W-2, W-2G, and W-2P to front.	54	Fed. inc. tax withheld (if any is from Form(s) 1099, check ▶ ☐)	54	62.
	55	1988 estimated tax payments & amt. applied from 1987 return	55	
	56	Earned income credit.	56	
	57	Amount paid with Form 4868 (extension request)	57	
	58	Excess social security tax and RRTA tax withheld.	58	
	59	Credit for Federal tax on fuels (attach Form 4136).	59	
	60	Regulated investment company credit (attach Form 2439)	60	
	61	Add lines 54 through 60. These are your total payments ▶	61	62.
Refund or Amount You Owe	62	If line 61 is larger than line 53, enter amount OVERPAID ▶	62	53.
	63	Amount of line 62 to be REFUNDED TO YOU ▶	63	53.
	64	Amount of line 62 to be applied to your 1989 estimated tax ▶ 64		
	65	If line 53 is larger than line 61, enter AMOUNT YOU OWE. Attach check or money order for full amount payable to "Internal Revenue Service." Write your social security number, daytime phone number, and "1988 Form 1040" on it. Check ▶ ☐ if Form 2210 (2210F) is att. See page 21. Penalty: $	65	

Please Sign Here

Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

▶ Your signature	Date 4-9-89	Your occupation Student
▶ Spouse's signature (if joint return, BOTH must sign)	Date	Spouse's occupation

Paid Preparer's Use Only

Preparer's signature ▶ Francis L. Bayley	Date 04-08-89	Check if self-employed ☒	Preparer's social sec. no. 146-28-8672
Firm's name (or yours if self-employed) and address ▶ Pecunia, Ltd. 114 Seminole Drive Springfield, IL		E.I. No.	37-1158447
		ZIP code	62704

Preparers Edition

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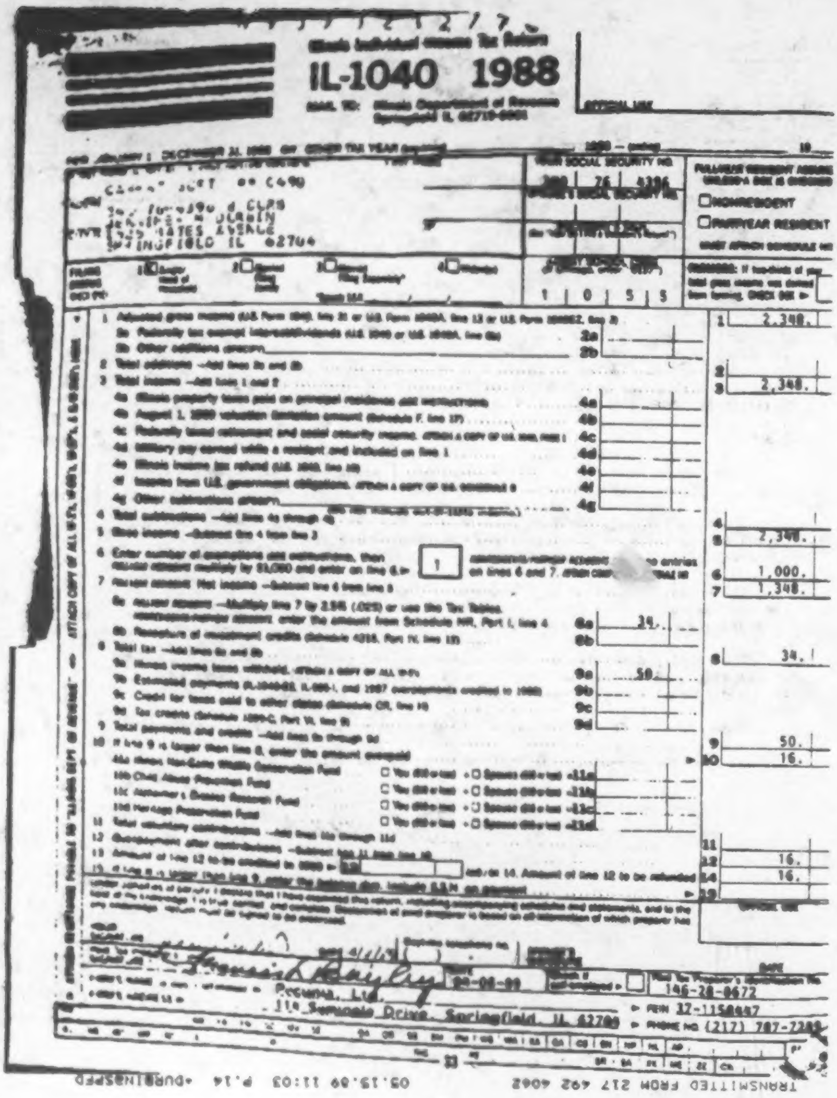

Illinois Individual Income Tax Return

IL-1040 1988

MAIL TO: Illinois Department of Revenue
Springfield IL 62719-0001

OFFICIAL USE

CANNON JEFF 00 C490
ROBERT AND 4 CLPS
JENNIFER M DURBIN
1725 YATES AVENUE
SPRINGFIELD IL 62704

YOUR SOCIAL SECURITY NO. [illegible] 76 4396

☐ NONRESIDENT
☐ PART-YEAR RESIDENT

FILING STATUS: 1 ☒ Single 2 ☐ 3 ☐ 4 ☐

1 | 0 | 5 | 5

1 Adjusted gross income	1	2,348.
2a	2a	
2b Other additions	2b	
2 Total additions	2	
3 Total income	3	2,348.
4a	4a	
4b	4b	
4c	4c	
4d	4d	
4e	4e	
4f	4f	
4g Other subtractions	4g	
4 Total subtractions	4	
5 Base income	5	2,348.
6 Enter number of exemptions … 1 … on lines 6 and 7	6	1,000.
7 Net income	7	1,348.
8a	8a	34.
8b	8b	
8 Total tax	8	34.
9a Illinois income taxes withheld	9a	50.
9b Estimated payments	9b	
9c Credit for taxes paid to other states	9c	
9d Tax credits	9d	
9 Total payments and credits	9	50.
10 If line 9 is larger than line 8, enter the amount overpaid	10	16.
11a ☐ You ☐ Spouse	11a	
11b ☐ You ☐ Spouse	11b	
11c ☐ You ☐ Spouse	11c	
11d ☐ You ☐ Spouse	11d	
11 Total voluntary contributions	11	
12 Overpayment after contributions	12	16.
14 Amount of line 12 to be refunded	14	16.
15	15	

Francis L Bayley

Pecunia, Ltd.
114 Sunnyside Drive, Springfield IL 62704

146-28-0672
FEIN 37-1150887
PHONE NO (217) 787-7289

TRANSMITTED FROM 217 492 4062 05.15.89 11:03 P.14 -DURBINASPFD

33070222535

RICHARD J. DURBIN
20TH DISTRICT, ILLINOIS

AT-LARGE WHIP

COMMITTEE ON APPROPRIATIONS
SUBCOMMITTEE ON AGRICULTURE AND RURAL DEVELOPMENT
SUBCOMMITTEE ON TRANSPORTATION

COMMITTEE ON THE BUDGET

SELECT COMMITTEE ON CHILDREN, YOUTH AND FAMILIES

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE
129 CANNON BUILDING
WASHINGTON, DC 20515

IN SPRINGFIELD:

IN DECATUR:

IN QUINCY:

INSIDE MAIL

June 9, 1989

RECEIVED
OFFICE OF RECORDS & REGISTRATION
1989 JUN 12 AM 8:17
CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

The Clerk. U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

Dear Sir:

Pursuant to your letter of May 18, 1989, I submit the following information to supplement my Financial Disclosure Statement for 1988.

Under "Holdings", the item described as "SPX Corporation" (bond-IRA/LMD), $2,292.00, is the same item described in the previous year as "Sealed Power Corporation". It appears there has been a change in the corporate name.

The holding described as "Kinder-Care" (300 shares - IRA/RJD), $2,250, is the same as the item described last year as "Sylvan Learning Corporation". It appears there was also a change in the corporate name.

The holding described as "Hadson Corporation" (WT-95 shares), $831.25, is the same as described in 1987 as "Hadson Petroleum Note". I inadvertently used a different name this year, but the holding is the same.

Under Section IX Agreements, I failed to note that there are "none".

If you need any additional information, please let me know.

Yours truly,

Dick Durbin

Richard J. Durbin
Member of Congress

RJD:kb

A9970291205

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—*For use by Members, officers, and employees*

Bernard J. Dwyer
(Full Name)

31 Mason Drive
(Mailing Address)

Edison, NJ 08820

MC (Office Use Only)

MAY 15 [illegible] 35

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6 State NJ

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed: identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

8 9 0 7 0 2 3 1 2 0 7

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS:-Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
State of New Jersey	Pension	4318	
Fraser Brothers, Inc.	Salary	N/A	
General Cable Company	Pension	N/A	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
First Savings of Perth Amboy	Interest	D
Village Gate - Metuchen, NJ	Rent	D
Fraser Brothers, Inc.	Dividend	G
General Public Utilities	Dividend	A
Cleveland Cliffs Iron Co.	Dividend	A
Fedders Corp.	Dividend	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
Village Gate - Metuchen, NJ	Rental Property	E
Waltuma, Inc.	Common Stock	E
Fraser Brothers, Inc.	Common Stock	F
Columbia Gas Systems	Common Stock	C
First Fidelity Bancorp.	Common Stock	E
General Telephone and Electronics	Common Stock	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Redeemed - NJ Mortgage Finance Agency Bonds	7-7-88	B
Redeemed - NJ Turnpike Authority Bonds	7-7-88	B
Redeemed - NJ Highway Authority Bonds	2-1-88	B
Purchased - NJ General Obligation Bonds	7-7-88	B
Purchased - Passaic Valley Sewerage Auth. Bonds	7-7-88	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
First Savings of Perth Amboy - Mortgage - Village Gate, Metuchen	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Maltuma. Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Bernard J. Dwyer	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Bernard J. Dwyer
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II.B.	First Fidelity Bancorp.	Dividend	D
	General Telephone and Electronics	Dividend	B
	Columbia Gas Systems, Inc.	Dividend	A
	Whittaker Corp.	Dividend	A
	N.J. MFA RY	Interest	A
	N.J. HFA	Interest	B
	N.J. Health Care	Interest	B
	N.J. General Obligation	Interest	A
	N.J. General Obligation	Interest	A
	Jersey City Sewer	Interest	A
	N.J. Health - Middlesex Gen'l Hosp.	Interest	A
	Township of Woodbridge	Interest	A
	North Wildwood, N.J.	Interest	B
	North Bergen, N.J.	Interest	A
	Hudson County Sewerage Authority	Interest	A
	Vernon Township Board of Education	Interest	A
	East Brunswick Township	Interest	A
	Washington Public Power Supply System	Interest	A
	Boro of South Plainfield	Interest	A
	Boro of North Arlington	Interest	A
	N.J. EDA	Interest	A
	Cape May County MUA	Interest	B
	N.J. HFA	Interest	C
	N.J. EDA	Interest	A
	N.J. Highway Authority	Interest	C
	N.J. Education Facility	Interest	B
	Township of Brick - General Obligation	Interest	B
	N.J. MFA	Interest	A
	N.J. EDA	Interest	B
	N.J. EDA	Interest	A
	N.J. Transportation	Interest	A
	County of Middlesex	Interest	A
	N.J. Higher Education	Interest	A
	N.J. General Obligation	Interest	C
	Delaware River Port Authority	Interest	A
	Parking Authority - New Brunswick	Interest	A
	Washington Twp. Board of Education	Interest	A
	City of Bayonne	Interest	A
	Internal Revenue Service	Interest	A
	Bankers Savings	Interest	A
	Ocean County Sewerage Authority	Interest	C
	Bergen County Sewerage Authority	Interest	A
	Bernard Township School District	Interest	A
	N.J. EDA	Interest	A
III.	Cleveland Cliffs Iron Co.	Common Stock	A
	Whittaker Corporation	Common Stock	C
	Fedders	Common Stock	A
	First Savings of Perth Amboy	Savings	D
	North Wildwood, N.J.	Bonds	C
	North Bergen, N.J.	Bonds	A
	Hudson County Sewerage Authority	Bonds	C
	Vernon Township Board of Education	Bonds	C
	East Brunswick Township	Bonds	A
	N.J. HFA	Bonds	C
	N.J. Health Care	Bonds	C
	N.J. General Obligation	Bonds	B
	N.J. General Obligation	Bonds	B
	Jersey City Sewer	Bonds	A
	N.J. Health - Middlesex Gen'l Hosp.	Bonds	B

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Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Bernard J. Dwyer
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
III.	Woodbridge Township	Bonds	A
	Washington Public Power Supply System	Bonds	C
	N.J. MFA RY	Bonds	A
	Boro of South Plainfield	Bonds	A
	Boro of North Arlington	Bonds	A
	N.J. EDA	Bonds	B
	Cape May County MUA	Bonds	C
	N.J. HFA	Bonds	C
	N.J. EDA	Bonds	A
	N.J. Highway Authority	Bonds	C
	N.J. Education Facility	Bonds	B
	Township of Brick - General Obligation	Bonds	B
	N.J. MFA	Bonds	B
	N.J. Economic Development	Bonds	C
	N.J. Economic Development	Bonds	B
	State of N.J. Transportation	Bonds	A
	County of Middlesex	Bonds	A
	State of New Jersey - Higher Education	Bonds	A
	N.J. General Obligation	Bonds	C
	Delaware River Port Authority	Bonds	A
	Parking Authority - New Brunswick	Bonds	A
	Washington Township Board of Education	Bonds	A
	City of Bayonne	Bonds	A
	Bernards Twp. School District	Bonds	B
	N.J. EDA	Bonds	B
	Ocean County Sewerage Authority	Bonds	C
	Bergen County Sewerage Authority	Bonds	A
	Bankers Savings	Savings	A
	General Public Utilities	Common Stock	B
	State of New Jersey - General Obligation	Bonds	B
	Passaic Valley Sewerage Auth.	Bonds	A

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INSIDE MAIL

BERNARD J. DWYER
8TH DISTRICT, NEW JERSEY

COMMITTEES
APPROPRIATIONS

SUBCOMMITTEES
LABOR, HHS AND EDUCATION
COMMERCE, JUSTICE, STATE
AND THE JUDICIARY
BUDGET

PERMANENT SELECT COMMITTEE
ON INTELLIGENCE

Congreſs of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE
2428 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515-3006
(202) 225-6301

DISTRICT OFFICES
214 SMITH STREET
PERTH AMBOY, NJ 08861-4338
(201) 826-4610

628 WOOD AVENUE, NORTH
LINDEN, NJ 07036-4162
(201) 486-4800

86 BAYARD STREET
NEW BRUNSWICK, NJ 08901-2115
(201) 545-5665

May 22, 1989

✓

MC

MAY 23 PM 1:17
TATIVES

The Honorable Julian C. Dixon
Chairman
Standards of Official Conduct
HT-2, The Capitol
Washington, D.C. 20515

Dear Mr. Chairman:

It has just come to my attention that when my Financial Disclosure Statement for 1988 was typed, the word "spouse" was inadvertantly omitted after the second and third entries in Section II.A.

I regret any inconvenience this oversight may have caused.

Sincerely,

BERNARD J. DWYER
Member of Congress

BJD/lbd

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

MERVYN M. DYMALLY
(Full Name)

1717 Longworth House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

OFFICE OF THE CLERK
U S HOUSE OF REPRESENTATIVES

89 MAY 15 PM 5: 23

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 31st State California

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Honoraria (See Attachment 1)	Honor.	28,217.31	2,000 Charity
California Legislators Retirement	Retire.	20,429.49	
California Teachers Retirement	Retire.	4,035.72	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
OPM	Interest	C
Savings - (Spouse)	Interest	D
Miscellaneous	Interest	A
*Margate, FL	Rental	D
*Sixty-Fifth, CA	Rental	C
*No profit earned - Break even (See Liabilities)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO X NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY			CATEGORY
60th Avenue	Margate, FL	Condo Rental	C
Sixty-Fifth Street	Compton, CA	Single Rental	D

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION		DATE	CATEGORY
Mulberry, Compton, CA	Sold	11/3/88	E

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME: any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Golden State S&L, Plantation, FL (Mortgage, Margate, FL)	C
Looms & Nettleton, Los Angeles, CA (Mortgage, Sixty-Fifth, CA)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Attachment 2, a,b,c,d	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 12.

POSITION	NAME OF ORGANIZATION
See Attachment 3	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 12.

DATE	PARTIES TO	TERMS OF AGREEMENT
10/01/88	Served on the Board of EMS, Inc., owned by daughter to faciliate organizational efforts.	Resigned 12/30/88

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/12/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

[illegible] Attachment (1)

II. INCOME

Honoraria 1988

DATE	SOURCE	AMOUNT
01/07	Brotherhood Crusade	$ 1,000.00
01/11	Atlanta Constitution	100.00
01/28	408 Printing & Pub Corp.	1,425.62
02/08	NAACP, San Diego	1,000.00
03/07	Playboy - Re: Book	112.50
03/14	Africa News	363.50
03/16	American Arab Anti-Disc. Committee	1,000.00
03/30	Pathfinder Press	615.36
04/08	Chicago Businessmen Dialogue	2,000.00
04/09	A. Joseph Co.	1,500.00
04/10	Meta International, Inc.	1,000.00
05/05	Haken Safadi, Chicago	500.00
05/05	Robin Zahran, Chicago	500.00
05/15	Chicago Mercantile Exchange	500.00
05/15	Glenn Laken, Chicago	500.00
06/11	Ibrhim Abu-Lughod (Travel 559)	441.00
06/27	Shannon & Luchs - Interest	128.10
07/01	Mendez Foundation PRI	2,000.00
08/18	National Alliance	1,000.00
08/20	Soka University	2,000.00
08/29	So. Cal Hotel/Motel Association	2,000.00
09/01	Carolier, Inc.	750.00
09/24	Consolidated Health Management	1,000.00
09/29	Pathfinder Press	331.23
10/01	Caribbean Action Lobby	2,000.00
10/12	Black Resource, Inc. Media (Column)	750.00
10/18	Playboy Enterprises (8/22/88)	100.00
10/19	PACE (Program to Aid Citizen Enterprise)	2,000.00
11/11	Knoxville Area Urban League	1,500.00
12/31	Playboy - Re: Book	100.00
		$28,217.31
		-2,000.00**
		$26,217.31

**Contribution of $2,000 to the Dymally Scholarship Fund, Inc.

[illegible] Attachment 2

VII. REIMBURSEMENTS

DATE		Source	
01/09/88	(TH)	Republic of Zaire	Zaire to Zambia (R) Attach. 2B
01/18/88	(TH)	Republic of Zaire	Zaire to Gabon & Ivory Coast
01/21/88	(TH)	Republic of Ivory Coast	D.C. to Ghana
01/21/88	(TH)	Republic of Zaire	Kinsasha & Luanda, Angola (R)
01/20/88	(TH)	Coalition for a Free Africa	Accra to Lagos
02/18/88	(TH)	Coalition for a Free Africa	New York
02/21/88	(TH)	Carlson Engineering	LAX - Houston (R)
03/01/88	(E)	Arab America Institute	West Bank & Gaza
03/10/88	(E)	Coalition for a Free Africa	Brazil
05/15/88	(H)	Chicago Mercantile Exchange	Chicago
07/01/88	(H)	Mendez Foundation	Puerto Rico
08/11/88	(TH)	Nat'l. Alliance of Postal	Jackson, MS
08/20/88	(TH)	Soka University	LAX, Tokyo & Seoul
09/01/88	(TH)	Republic of Zaire	(See Attachment 2 C&D)
10/01/88	(THE)	Caribbean Action Labor Com.	New York, New York
10/10/88	(TH)	Program to Aid Citizen Ent.	D.C. to Pittsburg, PA
11/10/88	(TH)	Knoxville Area Urban League	LAX-Knoxville, NY
12/11/88	(TH)	Int'l. Friendship Assoc.	LAX - Saudia Arabia

(T) - Travel
(H) - Hotel
(R) - Return
(E) - Out of pocket expenses

R 9 J / J 2 3 2 9 5 9

MERVYN M. DYMALLY
Thirty-First District
California

1717 Longworth Building
Washington, DC 20515
(202) 225-5425

Committees
Foreign Affairs
Post Office and Civil Service
Chairman, Subcommittee on
Census and Population
District of Columbia
Chairman, Subcommittee on
Judiciary and Education

Congress of the United States
House of Representatives
Washington, DC 20515

2b

February 9, 1988

Jan 21

Hon. Julian Dixon, Chairman
Committee on Standards of Official
 Conduct
HT2 Capitol
Washington, D.C. 20515

Dear Chairman Dixon:

During my recent visit to Zaire I was a guest of the government of Zaire which provided me with lodging and transportation in and out of Zaire.

Transportation was provided from Zaire to Zambia, Angola, Gabon and Ivory Coast, during the period of January 4-10 and on January 18, 1988.

The government of Ivory Coast provided transportation from Ivory Coast to Kenya on Thursday January 21, 1988.

The above is intended to comply with reporting rules governing the acceptance of gifts from a foreign government.

Sincerely,

Mervyn Dymally

MERVYN M. DYMALLY
Member of Congress

FOREIGN AFFAIRS
POST OFFICE AND CIVIL SERVICE
DISTRICT OF COLUMBIA

Congress of the United States
House of Representatives
Washington, DC 20515

2C

September 9, 1988

Honorable Julian C. Dixon
Chairman
Committee on Standards of Official Conduct
HT-2, The Capitol
Washington, D.C. 20515

Dear Mr. Chairman:

Recently I visited France to meet with President Mobutu regarding international rumors and concerns that the Leader of the Opposition, Mr. Tshisekidi wa Mulumba was reported to have been killed.

As a result of these rumors, I was invited to visit Mr. Tshisekidi in Djibote, Zaire.

Transportation was provided by the Government of Zaire as indicated below:

Nice to Brussels	9/3
Brussels to Kinshasa	9/4
Kinshasa to Brussels	9/5
Kinshasa to Brazzaville	9/5

Hotel accommodation was provided in:

Nice, France	9/1-9/3
Kinshasa, Zaire	9/3-9/4

Other relevant information* regarding this visit will be filed at the appropriate time.

Sincerely,

Mervyn Dymally

MERVYN M. DYMALLY
Member of Congress

MMD:ng

* Other parts of personal travel paid for by me. 10/7/88

A90/1262711

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U.S. HOUSE OF REPRESENTATIVES

Form For Disclosing Gifts From Foreign Governments Pursuant To 5 U.S.C. 7342

I. NAME: Mervyn M. Dymally II. POSITION: U.S Rep

III. RECIPIENT (If other than reporting person): ______

IV. DESCRIPTION:

(1) Tangible gift(s) of more than minimal value. ______

(2) Decoration(s) of more than minimal intrinsic value. ______

(3) Travel provided by a Foreign Government. Govt. of Zaire
Nice to Brussels 9/3, Brussel to Kinshasa 9/4
Kinshasa to Brazaville R (Boat) 9/5, Kinshasa to Brazaville 9/5

(4) Expenses for travel provided or reimbursed by a Foreign Government. ______

V. CIRCUMSTANCES JUSTIFYING ACCEPTANCE: See attached letter

VI. DATE OR DATES OF ACCEPTANCE (for travel give inclusive dates travel occurred): ______
9-1/9-3 Nice, 9/3-9/4 Kinshasha

VII. DONOR GOVERNMENT (If items are received from more than one Foreign Government, use additional forms: ______

(1) Name and Position of individual who presented item: ______

VIII. FOR TANGIBLE GIFTS OR DECORATIONS OF MORE THAN MINIMAL VALUE ONLY:

(1) Estimated value in United States at time of acceptance: ______

(2) Disposition or current location of accepted item(s). ______

R. Return

Mervyn M. Dymally
Signature

10/7/88
Date of this report

8 9 0 7 0 2 8 2 3 4 2 Attachment (3)

VIII. POSITIONS

1.)	Board of Governors,	Joint Center for Political Studies
2.)	Chairman,	Caribbean American Research Institute (Inactive)
3.)	Chairman,	Caribbean Action Lobby
4.)	Advisor,	Voter Education & Research Action, Inc.
5.)	Chairman,	Congressional Caucus for Science, Space & Technology
6.)	Chairman, Board of Advisors,	Institute for Science, Space & Technology
7.)	See Agreements (IX)	

5 9 0 / 0 2 2 2 4 1 8

MERVYN M. DYMALLY
Thirty-First District
California

1717 Longworth Building
Washington, DC 20515
(202) 225-5425

HAND DELIVERED

COMMITTEES:
FOREIGN AFFAIRS
CHAIRMAN, SUBCOMMITTEE ON
INTERNATIONAL OPERATIONS
DISTRICT OF COLUMBIA
CHAIRMAN, SUBCOMMITTEE ON
JUDICIARY AND EDUCATION
POST OFFICE AND CIVIL SERVICE

Congress of the United States
House of Representatives
Washington, DC 20515

RECEIVED
OFFICE OF RECORDS & REGISTRATION
1989 JUN -7 PM 4: 24
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

June 6, 1989

✓
MC

Honorable Julian C. Dixon
Chairman
Committee on Standards of Official Conduct
HT-2, The Capitol
Washington, D.C. 20515

Dear Mr. Chairman:

Attached please find amended report on:

A. Reimbursements (VII), with technical and typographical amendments. (See corrected sheet marked Attachment B). Also attached is an amended report on Earned Income. Attachment A.

B. Miscellaneous Income

Permit me to note that my 1988 report on Reimbursements was similar to that of my report in 1987.

Sincerely,

MERVYN M. DYMALLY
Member of Congress

MMD:ng

Enclosures

ATTACHMENT A

Income

Miscellaneous

MERVYN M. DYMALLY

OPM (Interests on Savings)	$3,649.87
Perpetual S & L (Interest on Temporary Deposit)	128.10
Patman Credit Union (Interest on Savings)	63.80
IRS	35.18

ALICE DYMALLY

First Nationwide (Interest on Savings)	6,109.17
First Financial Credit Union (Interest)	19.24
Wells Fargo Bank (Interest)	655.86

8 9 0 7 0 2 9 2 4 5 0

ATTACHMENT B

VII. REIMBURSEMENTS
Amended 6/6/89

Date	
01/09/88	Republic of Zaire -- Zaire to Zambia (See attachment 2B Letters)
01/18/88	Republic of Zaire -- Zaire to Gabon & Ivory Coast Gabon and Air Travel. No commercial flight available. Gabon met with President. In addition to U.S. security interests, discussed non-profit Telethon to raise funds for children in Southern Africa. Ivory Coast met with President to discuss apartheid in South Africa and U.S. security interests.
10/21/88	Republic of Zaire -- Kinshasa to Luanda & Return No commercial flight available. Transportation was provided by Republic of Zaire. Visited with President of Angola to discuss peace efforts in Angola and Namibia. Met with U.S. citizens working in Angola. Discussed telethon sponsored by Coalition for A Free Africa (CFA).
10/21/88	Republic of Ivory Coast -- Abidjan to Ghana Meeting with President of Ivory Coast, went beyond scheduled time. Was encouraged to continue the dialogue with the President, and therefore missed the commercial flight. The President then offered private transportation from Abidjan to Accra, Ghana. Hotel accommodations provided by Coalition for a Free Africa.
01/20/88	Coalition for A Free Africa -- Accra to Lagos, Nigeria Transportation provided by CFA. Met with U.S. Embassy officials, and Ministers of the Nigerian government. Discussed telethon with Nigerians. Hotel accommodations provided by CFA.
02/18/88	Coalition for A Free Africa -- New York, NY Transportation and travel provided by CFA to meet with Secretary of British Commonwealth and U.N. officials about international telethon to raise funds for children in Southern Africa.
02/21/88	Clarkson Industries -- Houston, TX Transportation and lodging provided by Clarkson Industries for Breakfast Seminar.
03/01/88	Arab American Institute -- West Bank & Gaza Visited to West Bank and Gaza, was discouraged by U.S. Embassy officials. Therefore it was necessary to secure a private limousine for visiting U.S. delegation in January 88. AAI paid for transportation in March 88.

Date	
03/10/88	Coalition for A Free Africa -- Brazil Visited Brazil to accept an award for Nelson Mandela, in my capacity as Chairman of the Congressional Black Caucus, and to meet with Brasilian Legislators and U.S. Embassy officials. CFA paid for out of pocket expenses to entertain parties interested in international telethon, mentioned above. [Reimburment was made on March 10, 1988, traveled on October 1987].
05/08/88	Chicago Mercantile Exchange -- Chicago, IL Visited Chicago Mercantile Exchange for the first time to learn more about the process. Lodging expenses paid for by the Chicago Mercantile Exchange.
07/01/88	Ana G. Mendez Foundation -- Puerto Rico Visited summer students from 31st Congressional District, studying math, science, computers and Spanish at Metropolitan University, San Juan, Puerto Rico. Lodging was provided by the Foundation
08/11/88	National Alliance of Postal and Federal Employees -- Jackson, MS. Transportation and lodging provided by the Alliance, for visit and speech to their annual convention.
08/20/88	Soka University -- Tokyo and Seoul Transportation and lodging were provided to visit university administrators.
09/01/88	Republic of Zaire -- Nice and Kinshasa (See attachment 2 C & D).
10/01/88	Caribbean Action Labor -- New York, NY Transportation, lodging and out of pocket expenses were provided by CAL for annual dinner. Guest speaker.
10/10/88	Program To Aid Citizen Enterprise -- Pittsburg, PA Transportation from D.C. and lodging were provided by PACE for speaking engagement.
11/10/88	Knoxville Area Urban League -- Knoxville, TN Transportation from Los Angeles and lodging were provided by KAUL for speaking engagement.
12/11/88	Int'l Friendship Association -- Saudi Arabia Transportation, from L.A. and lodging were provided by IFA to meet with Saudi officials to talk about economic development in the 31st Congressional District, and international telethon, referred to above.

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Roy Dyson
(Full Name)

Post Office Box 5
(Mailing Address)

Great Mills, Md. 20634

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1 State MD

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
National Assn. Passenger Vessel Owners	Rec'd 1-31-88	Honoraria	$500.00	*
Tobacco Institute	Rec'd 4-13-88	"	$1000.00	*
Smokeless Tobacco Council,Inc.	Rec'd 4-06-88	"	$1000.00	*
Technology Forum	Rec'd 3-08-88	"	$500.00	*
Edison Electric Institute	Rec'd 7-19-88	"	$250.00	Donated directly to House Child Care Center.

*Some of these honoraria were donated to charities.

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
House - 300 E. Wm. Street, Salis., Md.	Rents	D
Memorial Hospital At Easton	Revenue Bond	A
House - Tyaskin, Md.	Rents	A
Fairfax Savings Bank	Interest (CD)	A
Washington Savings Bank	"	A
Legg Mason	Interest	A
Retirement Living Fiduciary Corp.	Dividend/Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO ____ NA X

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Real Estate - 300 E. Wm Street, Salisbury, Md.	E
MD. Health Dept. Memorial Hosp. At Easton Revenue Bond	B
Fairfax Savings Bank (CD)	B
Washington Savings Bank (CD)	B
REal Estate - Tyaskin, Md.	E
Retirement Living Fiduciary Corp. (Tax Exempt Mortgage Fund Partnership)	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Real Estate - Tyaskin, Md.	11-30-88	E

SEE ATTACHMENT

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY		CATEGORY
Second National Federal Savings Bank	(Mortgage)	D
Bancboston Mortgage Corp.	(Mortgage)	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
General Electric (Transportation)	Speaking Engagement, Lynn Mass.
Textron Marine	Fact-finding,Plant Tour, New Orleans, La
(Transportation, Lodging, food	etc. provided.)
Litton Data Systems	Fact-finding, Plant Tour, Los Angles,Cal.
(Transportation, Lodging, food	etc. Provided.)
Unisys (Transportation, Lodging, Food etc.)	Fact-finding, Plant tour, New York, N.Y.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Bd. of Directors (Uncompensated)	Dyson Lumber Co., Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Roy Dyson	5-15-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dix

U.S. GOVERNMENT PRINTING OFFICE [illegible]

Page 1 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Roy Dyson
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II INCOME	General Electric Honoraria	Rec'd $1000.00	3-14-88 Some donated to charity.
II INCOME	AVCO Systems Honoraria	Rec'd $1000.00	3-14-88 Some donated to charity.

8 9 0 7 0 2 8 3 0 0 0

Page 2 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Roy Dyson
(Name)

Continuation Sheet

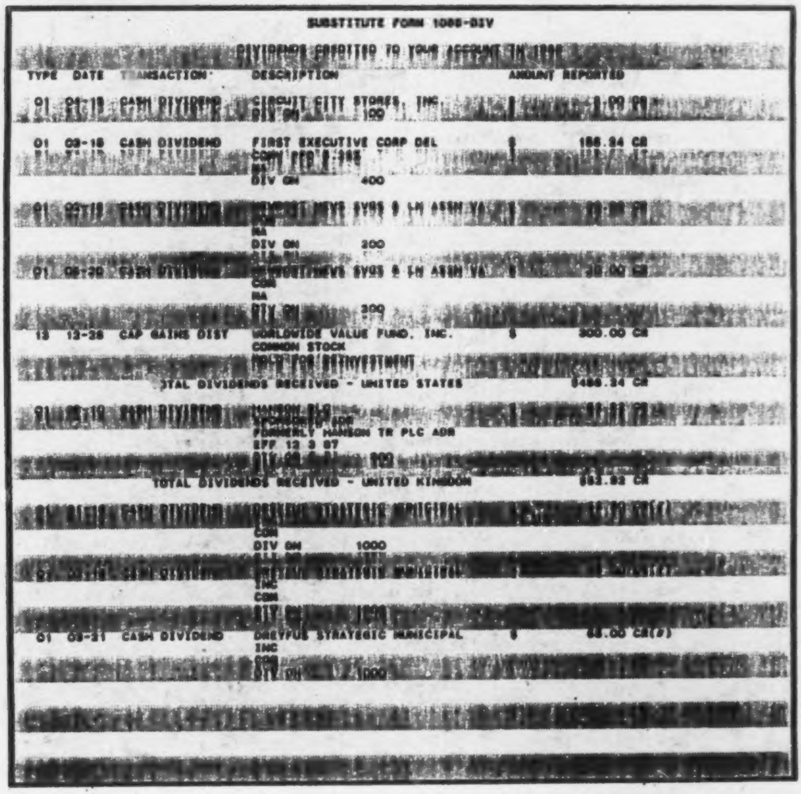
LEGG MASON

Legg Mason Wood Walker, Inc.

PART IV

ROY DYSON
PO BOX 5
GREAT MILLS MD 20634-0005

OFFICE ADDRESS/PHONE
LEGG MASON WOOD WALKER, INC.
1747 PENNSYLVANIA AVENUE, NW
WASHINGTON, DC 20006-4681
(202) 452-4000



SUBSTITUTE FORM 1099-DIV

DIVIDENDS CREDITED TO YOUR ACCOUNT IN 1988

TYPE	DATE	TRANSACTION	DESCRIPTION		AMOUNT REPORTED
01	04-15	CASH DIVIDEND	CIRCUIT CITY STORES, INC DIV ON 100	$	[illegible] CR
01	03-15	CASH DIVIDEND	FIRST EXECUTIVE CORP DEL CONV PFD $.385 NA DIV ON 400	$	156.24 CR
01	03-19	CASH DIVIDEND	NEWPORT NEWS SVGS & LN ASSN VA COM NA DIV ON 200	$	30.00 CR
01	06-20	CASH DIVIDEND	NEWPORT NEWS SVGS & LN ASSN VA COM NA DIV ON 200	$	30.00 CR
13	12-28	CAP GAINS DIST	WORLDWIDE VALUE FUND, INC. COMMON STOCK HELD FOR REINVESTMENT	$	300.00 CR
			TOTAL DIVIDENDS RECEIVED - UNITED STATES		$486.24 CR
01	05-10	CASH DIVIDEND	HANSON PLC SPONSORED ADR FORMERLY HANSON TR PLC ADR EFF 12 3 87 DIV ON 900	$	[illegible] CR
			TOTAL DIVIDENDS RECEIVED - UNITED KINGDOM		$52.92 CR
01	[illegible]	CASH DIVIDEND	DREYFUS STRATEGIC MUNICIPAL INC COM DIV ON 1000	$	[illegible] CR(7)
01	[illegible]	CASH DIVIDEND	DREYFUS STRATEGIC MUNICIPAL INC COM DIV ON 1000	$	[illegible] CR(7)
01	09-21	CASH DIVIDEND	DREYFUS STRATEGIC MUNICIPAL INC COM DIV ON 1000	$	65.00 CR(7)

8 9 3 7 3 2 3 3 3 0 1

Page 3 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Roy Dyson
(Name)

Continuation Sheet

Legg Mason Wood Walker, Inc.

PART IV

ROY DYSON
PO BOX 6
GREAT MILLS MD 20634-0006

OFFICE ADDRESS/PHONE
LEGG MASON WOOD WALKER, INC.
1747 PENNSYLVANIA AVENUE, NW
WASHINGTON, DC 20006-4681
(202) 452-4000

```
                         SUBSTITUTE FORM 1099-DIV

              DIVIDENDS CREDITED TO YOUR ACCOUNT IN 1988
TYPE  DATE   TRANSACTION     DESCRIPTION                          AMOUNT REPORTED

 01   04-16  CASH DIVIDEND   DREYFUS STRATEGIC MUNICIPAL     $   [illegible] CR(#)
                             INC
                             COM
                             DIV ON       1000

 01   05-23  CASH DIVIDEND   DREYFUS STRATEGIC MUNICIPAL     $   [illegible] CR(#)
                             INC
                             COM
                             DIV ON       1000

 01   06-20  CASH DIVIDEND   DREYFUS STRATEGIC MUNICIPAL     $          88.00 CR(#)
                             INC
                             COM
                             DIV ON        1000

 01   10-28  CASH DIVIDEND   VAN KAMPEN MERRITT MUNICIPAL    $          41.80 CR(#)
                             INCOME TRUST
                             COMMON
                             DIV ON        1000

 01   11-20  CASH DIVIDEND   VAN KAMPEN MERRITT MUNICIPAL    $   [illegible] CR(#)
                             INCOME TRUST
                             COMMON
                             DIV ON        1000

        TOTAL DIVIDENDS AND OTHER DIST. ON STOCK (1099-DIV)    $1,[illegible] CR

              CAPITAL GAIN DIST. INCLUDED IN TOTAL ABOVE    $300.00

        TOTAL NON-TAXABLE DISTRIBUTION INCLUDED IN TOTAL ABOVE   [illegible]

(#) A PORTION OF THESE DISTRIBUTIONS MAY BE TAXABLE
                         SUBSTITUTE FORM 1099-B

        SALES-CALLS-REDEMPTIONS-TENDERS CREDITED TO YOUR ACCOUNT IN 1988
TYPE  DATE  TRANSACTION        QUANTITY   DESCRIPTION                 AMOUNT REPORTED
 01   03-11  YOU SOLD               200   CHI-CHIS INC              $    1,708.00 CR
                                          NA
 01   08-14  YOU SOLD               100   CIRCUIT CITY [illegible]  $ [illegible] CR
 01   04-26  YOU SOLD               100   FIRST EXECUTIVE [illegible] $ [illegible] CR
                                          CONV PFD 6.38%
                                          NA
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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

1989 MAY -9 AM 11:47

FORM A—For use by Members, officers, and employees

JOSEPH D. EARLY, M.C.
(Full Name)

2249 RAYBURN HOB
(Mailing Address)

WASHINGTON, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District 3RD State MASSACHUSETTS

☐ Officer or Employee—Employing Office ____

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ [✓ NO] NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
AMERICAN LUNG ASSOCIATION	2-4-88	HONORARIUM	1,000 A
ARTHRITIS FOUNDATION	4-26-88	HONORARIUM	1,000 A
RAYTHEON	5-16-88	HONORARIUM	1,000 A
OCEAN SPRAY	6-7-88	HONORARIUM	1,000 B
BOSTON INSTITUTIONAL	8-24-88	HONORARIUM	1,000 B
CAPITAL ASSOCIATION	9-19-88	HONORARIUM	1,000 A

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
WORCESTER COUNTY INSTITUTION	INTEREST	A
WRIGHT PATMAN	INTEREST	A
SHAWMUT WORCESTER COUNTY BANK	INTEREST	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ✓ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
COMMONWEALTH OF MASS. RETIREMENT	B
U.S. HOUSE OF REPRESENTATIVES RETIREMENT	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
WRIGHT-PATMAN CREDIT UNION	C
COMMERCE BANK + TRUST	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
DIRECTOR	CENTER FOR LIVING + WORKING
DIRECTOR	MASS. SOCIETY FOR MEDICAL RESEARCH
DIRECTOR	WORCESTER COUNTY MECHANICS HALL ASS.
BOARD	BIOTECHNOLOGY CENTER OF EXCELLANCE
BOARD	FOUNDATION FOR BIOMEDICAL RESEARCH
CORPORATOR	WORCESTER ART MUSEUM

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Joseph D Early	May 9, 1987

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

HAND DELIVERED A 9 0 7 0 2 3 2 0 8 2

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Dennis E. Eckart
(Full Name)

1210 Longworth Building
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

89 MAY 15 PM 4:51

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 11 State Ohio

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,008, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed: identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __x__ NA ______

For more information, see detailed Instruction Booklet at page 7.

8 9 0 7 0 2 3 2 5 8 3

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Ohio Deferred Compensation	def comp	$75/mo	
Ridgemont Montessori (spouse)	salary	less than $100	
Internatl Management & Development Institute 1/8/88	hon	$1000	
Assn Independent TV Stations 1/29/88	hon	$1000	
Motion Picture Assn. 1/26/88	hon	$1000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Independent Savings & Loan	int	D
McLean Savings & Loan	int	A
Connecticut Mutual	div	A
SNPJ KSKJ Forrester	div	A
McDonald & Co. & Conrail	div	A
Independent Savings & Loan	div	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __x__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Belinger, Hertrick & Eckart Atty at Law (defunct)	A
Independent Savings & Loan (Stock)	A
Independent Savings & Loan (Savings)	C
McLean Savings & Loan (Savings)	A
McDonald & Company (Stock)	A
Conrail (Stock)	A
Investment Coins & Stamps	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NA		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NA	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NA	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NA		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Assn Independent TV Stations (panel participant)	airfare to and from Los Angeles; food & lodging (3 days)
Merck & Co., Inc. (annual meeting participant -- speech; expenses for spouse)	roundtrip airfare to Puerto Rico; food & lodging (3 days)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Belinger, Hertnick & Eckart Atty at Law (defunct)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
1975	Ohio Deferred Compensation Program	payment of deferred compensation (terminated)

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature

Date 5-15-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Dennis E. Eckart
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
IIA	Federal Forum 2/16/88 hon $1000
	Internatl Assn Firefighters 3/16/88 hon $1000
	American Trucking Association 2/24/88 hon $1000
	GTE Service Corporation 3/16/88 hon $1000
	Natl Assn Small Business Investment Cos 3/7/88 hon $1000
	Ohio Diesel Technical Institute 3/11/88 hon $1000
	RJR Nabisco 3/8/88 hon $2000
	Lightnet 3/29/88 hon $1000
	Natl Coal Assn 4/19/88 hon $500
	United States Telephone Assn 5/25/88 hon $1000
	Computer & Business Equipment Manufacturers Assn 6/8/88 hon $1000
	Electronic Industries Assn 6/16/88 hon $1000
	Assn Independent Television Stations, Inc. 6/23/88 hon $1000
	Southern Company Services, Inc. 10/19/88 hon $1000
	Natl Cable Televions Assn 12/1/88 hon $1500
	American Insurance Assn 12/8/88 hon $500
	Assn of American Railroads 12/6/88 hon $500
	Vern Clark& Assocs 12/28/88 hon $500
	Competitive Telecommunications Assn COMPTEL 12/14/88 hon $1000
VII	NEMW Institute (conference participant) Airfare to New Orleans, reimbursed return airfare; food and lodging (1 night)
	Kelso & Company (conference) Airfare to and from Palm Springs *
	Electronic Industries Assn (conference participant; expenses for family) reimbursed airfare (incl. spouse) Tampa/Ft. Myers ; food & lodging (4 nights)
	United States Telephone Association (meeting participant; expenses for family); food & lodging (4 nights) The Greenbrier
	Electronic Industries Association (panel participant); reimbursed roundtrip airfare to Chicago
	National Cable Television Association (conference participant; expenses for family) Airfare to and from Palm Springs (including spouse); food & lodging (3 nights)
	*speech cancelled (weather caused air travel cancellation)

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Don Edwards
(Full Name)

2307 Rayburn HOB
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

'89 MAY 11 PM 12:25

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 10th State California

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ✓ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Continuation Sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Continuation Sheet		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See,* Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Continuation Sheet	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Continuation Sheet		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Consultant	Valley Title Co. San Jose, California

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
June 14, 1987	Self and Valley Title Company	Unwritten agreement to be consultant-employee for 5 years at same salary as before transfer of common stock on June 4, 1987

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Don Edwards	May 11, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Don Edwards
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*			
II. EARNED INCOME	A. SOURCE	TYPE	AMOUNT	DISPOSITION
	Valley Title Co., San Jose, CA	Salary	23,999.04	
	Salary, US House of Rep (Wife)	"		
	Salary, US Senate (Wife)	"		
	Salary, Arms Control & Foreign Policy Caucus (Wife)	"		
	Salary, Peace Through Law Educational Fund (Wife)	"		

Part	A. SOURCE	TYPE	CATEGORY
UNEARNED INCOME	Wright Patman Credit Union (Wife)	Interest	C
	Riggs Bank (Wife)	"	A
	Bank of New York (Wife)	"	A
	Tri-Continental (Wife)	Dividends	D
	Chase Manhattan Bank (Wife)	"	B
	Dreyfus Money Market Fund (Wife)	"	B
	Cal State Tax Refund	Refund	C
	Dist Columbia Refund	"	C
	Bank of America	Interest	A
	Wright Patman Credit Union	"	A
	Social Security		E
	Interest, Guggenberger Note	Interest	F
	Certificate of Deposit, Nat'l Bank of Wash	"	D
	Interest, Nat'l Bank of Wash	"	C
	State of Calif Bonds	"	F

Part	IDENTITY	CATEGORY
III. HOLDINGS	Bank of America, checking	A
	Nat'l Bank of Wash, checking	D
	Tax Exempt Money Market Fund	D
	Certificate of Deposit, Nat'l Bank of Wash	E
	Calif State Bonds (7)	F
	Note from Clyda Guggenberger	F
	Dreyfus Money Market (Wife) Savings	C
	Wright Patman Credit Union (Wife)	C
	Tri-Continental Corp. Com. Stock (Wife)	E
	Bank of New York, Savings (Wife)	B

Part	DESCRIPTION	DATE	CATEGORY
IV. TRANSACTIONS	Renewed Certificate of Deposit, National Bank of Wash	4/4/88	E
	Same	10/4/88	F
	Sold Shares Tax-Exempt Money Market Fund	2/29/88	F
	Same	4/14/88	D
	Same	9/20/88	D
	Same	8/16/88	F
	Purchased Calif State Bonds	2/29/88	F
	" " " "	4/14/88	D
	" " " "	9/20/88	D
	" " " "	8/16/88	F

GPO: 1987 78-040 (m)

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Mickey Edwards
(Full Name)

2330 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District 5 State Oklahoma

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,068, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate flotation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Jan. 28	Alan L. Freed Associates, Inc.	Honorarium	250.00	
Feb. 5	Alan L. Freed Associates, Inc.	Honorarium	250.00	
Feb. 12	Southwestern Bell Telephone	Honorarium	250.00	
Feb. 22	Citizens for Reagan	Honorarium	250.00	
Feb. 29	American Medical PAC	Honorarium	2,000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
NONE		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
NONE	

A 9 0 7 0 2 3 2 3 1 6

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Wright Patman Congressional Federal Credit Union	B
(home equity loan)	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Feb. 26 Garland County Republican Comm.	1 roundtrip ticket DC to Little Rock, Arkansas
March 24 OK Municipal Contractors	1 roundtrip ticket to OK City
April 29 Freeman Institute	1 roundtrip ticket DC to Salt Lake City

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Chairman	The Renascence Foundation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Mickey Edwards	5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT--FINANCIAL DISCLOSURE STATEMENT

Congressman Mickey Edwards
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II.A			
March 3	American Trucking Assoc.	Honorarium	1000.00
Feb. 24	Alan L. Freed Associates, Inc	Honorarium	250.00
March 18	Alan L. Freed Associates, Inc	Honorarium	250.00
March 24	OK Muncipal Contractors	Honorarium	1000.00
April 14	Naval Facility Engineering Co	Honorarium	250.00
April 21	Alan L. Freed Associates, Inc	Honorarium	250.00
April 29	Freeman Institute	Honorarium	1000.00
May 12	Alan L. Freed Associates, Inc	Honorarium	250.00
May 27	Connell Rice & Sugar Co.	Honorarium	2000.00
June 29	Alan L. Freed Associates, Inc	Honorarium	250.00
Sept 7	Alan L. Freed Associates, Inc	Honorarium	250.00
Sept 15	Alan L. Freed Associates, Inc	Honorarium	250.00
Sept 27	Alan L. Freed Associates, Inc	Honorarium	400.00
Oct. 5	Alan L. Freed Associates, Inc	Honorarium	250.00
Nov 12	Worldwide Associates	Honorarium	2000.00
Dec 7	Alan L. Freed Associates, Inc	Honorarium	250.00
VII.			
Jan 12	Aspen Institute Conference on U.S. - Soviet Relations	2 roundtrip tickets to Jamaica, meals & lodging for two for 4 days.	
Jan 2	Foreign Policy Institute of Turkey Fact Finding Trip	2 roundtrip tickets to Turkey, meals & lodging for two for 9 days.	
May 27	Connell Rice & Sugar Company Seminar Participant	2 roundtrip train tickets to New York City from DC lodging and meals for two for 3 nights	
Sept. 27	Alan L. Freed Associates, Inc. Seminar speaker	1 night/ Aberdeen,MD lodging only	
Nov 9	Daewoo Corporation Seminar for Senior Management w/ Worldwide Associates	1 plane tickt to Korea 1 night lodging and meals and connecting flight to Copenhagen	
Nov 13	Commission on Security & Co-operation in Europe Human Rights Conference	1 roundtrip ticket DC to Moscow & 1 ticket from Copenhagen to Moscow with return to DC, meals & lodging for two for 7 nights	

** Anytime two tickets were provided, the second ticket was used by the Congressman's spouse, Lisa Edwards.

GPO: 1987 79-046 (m)

89070282387

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

89 MAY 15 [illegible] 4:45

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Norvell William Emerson
(Full Name)

438 Cannon Building
(Mailing Address)

Washington, DC 20515

Mc (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 8th State MO

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
National Republican Congressional Committee (spouse)	Salary		None
American Gas Association (11/10/88)	Honorarium	$ 500	None
United Fresh Fruit & Vegetable Association (9/15/88)	Honorarium	1,000	None
National Association of Realtors (6/22/88)	Honorarium	1,000	None
American Trucking Associations (8/11/88)	Honorarium	1,000	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Sunrise Federal Savings	Interest	A
Condominium - 1310 Lexington, Cape Girardeau, MO	Rent	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO xx ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Rental Property - 1310 Lexington	D

89070232589

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Bank of Sikeston	D
National Bank of Washington	B
Mortgage - Colonial Federal Savings and Loan/Condominium	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a **SPEAKING ENGAGEMENT** or **FACT-FINDING EVENT** related to official duties, whether those expenses were **REIMBURSED** to the individual or **PAID DIRECTLY** by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE — BRIEF DESCRIPTION

Midwest Gas Assn. - Airfare - Cape Girardeau/St. Louis/Cape Girardeau
Missouri Forest Products Assn. - Airfare - Cape Girardeau/Lake of Ozarks/Cape Girardeau
COMEX - Train/Air Fare, One night's food and lodging - Washington/New York/Washington
Chicago Mercantile Exchange - Airfare, One day's food and lodging (and spouse) - Washington/Chicago/Washington

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Governor	Capitol Hill Club
Honorary Advisory Board Member	Congressional Youth Leadership Council
Advisory Board Member	Conservative Caucus, Inc.
Legislative Advisory Council	National Taxpayers' Union
Associate Board Member	Southeast Missouri Council of the Boy Scouts of America

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Bill Emerson	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Norvell William Emerson
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)				
II-A	National Coal Association	(7/14/88)	Honorarium	$ 500	None
	COMEX	(6/13/88)	Honorarium	1,000	None
	Chicago Mercantile Exchange	(5/2/88)	Honorarium	500	None
	Chicago Board of Trade	(5/2/88)	Honorarium	500	None
	National Cheese Institute	(3/10/88)	Honorarium	500	None
	RJR Nabisco	(2/22/88)	Honorarium	2,000	None
	Holland & Knight	(2/12/88)	Honorarium	2,000	None
VII	Holland & Knight - Airfare - Four days' food and lodging (and family) Washington/Orlando/Washington				
	Congressional Institute Inc. - Airfare - Three days' food and lodging (and family) Washington/Houston/Washington				

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

RECEIVED
89 MAY 15 PM 3:35
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

FORM A—For use by Members, officers, and employees

Rep. Eliot L. Engel
(Full Name)

1407 Longworth House Office Building
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 19th State New York

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
New York State Assembly	Salary	$52,000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Marine Midland Bank	Interest	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Monies loaned to Engel'88 Committee	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Eliot L. Engel	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989 [illegible]

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ELIOT L. ENGEL
19TH DISTRICT, NEW YORK

COMMITTEES
FOREIGN AFFAIRS
SUBCOMMITTEES
ARMS CONTROL, INTERNATIONAL SECURITY AND SCIENCE
INTERNATIONAL ECONOMIC POLICY AND TRADE
AFRICA
SMALL BUSINESS
SUBCOMMITTEES
ENVIRONMENT AND LABOR
REGULATION, BUSINESS OPPORTUNITIES AND ENERGY

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE
1407 LONGWORTH HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-2464

DISTRICT OFFICES
3250 WESTCHESTER AVENUE
BRONX, NY 10461
(212) 823-7200

561 YONKERS AVENUE
YONKERS, NY 10704
(914) 378-1600

177 DREISER LOOP, ROOM 3
BRONX, NY 10475
(212) 320-2314

June 1, 1989

HAND DELIVERED

1989 JUN -2 AM 11:41
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
OFFICE OF RECORDS & REGISTRATION

✓
MC

Clerk, US House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, DC 20515

Dear Sir:

This letter will serve as an amendment to my Financial Disclosure Statement for 1988, Part III, Holdings.

The Financial Disclosure Statement which I submitted as a candidate in 1988 indicated that my holdings in Dreyfus Liquid Assets fell under Category A - not more than $5,000. While this account is listed under holdings since the interest earned is technically considered dividends, the account actually takes the form of a checking account. Monies in this account were used for educational purposes for my daughter last year and other miscellaneous expenses, and the account is now well below the $1,000 level for which stock must be listed separately in this category.

I hope this explanation is sufficient for your needs. If any additional information is required, please be sure to let me know.

Sincerely,

Eliot L. Engel
Eliot L. Engel
Member of Congress

ELE/ps

033722537

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—*For use by Members, officers, and employees*

Glenn Lee English, Jr.
(Full Name)

9500 Clarks Crossing Road
(Mailing Address)

Vienna, Virginia 22182

HC (Office Use Only)

89 MAY 12 PM 12:04

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6th State Oklahoma

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,055, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Tobacco Institute 1-13-88	Honor.	1,000	None
United States Telephone Assoc. 3-25-88	Honor.	1,000	None
Chicago Board of Trade 3-26-88	Honor.	1,000	None
Western Rural telphone Assoc. 4-26-88	Honor.	1,500	None
Connell Rice & Sugar Co., Inc. 3-21-88	Honor.	2,000	None

(con't. on continuation page 1)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Rental Income - House and Lot - Cordell, OK	Rent	C
Savings - Cong. Federal Credit Union - Wash. D.C.	Interest	C
Savings - City National Bank - Cordell, OK	Interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
House and Lot	C
Family Farm - 40 acres - Cordell, OK	C
Minerals - 30 acres - S.E. of Cordell, OK	D
Family Farms - 30 acres - Sentinel, OK	C
Minerals - 20 acres - West of Clinton, OK	C
Minerals - 20 acres - S.E. of Cordell, OK	C
Savings - Cong. Federal Credit union - Wash. D.C.	C
Savings - City National Bank - Cordell, OK	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Clinton Savings & Loan - Mortgage	B
Congressional Federal Credit Union	B
City National Bank - Loan	A

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE		BRIEF DESCRIPTION
Chicago Board of Trade	speech	2-day lodging, meals & air travelw/spouse
Western Rural Telephone	speech	1-night lodging, meals & air travel
Connell Rice & Sugar Co. Inc.	speech	air travel & meal
College of American Pathologists	speech	air travel& meal
Texas Oil & Gas	speech	1-night lodging, meals & air travel

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VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
none	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
none		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date 5-11-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Glenn Lee English, Jr.
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*			
II	College of Amer. Pathologists 4-17-88	Honor.	1,500	None
	Texas Oil & Gas 4-22-88	Honor.	500	None
	John Hancock Life Insur. 6-14-88	Honor.	1,000	None
	N.Y. Mercantile Exchange 7-26-88	Honor.	400	None
	Coffee, Sugar & Cocoa Exchange 7-26-88	Honor.	400	None
	N.Y. Cotton Exchange 7-26-88	Honor.	400	None
	N.Y. Futures Exchange 7-26-88	Honor.	400	None
	COMEX 7-26-88	Honor.	400	None
	American Meat Institute 6-3-88	Honor.	400	None
	Lockheed Aeronautical Systems Corp. 7-21-88	Honor.	2,000	None
	Westinghouse Electric Corp 6-17-88	Honor.	1,000	None
	University of Southern California 7-27-88	Honor.	2,000	None
	AACI 11-17-88	Honor.	1,000	None
	American Bankers Assoc. 11-15-88	Honor.	2,000	None
	Grocery Manufacturers of America 8-2-88	Honor.	2,000	None
	Stroud Crop, Inc. 8-20-88	Honor.	1,000	None
	Smokeless Tobacco Council, Inc. 9-14-88	Honor.	1,000	None
	University of Okla. Foundation, Inc. 11-8-88	Honor.	1,000	None
	USTA 11-19-88	Honor.	1,000	None
	Florida Sugar Cane League 11-22-88	Honor.	1,000	Charity
	National Rural Electric Coop. Assoc. 12-16-88	Honor.	2,000	Charity
	Burson-Marstellar 5-4-88	Article	500	
		Total	29,500	
	J. English Interiors Vienna, VA FEES/SERVICE			

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Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Glenn Lee English, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
VII			
	John Hancock Life Insur.	speech	1-night lodging, meals & air travel w/spouse
	COMEX	speech	1-night lodging, meals & air travel
	Lockheed Aero. Sys. Corp	speech	1-night lodging, meals & air travel
	Westinghouse Elec. Corp	speech	1-night lodging, meals & air travel
	Stroud Crop. Inc.	speech	1-night lodging, meals & air travel
	AACI	speech	air travel & meal
	American Bankers Asso.	speech	1-night lodging, meals & air travel
	USTA	speech	1-night lodging, meals & air travel
	Florida Sugar Cane Leag.	speech	1-night lodging, meals & air travel
	Tobacco Institute	speech	4-night lodging, meals & air travel w/spouse

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GLENN ENGLISH
6TH DISTRICT, OKLAHOMA
AGRICULTURE COMMITTEE
GOVERNMENT OPERATIONS
COMMITTEE

2206 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-[illegible]

HAND DELIVERED

Congreſs of the United States
House of Representatives
Washington, DC 20515

DISTRICT OFFICES:
203 OLD POST OFFICE BUILDING
215 DEAN A. MCGEE AVENUE
OKLAHOMA CITY, OK 73102
(405) 231-4811

FEDERAL BUILDING
P.O. BOX 3612
ENID, OK 73702
(405) [illegible]

1007 MAIN STREET
P.O. BOX 1027
WOODWARD, OK 73801
(405) [illegible]

May 17, 1989

The Honorable Julian Dixon
Chairman
Committee on Standards of Official Conduct
HT-2, the Capitol
Washington, D.C. 20515

MC ✓

Dear Mr. Chairman:

This letter is to amend my 1988 Financial Disclosure Form to add to section VII Reimbursements the following information (you will note that the sources are already listed on the orginal disclosure form and are only listed here for clarity):

Chicago Board of Trade		Boca Raton, Fla.
Western Rural Telephone		Dallas, Tex.
Connell Rice & Sugar Co. Inc.		Newark, N.J.
College of Amer. Pathologists		Kansas City
Texas Oil & Gas		Wichita Falls, Tex.
John Hancock Life Insur.		Boston, Mass.
COMEX		New York, N.Y.
Lockheed Aero. Sys. Corp		Burbank, Cal.
Westinghouse Elec. Corp.		Orlando, Fla.
Stroud Crop, Inc.		Breckenridge, Col.
AACI		Council Bluff, Iowa
American Bankers Assoc.		Des Moines, Iowa
USTA		Boca Raton, Fla.
Florida Sugar Cane Leag.		Clewiston, Fla.
Tobacco Institute		Palm Springs, Col.
USTA	Speech	Greenbriar, W.V., 3-night lodging, meals & air travel w/spouse
Nat. Agri. Chemcials Asso.	Speech	Greenbriar, W.V., 1-night lodging, meals & air travel w/spouse

Thank you for your assistance in this matter.

Sincerely,

Glenn English
Member of Congress

GLE/zsw

HAND DELIVERED

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89 MAY 19 PM 3:05
U.S. HOUSE OF REPRESENTATIVES

GLENN ENGLISH
6TH DISTRICT, OKLAHOMA
AGRICULTURE COMMITTEE
GOVERNMENT OPERATIONS COMMITTEE

2206 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-5565

Congress of the United States
House of Representatives
Washington, DC 20515

DISTRICT OFFICES:
203 OLD POST OFFICE BUILDING
215 DEAN A. McGEE AVENUE
OKLAHOMA CITY, OK 73102
(405) 231-4811

FEDERAL BUILDING
P.O. BOX 3612
ENID, OK 73702
(405) 233-9224

1007 MAIN STREET
P.O. BOX 1027
WOODWARD, OK 73801
(405) 256-5752

✓
MC

May 18, 1989

Mr. Donnald Anderson
Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Wash. D.C. 20515

Dear Mr. Anderson:

I was asked to provide further information on my Financial Disclosure form for 1988 under section V Liabilities and this information is provided as follows:

(1) House and Lot in Cordell, Oklahoma
(2) Car Loan
(3) Personal-House in Cordell, Oklahoma

Thank you for your assistance in this matter. If you have any questions, please contact my office.

Sincerely,

Glenn English
Member of Congress

GLE/zww

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Congressman Ben Erdreich
(Full Name)

2625 Highland Ave.
(Mailing Address)

Birmingham, Alabama 35205

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6 State Ala.

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- **Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.**
- **Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.**
- **Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.**

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Lockheed -GA. Corp. 1/11/88	Honoraria	$1000.00	Charity
Security Pacific Corp. 2/19/88	Honoraria	$1000.00	Charity
Alabama Bankers Assoc. 3/29/88	Honoraria	$ 500.00	Charity
SONAT 3/7/88	Honoraria	$1000.00	
American Bankers Assoc. 3/23/88	Honoraria	$2000.00	Charity

(See Continuation Sheet)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Trust u/w/o Benjamin Leader,	Div., rent, interest, cap. gains	D
Wright Patman Cong. Credit Union	interest	A
Camelot Apts., Dothan, Al (33 1/3% interest),	rents, interest	E
AmSouth of Birmingham, Al	interest	C
BENSTANCO (investment partnership)	div., inter., cap gains	D
Trust u/w/o Mary L. Leader	div., inter., rents	E
East End Partnership	rents	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO X NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Springwoods, LTD, Dothan, Al (unimproved realty, 5% interest)	C
Camelot Apts., Dothan, Al (33 1/3% interest) (rental, multi-family units)	F
BENSTANCO (investment partnership) See Attach A	F
Trust u/w/o Benjamin Leader (33 1/3% beneficial interest) See Attach. B.	E
Shearson Lehman Bros. Indiv. Retirement Accounts	B
Trust u/w/o Mary L. Leader (33 1/3% life interest) See Attach. C	F
East End Partnership LTD (16% interest) (commercial realty)	C
Stocks (See Attach. D)	B
AmSouth (checking and savings acct.)	D

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Columbia Pictures (sold) (spin-off of Coca Cola)	7/5/88	A
U.S. West (sold)	7/5/88	B
Columbia Gas (sold)	7/15/88	B
Bruno's (sold)	7/15/88	A
General Motors (sold)	7/5/88	C
United Investors(spin-off of Torchmark) (sold)	7/5/88	A
T2 Medical (sold)	6/20/88	B
(See Continuation Sheet)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
AmSouth Bank	D
American Savings & Loan, Miami, Florida	F
Alliance Mgt. Co., Jacksonville, Fla.	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
American Bankers Association (speech) (self)	roundtrip airfare Wash/LA/Palm Spr./San Fran Wash, car rental & gas for 3 days
Alabama League of Savings Institutions (speech) (self)	Wash/Panama City,Fl./Wash.,1 taxi

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Camelot Apts., Dothan Al.
Trustee	Trust u/w/o Benjamin Leader (See Attach. B)
Trustee	Trust u/w/o Mary L. Leader (See Attach C)
Partner	BENSTANCO Investment Partnership (See Attach A)
Trustee	Trust u/w/o Stanley M. Erdreich, Sr.
Partner	Springwoods, LTD. (unimproved realty, Dothan, Al.
Board of Directors	Operation New Birmingham
Limited Partner	East End Partnership LTD.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature

Date 5-15-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989

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Page 1 of ___

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Congressman Ben Erdreich
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
II. A	Alabama League of Savings Institutions 3/30/88 Honoraria $500.00 Charity	
	Council For Rural Housing and Development 6/6/88 Honoraria $1000.00 Charity	
	AT&T 11/21/88 Honoraria $1000.00	
IV. Transactions	T2 Medical (bought) 5/25/88	B
	Durr Fillauer Medical 7/18/88 (bought)	C
	ALFA Corp (bought) 5/15/88	B
	OMS (bought) 7/15/88	B
	Texaco (bought) 7/5/88	C
	USX (bought) 7/5/88	C
	U.S. West (sold) 7/5/88	B

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ATTACHMENT A: BENSTANCO

I own an undivided 14.08% interest in stocks, realty, and cash of BENSTANCO, an investment partnership. Also, through Trust w/w/o Benjamin Leader, I am the beneficial owner of an undivided 15.80% interest in said stocks, realty, and cash. My total holdings are Category of Value F under Part III. The individual holdings are:

	CATEGORY
Alabama By-Products	C
ALFA Corporation	B
AmSouth Bancorp	C
American Tel & Tel	C
Bruno's	C
Columbia Gas Systems	B
Delchamps, Inc.	C
Energen Corporation	B
Eastman Kodak	C
First Ala Bancshares	C
First AmFed Corp	B
First Commercial Bancshares	B
Golden Enterprises	B
Liberty Corporation	B
QMS, Inc.	B
Russell Corp	C
Torchmark Corp	C
Utah Power & Light	C
Kemper Cash Equivalent Fund	D
S.P. Motel	C

ATTACHMENT B: Trust u/w/o BENJAMIN LEADER

I have beneficial interest in 1/3 of the holdings of above trust. My ownership under said Trust within BENSTANCO is detailed (ATTACHMENT A). The real estate holdings are:

IDENTITY	CATEGORY
West half of Lot 7, Block 98, Birmingham, Al (improved rental property)	C
Undivided 1/2 interest, Lot 9, Block 105, Birmingham, Al (improved rental property)	C
Undivided 1/3 interest, Lot 12, Block 82, Birmingham, Al (unimproved rental property)	B
Springwoods, Ltd., Dothan, Al 25 acres unimproved, 45% interest	C
Condominium, Highland Ave., Birmingham, Al	C

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ATTACHMENT C: Trust u/w/o MARY L. LEADER

I have a life interest in 1/3 of the holdings of the above trust. My total holdings are Category of Value F under part III. The individual holdings are detailed below:

	CATEGORY
2617 N. 24th Street Birmingham, Al (improved rental prop.)	D
Alabama By-Products Corp., Class A	B
Alabama By-Products Corp., Class B	C
Allied Signal, Inc.	A
American Information	D
American Telephone & Telegraph Co.	B
AmSouth Bancorporation	B
Bell Atlantic Corp.	B
Bell South Corp.	B
Coca Cola Company	C
Colgate-Palmolive Co.	A
Durr Fillauer Medical Inc.	B
Exxon Corp	B
Ford Motor Co.	C
General Electric Co.	B
International Business Machines	A
NYNEX Corp.	B
Pacific Telesis Group	B
Pfizer Inc.	A
Scana Corp	B
Sears Roebuck & Co.	B
Texaco Inc.	B
Torchmark Corp.	B
USX Corp.	A
Union Pacific Corp.	B

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ATTACHMENT D: Stocks

These are my personal or my spouse's holdings of the stocks listed below.

STOCK	CATEGORY
Alabama By Products Corp.	A
American Information	A
American Telephone & Telegraph Co.	A
Bell Atlantic Corp.	A
Bell South Corp.	A
NYNEX Corp.	A
Pacific Telesis Group	A
Southwestern Bell Corp.	A
U.S. West, Inc.	A
Big "B"	A
I.B.M.	A
Atlantic Richfield	A

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BEN ERDREICH
6TH DISTRICT, ALABAMA

COMMITTEE ON BANKING, FINANCE
AND URBAN AFFAIRS

COMMITTEE ON GOVERNMENT
OPERATIONS

SELECT COMMITTEE ON AGING

439 CANNON BUILDING
WASHINGTON, DC 20515
(202) 225-4921

DISTRICT OFFICE

108 FEDERAL BUILDING
BIRMINGHAM, AL 35203
(205) 731-0956

Congress of the United States
House of Representatives
Washington, DC 20515

May 30, 1989

HAND DELIVERED

RECEIVED
OFFICE OF RECORDS & REGISTRATION
1989 JUN -6 PM 4:01
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

✓
MC

Clerk of the House
U.S. House of Representatives
Suite HT-2 U.S. Capitol
Washington, D.C. 20515

Dear Donn:

The disclosure form as submitted is correct. Attachment D includes two holdings of my wife, IBM and Atlantic Richfield, which were given to her by her father some years ago.

I had forgotten she had these holdings. The Atlantic Richfield has a value lower than $1000.00 and, as I now understand, need not be reported. Since the IBM shares in my wife's name are slightly over the $1000.00 reporting threshold, it should be listed as a holding of my spouse.

I hereby amend my prior disclosures to reflect such holdings.

Sincerely,

Ben Erdreich
Member of Congress

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

A. Michael Espy
(Full Name)

154 Deer Trail Lane
(Mailing Address)

Madison, Mississippi 39110

MC
(Office Use Only)

'89 MAY 23 PM 4 50
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2 State MS

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
U.S. House of Representatives	Salary		
Cmte. Coast-wide Support MLK Day 1-17-88	Honorarium	$500	
Sun-Diamond Growers, Stockton, CA 1-20-88	Honorarium	$2000	
Grocery Manufacturers 1-21-88	Honorarium	$1,000	
Nat'l Assoc. of Black in Gov't 2-1-88	Honorarium	$500	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Unifirst Bank for Saving	Interest	A
Equivest Ins. Co.	Interest	A
Duplex, Yazoo City, MS	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Equitable Ins. Co.	B
Rental Property, Yazoo City, MS, Duplex	D
Century Funeral Homes	D

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Sunburst Bank (Personal Loan for 1988 Campaign).	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Baptist Memorial Hospital, DeSoto,MS	Picture & Frame	$150.00

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Sun-Diamond Growers (speech)	Round-trip Airfare, MS to CA to MS, plus food/Lodging
Nat'l Assoc. of Blacks in Gov't	Airfare, plus lodging.
Univ. of South Carolina Blk Family Summit	Airfare, DC to SC to MS
Southern Christian Leadership Council	Airfare and Lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

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Signature	Date
Mike Espy	5/23/89

WHERE TO FILE:

**RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:**

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

A. Michael Espy
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)				
II. Income	Univ. of South Carolina Black Family Summit	3/25/88	Hon.	$500	None
II. Income	Council of 1890 Home Economic Administrators	4/11/88	Hon.	$1,000	none
II. Income	Rural Health Services (Lutheran Medical Assoc.)	4/22/88	Hon.	$1,000	none
II. Income	New Burnswich, NJ Chapter NAACP	6/2/88	Hon.	$1,000	none
II. Income	Mississippi Blvd. Christian Church	6/17/88	Hon.	$250	none
II. Income	New Bethel Baptist Church	6/19/88	Hon.	$800	none
VII. Reimbursements:					
	Alcorn State University Alumni Assoc.	4/16/88	Roundtrip Airfare		
	Rural Health Services (Lutheran Medical Assoc.)	4/22/88	Airfare from Washington to Atlanta to MS		
	Witchita Kansas Chapter NAACP	5/7/88	Airfare, DC to Kansas to MS		
	Ingalls Shipbuilding Of Mississippi	5/23/88	Airfare		
	New Burnswich, NJ NAACP	6/2/88	Airfare & Lodging		
	Tropicana Kingston, Jamaica	6/9/88	Airfare & Lodging		
	Mississippi Blvd. Christian Church	6/17/88	Airfare		
	Alaska Black Caucus Anchorage, Alaska	6/24/88	Airfare & Lodging		
	Baptist Memorial Hospital DeSoto, MS	7/31/88	Travel		
	Robinson, Lake, Lerer Montgomery (Speak out America)	8/4/88	Airfare		
	United Auto Workers Civil Rights Conf.	8/4/88	Airfare & Lodging		
	Jim Moody for Congress	8/19/88	Airfare & Lodging		
	NBC Today Show (Interview)	12/9/88	Airfare & Lodging		
	The World This Week (Interview) London, England	3/11/88	Airfare & Lodging		

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Lane A. Evans
(Full Name)

1516-37th Street
(Mailing Address)

Rock Island, IL 61201

MC (Office Use Only)

MAY 12 PM 1:13

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 17 State Illinois

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,036, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA __X__

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Attached Sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Real Estate - Rock Island, IL	RENTAL	A
Real Estate - Washington, DC	RENTAL	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Real Estate - 406 S.Capitol St., SE, Washington, DC	F
Individual Retirement Account - Plow and Planter	
Employees Credit Union, Moline, IL -	
certificate of deposit	B
Real Estate - 2721 8½ Ave., Rock Island, IL	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Midland Mortgage Company, Dallas, TX - mortgage on Washington, DC real property with rental unit	E
Andalusia Community Bank - Andalusia, IL - personal note	B
Bank of Silvis - Silvis, IL - personal note	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Illinois Congressional Staff (17th District Staff)	Portable Compact Disc Player - Christmas gift	$200

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Attached Sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 12.

POSITION	NAME OF ORGANIZATION
Director	Illinois Public Action Council, Chicago, IL
Founder	Democrats 2000
Director	Skills, Inc., Moline, IL

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 12.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Lane A. Evans	May 12, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Lane A. Evans
(Name)

Continuation Sheet

Part | Source, Type, Amount, Category, Description or Value (As Applicable)

II. Income
A. Earned Income

Source	Type	Amount
Crop Insurance Research Bureau (2/4/88)	Honorarium	1000.00
Commodity Exchange, Inc. (2/25/88)	Honorarium	1000.00
Kelso & Co. (4/6/88)	Honorarium	1000.00
National Association of Letter Carriers (4/30/88)	Honorarium	300.00
National Association of Letter Carriers (6/17/88)	Honorarium	1000.00
National Association of Letter Carriers (10/8/88)	Honorarium	1000.00

VII. Reimbursements

Source	Brief Description
Crop Insurance Research Bureau, Inc. (CIRB) Indianapolis, IN	Airfare to Clearwater Beach, FL for one-day visit, plus food and lodging, in conjunction with speech given to annual meeting of CIRB. February 1988.
Commodity Exchange, Inc. (COMEX) New York, NY	Train fare to New York City for one-day visit, plus food and lodging in conjunction with speech to COMEX executives & visit to trading floor. February 1988.
Kelso & Co., Newport Beach, CA	Air-fare to Los Angeles, plus food and lodging, in conjunction with participation in a two-day conference on Employee Stock Ownership Plans. March 1988
Chicago Action for Soviet Jewry Chicago, IL	Air-fare plus expense for ten-day trip to Moscow & Leningrad, USSR, to meet with dissidents seeking to leave USSR, & to meet with high-ranking Soviet officials on that issue and other matters. April 1988

GPO : 1985 - 43-135

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Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Lane A. Evans
(Name)

Continuation Sheet

Part — Source, Type, Amount, Category, Description or Value (As Applicable)

VII. Reimbursements
(continued)

Source	Brief Description
Vietnam Veterans of America Foundation (VVA) Washington, DC	Airfare plus food and lodging for one day visit to Columbia, SC, in conjunction with speech given at annual dinner of the Columbia Chapter of VVA. May 1988.
Baxter, Inc. Chicago, IL	One-way transportation on private jet to Chicago plus food and lodging for one day in conjunction with visit to Baxter, Inc. Headquarters and discussions with Baxter management. July 1988.

GPO : 1985 - 43-135

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INSIDE MAIL

LANE EVANS
17TH DISTRICT, ILLINOIS

COMMITTEES:
HOUSE ARMED SERVICES COMMITTEE
HOUSE COMMITTEE ON
VETERANS' AFFAIRS

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE:
328 CANNON BUILDING
WASHINGTON, DC 20515
(202) 225-5905

DISTRICT OFFICES:
1535 47TH AVE., #5
MOLINE, IL 61265
(309) 793-5760
TOLL FREE: 800-322-4210
125 EAST MAIN STREET
GALESBURG, IL 61401
(309) 342-4411
MONMOUTH CITY HALL
SECOND FLOOR
MONMOUTH, IL 61462
121 SCOTLAND, MACLAN PLAZA
MACOMB, IL 61455

May 17, 1989

1989 MAY 18 AM 10:03
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

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MC

Donnald K. Anderson, Clerk
U.S. HOUSE OF REPRESENTATIVES
Office of Records and Registration
1036 Longworth House Office Building
Washington, DC 20515

Dear Mr. Anderson:

This is to amend the Financial Disclosure Statement for 1988 I submitted on May 12, 1989.

A question has been raised pertaining to Part III, Holdings, in the Disclosure Statement. In my Disclosure Statement for 1987, I reported ownership of an Archives Business Computer and Printer, whereas I do not report it in the 1988 statement.

To clarify this matter, I still own the Archives equipment. During 1988, I received an offer from an individual to purchase the system for $25, far below the $1000 threshold for reporting Holdings in Part III. In addition, the equipment in question was originally manufactured in 1981. For these reasons, I believe the Archives Business Computer and Printer no longer has a fair market value exceeding $1000 as of the end of calendar year 1988. Therefore, I will no longer report ownership of that system on subsequent Financial Disclosure Statements.

Thank you for bringing this matter to my attention. If there are any further questions, please do not hesitate to contact me.

Sincerely,

Lane Evans
LANE EVANS
Member of Congress

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

U.S. HOUSE OF REPRESENTATIVES ... 15 ... 11:56

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Eni F. H. Faleomavaega
(Full Name)

413 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District -- State American Samoa

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
American Samoa Government (Lt. Governor)	Salary	$45,000	
Hawaiian Airlines (Spouse)	Salary		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Amerika Samoa Bank & American Express (IDS)	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO xx ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
NONE	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Eni Faleomavaega	May 12, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

HON. DANTE B. FASCELL
(Full Name)
2354 RAYBURN BUILDING
(Mailing Address)
WASHINGTON, D.C. 20515

MC (Office Use Only)

MAY 12 PM 2 15

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 19TH State FLORIDA

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,032, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT–2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed: identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Blackwell, Walker, Fascell & Hoehl	Salary	$24,000.00	None
Social Security (DBF)	Benefits	10,868.60	
Social Security (spouse)	Benefits	--	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Please see attachment		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Please see attachment	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sold townhouse at 2917 Rennsalaer Court, Vienna, Virginia	7/88	E

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Florida Federal Savings & Loan, secured letter of credit	D
Condominium in Gardens of Kendall, mortgage loan on property	C
Duval Federal Savings & Loan, mortgage on rental property (sold 7/88)	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Greater Orlando Chamber of Commerce Congressional Partnership Weekend c/o Fred W. Streetman, Jr., Chairman Board of County Commissioners 1101 East First Street Sanford, Florida 32771	Round-trip airfare, ground transportation, accommodations, Washington, D.C. to Orlando and return.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 12.

POSITION	NAME OF ORGANIZATION
Partner	Blackwell, Walker, Fascell & Hoehl (Law Firm), Miami, Fl
Limited Partner	Signature Gardens, Ltd., Miami, Fl
Limited Partner	Kentucky Heritage Thoroughbred Breeding, II
Director	National Endowment for Democracy, Washington, D.C.
Chairman	The Common Sense Education Fund, Inc., Washington, D.C

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Dante B. Fascell	May 14, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Hon. Dante B. Fascell
Financial Disclosure Statement for 1988

II-B Unearned Income:

Source	Type	Amount
Signet Bank	Interest	C
Amerifirst Federal Savings & Loan	Interest	D
Atico Savings	Interest	C
Capital Holding Corporation	Dividend	B
Congressional Credit Union	Interest	D
Coral Gables Federal Savings & Loan	Interest	D
FL Federal Savings	Interest	D
FL Power & Light Corp.	Dividend	C
New York Life Insurance Co.	Interest	C
SunTrust Banks	Dividend	B
Centrust Bank	Interest	A
2917 Rennsalaer Ct., Vienna, VA	Rent	D
Mid-East Oil Company, Pittsburgh, PA	Royalties	E

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Hon. Dante B. Fascell
Financial Disclosure Statement for 1988

III. Holdings:

Identity	Category
Blackwell, Walker, Fascell & Hoehl, partnership	C
Signature Gardens Ltd.	D
Mid-East Oil Co., 1/8 interest in PA gas wells	D
Kentucky Heritage Thoroughbred Breeding II, limited partnership	C
Plantation at Stono Ferry, Hollywood, SC, Lot 88	C
Property at 2917 Rennsalaer Ct., Vienna, VA (sold 7/88)	E
Capital Holding Corporation, stock	C
Interstate Bank Holding Corporation, stock	A
Florida Power & Light, stock	C
SunTrust Banks, stock	D
Florida Federal Savings & Loan, stock	A
ELXSI, stock	A
Amerifirst, cash on deposit	E
Centrust Savings Bank, cash on deposit	C
Florida Federal Savings & Loan, cash on deposit	E
Signet Bank, cash on deposit	A
" " Treasury Note	D
" " Treasury Bill	D
" " Checking Account	B
Sergeant at Arms, Treasury Bill	E
Wright Patman Congressional Credit Union, cash on deposit	E

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DANTE B. FASCELL
19TH DISTRICT, FLORIDA

FOREIGN AFFAIRS COMMITTEE
CHAIRMAN

ARMS CONTROL, INTERNATIONAL
SECURITY AND SCIENCE SUBCOMMITTEE
CHAIRMAN

SELECT COMMITTEE ON NARCOTICS
ABUSE AND CONTROL
MEMBER

Congress of the United States
House of Representatives
Washington, DC 20515

CHARLES R. O'REGAN
ADMINISTRATIVE ASSISTANT

COMMISSION ON SECURITY AND
COOPERATION IN EUROPE
MEMBER

NORTH ATLANTIC ASSEMBLY
CHAIRMAN
HOUSE DELEGATION

CANADA—UNITED STATES
INTERPARLIAMENTARY GROUP
MEMBER, U.S. DELEGATION

May 17, 1989

INSIDE MAIL

RECEIVED
OFFICE OF RECORDS
1989 MAY 18 PM 2:16
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

The Honorable Julian C. Dixon
Chairman
Committee on Standards of Official Conduct
Suite HT-2, U.S. Capitol
U.S. House of Representatives
Washington, D.C. 20515

Dear Mr. Chairman:

Thank you for your letter of May 15, 1989, regarding a deficiency in my Financial Disclosure Statement regarding Part IV: Transactions.

1. The Treasury bill in question was not reported as a new transaction due to an oversight. It was not clearly understood that this would be considered as a form of securities transaction. This Treasury bill was acquired through the Sergeant at Arms' Office on November 17, 1988. In addition, there were two other Treasury securities listed under the holdings section under Signet Bank. These were acquired on May 16, 1988, and November 11, 1988, respectively.

2. The ELXSI stock, which was listed under Part III: Holdings had a total value of $72.00. It should, therefore, not have been included since it was under the $1,000 reporting minimum. Accordingly, I believe it would not need to be listed as a new transaction, and perhaps should be deleted from the Holdings section.

Many thanks for calling this matter to my attention.

With best wishes,

Sincerely,

Dante B. Fascell
DANTE B. FASCELL
Member of Congress

DBF/PR

cc: Hon. Donnald Anderson
Clerk, U.S. House of Representatives

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UNITED STATES HOUSE OF REPRESENTATIVES

[redacted]E MA.

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—*For use by Members, officers, and employees*

HON. DANTE B. FASCELL
(Full Name)

2354 RAYBURN BUILDING
(Mailing Address)

WASHINGTON, D.C. 20515

✓
MC
(Office Use Only)

989 MAY -8 PM 2 38

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 19TH State FLORIDA

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Blackwell, Walker, Fascell & Hoehl	Salary	$24,000.00	None
Social Security (DBF)	Benefits	10,868.60	
Social Security (spouse)	Benefits	--	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Please see attachment		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO __X__ NA _____

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sold townhouse at 2917 Rennsalaer Court, Vienna, Virginia	7/88	E

V. LIABILITIES

GENERAL GUIDELINES:

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EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Florida Federal Savings & Loan, secured letter of credit	D
Condominium in Gardens of Kendall, mortgage loan on property	C
Duval Federal Savings & Loan, mortgage on rental property (sold 7/88)	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Greater Orlando Chamber of Commerce Congressional Partnership Weekend c/o Fred W. Streetman, Jr., Chairman Board of County Commissioners 1101 East First Street Sanford, Florida 32771	Round-trip airfare, ground transportation, accommodations, Washington, D.C. to Orlando and return.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Blackwell, Walker, Fascell & Hoehl (Law Firm), Miami, FL
Limited Partner	Signature Gardens, Ltd., Miami, FL
Limited Partner	Kentucky Heritage Thoroughbred Breeding, II
Director	National Endowment for Democracy, Washington, D.C.
Chairman	The Common Sense Education Fund, Inc., Washington, D.C.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Dante B. Fascell	May 16, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Hon. Dante B. Fascell
Financial Disclosure Statement for 1988

II-B Unearned Income:

Source	Type	Amount
Signet Bank	Interest	C
Amerifirst Federal Savings & Loan	Interest	D
Atico Savings	Interest	C
Capital Holding Corporation	Dividend	B
Congressional Credit Union	Interest	D
Coral Gables Federal Savings & Loan	Interest	D
FL Federal Savings	Interest	D
FL Power & Light Corp.	Dividend	C
New York Life Insurance Co.	Interest	C
SunTrust Banks	Dividend	B
Centrust Bank	Interest	B
2917 Rennsalaer Ct., Vienna, VA	Rent	D
Mid-East Oil Company, Pittsburgh, PA	Royalties	E

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Hon. Dante B. Fascell
Financial Disclosure Statement for 1988

III. Holdings:

Identity	Category
Blackwell, Walker, Fascell & Hoehl, partnership	C
Signature Gardens Ltd.	D
Mid-East Oil Co., 1/8 interest in PA gas wells	D
Kentucky Heritage Thoroughbred Breeding II, limited partnership	C
Plantation at Stono Ferry, Hollywood, SC, Lot 88	C
Property at 2917 Rennselaer Ct., Vienna, VA (sold 7/88)	E
Capital Holding Corporation, stock	C
Interstate Bank Holding Corporation, stock	A
Florida Power & Light, stock	C
SunTrust Banks, stock	D
Florida Federal Savings & Loan, stock	A
ELXSI, stock	A
Amerifirst, cash on deposit	E
Centrust Savings Bank, cash on deposit	C
Florida Federal Savings & Loan, cash on deposit	E
Signet Bank, cash on deposit	A
" " Treasury Note	D
" " Treasury Bill	D
" " Checking Account	B
Sergeant at Arms, Treasury Bill	E
Wright Patman Congressional Credit Union, cash on deposit	E

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UNITED STATES HOUSE OF REPRESENTATIVES

MAY 16 1989

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Walter Edward Fauntroy
(Full Name)
2135 Rayburn House Office Building
(Mailing Address)
Washington, D. C. 20515

Mc (Office Use Only)

1989 MAY 16 AM 8 23

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District AL State D. C.

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
(See Attached)	Honoraria		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
SFDU -- Blaine Street, N. E.	Rent	C
Pioneer Fund	Dividends	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA __X__

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SFDU -- Blaine Street, N. E.	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
(See Attached)	(Airfare & lodging, Reimbursements)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Pastor	New Bethel Baptist Church
Director	Southern Christian Leadership Conference
Director	Martin Luther King, Jr., Center for Nonviolent Social Change
President	National Black Leadership Roundtable

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Walter E. Fauntroy	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT FOR 1988

Walter E. Fauntroy
2135 Rayburn House Office Buildig
Washington, D. C.

INCOME - SECTION IIA AND REIMBURSEMENTS - SECTION VII

DATE	SOURCE	TYPE	AMOUNT
1/1 - 12/31	New Bethel Baptist Church Pastor Washington, D. C.	Salary	None*
	(*) Mr Fauntroy recevies no salary from the church. He does receive certain benefits with value as herein shown.		
	Value of Annuity $280.00 Health Insurance $450.00		
	$730.00		None

1988	Organization	Amount	REIMBURSE	DISPOSED (Charity)
1/14	Univ. of Tenn.	$1,500	P/P air** & hotel	
1/15	Purdue Univ.	$1,500	P/P air & hotel	
1/17	Indiana State Univ.	$1,500	P/P air & hotel	
1/18	Univ. of Pa.	$2,000	" "	
1/20	Greater Faith Bapt. Ch.	$1,000	P/P air & hotel	$ 500
1/21	Marshall Univ.	$1,500	" "	
1/27	Wheaton High School	$ 500		
1/29	West. Pres. Ch.	350	P/P air & hotel	$1,150
2/1	Wright State Univ.	$1,500	" "	
2/2	Univ. of No. Columbia	$1,500	P/P air & hotel	
2/7	Univ. of Wake Forest	$1,100	P/P air & hotel	
2/9	Univ. of Maryland	$1,500		
2/10	Delaware State Univ.	$2,000		
2/11	Fitchburg State Coll.	$1,500	P/P air & hotel	
2/12	Bristol Comm. Coll.	$1,500	" "	
2/13	Pa. Leg.Black Caucus	$2,000	" "	$1,000
2/16	Univ. of Alabama	$1,500		
2/17	Tuskegee Univ.	$1,500	" "	
2/19	Black El Paso Dems.	$1,000	" "	$ 500
2/24	No. Illinos Univ.	$1,500	" "	

2/26	Cheyney State	$1,500	" "	
3/1	Howard Univ.	$2,000		
3/7	Tenn. State	$1,500	P/P air & hotel	
3/11	United Neg. Coll. Fund	$1,500	" "	
3/13	Peace Bapt. Ch.	$ 500		$1,000
3/16	Christ. Life Comm./ So. Bapt. Conv.	$ 250		$ 350
3/19	Kansas Black Leg. Caucus	$1,500		
4/6	Univ. of Tenn.	$1,500	P/P air & hotel	
4/7	Miss. Leg. Black Caucus	$2,000		
4/8	Tri-City OIC	$1,000		$ 500
4/11	Bradley Univ.	$1,500	P/P air & hotel	
4/13	Ala. Conf. of Soc. Workers	$1,500	" "	
4/15	Citizens of Wilmington, NC	$1,000	P/P air & hotel	$ 500
4/16	Salem County NAACP	$ 500		$1,000
4/17	NAACP Boston Chapter	$1,500	P/P air & hotel	
4/22	NAACP/ East Chicago	$1,000		$ 500
4/27	Otta State Univ.	$1,500	P/P air & hotel	
5/17	IBM	$1,500	P/P air & hotel	
5/88	Young Exec. In Pol.	$ 500		$1,000
6/2	Pontiac Alumni Chap./KAPPA	$1,000	P/P air & hotel	$ 500
6/16	Nat. Bapt. Sun.Sch./Training Un.	$1,500	" "	
6/18	National Ass. of Blk Acct.	$1,500	" "	
7/26	Nat'l Council/Savings Institutions	$1,000		$ 500
6/27	Blacks in Govt.	$ 800		$ 700
8/15	New Mt. Olive Bapt.	$ 100		$1,400
8/2	Nat'l Real Est. Assn.	$1,500	P●P air & hotel	
9/20/	N. J. Blk Iss. Conf.	$1,500		
9/30	St. Agust. AMEZ	$1,500		
10/3	A & T State Univ.	$1,500	P/P air & hotel	
10/15	Nat'l 'Assoc. of Human Rights Workers	$1,500	P●P air & hotel	
10/24	Nat'l Coun. Sav. Inst.	$2,000		
11/1	Pa. St. Ed. Assn.	$1,500	P●P air & hotel	
11/3	W. Va. Univ.	$1,500	" "	
11/8	1st Bapt. Ch. of Jefferson	$1,500	" "	
11/9	NAACP	$ 500		$1,000
11/14	St. Brigid Ch.	$1,500	P/P air & hotel	
11/23	J.K.Haynes Found.	$1,500	" "	
11/16	Va. State Conf. NAACP	$1,000	" "	$ 500
11/24	Prof. Housing Serv.	$1,500	" "	
11/29	Harvey Mem.Bapt. Ch.	$ 300		$1,200
12/1	Nat'l Blk Caucus St. Leg.	$1,000	P●P air & hotel	$ 500
12/4	Rural Day Care Assoc.	$1,500	" "	

12/7	D.C. Parkins Bar Ass'n	$1,500	
	Total Income	$81,900	
	Total of listed charitable donations	$14,300	
	Unreimbursed expenses		$ 8,852
	Charitable donation to New Bethel Baptist Church throughout the year		$13,479
	End of Year charitable donation to New Bethel Baptist Church		$18,498
	Total Income less donations and unreimbursed expenses	$26,771	

** PP air and hotel denotes pre-paid airline tickets and hotel costs valued in excess of $250 which were paid in advance to the vendors by the sponsoring organizations

HAND DELIVERED

WALTER E. FAUNTROY
DISTRICT OF COLUMBIA

Reply to
WASHINGTON OFFICE
☐ 2135 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-8050

DISTRICT OFFICE
☐ 2041 MARTIN LUTHER KING, JR. AVE., SE
SUITE 311
WASHINGTON, DC 20020
(202) 426-2530

Congress of the United States
House of Representatives
Washington, DC 20515

COMMITTEES
DISTRICT OF COLUMBIA
SUBCOMMITTEE
CHAIRMAN, FISCAL AFFAIRS AND HEALTH
COMMITTEE ON BANKING, FINANCE AND URBAN AFFAIRS
SUBCOMMITTEE
CHAIRMAN, INTERNATIONAL DEVELOPMENT, FINANCE, TRADE AND MONETARY POLICY
SELECT COMMITTEE ON NARCOTICS ABUSE AND CONTROL

May 19, 1989

MC ✓

Mr. Donnald K. Anderson
Clerk of the House
U.S. Capitol, Room H-105
Wahington, D.C. 20515

Dear Mr. Anderson:

Enclosed please find an amendment to my Financial Disclosure Statement for 1988.

Please substitute this enclosure for a similar document attached to my statement and filed on May 15, 1989. The specific changes reflected in this amended attachment appear on the third page.

Thank you for your cooperation.

Sincerely yours,

Walter E. Fauntroy

Walter E. Fauntroy
Member of Congress

WEF:bg

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT FOR 1988

AMENDMENT

Walter E. Fauntroy
2135 Rayburn House Office Buildig
Washington, D. C.

INCOME - SECTION IIA AND REIMBURSEMENTS - SECTION VII

DATE	SOURCE	TYPE	AMOUNT
1/1 - 12/31	New Bethel Baptist Church Pastor Washington, D. C.	Salary	None*
	(*) Mr Fauntroy recevies no salary from the church. He does receive certain benefits with value as herein shown.		
	Value of Annuity $280.00 Health Insurance $450.00		
	$730.00		None

1988	Organization	Amount	REIMBURSE	DISPOSED (Charity)
1/14	Univ. of Tenn.	$1,500	P/P air** & hotel	
1/15	Purdue Univ.	$1,500	P/P air & hotel	
1/17	Indiana State Univ.	$1,500	P/P air & hotel	
1/18	Univ. of Pa.	$2,000	" "	
1/20	Greater Faith Bapt. Ch.	$1,000	P/P air & hotel	$ 500
1/21	Marshall Univ.	$1,500	" "	
1/27	Wheaton High School	$ 500		
1/29	West. Pres. Ch.	350	P/P air & hotel	$1,150
2/1	Wright State Univ.	$1,500	" "	
2/2	Univ. of No. Columbia	$1,500	P/P air & hotel	
2/7	Univ. of Wake Forest	$1,100	P/P air & hotel	
2/9	Univ. of Maryland	$1,500		
2/10	Delaware State Univ.	$2,000		
2/11	Fitchburg State Coll.	$1,500	P/P air & hotel	
2/12	Bristol Comm. Coll.	$1,500	" "	
2/13	Pa. Leg.Black Caucus	$2,000	" "	$1,000

0 1 1 7 7 2 9 1 J /

2/16	Univ. of Alabama	$1,500		
2/17	Tuskegee Univ.	$1,500	" "	
2/19	Black El Paso Dems.	$1,000	" "	$ 500
2/24	No. Illinos Univ.	$1,500	" "	
2/26	Cheyney State	$1,500	" "	
3/1	Howard Univ.	$2,000		
3/7	Tenn. State	$1,500	P/P air & hotel	
3/11	United Neg. Coll. Fund	$1,500	" "	
3/13	Peace Bapt. Ch.	$ 500		$1,000
3/16	Christ. Life Comm./ So. Bapt. Conv.	$ 250		$ 350
3/19	Kansas Black Leg. Caucus	$1,500		
4/6	Univ. of Tenn.	$1,500	P/P air & hotel	
4/7	Miss. Leg. Black Caucus	$2,000		
4/8	Tri-City OIC	$1,000		$ 500
4/11	Bradley Univ.	$1,500	P/P air & hotel	
4/13	Ala. Conf. of Soc. Workers	$1,500	" "	
4/15	Citizens of Wilmington, NC	$1,000	P/P air & hotel	$ 500
4/16	Salem County NAACP	$ 500		$1,000
4/17	NAACP Boston Chapter	$1,500	P/P air & hotel	
4/22	NAACP/ East Chicago	$1,000		$ 500
4/27	Otta State Univ.	$1,500	P/P air & hotel	
5/17	IBM	$1,500	P/P air & hotel	
5/88	Young Exec. In Pol.	$ 500		$1,000
6/2	Pontiac Alumni Chap./KAPPA	$1,000	P/P air & hotel	$ 500
6/16	Nat. Bapt. Sun.Sch./Training Un.	$1,500	" "	
6/18	National Ass. of Blk Acct.	$1,500	" "	
7/26	Nat'l Council/Savings Institutions	$1,000		$ 500
6/27	Blacks in Govt.	$ 800		$ 700
8/15	New Mt. Olive Bapt.	$ 100		$1,400
8/2	Nat'l Real Est. Assn.	$1,500	P/P air & hotel	
9/20/	N. J. Blk Iss. Conf.	$1,500		
9/30	St. Agust. AMEZ	$1,500		
10/3	A & T State Univ.	$1,500	P/P air & hotel	
10/15	Nat'l 'Assoc. of Human Rights Workers	$1,500	P/P air & hotel	
10/24	Nat'l Coun. Sav. Inst.	$2,000		
11/1	Pa. St. Ed. Assn.	$1,500	P/P air & hotol	
11/3	W. Va. Univ.	$1,500	" "	
11/8	1st Bapt. Ch. of Jefferson	$1,500	" "	
11/9	NAACP	$ 500		$1,000
11/14	St. Brigid Ch.	$1,500	P/P air & hotel	
11/23	J.K.Haynes Found.	$1,500	" "	
11/16	Va. State Conf. NAACP	$1,000	" "	$ 500
11/24	Prof. Housing Serv.	$1,500	" "	

[illegible]

11/29	Harvey Mem.Bapt. Ch.	$ 300		$1,200
12/1	Nat'l Blk Caucus St. Leg.	$1,000	P/P air & hotel	$ 500
12/4	Rural Day Care Assoc.	$1,500	" "	
12/7	D.W. Parkins Bar Ass'n	$1,500	" "	
	Total Income	$81,900		
	Total of listed charitable donations	$14,300		
	Unreimbursed expenses			$ 3,462.54
	Charitable donation to New Bethel Baptist Church throughout the year			$13,479.00
	End of Year charitable donation to New Bethel Baptist Church			$23,887.46
	Total Income less donations and unreimbursed expenses	$26,771		

** PP air and hotel denotes pre-paid airline tickets and hotel costs valued in excess of $250 which were paid in advance to the vendors by the sponsoring organizations

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Harris W. Fawell
(Full Name)

444 S. Sleight Street
(Mailing Address)

Naperville, Illinois 60540

MC (Office Use Only)

MAY 12 [illegible] 4:24

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District 13 State IL

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES _____ NO X NA _____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Naperville Community Unit School District (Spouse)	Teacher	---	
State of Illinois	Annuity	$16,000	
American Nuclear Energy Council	Honorarium	$500	
American Consulting Engineers Council	Honorarium	$300	
Board of Trade of the City of Chicago	Honorarium	$1000	
(see schedule 'A')			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Schedule 'B'		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See schedule III	

8 9 1 7 1 2 1 1 1 5

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See schedule IV		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage, 101 N. Washington Office Building (Harris Bank/Naperville) 1/3 portion	C
Mortgage, 121 Venture Building (Harris Bank/Naperville) 1/3 portion	E
Loan (Harris Bank, Naperville)	A
Note, McIntyre Land Contract (½ portion)	A

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. *The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1988.*

SOURCE	BRIEF DESCRIPTION
None	

B. *The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1988.*

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Congressional Institute, Inc.	Roundtrip air transportation,
(seminar participant)	DC to Houston, 3 days food/
	lodging.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Member, Board of Trustees	North Central College, Naperville, Illinois
Member, Board of Directors	Corridor Partnership for Excellence in Education
Member, Board of Directors	Institute for Illinois

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Harris W Fawell	May 12, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989 [illegible]

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Harris W. Fawell
Schedule 'A'

SOURCE		
Chicago Mercantile Exchange	$1000	
National Restaurant Association	$1000	
Nestle Enterprises, Inc.	$250	
Spiegel, Inc.	$1000	
Waste Management	$2000	Charity
Chicago Board of Options	$1000	

[illegible]

Harris W. Fawell
Schedule 'B' (Unearned Income)

SOURCE	TYPE	CATEGORY
Fawell, James & Brooks Naperville, IL	Interest on partnership equity	D
Fawell, James & Brooks Naperville, IL	Draw to pay life insurance on other payments	B
Country Companies	Interest	A
Penn. Mutual Life Insurance	Interest	A
Central Life Ins. Co.	Interest	A
Regency Bank	Interest	A
Harris Bank/Naperville	Interest	B
Cash Equivalent Money Market	Interest	A
Congressional Credit Union	Interst	A
101 N. Washington Office Building	Rental Income (gross)	D
121 Venture	Rental Income (gross)	E
IL Center Bancorporation	Dividends	A
Nalco Corporation	Dividends	A
School District 204 Bonds	Interest	A
Sycamore, Illinois Bonds	Interest	A
Equity Life Assurance	Annuity	Deferred Income
New England Life Ins. Co. (Spouse)	Annnity	Deferred Income
BellSouth (Spouse)	Dividends	A
U.S. West (Spouse)	Diviends	A
Harris Bank/Naperville (Spouse)	Interest	B

Harris W. Fawell
Schedule 'B' (Unearned Income) (Continued)

Kankakee Water Works Bond	Interest	A
Alaska State Bonds	Interest	A
Gruntal and Co.	Interest	B
Home Cash Reserves	Dividends	A
Regional Clearing House	Dividends	A
Sales Agreement	Interest	A
Beaver Island, Michigan	Rentals	B

[illegible]

Harris W. Fawell
Schedule III (Holdings)

IDENTITY	TYPE	CATEGORY
School District #204	Bonds	B
First Sec. Bk. of Glendale Heights	Stock	A
IL Center Bancorp	Stock	C
National Western Life	Stock	A
Harris Bank/Naperville (formerly Bank of Naperville)	IRAs	C
Equitable Life Assurance Co.	Annuity	E
Harris Bank/Naperville	Money Market	B
Gruntal and Co.	Money Market	C
Regency Bank (formerly Regency Fed'l S&L)	Savings	A
101 Washington Office Building	Office Building (1/3 share)	E
121 Venture Building	Office Building (1/3 share)	C
905 Hickory (spouse) (trust-mother)	Residence	E
Michigan Real Estate	Improved real estate	C
Beaver Island, MI	Property - improved	E
Travelers Life Ins. Co. (Spouse)	Annuity	D
New England Life Ins. Co. (Spouse)	Annuity	D
Nalco	Stock	A
Kankakee Water Works Bond	Bonds	B
Sales Agreement	Partnership Interest	D

Harris W. Fawell
Schedule III (Holdings) (Continued)

*	BellSouth	Stock	B
	U.S. West	Stock	B
	Harris Bank/Naperville	Money Market	B
	Harris Bank/Naperville	Savings Certificate	C

*In Joint Tenancy of Spouse and Mother

8 9 0 7 1 2 1 0 1 5 1

Harris W. Fawell
Schedule IV (Transactions)

BRIEF DESCRIPTION	DATE	CATEGORY
Sycamore, Illinois - bonds - sold	12-1-88	C
Alaska State - bonds - sold	10-3-88	B
National Medical - stock - sold	12-15-88	A

HAND DELIVERED

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HARRIS W. FAWELL
13TH DISTRICT, ILLINOIS

EDUCATION AND LABOR
SUBCOMMITTEES:
LABOR-MANAGEMENT RELATIONS
ELEMENTARY, SECONDARY, AND VOCATIONAL EDUCATION
LABOR STANDARDS

SCIENCE, SPACE, AND TECHNOLOGY
SUBCOMMITTEES:
ENERGY RESEARCH AND DEVELOPMENT
INTERNATIONAL SCIENTIFIC COOPERATION

SELECT COMMITTEE ON AGING

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE
CANNON HOUSE OFFICE BUILDING
(202) 225-3515
CONGRESSIONAL DISTRICT OFFICE
115 W. 55TH STREET
SUITE 100
CLARENDON HILLS, IL 60514
(312) 655-2052

RECEIVED
1989 MAY 24 AM 11:05
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

✓ MC

May 23, 1989

Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

Dear Gentlemen:

Re: Amendment and Clarification to - Ethics In Government - Financial Disclosure Statement for 1988 - Harris W. Fawell, Member of Congress

Please consider this letter as an amendment to the abovecited Financial Disclosure Statement.

(1) Dates of receipt for honoraria listed in Part II-A:

American Consulting Engineers Council - 12/15/88
American Nuclear Energy Council - 8/10/88
Board of Trade of the City of Chicago - 9/19/88
Chicago Board of Options - 12/12/88
Chicago Mercantile Exchange - 9/16/88
National Restaurant Association - 5/10/88
Nestle Enterprises, Inc. - 4/5/88
Spiegel, Inc. - 3/16/88
Waste Management, Inc. - 12/5/88

(2) "Country Companies," reported in Part II-B, is an insurance company which has issued a policy on the life of my wife, Ruth J. Fawell; a policy which has been in existence since my wife was a child.

(3) "Home Cash Reserves," reported in Part II-B, is a new money market account which took the place of "Cash Equivalent Money Market" upon a buy-out in 1988 by Gruntal & Co. of the company (Mosely Securities) which operate the latter money market account. Although Home Cash Reserves (owned by Home Insurance Company) is a money market account, it is treated as a mutual fund for tax purposes and generates a 1099 form classifying interest engendered as a dividend.

Clerk, U.S. House of Representatives
May 23, 1989
Page two

(4) Said "Homes Cash Reserves" money market was mistakenly included in Part III (Holdings) under "Gruntal and Co." By this letter I am herewith deleting "Gruntal and Co." from Part III Holdings and inserting in lieu thereof, "Homes Cash Reserves."

If there are any further questions regarding this Disclosure, please feel free to contact me.

Very truly yours,

Harris W. Fawell
Member of Congress

HWF/hs

89070281225

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Victor H. Fazio, Jr.
(Full Name)

2113 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

XX ☒ Member of the U.S. House of Representatives—District 4th State CA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
McDonald's Corporation 1-6-88	Honorarium	$1000	
California Pistachio Assn. 1-13-88	"	$2000	
Bramalea Pacific 1-14-88	"	$1000	
GA Technologies 1-19-88	"	$2000	
San Diego Gas & Electric 1-20-88	"	$2000	
TANC Commission 1-26-88	"	$2000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Merrill Lynch, Pierce, Fenner & Smith,(CMA)	Interest on money	market fund-A
AFC Cherokee Housing	Interest	B
Prudential Insurance	Interest on policy	A
Equitable Insurance Co. of Iowa	Interest on policy	A
Aid Assoc. for Luth.	Interest on policy	A
Merrill Lynch	Dividends on bonds	B
354 Bridge Place, Sacramento	Rent	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
354 Bridge Place, West Sacramento	C
MLPFS CMA Fund	B
First Federal Michigan CMA	A
MLPFS trust - Dana E. Fazio - savings account	C
MLPFS trust - Anne N. Fazio - savings account	C
MLPFS trust - Kristie A. Kern - savings account	C
CA State Bonds	B

(continued)

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
AFC Cherokee Housing Partner - purchase interest	2/88, 12/88	B
Southern CA Public Power Bonds - sold	6/14/88	B
Modesto CA IRA District Bonds - sold	6/14/88	B
Bakersfield, CA Redevelopment Agency Bonds - purchase	6/14/88	B
Northern CA Power Agency Bonds - purchase	6/14/88	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
354 Bridge Place, San Francisco Federal Savings Mortgage	C
AFC Cherokee Housing Partners (promissory notes for future interest)	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Artist Geegory Kondos	Print "Valley Ranch"	$1200
Herman Goelitz, Inc.	Jelly Belly Beans	

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
McDonald's Corporation	4 days food and lodging; Airfare for self - DC to Phoenix to Sacramento; roundtrip airfare for spouse - DC to Phoenix to DC
GA Tech.	Airfare for self - DC to San Diego
Cellular Telecomm. Industry Assn.	Airfare for self - DC to San Diego to Sacramento

(continued)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Junior Statesmen Foundation
Director	Asthma & Allergy Foundation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment, leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Victor H. Fazio	5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Victor H. Fazio, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		Type	Amount	DISPOSITION
II. Income A.	Signal	1-21-88	Honorarium	$2000	
	Cellular Telecom. Industry Assn.	1-26-88	Honorarium	$2000	
	CA Society of Anesthesiologists	2-6-88	"	$2000	
	NI Industries	2-10-88	"	$1000	
	ISC	2-22-88	"	$2000	
	E. Del Smith, Inc.	2-22-88	"	$ 500	
	Redondo Beach Harbor and Pier Lessee's Assn.	2-22-88	"	$ 500	
	Moffatt & Nichol, Engineers	2-22-88	"	$ 500	
	Varian	2-23-88	"	$2000	
	CA Medical Association	3-6-88	"	$2000	
	American Medical Assn.	3-17-88	"	$2000	
	Philip Morris	3-30-88	"	$2000	-part
	Council on Alternative Fuels	4-6-88	"	$1000	X
	Continental Development Corp.	4-6-88	"	$1000	X
	MCA	4-7-88	"	$2000	X
	Connell Rice & Sugar	4-22-88	"	$2000	X
	Committee for Farmworker Programs	4-25-88	"	$ 500	X
	American Federation of Teachers	4-27-88	"	$1000	X
	National Coal Assn.	5-3-88	"	$ 500	X
	Wine Institute	5-24-88	"	$1000	X
	Brown & Williamson Tobacco Corp.	5-25-88	"	$1000	X
	NEA	6-3-88	"	$1000	X
	Ocean Spray Cranberries, Inc.	6-8-88	"	$2000	X
	Distilled Spirits Council of US	7-5-88	"	$2000	X
	National Council of Farmer Cooperatives	9-26-88	"	$1000	X
	Tobacco Institute	10-4-88	"	$2000	X
	Electronic Industries Assn.	10-19-88	"	$1000	X

Total given to charities was: $20,650.40

VII. Reimbursements
ISC - Airfare for self - Sacramento to LA to Sac.
Am. Medical Assn. - Airfare for self and spouse - DC to W. Palm Beach; Ft. Lauderdale to DC; 3 days food & lodging
Philip Morris - Airfare for self - DC to NY to DC; one night's lodging
MCA - Airfare for self - San Francisco to LA to Sacramento; one night's lodging
Connell Rice & Sugar - Airfare for self and spouse - DC to New York to DC; 2 days food and lodging
Campbell-Raupe - Airfare for self and spouse - DC to Hyannis; Hyannis to DC; 4 days food and lodging
Will & Muys - Airfare for self - Sacramento to DC to Sacramento
University of Kentucky - Airfare for self and spouse - DC to Lexington, KY to DC; 2 days food & lodging

II. INCOME A. 1988 - Spousal Income - Center for National Policy;
" " American University honorarium

III. HOLDINGS

IDENTITY	CATEGORY
AFC Cherokee Housing Partners	C
LA County M.L. King Hospital Bonds	B
Northern CA Public Power Agency Bonds	B
Bakersfield, CA Redevelopment Agency Bonds	B

GPO 1987 79-048

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Edward F. Feighan
(Full Name)

1124 Longworth House Office Bldg.
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

89 MAY 15

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 19th State Ohio

☐ Officer or Employee—Employing Office ________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
(see addendum)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
(see addendum		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
(see addendum	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
(see addendum)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
First Federal Savings, Cleveland, Ohio	C.
Huntington National Bank, Cleveland, Ohio	D.
Meritor Savings, Washington, D.C.	D.
Society for Savings, Cleveland, Ohio	C.
Third Federal Savings, Cleveland, Ohio	D.
Wright-Patman Credit Union, Washington, D.C.	B.

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
(see addendum)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Cleveland Brewing Co., Cleveland, Ohio
Director	Douglas & Chamberlain Corp., Washington, D.C.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Edward Feighan	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989 [illegible]

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ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

REP. EDWARD F. FEIGHAN

IIA. INCOME

SOURCE: HONORARIA	AMOUNT
American Institute of CPA's, Washington, D.C.; 12/5/88	2000.00
American Insurance Association, Washington, D.C.; 3/15/88	500.00
American Insurance Association, Washington, D.C.; 12/2/88	750.00
Casualty and Property - 12; 2/18/88	500.00
Chicago Board of Trade, Chicago, Illinois; 6/24/88	500.00
Chicago Mercantile Exchange, Chicago, Illinois (Airfare: Cleveland - Chicago - Cleveland); 6/24/88	500.00
C.O.I.L. Education, Brookfield, Wisconsin, Washington, D.C.; 9/8/88	500.00
Electronic Industry Association, Washington, D.C. (Airfare: Cleveland - Chicago - Cleveland); 5/24/88	1000.00
Electronic Industries Association, Washington, D.C., 12/8/88	1000.00
IBM, Washington, D.C. (Airfare: Cleveland - Cincinnati - Cleveland); 8/29/88	1000.00
International Association of Firefighters, Washington, D.C.; 3/15/88	1000.00
National Association of Arab Americans, Washington, D.C.; 5/27/88	1000.00
National Association of Broadcasters, Washington, D.C.; 9/30/88	1000.00
National Association of Realtors, Washington, D.C.; 5/3/88	2000.00
National Restaurant Association, Washington, D.C. (Airfare: Cleveland - Chicago - Cleveland; lodging, 5/21); 5/22/88	1000.00
North American Philips, New York, New York (Airfare: Cleveland - New York City - Washington); 3/1/88	2000.00
Ohio Bell, Cleveland, Ohio; 12/7/88	1000.00
Ohio Diesel Technical Institute, Cleveland, Ohio; 3/11/88	1000.00
Ohio DRIVE, Cleveland, Ohio; 12/9/88	2000.00
Philip Morris, New York, New York (Airfare: Cleveland - Detroit - Cleveland); 6/18/88	1000.00
Philip Morris, Washington, D.C.; 12/15/88	1000.00
Washington Post, Washington, D.C.; 7/15/88	200.00

IIB. UNEARNED INCOME

SOURCE	TYPE	CATEGORY
Ameritrust, Cleveland, Ohio	interest	D.
Ameritrust, Cleveland, Ohio	dividends	B.
Bagley-Rosbaugh Assoc.	capital gains	E.
Banc One Corp., Cleveland, Ohio	dividends	A.
Carney & Rains, Cleveland, Ohio	capital gains	D.
Cleveland Brewing Co., Cleveland, Ohio	trademark royalties	E.
National City Corp., Cleveland, Ohio	dividends	A.
North Shore Gas, Cleveland, Ohio	dividends	A.
Radio Vision of America, Cleveland, Ohio	capital	B.
1048 Homewood, Lakewood, Ohio	rent	C.
1048 Homewood, Lakewood, Ohio	capital gains	G.
138 D Street, S.E., Washington, D.C.	rent	C.
138 D Street, S.E., Washington, D.C.	capital gains	G.

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

REP. EDWARD F. FEIGHAN

III. HOLDINGS

IDENTITY		CATEGORY
Alco Health Services	stock	A.
American Steel and Wire	stock	B.
Ameritrust Corp.	savings	E.
Ameritrust Corp.	stock	C.
Bagley-Rosbaugh	partnership	C.
Banc One Corp.	stock	A.
Canal Property Corp:	stock	
7401 W. Exchange, Valley View, Ohio	commercial building	C.
8119 Bessemer, Cleveland, Ohio	commercial building	A.
4606 Green Road, Cleveland, Ohio	vacant land	B.
Alexander Road, Walton Hills, Ohio	vacant land	B.
S.E. corner, Home & Millsboro Road, Mansfield, Ohio	vacant land	C.
4303 Detroit Ave., Cleveland, Ohio	commercial building	B.
Great Trails Commerce Park, Wooster, OH	vacant land	B.
Rose Township, Carroll County, Ohio	vacant land	C.
Cleveland Brewing Co.	stock	C.
Cleveland Brewing Co.	note	A.
Diamond Shamrock	stock	A.
Entertainment Pub. Corp.	stock	A.
General Computer Corp.	stock	A.
M&M Investments	commodity futures	C.
The McGillicuddy Trust: (FBO Feighan children)		
Alcoa	stock	A.
American Steel & Wire	stock	A.
Ameritrust	stock	B.
Banc One	stock	A.
National City Corp.	stock	A.
Society Corp.	stock	A.
National City Corp.	stock	B.
North Shore Gas	partnership	B.
RPM Inc.	stock	A.
Radio Vision of America	stock	B.
Sudbury Holdings	stock	A.
Wang Labs	stock	A.
1048 Homewood, Lakewood, Ohio	residence	E.
138 D Street, S.E. Washington, D.C.	residence	F.
Douglas & Chamberlain Corp.: Pierre Island	improved real estate	B.

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ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

REP. EDWARD F. FEIGHAN

IV. TRANSACTIONS

PURCHASES/DATE		CATEGORY
American Steel & Wire, 10/26/88	stock	B.
Cleveland Brewing Co., 1/5/88	stock	C.
Entertainment Pub. Inc., 8/2/88	stock	A.
Cleveland Brewing Co., 1/5/88	note	A.
M&M Investments, 3/25/88	partnership	B.
Canal Property Corp.:	stock	
Alexander Road, Walton Hills, OH; 1/28/88	vacant land	B.
S.E. corner, Home and Millsboro Road, Mansfield, OH; 5/21/88	vacant land	C.
4303 Detroit Ave., Cleveland, OH; 6/16/88	commericial bldg	B.
Great Trails Commerce Park, Wooster, Ohio; 5/88	vacant land	B.
Rose Township, Carroll County, OH; 7/15/88	vacant land	B.
Douglas & Chamberlain:	stock	
Pierre Island; 12/88	improved real estate	B.

SALES/DATE		CATEGORY
Ameritrust Corp., 4/25/88	stock	C.
National City Corp., 4/25/88	stock	B.
General Computer, 4/25/88	stock	A.
Banc One Corp., 4/25/88	stock	A.
Alco Health Services, 4/25/88	stock	A.
Sudbury Inc., 4/25/88	stock	A.
RPM Inc., 4/25/88	stock	A.
Wang Labs, 4/25/88	stock	A.
Canal Property Corp.:		
8119 Bessemer, Cleveland, Ohio; 4/22/88	commericial bldg.	A.
1048 Homewood, Lakewood, Ohio; 9/1/88	real estate	E.
138 D Street, S.E., Washington, D.C.; 6/1/88	real estate	F.
Sale of capital asseets of law practice to Carney and Rains, Cleveland, Ohio (five annual fixed payments); 1/8/88	capital assets	C.

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

REP. EDWARD F. FEIGHAN

VII. REIMBURSEMENTS

TRAVEL: SOURCE/BRIEF DESCRIPTION

American International Group, Washington, D.C.: Accommodations for Rep. Feighan and spouse, Hong Kong; 11/19 - 11/22/88
Chinese National Association of Industry and Commerce, Washington, D.C.: Airfare/accommodations for Rep. Feighan and spouse; Cleveland - Taipei - Cleveland; 11/15 - 11/18/88
Pan-Cyprian Association, New York, New York: Airfare/accommodations for Rep. Feighan and spouse; 5/13 - 5/14/88
National Association of Realtors, San Francisco: Accommodations for Rep. Feighan and spouse; 11/11 - 11/13/88
Television and Film Production, Inc., London, England: Airfare/accommodations for Rep. Feighan and spouse; 5/28 - 5/30/88

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

89 MAY 15 PM 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

JACK FIELDS
(Full Name)

P.O. BOX 2286
(Mailing Address)

HUMBLE, TX 77347

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 8 State TX

☐ Officer or Employee—Employing Office __________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES _____ NO __X__ NA _____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
2/8/88	AT&T	Honoraria	$2000	-
2/22/88	Chicago Board Options Exchange	Honoraria	$1000	-
4/10/88	Nat'l Ocean Industries Assn	Honoraria	$500	-
4/11/88	Nat'l Assn of Broadcasters	Honoraria	$1500	-
4/12/88	Dutko and Asso.	Honoraria	$1000	-

(See continuation sheet)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Qualified Blind Trust	Interest	C
Rental property at 7711 Peacock, Humble TX	Rent	C
Rental property at 15310 Waxwing, Humble TX	Rent	C
Wright Patman Congressional Credit Union	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES X NO ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
100 Shares of Rosewood Memorial Park, Inc.	F
553 Shares of Humble Savings and Loan	A
500 Shares of Rosewood Funeral Home, Inc.	F
One-half unit in Limited Partnership with Equipment Investors II	D
500 Shares of Rosewood Enterprises, Inc.	C
Spouse interest in town house at 1310 E. James, Baytown TX	C

(See continuation sheet)

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of rental property at: 15310 Waxwing, Humble TX	6/18/88	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgages owed to Gibraltor Savings and Loan for two rental residences	C
One-half unit in Limited Partnership with Equipment Investors II	B
Loan from First City Bank (Humble, TX)	D
Loan from Wright Patman Congressional Federal Credit Union	B
Spouse's mortgage owed to Century Savings	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
American Stock Exchange	Transportation/lodging - Tour
AT&T	Transportation/lodging - Speech
Chicago Board Options Exchange	Transportation/lodging - Tour
Compania Sud Americana de Vapores, Santiago, Chile	Transportation/lodging - Roundtable
Nat'l Assn of Broadcasters	Transportation/lodging - Speech

(See continuation sheet)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Vice-president	Rosewood Memorial Park, Inc.
Vice-president	Rosewood Funeral Home, Inc.
Trustee	Baylor University
Vice-president	Rosewood Enterprises, Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Jack Fields	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Jack Fields, M.C.
(Name)

Continuation Sheet

Part		Source, Type, Amount, Category, Description or Value (As Applicable)			
II-A:					
	4/13/88	Tobacco Institute	Honoraria	$1000	-
	4/23/88	Nat'l Council of Community Hospitals	Honoraria	$2000	-
	4/26/88	Amer. Petroleum Institute	Honoraria	$2000	-
	4/27/88	ARCO Federal Forum	Honoraria	$1000	-
	4/30/88	Nat'l Cable TV Assn	Honoraria	$1500	-
	5/17/88	Nat'l Assn of Manufacturers	Honoraria	$500	-
	6/21/88	INTV	Honoraria	$1000	-
	6/24/88	Chevron	Honoraria	$1500	-
	6/27/88	Chicago Board of Trade	Honoraria	$500	-
	6/27/88	Chicago Mercantile Exchange	Honoraria	$500	-
	6/28/88	Columbia Gas	Honoraria	$1000	-
	7/26/88	R.J. Reynolds	Honoraria	$2000	-
	8/12/88	Connell, Rice and Sugar Co. Inc.	Honoraria	$2000	-
	8/17/88	Bell Atlantic	Honoraria	$500	-
	7/20/88	Stewart & Stephenson	Honoraria	$1000	-
	9/11/88	Nat'l Petroleum Refiners Assn	Honoraria	$2500	-
	9/15/88	Smokeless Tobacco Council	Honoraria	$1000	*Donated $650
	9/15/88	Nat'l Assn of Broadcasters	Honoraria	$1000	*Donated in full
	9/29/88	Flexible Packaging Assn	Honoraria	$2000	*Donated in full
	10/4/88	Amer. Mining Congress	Honoraria	$250	*Donated in full
	9/22/88	Amer. Waterways Operators	Honoraria	$1000	*Donated in full
	10/26/88	ENRON Gas Pipeline Group	Honoraria	$1000	*Donated in full
		(*ALL DONATIONS MADE TO BAYLOR UNIVERSITY IN WACO, TX)			
II-A		Harris County, Precinct 2	Spouse Salary		
		San Jacinto Junior College	Spouse Salary		
VII		Nat'l Council Community Hospitals	Transportation/Lodging		Speech
		Nat'l Cable TV Assn	Transportation		Speech
		Chevron	Transportation		Speech
		Chicago Board of Trade	Transportation		Tour
		Connell, Rice & Sugar Co. Inc	Transportation		Speech
		Nat'l Petroleum Refiners Assn	Transportation		Speech
III		One-half interest in real estate partnership with Jess Fields			"E"
		Rental property at 7711 Peacock, Humble TX			"C"
		Rental property at 15310 Waxwing, Humble TX			"C"

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Hamilton Fish, Jr.
(Full Name)

2269 Rayburn House Office Building
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

MAY 15 PM 3:54

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 21 State New York

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, *if* he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____ (Note 1

For more information, see detailed Instruction Booklet at page 7.

(Note 1) ~~Subject of Financial Disclosure Statement was married on December 31, 1988. Reason would conclude that Mrs. Fish was not a spouse during 1988~~.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See continuations Sheet			
Page 1			None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See continuation Sheet		
Page 1		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______ **

**See Standards For Exemption (Note 1)

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Continuation Sheet		
Page 2		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Continuation Sheet	
Page 2	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	U. S. Trust Co., Estate of Grace C. Fish
Trustee	Alice and Hamilton Fish Library

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Hamilton Fish	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Hamilton Fish, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-A	INCOME		
	SOURCE	TYPE	
	U. S. Trust Co., Estate of Grace C. Fish	Trustee Comm.	$3,102.00
	2-9-88 Squibb Corporation	Honorarium	2,000.00
	3-3-88 The Brookings Institution	"	300.00
	3-3-88 American Bankruptcy Institution	"	500.00
	3-15-88 The American Whig. Cliosophic Society	"	200.00
	5-5-88 National Association of Broadcasters	"	1,500.00
	6-2-88 Computer and Communications Industry Association	"	1,000.00
	8-13-88 American Bankruptcy Institution	"	500.00
	11-12-88 National Association of Realtors	"	2,000.00
II-B	SOURCE	TYPE	CATEGORY
	N E Mutual Life	Interest	A
	Merrill Lynch	"	A
	Merrill Lynch	Dividend	C
	Bank of Millbrook	Interest	A
	Cong. Fed. Cr. Union	"	A
	Exxon Co.	Royalty	A
	Knickerbocker Realty	Partnership	E
III	HOLDINGS		
	IDENTITY		CATEGORY
	6¼ Int. in Knickerbocker Realty Commercial Rental Prop.		E
	Bank of Millbrook		A
	Cong. Federal Credit Union		A
	N E Life Insur. Co. Dividends on Deposit		A
	100 sh Brgrkng. Inv. Mstr. Lp Udr		A
	1000 Duff M. Phelps Selected Utl.		B
	957.0520 Templeton Glbl Inc. F. Inc.		B
	1080 Comstock Prtnrs Strgy Fd.		B
	5 JMB Inc. PPTYS XII 85 LP		B
	5 M L Lee Acquistn Fd. LP 87		B
	5 Arvida JMB Partnrs LP 87		B
	7867 Eqty Inc. Fd 9th Util Ser		B
	6000 FHLMC 17 0181		A
	2845 CMA Money Fund		A
	Merrill, Lynch, Pierce, Fenner & Smith (Securities)		A

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Page 2 of 2

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Hamilton Fish, Jr.
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
IV	TRANSACTIONS		
	BRIEF DESCRIPTION	DATE	CATEGORY
	Sale - MI. Retirement GBD FD SBI	3-2-88	B
	Sale- MI. Security Tr SBI	3-9-88	A
	Sale - CD Columbia S&L BH CA	5-3-88	B
	Sale - MI.	5-3-88	A
	Purchase - Templeton GLBL Inc. F Inc.	3-24-88	B
	Purchase - Comstock Prtnrs Strgy Fd	5-26-88	B
VII	REIMBURSEMENTS		
	SOURCE	BRIEF DESCRIPTION	
	Aspen Institute	Travel Expenses Food/Lodging D.C. - Jamaica - Jan. 9-15	
	Squibb Corporation	Travel Expenses Food/Lodging Jamaica - Puerto Rico - D.C. - Jan. 16-20	
	National Association of Broadcasters	Travel Expenses Food/Lodging D.C. - Las Vegas R/T April 9-10	
	National Association of Realtors	Travel Expenses Food/Lodging New York - San Francisco R/T Nov. 10-12	

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ONE HUNDRED FIRST CONGRESS
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BERNARD J. DWYER, NEW JERSEY
ALAN B. MOLLOHAN, WEST VIRGINIA
JOSEPH M. GAYDOS, PENNSYLVANIA
CHESTER G. ATKINS, MASSACHUSETTS
(202) 225-7103

U.S. House of Representatives
Committee on Standards of Official Conduct
Suite HT-2, U.S. Capitol
Washington, DC 20515

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LARRY E. CRAIG, IDAHO
HANK BROWN, COLORADO
RALPH L. LOTKIN, CHIEF COUNSEL

May 3, 1989

The Honorable Hamilton Fish, Jr.
United States House of Representatives
2269 Rayburn House Office Building
Washington, D.C. 20515

Dear Colleague:

This letter is in response to your letter dated April 20, 1989, in which you request an advisory opinion regarding the need to include your wife's financial information on your financial disclosure filing for 1988. Your letter indicates that you were married on December 31, 1988.

On page 2 of the Instructions for completing the financial disclosure statement, under the section addressing spouse and dependent disclosure, the reporting individual is required to include information concerning his or her spouse in most sections of the disclosure statement. It is the responsibility of the reporting individual to ascertain whether a spouse has, or had, financial interests or liabilities reportable under the appropriate sections. In certain rare circumstances, however, the statute provides an exemption from disclosure in the case of truly independent interests or responsibilities of a spouse.

Neither the financial disclosure statute, nor the instructions for completing the financial statement disclosure, provide a filing exemption for individuals married late in the calendar or filing year. Therefore, your wife's financial information as of December 31, 1988, must be included on your financial disclosure statement, especially as it relates to holdings and liabilities. You must also include other required information (i.e. earned income, unearned income, transactions, gifts, etc.) but only as it relates to activity that occurred as of the date of your marriage, December 31, 1988.

In a prior conversation with your staff member, Ms. Jane Riddleberger, she was erroneously informed that disclosure requirements with respect to your wife's financial information could be met by attaching a copy of your wife's financial disclosure form submitted to her employing agency, the U. S.

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The Honorable Hamilton Fish, Jr.
Page 2
May 3, 1989

Department of Commerce. In order to meet disclosure requirements with respect to your wife's financial information, the information must be provided on your disclosure statement. The information may not be provided via your wife's separate financial disclosure forms.

If you have any further questions concerning this matter, please contact the Committee staff at extension 5-7103.

Sincerely,

Julian C. Dixon
Chairman

John T Myers

John T. Myers
Ranking Minority Member

JS:VPH

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ATTACH TO HAMILTON FISH, JR. - DISCLOSURE STATEMENT

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—*For use by Members, officers, and employees*

Hamilton Fish, Jr.
(Full Name)

Financial Disclosure Data
re: Mrs. Maryann Knauss Fish
(Mailing Address)

2269 Rayburn House Office Building
Washington, D. C. 20515

(Office Use Only)

Check the appropriate box and fill in the blanks.

☐ Member of the U.S. House of Representatives—District 21 State New York

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

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- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, *AND TYPE*, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Hudson City Savings	Interest	A
Key Bank	Interest	A
First Investors Corp	Interest	A
1st American Bank	Interest	A
Mortgage Receivable - 100 Acre Farm	Interest	D
100 Acre w/dwelling, Livingston, NY	Rent	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

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GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _x_ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Continuation Sheet	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage Payable - Key Bank - Property Livingston, NY	C
Goldome Realty Credit Corp - Mortgage - Condominium	G
Washington, DC	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE

89070232405

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Hamilton Fish, Jr.
Financial Disclosure Data
(Name)

re: Mrs. maryann Knauss Fish
Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
111	HOLDINGS	
	IDENTITY	CATEGORY
	First American Bank	B
	Hudson Savings Bank	B
	Key Bank NA	C
	First Investors Tax Exempt Fund	D
	U S Savings Bonds	A
	Sunlife Insurance Co.	C
	Real Estate: House, Barn, Tenant House, and 100 Acres in Town of Livingston, NY	F
	First Investors Fund for Income	C
	First American Bank	A

89070242036

HAND DELIVERED

HAMILTON FISH, JR.
21ST DISTRICT, NEW YORK
WASHINGTON OFFICE:
2269 RAYBURN BUILDING
PHONE (202) 225-5441

DISTRICT OFFICES:
POUGHKEEPSIE OFFICE
82 WASHINGTON STREET
POUGHKEEPSIE, NY 12601
PHONE (914) 452-4220
CARMEL OFFICE
70 GLENEIDA AVENUE
CARMEL, NY 10512
PHONE (914) 225-3300
NEWBURGH OFFICE
1002 DREWING ROAD
STEWART INTERNATIONAL AIRPORT
NEWBURGH, NY 12550
PHONE (914) 564-4300

Congreſs of the United States
House of Representatives
Washington, DC 20515

JUDICIARY COMMITTEE
VICE CHAIRMAN
SUBCOMMITTEE ON MONOPOLIES
AND COMMERCIAL LAW
VICE CHAIRMAN
SUBCOMMITTEE ON IMMIGRATION,
REFUGEES AND INTERNATIONAL LAW
SUBCOMMITTEE ON
CRIMINAL JUSTICE
JOINT ECONOMIC
COMMITTEE
EXECUTIVE COMMITTEES
ENVIRONMENTAL AND
ENERGY STUDY CONFERENCE
CONGRESSIONAL ARTS
CAUCUS
STEERING COMMITTEE
NORTHEAST-MIDWEST
CONGRESSIONAL COALITION
VICE CHAIRMAN
BOARD OF VISITORS
U.S. MILITARY ACADEMY

OFFICE OF RECORDS & REGISTRATION
1989 JUN -7 PM 1:23
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

✓
MC

June 7, 1989

The Honorable Donnald K. Anderson
Clerk of the House
U.S. House of Representatives
Office of Records and Registration
1036 Longworth
Washington, D.C. 20515

Dear Mr. Anderson:

As per a letter from Chairman Julian C. Dixon of the Committee on Standards of Official Conduct, I wish to add the following information that was requested on the attached check list.

1. The Trust Holdings Box on Page Two should be checked "No."

2. Include in Section IV Transactions:

Purchase	100 Shares Brgkng. Inv. Mstr. Lp. Udr	A
Purchase	5 JMB Inc. PPTYS XII 85 Lp.	B
Purchase	6000 FHLMC 17 0181	A

*****All of the above are included in Section III Holdings.

3. Please enter "None" in Section VI Gifts, part B.

If there are further question, please do not hesitate to call me.

Sincerely,

Hamilton Fish, Jr.
Member of Congress

HF:gaw

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

FLOYD H. FLAKE
(Full Name)

130-46 Laurelton Parkway
(Mailing Address)
Rosedale, NY 11422

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6th State New York

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515: Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Allen AME Chruch	Salary	$20,800	-
Allen Christian School (Spouse)	Salary	-	-
(Attached schedule)	Honorarium	5,964	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Allen Federal Credit Union	Interest	A
Memphis Credit Union	"	A
Allen Federal Credit Union (Children Savings Account)	"	A
Chemical Bank Money Market	"	A
Wright Patman Federal Credit Union	"	A
4811 Hancock Dr., Memphis, TN.	Rent	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO X NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Allen Federal Credit Union (Children's Savings Account)	C
Memphis Area Teachers Credit Union	A
Chemical Bank (CD)	C
4811 Hancock Dr., Memphis, TN (residential property - 100X100)	E
Wright Patman Federal Credit Union	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Independent Real Estate Association (Mortgage - 1st)	C
Larry Myrack (Mortgage - 2nd)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Attachment	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Senior Pastor/CEO	Allen AME Chruch

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Floyd H. Flake	5/16/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

HONORARIUM ACCOUNT - 1988

DATE	ORGANIZATION	HONORARIUM	AMOUNT
1/4/88	The Jewish Theological Seminary of America	"	$250.00
1/17/88	The First Baptist Church of Riverhead	"	500.00
1/18/88	Martin Luther King Memorial Fund	"	350.00
1/29/88	Gordon College	"	250.00
2/5/88	Credit Union National Assn.	"	1,000.00
2/14/88	J. Otis Mitchell, Co., P.C.	"	300.00
2/17/88	Internal Revenue Service	"	200.00
2/18/88	The College of Wooster	"	550.00
2/26/88	Cornerstone Baptist Church	"	300.00
2/27/88	Bridge St. AWME Church	"	500.00
3/8/88	National Bankers Assn.	"	1,250.00
3/15/88	Urban League of Southwestern	"	500.00
3/17/88	Chicago Mercantile Exchange	"	500.00
3/17/88	Board of Trade of the City of Chicago	"	500.00
3/24/88	National Alumni Council of the United Negro College	"	500.00
3/26/88	D.C. TransAfrica Support	"	200.00
4/88	Rev. Simon P. Bouie	"	250.00
4/5/88	Bicentennial commission of of the AME Church	"	658.75
4/11/88	Parish of Trinity Church	"	500.00
4/25/88	Citicorp Citibank	"	2,000.00
4/27/88	St. John AME Church	"	404.00
4/29/88	Bethel AME Church	"	500.00
5/2/88	D. Westra Williamson	"	200.00
5/16/88	Bicentennial Commission of the AME Church	"	580.75
5/27/88	Union Bethel AME Church	"	100.00
6/3/88	McKinley High School	"	300.00
6/17/88	No. Carolina Central Univ.	"	1,000.00
6/20/88	Connell Riche & Sugar Co., Inc.	"	2,000.00
6/23/88	Morgan Stanley & Co.	"	2,000.00
6/24/88	Ebenezer African Methodist	"	763.83
6/27/88	The Phillis Wheatley Assn.	"	300.00
7/2/88	National Alumni Convention Committee	"	1,000.00
8/6/88	Mike Espy for Congress	"	500.00
9/3/88	State of New York, Dept. of of Taxation & Finance	"	198.00
9/16/88	Wilberforce Univ.	"	2,000.00

9/23/88	Israel AME Church	"	300.00
9/24/88	Second Baptist Chruch	"	500.00
10/2/88	Carter Community AME Church	"	150.00
10/9/88	The Board of Stewards of the 1st AME Bethel Church	"	500.00
10/27/88	Auburn Theological Seminary	"	125.00
11/29/88	The Queens Federation of Churches	"	200.00
	Gross Receipts		24,680.33
	Travel Expenses Charged to Honorarium Income		6,582.33
			18,098.00
	Charity		12,144.00
	Net		5,954.00

843/1240383

REIMBURSEMENTS

Gordon College - Airfare

The College of Wooster - Airfare

1st Baptist Church in Nashville - Airfare

Board of Trade of the City of Chicago and Chicago Mercantile Exchange - Airfare

Ebenezer Africian Methodist, Ft. Washington, MD - Airfare

Mike Espy for Congress, Yazoo City, MS - Airfare

Steve Neal for Congress, Winston-Salem, NC - Airfare & Hotel

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NOTES TO FINANCIAL DISCLOSURE STATEMENT

PART IV: Pursuant to clergy guidelines for all pastors of the A.M.E. Church, Mr. Flake is provided the use of a church parsonage which is consistent with Section 107 of the Internal Revenue Code, which exempts the parsonage as income and love gifts aggregating less than $10 per member of the church congregation. Mr. Flake also receives pension benefits and life insurance which have been in existence since 1976.

HAND DELIVERED

2 3 2 7 2 2 3 2 3 2 2

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Ronnie G. Flippo
(Full Name)

2334 Rayburn Building
(Mailing Address)

Washington, D.C. 20515

Mc (Office Use Only)

[illegible] MAY 15 [illegible] 9 22

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5 State Alabama

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO x NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
attached	honoraria	$28,600.00	$3,000.00 (charity)
spouse (Parkhurst, Johnson and Co.)	W-2 part-time		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Congressional Federal Credit Union	interest	B
Valley Federal Bank	dividend	A
First National Bank of Florence	interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
17 acres pasture land Cloverdale, ALA. - estimated appraisal	B
IRA's - United Bank of Virginia, CD	B
Certificate of Deposit - Congressional Federal Credit Union	B
Federal Retirement Thrift Savings - G. Fund	B
Spouse - Valley Federal Bank stock - common	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
none		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
none	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
attached	transportation reimbursed
	food and lodging paid directly

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 12.

POSITION	NAME OF ORGANIZATION
none	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 12.

DATE	PARTIES TO	TERMS OF AGREEMENT
	none	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Ronnie G. Flippo	5/11/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Ronnie G. Flippo
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
IIA	American Aeronautics Assn.	$2,000	HONORARIUM	1/1/88
IIA	Connell and Rice	2,000	HONORARIUM	1/1/88
IIA	Tobacco Institute	2,000	HONORARIUM	1/14/88
IIA	Natl. Venture Capitol Assn.	1,000	HONORARIUM	2/2/88
IIA	American Bus Association	2,000	HONORARIUM	2/7/88
IIA	Rural Letter Carriers	2,000	HONORARIUM	3/7/88
IIA	American Medical Association	2,000	HONORARIUM	3/19/88
IIA	American Leasing Assn.	2,000	HONORARIUM	3/13/88
IIA	Alabama Rural Electric Assn.	600	HONORARIUM	3/30/88
IIA	Public Securities Assn.	2,000	HONORARIUM	4/15/88
IIA	Sanford University	500	HONORARIUM	4/11/88
IIA	Assn. of Anaestheologists	2,000	HONORARIUM	4/26/88
IIA	Nat'l Assn. on Teacher Retirement	1,000	HONORARIUM	5/12/88
IIA	The Wine Institute	1,000	HONORARIUM	5/22/88
IIA	Massachusetts Mutual	2,000	HONORARIUM	5/23/88
IIA	SEC Institute	1,500	HONORARIUM	6/20/88
IIA	Pfizer	1,000	HONORARIUM	6/27/88
IIA	Real Estate Investment Trusts	2,000	HONORARIUM	7/12/88

VII	Tobacco Institute	Transportation reimbursed	1/14/88
		also spouse	
		food and lodging provided	
VII	American Bus Assn.	Transportation reimbursed	2/8/88
		food and lodging provided	
VII	American Medical Assn.	Transportation reimbursed	3/19/88
		also spouse	
		food and lodging provided	
VII	American Leasing Assn.	Private transportation	3/13/88
		food and lodging provided	
VII	Pfizer Corp.	Transportation provided	2/26/88
VII	Electronics Industry Assn.	Transportation reimbursed	4/2/88
		food and lodging provided	
		also spouse	
VII	Public Securities Assn.	Private transportation	4/15/88
VII	Sanford University	Transportation reimbursed	4/11/88
		food and lodging provided	
VII	SEC Institute	Transportation paid directly	6/20/88
VII	Pfizer	Transportation paid directly	6/27/88
VII	Connell and Rice	Transportation paid directly	9/12/88
VII	AICPA	Transportation reimbursed	10/17/88
		food and lodging provided	
		also spouse	
VII	USF&G	Transportation reimbursed	11/24/88
		food and lodging provided	
		also spouse	

UNITED STATES HOUSE OF REPRESENTATIVES

[illegible] 16 [illegible] Committee on Standards of Official Conduct 1989 MAY 16 AM 10 02

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

James J. Florio
(Full Name)

Barrington Commons
208 White Horse Pike, Suite #5
(Mailing Address)
Barrington, NJ 08007

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

X Member of the U.S. House of Representatives—District 1 State NJ

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

1. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spouses' and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS OF A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT DISCLOSED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts: such income when it exceeds $100 from any one source must be disclosed at Part II-A. as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions: the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See attached sheet	Honoraria	26,850	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See attached sheet		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See attached sheet	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sold 345 Shs. 1st Peoples Financial Corp.	Dec.22,1988	B
Sold 15% interest in Continental Realty Investment Co.	Nov.15,1988	C
Sold 1 1/4 shs. Armour Investment, Inc.	Dec.21,1988	B
Sold 16% interest in commercial building held by Pine and Haddon Associates	May, 1988	C
Transferred 24 shs of Regional Land Abstract Co. to former spouse	Dec.29,1988	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage on rental property - Prudential Mortgage Co.	C
Mortgage on rental property - Citicorp Mortgage, Inc.	D
Commercial Loan - Continental Bank of NJ	B
Joseph Saiema and Nicholas Rudi - Assignment of Partnership Interest in Continental Realty Investment Co. - Rental Property located at 1345 Chews Landing Rd., Gloucester, NJ	E
First Peoples Bank - Mortgage on Rental Property 1021-1023 Pine Street, Camden, NJ	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a **SPEAKING ENGAGEMENT** or **FACT-FINDING EVENT** related to official duties, whether those expenses were **REIMBURSED** to the individual or **PAID DIRECTLY** by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See attached sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Shareholder	Poplar and Florio, PA
Partner	Veterans Associates (VAL Investments)
Partner	Pine and Haddon Associates
Partner	Continental Realty Investment Co.
Member	James J. Florio Charitable Foundation, Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
J Florio	13 May '89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

JAMES J. FLORIO
FINANCIAL DISCLOSURE STATEMENT FOR 1988
CONTINUATION SHEET

Page 2, Part II - Income
Section A - Honoraria

Payor	Date Rec'd	Amount
American Insurance Association	2-8	750
Butler County Joint Vocational School	3-17	1,000
Capitol Political Action Committee	3-16	250
Chicago Board of Options Exchange	8-23	730
Conrail	2-26	2,000
CSX Transportation	1-15	1,000
Environmental Systems Corporation	1-26	1,620
General Aviation Manufacturers Assn., Inc.	1-14	1,000
Healthcare Financial Management Assn.	2-8	500
Health Industry Manufacturers Assn.	5-11	2,000
Independent Insurance Agents of America	1-21	1,000
Jim Walter Corporation	2-20	2,000
Machinery & Allied Products Institute	2-5	1,000
Morgan Guaranty Trust Co.	2-24	1,000
National Assoc. of Casualty & Surety Agents	2-1	1,000
National Assoc. of Independent Insurers	3-1	2,000
National Assoc. of Professional Insurance Agents	3-26	2,000
National Solid Waste Management Assoc.	1-11	2,000
New Jersey Osteopathic Association	4-28	2,000
U.S. Telephone Association	2-8	1,000
Washington Breakfast Group	1-27	1,000
		26,850

Page 2, Part II

Schedule B - Unearned Income

SOURCE	TYPE	CATEGORY
Congressional Federal Credit Union (Wright Patman)	Interest	B
United Jersey Bank	Interest	B
1st Peoples Bank	Interest	A
Prudential Bache Securities		
State of N.J. Tax Exempt Bonds (Expressway Authority, Transportation Trust Fund)	Interest	A
Prudential Bache Tax Free	Interest	A
Prudential Bache Moneymarket Assets	Dividends	A
Centrust Federal Savings	Interest	A
Gibralter Financial	Interest	A
Imperial Savings and Loan	Interest	A
Prudential Bache Mutual Funds-IRA	Interest	A
Prudential Bache Mutual Funds-IRA	Dividends	A
Antonio Cartagena		
Interest	Interest	B
Installment Sale-62 S.28th St. Camden, NJ	Capital Gain	A
Real Estate Duplex - 20 Columbia Ave. Stratford, NJ	Rents	Gross D Net
Real Estate - 3016 Constitution Road Camden, NJ	Rents	Gross C Net
Pine and Haddon Associates	Rents & Capital Gain	B
Continental Realty Investment Co.	Rents & Capital Gain	E
First Peoples Financial Corp.	Capital Gain	A
Armour Investment Co., Inc.	Capital Gain	C

Page 2, Part III - Holdings

Bank and Savings Accounts and Certificates of Deposits- Congressional Federal Credit Union (Wright Patman), United Jersey Bank, 1st Peoples Bank and Prudential Bache Securities	D
3016 Constitution Rd., Camden, NJ - Rental Property	E
Borough of Lindenwold, NJ - 2 Vacant Lots	A
1 1/4 Shares of Armour Investment Co. - Land - Cape May, NJ	C
*12 Shares of Regional Land Abstract Co.	B
16% Interest in Pine and Haddon Assoc. - Commercial Building at 1021-1023 Pine St., Camden, NJ	C
15% Interest in Continental Realty - Office Building at 1345 Chews Landing Rd., Gloucester, NJ	E
50% Interest in Sub "S" Corporation - Poplar and Florio, P.A. - Law Firm	A
20 Columbia Ave. - Stratford, NJ - Rental Property	D
3 1/3% Interest in Veterans Associates (Vel Investments)- Commercial Property at 329 Veterans Blvd.,Carlstadt,NJ	B
Mortgage Receivable from Antonio Cartagena on Sale of Real Estate - 62 S. 28th St., Camden, NJ	B
Prudential Bache Securities	
Centrust Federal Savings	A
Gilbraiter Financial	A
Imperial Savings and Loan	A
345 Shares of First Peoples Financial Corporation	B
5 N.J. State Expressway Authority Bonds	A
5 N.J. State Transportation Bonds	A
Prudential Bache Mutual Funds - IRA	B
Putnam Master Intermediate Income Trust-IRA	A

* An additional 12 shares held in James J. Florio's name for the benefit of his former spouse.

PAGE 4, PART VII, REIMBURSEMENTS

DESCRIPTION	RECIPIENT	PAYER NAME
2 nights hotel Secaucus, NJ	C	Committee for a Clean and Safe America
Air transp. DC-Tampa & Orlando-Phl; Ground transp. Tampa-Orlando; 1 night hotel	C	Independent Insurance Agent of America
1 night hotel Newark	C	Committee for a Clean and Safe America
Air transp. DC-Newark	C	Committee for a Clean and Safe America
Air transp. DC-Newark	C	Committee for a Clean and Safe America
Air transp. Phl-Cinc & 1 night hotel	C	Butler County Ohio Voca-Tech. Schools
Air trans. Ohio-DC	C	Environmental Treatment & Technology Corp.
Ground transp. Blackwood, NJ-NYC & 1 night lodging	C	Nat'l Council of Compensation Insurance
Air transp. Phl-Bermuda-Phl & 1 night lodging	C	Independent Insurance Agent of America-NJ
Air transp. DC-Newark	C	Committee for a Clean and Safe America
1 night lodging Newark	C	Committee for a Clean and Safe America
Helicopter transportation 1 day NJ	C	Martin Chevrolet, Inc.
Air transp. Newark-Chicago-Phl & 1 night lodging	C	Interrail, Inc.
Helicopter transp. 1 day NJ	C	The Florio '88 Reelection Committee

Air transp. DC-Newark	C	Committee for a Clean and Safe America
Air transp. Phl-Atlanta-Phl & 5 nights lodging	C	The Florio '88 Reelection Committee
3 nights lodging Clifton & Saddle Brook, NJ	C	Committee for a Clean and Safe America
1 night lodging - Newark	C	Committee for a Clean and Safe America
Air transp. Newark-Martha's Vineyard-Phl	C	Florio '88 Committee
2 nights lodging Martha's Vineyard, Mass.	C	Joel Sterns, Esq.
1 night lodging NYC	C	The Florio '88 Reelection Committee
Air transp. DC-Newark	C	Committee for a Clean and Safe America
Air transp. Phl-Buffalo-DC & 1 night lodging	C	Swarts for Congress
Air transp. DC-Newark	C	Committee for a Clean and Safe America
Air transp. Atlantic City-DC	C	Committee for a Clean and Safe America
Air transp. DC-San Fran-DC & 1 night lodging	C	Itel Corp.
Air transp. Atlantic City-Newark & 1 night lodging	C	Committee for a Clean and Safe America
Lodging 1 night Newark	C	Committee for a Clean and Safe America
Air transp. DC-Newark	C	Committee for a Clean and Safe America

Lodging 1 night Asbury Park, NJ	C	Committee for a Clean and Safe America
Ground transp. NJ 1 day	C	Joel Sterns, Esq.

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HAND DELIVERED

JAMES J. FLORIO
NEW JERSEY
1ST DISTRICT

2162 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515-3001
(202) 225-6501

208 WHITE HORSE PIKE, SUITE 5
BARRINGTON, NJ 08007-1707
[illegible]

FIELD OFFICES:
WOODBURY, NJ   CAMDEN, NJ

Congress of the United States
House of Representatives

ENERGY AND COMMERCE COMMITTEE
SUBCOMMITTEES:
CHAIRMAN,
COMMERCE, CONSUMER PROTECTION
AND COMPETITIVENESS
TRANSPORTATION, TOURISM
AND HAZARDOUS MATERIALS
VETERANS' AFFAIRS COMMITTEE
SUBCOMMITTEES:
HOSPITALS AND HEALTH CARE
OVERSIGHT AND INVESTIGATIONS
HOUSING AND MEMORIAL AFFAIRS
SELECT COMMITTEE ON AGING
SUBCOMMITTEES:
CHAIRMAN,
HOUSING AND CONSUMER INTERESTS
HEALTH AND LONG-TERM CARE

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MC

June 12, 1989

The Clerk
United States House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

Re: Honorable James J. Florio/Financial Disclosure Statement 1988

Dear Sir:

This correspondence will serve to respond to the request dated May 25, 1989 made by the House Committee on Standards of Official Conduct. That request seeks an explanation with respect to "New/Old Holdings" under the category of "Transactions" in my Financial Disclosure Statement for 1988. Each of the identified holdings is addressed below by way of explanation.

1. *New:* *3016 Constitution Road, Camden, New Jersey - Because this real property was brought to the marriage by my spouse on February 14, 1988, it is a non-reportable familial transaction.*

2. *New:* *20 Columbia Avenue, Stratford, New Jersey - Because this real property was brought to the marriage by my spouse on February 14, 1988, it is a non-reportable familial transaction.*

3. *New:* *Five (5) New Jersey State Expressway Authority Bonds - purchased on May 16, 1988.*

4. *New:* *Five (5) New Jersey Transportation Bonds - purchased on October 26, 1988.*

5. *New:* *329 Veterans Boulevard, Carlstadt, New Jersey - Contract of sale for this real property interest was contingent upon event(s) which did not expire until 1988, at which time legal interest in property was acquired.*

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June 12, 1989
Page -2-

The Clerk
United States House of Representatives
Office of Records and Registration

Re: Honorable James J. Florio/Financial Disclosure Statement 1988

6. Old: 31 Meeting House Lane, Turnersville, New Jersey-converted to second personal residence during 1988 and thus exempt from disclosure.

7. Old: Eaton Vance Total Return Fund - reported as sold on September 28, 1987 in Part IV, Transactions, in my Financial Disclosure Statement for 1987 (see page 3, Part IV, Transaction, continuation sheet).

To the extent items 3 and 4 above are required to be included in my 1988 filing under the section "Transactions" as well as in the section "Holdings", as reported, please consider this correspondence as effecting such inclusion.

If I can provide you with any additional information, please contact me.

Sincerely,

Jim J Florio

Hon. James J. Florio
Member of the House of Representatives

Via Federal Express

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OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED

JAMES J. FLORIO
NEW JERSEY
1ST DISTRICT

2162 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515-3001
(202) 225-6501

309 WHITE HORSE PIKE, SUITE 5
BARRINGTON, NJ 08007-1367
(609) 546-0600

FIELD OFFICES:
WOODBURY, NJ CAMDEN, NJ

Congress of the United States
House of Representatives

ENERGY AND COMMERCE COMMITTEE
SUBCOMMITTEES:
CHAIRMAN,
COMMERCE, CONSUMER PROTECTION AND COMPETITIVENESS
TRANSPORTATION, TOURISM AND HAZARDOUS MATERIALS

VETERANS' AFFAIRS COMMITTEE
SUBCOMMITTEES:
HOSPITALS AND HEALTH CARE
OVERSIGHT AND INVESTIGATIONS
HOUSING AND MEMORIAL AFFAIRS

SELECT COMMITTEE ON AGING
SUBCOMMITTEES:
CHAIRMAN,
HOUSING AND CONSUMER INTERESTS
HEALTH AND LONG-TERM CARE

June 14, 1989

✓
MC

The Clerk
U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

Re: Honorable James J. Florio Financial Disclosure Statement 1988

Dear Sir:

In connection with my review of your recent request to which I responded by way of a letter dated June 12, 1989, I have concluded there is a need to supplement my 1988 Financial Disclosure Statement.

Accordingly, I wish to supplement that filing as follows. Under the category Part II, Earned Income, the following should be added to my filing:

II. EARNED INCOME

Source	Type	Gross Amount	Disposition
Borough of Pine Hill Board of Education Pine Hill, N.J.	Spouse Salary	Not subject to disclosure	None

In addition, I would like to supplement my June 12th response with regard to item 5. The contingency referred to in my earlier response would have expired on January 3, 1988. To the extent this acquisition should have been included under the category of "Transactions," in addition to its disclosure under the category of "Holdings" in my 1988 filing, please consider this letter as effecting such inclusion.

Sincerely yours,

J. J. Florio

James J. Florio
Member of Congress

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1989 JUN -9 PM 2:12
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Thomas M. Foglietta
(Full Name)

Hopkinson House Apt. 3003
6th and Washington Square
(Mailing Address)

Philadelphia, PA 19106

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1st State PA

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,098, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Clients - Legal Practice	Legal Fees	2,333	Self
4/14/88 - Bethlehem Steel Corp.	Honoraria	1,000	Self
7/12/88 - International Center for Development Policy	Honoraria	1,500	Self
City of Philadelphia	Pension	10,457	Self
12/16/88 - Penn Mutual Life Insurance Co.	Honoraria	1,000	Self

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Interest - Personal Savings Accts on Deposit at Continental Bank	Interest	B
Office Personnel Management - Civil Service Retirement	Interest	D
Shearson Lehman Hutton	Dividends	A
See Continuation Sheet		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SEE ATTACHED SCHEDULE	

8 9 0 7 0 2 2 9 2 5 3 8

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
SEE ATTACHED SCHEDULE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Roxborough Manyunk Fed. S & L - Mortgage 721 Fitzwater St. Philadelphia, PA	C
1st Jersey Nat'l Bank - Mortgage 480 W. Shore Rd., Brigantine, N.J.	B
Bank and Trust Co. of Old York Road	C
Continental Bank	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

8 9 0 7 0 2 1 2 5 8 9

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
#1 AERMACCHI S.P.A. (For Speech and Fact Finding Event)	Round trip airfare; From-to Rome, From to Vengono, Italy
#2 Dr. Nicola Nappa - On behalf of business community of Santa Maria (Speech and fact finding event)	Round trip airfare; Phila., PA to Rome, Italy; 2 days Food/Lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	210 Associates (Rental Partnership) 12½% Interest

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature

Date June 7th 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

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Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

THOMAS M. FOGLIETTA
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
IIB	SALE OF SECURITIES - CAPITAL ASSETS	A
	100 Sh. Clorox Co.	
	30 Sh. Clorox Co.	
	100 Sh. American Express	
	200 Sh. I V Intn'l Corp.	
	100 Sh. Russell Corp.	
IIB	Gross Rental Income - 721 Fitzwater St.	
	Philadelphia, PA - Rental	D
	Gross Rental Income - 400 W. Shore Drive.	
	Brigantine, N.J. - Rental	D
	210 Associates, Real Estate Partnership - Rental	E

THOMAS M. FOGLIETTA

III HOLDINGS

IDENTITY	CATEGORY
Furniture and Fixtures - Law Practice	A
Dwelling - 721 Fitzwater St., Philadelphia, PA - F.M.V.	D
Dwelling - 480 West Shore Dr., Brigantine, N.J. - F.M.V.	E
100 Shares General Mills	A
200 Shares Russell Corp.	A
200 Shares I. V. Intn'l	A
100 Shares Pep Boys	A
200 Shares Union Carbide Corp.	A
1 Share Municipal Cash Reserve	A
100 Shares American Express	A
100 Shares Union Camp Corp.	A
450 Shares Bowne & Co., Inc.	B
100 Shares Phillips Petroleum Co.	A
130 Shares Clorox Co.	A
Continental Bank - Personal Savings - Money Market	B
12½% Interest - 210 Associates Partnership	D
2 Ground Lots - Kitter Twsp. - Lake Harmony, PA	E

89070212592

THOMAS M. FOGLIETTA

IV TRANSACTIONS

SALES OF SECURITIES		CATEGORY
100 Shares American Express	6/24/88	A
130 Shares Clorox Co.	6/24/88	A
200 Shares I. V. Intn'l Corp.	1/11/88	A
100 Shares Russell Corp.	10/10/88	A

PURCHASE OF SECURITIES

450 Shares Bowne & Co., Inc.	7/1/88	B
100 Shares Phillips Petroleum Co.	10/17/88	A
130 Shares Clorox Co.	2/12/88	A

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

THOMAS S. FOLEY
(Full Name)

1201 Longworth House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5th State MA

☐ Officer or Employee—Employing Office ____

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of th[illegible]ual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 [illegible] in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,008, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO _x_ NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See attached sheet.			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman Cong. Fed. Credit Union	IRA dividends	A
Office of Personnel Management	Interest	A
U.S. Bancorp	Interest	A
State Street Bank & Trust Co.	Interest	A
(Federated Automated Govt. Money Trust)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO X NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See attached sheet.	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See attached sheet.		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
National Bank of Washington	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See attached sheet.	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	See attached sheet.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None.	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature: Thomas S. Foley
Date: May 15 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

Ethics in Government Act - Financial Statement for 1988

II. INCOME - A

Thomas S. Foley
1201 Longworth House Office Building
Washington, D.C. 20515

Member of the House of Representatives District 5, State of WA

INCOME

SOURCE	TYPE	AMOUNT
National Association of Community Health Centers, Inc. February 11, 1988	Honorarium	$500.00
National Grocers Association February 8, 1988	Honorarium	$2,000.00
Charls E. Walker Associates, Inc. January 25, 1988	Honorarium	$2,000.00
New York Stock Exchange January 19, 1988	Honorarium	$2,000.00
American Business Conference, Inc. February 16, 1988	Honorarium	$2,000.00
Associated General Contractors of America January 20, 1988	Honorarium	$2,000.00
Securities Industries Assoc. January 27, 1988	Honorarium	$2,000.00
Vail Valley Foundation January 28, 1988	Honorarium	$750.00

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Ethics in Government Act - Financial Disclosure for 1988

Page Two - II. INCOME - A

SOURCE	TYPE	AMOUNT
Capitol Associates January 13, 1988	Honorarium	$1,000.00
SRI International February 11, 1988	Honorarium	$1,000.00
Preston, Thorgrimson, Ellis & Holman January 21, 1988	Honorarium	$500.00
Hewlett Packard February 11, 1988	Honorarium	$500.00
World Perspectives, Inc. January 25, 1988	Honorarium	$2,000.00
National Restaurant Association January 27, 1988	Honorarium	$2,000.00
International Council of Shopping Centers, Inc. January 25, 1988	Honorarium	$2,000.00
Coalition Against Regressive Taxation January 20, 1988	Honorarium	$2,000.00
Burlington Northern, Inc. January 25, 1988	Honorarium	$2,000.00
Inside Report April 20, 1988	Honorarium	$500.00
General Electric January 15, 1988	Honorarium	$2,000.00
	TOTAL	28,750.00

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Ethics in Government Act - Financial Disclosure for 1988

Page Three - II. INCOME - A

INCOME

CHECKS RETURNED AND FORWARDED TO CHARITY

The Fay Improvement Company January 29, 1988	Honorarium	$6,000.00

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Ethics in Government Act - Financial Disclosure for 1988

III HOLDINGS

Thomas S. Foley
1201 Longworth House Office Building
Washington, D.C. 20515

Member of the House of Representatives District 5, State of WA

HOLDINGS

Date Purchased	For $	Stock Name	Date Sold	For $	Profit/ Loss
02/05/87	5,437.50	3,000 SH Supertex Inc. Com			
		Bought @ 2 7/8			
10/09/87	5,250.00	2,000 SH Supertex Inc Com			
		Bought @ 2 5/8			
12/29/87	2,000.00	1,000 SH Supertex Inc Com			
		Bought @ 2			
01/04/88	5,000.00	2,000 SH Supertex Inc Com			
		Bought @ 2½			
12/21/88	4,750.00	2,000 SH Supertex Inc Com			
		Bought @ 2 3/8			

10,000 shares of Supertex, Inc. Com valued @ $26,250 as of 12/31/88

Date Purchased	For $	Stock Name	Date Sold	For $	Profit/ Loss
03/24/86	4,785.00	290 SH WNS Inc Com (Wick N Sticks)			
		Bought @ 16½			
		Sold 290 SH @ 6	02/23/88	1,740.00	-3,045.00
03/24/86	3,465.00	210 SH WNS Inc Com			
		Bought @ 16½			
		Sold 210 SH @ 7	09/27/88	1,470.00	-1,995.00
12/31/87	5,482.50	1,290 SH WNS Inc Com			
		Bought @ 4¼			
		Sold 1,290 SH @ 7	09/27/88	9,030.00	+3,547.50
12/31/87	3,017.50	710 SH WNS Inc Com			
		Bought @ 4¼			
		Sold 710 SH @ 6	02/23/88	4,260.00	+1,242.50
12/31/87	15,750.00	1,000 SH E M C Corp Mass			
		Bought @ 15 3/4			
		Sold 500 SH @ 17½	03/07/88	8,750.00	+875.00
	(7,875.00)	Sold 500 SH @ 8 7/8	06/10/88	4,382.35	-3,492.65
12/30/87	35,000.00	35,000 SH Federated Automated Govt Money Trust			
		Bought @ 100			
		Sold 20,000 SH @ 100	01/08/88	20,000.00	0.00
		Sold 10,000 SH @ 100	03/02/88	10,000.00	0.00
03/22/88	10,000.00	10,000 SH Federated Automated Govt Money Trust			
		Bought @100			
		Sold 15,000 Sh @ 100	04/20/88	15,000.00	0.00
10/04/88	6,000.00	6,000 SH Federated Automated Govt Money Trust			
		Bought @ 100			
		Sold 1,000 SH @ 100	10/19/88	1,000.00	0.00

5,000 shares of Federated Automated Govt Money Trust valued @ $5,000 as 12/31/88

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02/25/88	7,500.00	1,000 SH Geraghty & Miller, Inc. Bought @ 7½ Sold 1,000 SH @ 8.688	02/25/88	8,688.00	+1,188.00
02/29/88	16,500.00	3,000 SH Maxim Integrated Prods, Inc. Bought @ 5½			
06/09/88	6,625.00	1,000 SH Maxim Integrated Prods, Inc. Bought @ 6 5/8			
4,000 shares of Maxim Integrated Prods, Inc. valued @ $26,000 as of 12/31/88					
06/06/88	2,550.00	150 SH Egghead Inc Bought @ 17 Sold 150 SH @ 19 3/4	6/13/88	2,962.50	+412.50
06/07/88	42,000.00	4,000 SH Kinetic Concepts Inc. Bought @ 10½			
06/08/88	10,500.00	1,000 SH Kinetic Concepts Inc. Bought @ 10½ Sold 5,000 SH @ 11.104	06/08/88	55,520.00	+3,020.00
	1,000.00	1,000 SH Solomon's Inc.			
	500.00	720 SH 29 Augusta Fund Sold on contract, no payments made.			
	445.00	445 SH Solomons II, Inc.			

Lot 812 and 816, Square 1017, rear C Street, S.E.	Washington, D.C. Category D
Lot 811, Square 1017, 1253 C Street, S.E. May 26, 1988	Washington, D.C. Category B

[illegible]

Ethics in Government Act - Financial Disclosure for 1988

IV. TRANSACTIONS

Thomas S. Foley
1201 Longworth House Office Building
Washington, D.C. 20515

Member of the House of Representatives District 5, State of WA

Date Purchased	For $	Stock Name	Date Sold	For $	Profit/ Loss
02/05/87	5,437.50	3,000 SH Supertex Inc. Com			
		Bought @ 2 7/8			
10/09/87	5,250.00	2,000 SH Supertex Inc Com			
		Bought @ 2 5/8			
12/29/87	2,000.00	1,000 SH Supertex Inc Com			
		Bought @ 2			
01/04/88	5,000.00	2,000 SH Supertex Inc Com			
		Bought @ 2½			
12/21/88	4,750.00	2,000 SH Supertex Inc Com			
		Bought @ 2 3/8			
03/24/86	4,785.00	290 SH WNS Inc Com (Wick N Sticks)			
		Bought @ 16½			
		Sold 290 SH @ 6	02/23/88	1,740.00	-3,045.00
03/24/86	3,465.00	210 SH WNS Inc Com			
		Bought @ 16½			
		Sold 210 SH @ 7	09/27/88	1,470.00	-1,995.00
12/31/87	5,482.50	1,290 SH WNS Inc Com			
		Bought @ 4¼			
		Sold 1,290 SH @ 7	09/27/88	9,030.00	+3,547.50
12/31/87	3,017.50	710 SH WNS Inc Com			
		Bought @ 4¼			
		Sold 710 SH @ 6	02/23/88	4,260.00	+1,242.50
12/31/87	15,750.00	1,000 SH E M C Corp Mass			
		Bought @ 15 3/4			
		Sold 500 SH @ 17½	03/07/88	8,750.00	+875.00
	(7,875.00)	Sold 500 SH @ 8 7/8	06/10/88	4,382.35	-3,492.65
12/30/87	35,000.00	35,000 SH Federated Automated Govt Money Trust			
		Bought @ 100			
		Sold 20,000 SH @ 100	01/08/88	20,000.00	0.00
		Sold 10,000 SH @ 100	03/02/88	10,000.00	0.00
03/22/88	10,000.00	10,000 SH Federated Automated Govt Money Trust			
		Bought @100			
		Sold 15,000 Sh @ 100	04/20/88	15,000.00	0.00
10/04/88	6,000.00	6,000 SH Federated Automated Govt Money Trust			
		Bought @ 100			
		Sold 1,000 SH @ 100	10/19/88	1,000.00	0.00

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02/25/88	7,500.00	1,000 SH Geraghty & Miller, Inc.			
		Bought @ 7½			
		Sold 1,000 SH @ 8.688	02/25/88	8,688.00	+1,188.00
02/29/88	16,500.00	3,000 SH Maxim Integrated Prods, Inc.			
		Bought @ 5½			
06/09/88	6,625.00	1,000 SH Maxim Integrated Prods, Inc.			
		Bought @ 6 5/8			
06/06/88	2,550.00	150 SH Egghead Inc			
		Bought @ 17			
		Sold 150 SH @ 19 3/4	6/13/88	2,962.50	+412.50
06/07/88	42,000.00	4,000 SH Kinetic Concepts Inc.			
		Bought @ 10½			
06/08/88	10,500.00	1,000 SH Kinetic Concepts Inc.			
		Bought @ 10½			
		Sold 5,000 SH @ 11.104	06/08/88	55,520.00	+3,020.00

Lot 811, Square 1017, 1253 C Street, S.E. May 26, 1988	Washington, D.C. Category B
Sale of Contract to purchase house Lot 813, Square 1017, 1255 C Street, S.E. September 12, 1988	Washington, D.C. Category A

8 9 0 7 1 2 3 2 1 8 3

Ethics in Government Act - Financial_Disclosure Act for 1988

Thomas S. Foley
1201 Longworth Building
Washington, D.C. 20515

Member of U.S. House of Representatives District 5, State of WA

VII. REIMBURSEMENTS

SOURCE	BRIEF DESCRIPTION
New York Stock Exchange 1800 K Street, N.W. Washington, D.C. 20006	Round trip plane fare and hotel accommodations, etc. for Thomas S. Foley and wife to New York, February 4, 1988, to give speech.
Jewish Community Relations Council, Inc. 711 Third Avenue, 12th Floor New York, NY 10017	Round trip plane fare for Thomas S. Foley to New York, February 21, 1988, to receive award and give speech.
Saloman Brothers, Inc. 1 New York Plaza New York, New York 10004	Round trip plane fare and hotel accommodations, etc. for Thomas S. Foley to New York, March 3, 1988, to give speech.
Chicago Mercantile Exchange 30 South Wacker Chicago, IL 60606	Round trip plane fare and hotel accommodations, etc. for Thomas S. Foley to Chicago, Illinois, March 27, 1988 to give speech.
Japan Society 333 East 47th Street New York, New York 10017	Round trip plane fare and hotel accommodations, etc. for Thomas S. Foley and wife to Hong Kong/Tokyo, April 1, 1988 to participate in conference.
Goldman Sachs & Co. 85 Broad Street New York, New York 12202	Round trip plane fare and hotel accommodations, etc. for Thomas S. Foley to New York, May-19, 1988, to give speech.

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Thomas S. Foley - Financial Disclosure for 1988

Page Two - VII. Reimbursements

SOURCE	BRIEF DESCRIPTION
Raytheon Company 141 Spring Street Lexington, MA 02173	Round trip plane fare for Thomas S. Foley to Lexington, Massachusettts, June 17, 1988, to give speech.
AT&T 144 E. 44th New York, NY 10016	Round trip plane fare and hotel accommodations, etc. for Thomas S. Foley and wife to New York, August 1, 1988, to give speech.
Fay Improvement Company 3766 Clay Street San Francisco, CA 94118	Round trip plane fare and hotel accommodations, etc. for Thomas S. Foley and wife, to Palm Springs, CA, November 17, 1988 to give speech and participate in conference.

Ethics in Government Act - Financial Disclosure Act for 1988

Thomas S. Foley
1201 Longworth Building
Washington, D.C. 20515

Member of U.S. House of Representatives District 5, State of WA

VIII. POSITIONS

POSITION	NAME OF ORGANIZATION
Member, Congressional Advisory Council	Henry M. Jackson Foundation
Director	Farmers Union Grain Company
Member, Board of Overseers	Whitman College
Member	Yale University Council - Comm. on Social Science - Policy
Member, Board of Directors	The Wolf Trap Foundation
Board of Advisors	Georgetown School of Strategic & International Studies
Member	WSU Foundation
Member, Advisory Council	American Ditchley Foundation
Member, Executive Committee	Democratic National Committee
Member, Board of Directors	Council on Foreign Relations
Member, Advisory Board	Arena Stage
Member, Board of Directors	Bicycle Federation of America
Member	Trilateral Commission

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

89 MAY 31 PM 12:05
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Harold E. Ford
(Full Name)

2773 Unicorn Lane, N.W.
(Mailing Address)

Washington, D.C. 20015

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 9th State Tennessee

☐ Officer or Employee—Employing Office ____________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Honoraria (See Attached Continuation Sheet)			
PEPCO, Washington, D.C. (Spouse)		Salary	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman Congressional Credit Union	Interest	A
National Bank of Commerce	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _X_ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
51% of N.J. Ford & Sons Funeral Parlor, Inc., Memphis, Tennessee provider of Funeral Services. Based on book value.	F

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See continuation sheet attached.	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. *The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1988.*

SOURCE	BRIEF DESCRIPTION
NONE	

B. *The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1988.*

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
(See continuation sheet attached)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Advisory Board	St. Jude Children's Research Hospital
Board of Directors	YMCA of Memphis, TN

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Harold E Ford	5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Harold E. Ford
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
II. INCOME	A. HONORARIA	AMOUNT
1/04/88	Sterling Drugs	$2,000
1/29/88	Methodist Health Systems	2,000
2/06/88	National Association of Letter Carriers	2,000
3/07/88	Democratic, Republican Independent Education (International Brotherhood of Teamsters, Chauffeurs, Warehousemen & Helpers of America)	2,000
3/15/88	Camp, Barsh, Bates, & Tate	2,000
3/15/88	American Public Transit Association	1,000
3/18/88	South Central Bell	2,000
3/20/88	International Brotherhood of Teamsters	2,000
3/26/88	Kelso & Company	1,000
4/19/88	Connell Rice & Sugar Company	2,000
5/09/88	RJR Nabisco	2,000
5/10/88	National Restaurant Association	1,000
7/01/88	Federal Express	2,000
7/27/88	Association of American Railroads	2,000
8/17/88	Schering-Plough Corporation	2,000
9/07/88	Chartered Health Plan	2,000
10/29/88	Ann Arbor Branch--NAACP	1,000

DISPOSITIONS TO CHARITIES

St. Albans School	1,000
Maret School	1,000
Mt. Moriah East Baptist Church	1,150

V. LIABILITIES		Category
	*Southern Industrial Banking Corporation	D
	*National Bank of Commerce	B
	*National Bank of Washington	B
	*Union Planters	B
	Wright Patman Federal Credit Union	B

(*Paid in 1988)

(The First Tennessee Bank loan, listed on the Financial Disclosure Statement for 1988, as paid in 1988, was paid in 1987; therefore, it is not listed on this report)

VII. REIMBURSEMENTS	SOURCE	DESCRIPTION
	Kelso & Company	Hotel & Airfare for speaking engagement on 3/26/88; Palm Springs, CA
	March of Dimes	Airfare for speaking engagement 3/28/88; Nashville, TN
	Connell Rice & Sugar	Airfare for speaking engagement 4/19/88; Westfield, NJ
	NAACP-Ann Arbor Branch	Hotel & Airfare for speaking engagement 10/29/88; Ann Arbor, MI
	Schering-Plough Corp.	Hotel & Airfare for speaking engagement 8/17/88; Puerto Rico (Accompanied by spouse)

(See Appendix A: Report of Trust Ford Contributors)

GPO: 1987 79-048 (ss)

TRUST FORD CONTRIBUTORS
(January 1, 1988 to December 31, 1988)

1.	*Donald Sheppard Memphis, TN	500.00
2.	*Fred Echells Memphis, TN (Contributed $50 on 3 ocassions)	150.00
3.	*Tanner George Memphis, TN	500.00
4.	*Lucille Love Memphis, TN	100.00
5.	*Lois Madison Memphis, TN	5.00
6.	*David Acey Memphis, TN	25.00
7.	*G & D Foods Memphis, TN	50.00
8.	*Shirley Mason Memphis, TN	5.00
9.	*Nyack Branch NAACP Nyack, New York	100.00
10.	*Patterson Branch NAACP Patterson, NJ	1,000.00
11.	Kay Orbilio Memphis, TN	25.00
12.	Reba Crawford Memphis, TN	50.00
13.	*Willard Sparks Memphis, TN	5,000.00

*Received in Calendar Year 1988, but reported last year for the Financial Disclosure Report covering fiscal year 1987.

14.	*Dymally Campaign Compton, CA	1,000.00
15.	*Jimmy Allen Memphis, TN	100.00
16.	*Sidney Chism Memphis, TN	45.00
17.	*Dennis Cherry Memphis, TN	20.00
18.	*Benny Mason, Jr. Memphis, TN	10.00
19.	*Myrl Warren Memphis, TN	3.00
20.	Clinton Moore Memphis, TN	25.00
21.	*L. C. Perry Memphis, TN	5.00
22.	Wesley Jamison Memphis, TN	3.00
23.	*Herman Martin Memphis, TN	3.00
24.	Ricky Wall Memphis, TN	3.00
25.	Nate Jackson Memphis, TN	5.00
26.	*Rodney Ellis Houston, TX	500.00
27.	Jane Eskind Nashville, TN	1,000.00

*Received in Calendar Year 1988, but reported last year for the Financial Disclosure Report covering fiscal year 1987.

28.	William Rachels Memphis, TN	7,000.00
29.	Builders Transportation Company, Inc. Memphis, TN	1,000.00
30.	H. Lebaron Taylor Marlton, NJ	500.00
31.	Bud Davis Memphis, TN	250.00
32.	Bluff City Funeral Directors Ladies Auxillary Memphis, Tn	100.00
33.	Bobby Collins Memphis, TN	500.00
34.	Dr. Joseph McLaurin, Jr. Memphis, TN	100.00
35.	Frank Ketta Guinn Memphis, TN	500.00
36.	Marvell Mitchell Germantown, TN	250.00
37.	E. Chrystine Shack Memphis, Tn	100.00
38.	Girlfriends, Inc., Memphis Chapter Memphis, TN	195.00
39.	Fred Echells, Sr. Memphis, TN (Contributed $25 on 7 occasions)	200.00
40.	Katye McLaughlin Washington, D.C.	100.00

*Received in Calendar Year 1988, but reported last year for the Financial Disclosure Report covering fiscal year 1987.

41.	Pearl Crawford Memphis, TN	10.00
42.	Clayton Morris Memphis, TN	20.00
43.	Dr. Leon Taylor Memphis, TN	25.00
44.	A.C. Wharton, Jr. Memphis, TN	50.00
45.	Fannie Clark Memphis, TN	20.00
46.	Joyce Blackmon Memphis, TN	20.00
47.	R.S. Lewis, Jr. Memphis, TN	35.00
48.	Jack Payne Memphis, TN	20.00
49.	CleArthur Morris Memphis, TN	35.00
50.	Alice Fowlkes Memphis, TN	35.00
51.	Dedrick Brittenun, Jr. Memphis, TN	20.00
52.	Samuel Polk Memphis, TN	35.00
53.	George Jones Memphis, TN	20.00

54.	Alma Carter Memphis, TN	35.00
55.	William Faulkner Memphis, TN	35.00
56.	Shirley Bridges Collierville, TN	35.00
57.	Zona McFarland Memphis, TN	4.00
58.	*Alpha Dortch Memphis, TN	10.00
59.	Sandra J. Parker Memphis, TN	35.00
60.	Patrick Carter, Jr. Memphis, TN	150.00
61.	Gene Holcomb Memphis, TN	200.00
62.	Arthur Waymire Memphis, TN	200.00
63.	Walter Drissell Memphis, TN	200.00
64.	William T. Turner Memphis, TN	200.00
65.	William Gowen Webb Memphis, TN	200.00
	TOTAL	2,676.00

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UNITED STATES HOUSE OF REPRESENTATIVES

INSIDE MAIL

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

William D. Ford
(Full Name)

239 Cannon HOB
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

1989 MAY -8 [illegible] 31

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 15 State MI

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

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(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

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ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

A 7) /) 2 / 3 1) 5

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GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

	A. SOURCE	TYPE	AMOUNT	DISPOSITION
1/21/88	Mail Handlers' Union	Honorarium	$2,000.00	
2/10	California Student Loan Finance Corp.	"	2,000.00	
2/11	Magazine Publishers Assn.	"	2,000.00	
2/12	College Administrators Network	"	2,000.00	
2/17	American Iron and Steel Institute	"	2,000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
223A C St. N.E., Washington, D.C.	Rental Income	C
Wright Patman Federal Credit Union	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA _X_____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
223A C St. N.E., Washington (rental property)	D
Civil Service contribution, U.S. House of Representatives	D
IRA, Wright Patman Federal Credit Union (certificate of deposit)	B
Savings Account, Wright Patman Federal Credit Union	A
IRA, John Alden Life Ins. Co.	B
Keough, Lincoln National Pension Co.	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of 223A C St. N.E., Washington, D.C.	7/13/88	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Wright Patman Federal Credit Union loans	C
Columbia First Federal Savings & Loan (rental property)	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLI[illegible], clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Direct Mail Marketing, for travel, lodging & meals	, Nassau, 1/24/88
American Postal Workers Union, for travel, lodging	& meals, Hollywood, FL, 2/10-17/88
CNA Insurance Co., for travel, lodging & meals, T	ucson, AZ, 3/4-8/88
Mitchell Sweet & Associates, for travel & lodging	, Phoeniz, AZ, 3/9-10/88
Federal Express Corp. for travel & lodging, Memphi	s, TN, 4/18/88

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
William D. Ford	5/5/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

William D. Ford
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*			
II. Income				
3/3/88	Frozen Food Assn.	Honorarium	$1,000.00	
3/3	Natl. League of Postmasters	"	1,000.00	
3/7	Natl. Assn. of Postmasters	"	1,000.00	
3/22	CNA Insurance Co.	"	2,000.00	
3/30	Direct Marketing Assn.	"	1,500.00	
4/12	Mitchell Sweet & Associates	"	1,000.00	
4/19	Federal Express Corp.	"	2,000.00	
4/21	National Restaurant Assn.	"	1,000.00	
5/24	American Postal Workers Union	"	1,500.00	
6/17	Natl. Assn. of Postal Supervisors	"	1,000.00	
6/23	Natl. Assn. of Temporary Services	"	1,000.00	
8/1	American Postal Workers Union	"	2,000.00	
8/7	Natl. Association of Letter Carriers	"	2,000.00	(portion assigned to charity)
10/18	Gold & Liebengood, Inc.	"	1,000.00	(assigned to charity)
VII. Reimbursements				
5/6-7	St. Edwards University, for transportation & lodging			
5/13-14	Peru State College, NE, for transportation & lodging			
5/20	Grand Rapids Junior College for transportation			
5/30-31	University of Denver for transportation & lodging			
7/31	American Postal Workers Union, transportation & lodging			
8/7-8	Natl. Assn. of Letter Carriers, for transportation & lodging			
9/23	SCS Business Technical Institute, for transportation			

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Barney Frank
(Full Name)

1030 LHOB
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

1989 APR 20 AM 11: 39

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4 State MA

☐ Officer or Employee—Employing Office ____________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Nat'l Assoc. of HomeBuilders	1/88	honoraria	2,000.00	N/A
Nat'l Housing & Rehab Assoc.	1/88	"	2,000.00	"
Security Pacific Corporation	1/29/88	"	1,500.00	"
Assoc. of Reserve City Bankers	2/1/88	"	2,000.00	"
John Hancock	2/5/88	"	1,000.00	"

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
US Trust - IRA	interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _____ NA X

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
IRA - U.S. Trust Company - Boston	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
N/A		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage for personal residence	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
N/A	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
AFSCME	Travel to L.A. speech
Washington Privacy Lobby	Travel to speech
Municipal Elections Comm. of Los Angeles	Travel expenses to speech
Bricklayer's	"
Fund For Human Dignity	"

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
N/A	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
N/A		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	4-19-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

[illegible]

Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Barney Frank
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)				
II - A	The Bricklayers	2/88	honoraria	1,000.00	N/A
	Coal. for Reg. Banking	3/15/88	"	500.00	"
	& Economic Development				
	CCSU Found. Conf. on Privacy	4/88	"	2,000.00	"
	Hewlett Packard	4/88	"	750.00	"
	A.U. Law Review	4/88	"	1,000.00	"
	Nat'l Assoc. of Broadcasters	4/88	"	1,500.00	"
	U. Mass Amherst	5/88	"	750.00	donated to
	three U. Mass. Scholarship Funds				
	The Government Research Corp.	6/88	"	750.00	N/A
	20th Century Fund	8/88	"	150.00	"
	Charles River Medical Society	10/88	"	200.00	"
	Digital	10/88	"	1,500.00	"
	Bank of N.E.	10/88	"	1,000.00	donated to
	charity				
	Belcore Co.	10/88	"	2,000.00	N/A
	20th Century Fund	10/28/88	"	150.00	"
	Coal. of Regional Bankers	12/6/88	"	500.00	"
VII	Nat'l Assoc. of Broadcasters		Travel to speech		
	Norm Mineta Campaign		"		

GPO [illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Bill Frenzel
(Full Name)

1026 Longworth Bldg.
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3rd State Minnesota

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

H 4 1 / J 2 3 1 2 3 1

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
1/3/88 Medtronic, Inc.	honorarium	750	
1/20/88 Paine Webber	"	1750	
2/4/88 Tax Council	"	500	
2/24/88 Organization Management	"	2000	
3/8/88 Brookings Inst.	"	500	
3/19/88 Securities Industries Assn.	"	2000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
DREYFUS TAX EXEMPT FUND	DIV	A
✓ ✓ ✓ ✓ SPOUSE	✓	A
EDEN PRAIRIE MN GO BOND ✓	INT	B
IBM	DIV	B
IBM SPOUSE	✓	B
PHILIP MORRIS ✓	✓	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SIT NEW BEGINNINGS GOV. SEC. FUND SPOUSE	B
SIT NEW BEGINNINGS GROWTH FUND ✓	C
PIPER JAFFRAY HOPWOOD - STOCK FUND IRA ✓	B
✓ ✓ ✓ ✓ ✓ IRA	B
DREYFUS TAX EXEMPT FUND	A
✓ ✓ ✓ ✓ SPOUSE	D

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

	BRIEF DESCRIPTION	DATE	CATEGORY
various ✓	DREYFUS TAX EXEMPT FUND (SPOUSE)	SEE ATTACHED	various
	✓ ✓ ✓ ✓	✓ ✓	✓
	PIPER JAFFRAY MPLS KROGH CONTRIB	12-29-88	B
1-28	DIGITAL EQUIP CALL WRITE OPTION COM STK	1-28-88	A
1-8	FIREMAN'S FUND — COM STK SELL	1-8-88	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
none	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
4/5-7/88 Electronics Industry Assn(Captiva,FL)	round trip air fare, hotel 2 nites & meals (Cong. & Mrs. Frenzel)
2/26-28/88 Cong. Charity Tournaments, Inc., White Sulphur Srgs, WV	round trip bus, 2 nites lodging & meals (Cong. & Mrs. Frenzel)
1/27-2/1 World Economic Forum, Davos, Switz.	round trip air fare, hotel & meals

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee(non-paid)	Frenzel Foundation, St. Paul, MN

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Bill Frenzel	5·15·89

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT 1988

BILL FRENZEL
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)				
II.A.	3/15/88	Tax Executives Inst.	honorarium	1000	
	4/18/88	Heritage Foundation	"	2000	
	4/19/88	American Trucking Assn.	"	2000	
	2/11/88	Sit Investment	"	2000	
	4/28/88	Pension Commision Clearing Hs	"	1000	
	4/20/88	Nat'l Assn. of Mfgrs	"	1000	
	5/2/88	Tax Foundation	"	500	
	5/3/88	Nat'l Bonded Whse Assn	"	500	
	5/10/88	Amer. Protestant Health Assn.	"	2000	
	5/15/88	Pfizer	"	1000	
	5/20/88	US Chamber	"	500	
	5/27/88	American Supply Assn.	"	500	
	6/8/88	Food Equip. Mfgrs Assn.	"	2000	
	6/15/88	Brookings Inst.	"	300	
	6/20/88	G.D. Searle	"	2000	
	5/8/88	Nat'l Council of Savings Inst.	"	2000	charity (part
	5/23/88	Int'l Paper	"	2000	charity
	5/27/88	US Telephone Assn.	"	1000	"
	6/11/88	Grocery Mfgrs Assn.	"	2000	"
	7/11/88	Wash. Tax Assn.	"	1000	"
	7/12/88	Brookings Inst.	"	400	"
	8/3/88	Patton, Boggs	"	1000	"
	7/15/88	Pacific Telesis	"	1000	"
	9/13/88	Tobacco Inst.	"	1000	"
	9/9/88	Price Waterhouse	"	2000	"
	9/14/88	Pacific Mutual	"	1000	"
	9/13/88	Nat'l Auto Dealers Assn.	"	2000	"
	9/27/88	Baker & McKenzie	"	2000	"
	9/27/88	Customs Brokers Assn.	"	500	"
	9/23/88	Paine Webber	"	2000	"
	9/27/88	Nat'l Restaurant Assn.	"	2000	"
	10/5/88	Nat'l Assn. Broadcasters	"	1000	"
	10/6/88	GTE	"	1500	"
	11/15/88	BIPAC	"	1000	"
	11/11/88	Autranet	"	2000	"
	12/4/88	Food Marketing Inst.	"	2000	"
	12/9/88	Phillip Morris	"	2000	"
	12/15/88	Washington Focus	"	2000	"

INCOME II B	GEN. ELECTRIC	SPOUSE	DIV	B
	BRISTOL MEYERS	✓	✓	B
	GEN MILLS	✓	✓	A
	Mc DONALDS	✓	✓	A
	AM. EXPRESS	✓	✓	A
	WEYERHAEUSER	✓	✓	A
	EXXON	✓	✓	A
	KRAFT	✓	✓	A
	C.E. BARD	✓	✓	A
	FORD MOTOR		✓	B
	WALL MART		✓	A
	ROSE WARNER FAMILY TRUST		✓	C
	✓ ✓ ✓ ✓		INT	B
	SIT NB GROWTH FUND	SPOUSE	DIV	B
	✓ ✓ ✓ ✓	✓	CAP GN	B
	SIT US GOV SEC FUND	✓	DIV	A
	DIGITAL EQUIPMENT CALL OPTION		CAP. GN	B
	Mc LEAN BANK	SPOUSE	INT	A
	NORWEST BANK, MPLS		INT	A
	ALLIED MAGNETICS CORP		INT	A

GPO: 1987 [illegible]

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

BILL FRENZEL
(Name)

Continuation Sheet

Part		Source, Type, Amount, Category, Description or Value (As Applicable)					
INCOME							
II B		MINN STATE RET. SYSTEM				PENSION	B
		PHILLIP MORRIS			SPOUSE	CAP GN	B
		WEYERHAEUSER			✓	✓	A
		KRAFT			✓	✓	E
		APPLIED MAGNETICS				CAP GN	A
HOLDINGS							
III		EDEN PRAIRIE MN GO BOND			SPOUSE		C
		AMERICAN EXPRESS	COM	STK	✓		B
		C.R. BARD	✓	✓	✓		B
		BRISTOL MYERS	✓	✓	✓		C
		GEN MILLS	✓	✓	✓		C
		PHILIP MORRIS	✓	✓	✓		C
		MCDONALD'S	✓	✓	✓		C
		GEN ELECTRIC	✓	✓	✓		C
		IBM	✓	✓	✓		C
		EXXON	✓	✓	✓		B
		FORD MOTOR	✓	✓			C
		GOLDEN VALLEY MIC FOODS	✓	✓			C
		TOYS R US INC	✓	✓			C
		WALL MART	✓	✓			C
		* DIGITAL EQUIP CORP.	✓	✓			D
		IBM	✓	✓			D
		APPLIED MAGNETICS CORP	NOTE				
		BANK OF McLEAN	CHKG	ACCT	SPOUSE		A
		NORWEST BANK MPLS	✓	✓			C
		TCF, MPLS	COM	STK		(EST)	B
		LECTEC, MPLS	✓	✓			B
		HR BANK, WASH.	CHKG	ACCT			C
		ALLIANCE MTG INCOME FUND	IRA				B
		✓ ✓ ✓ ✓	IRA		SPOUSE		B
		PIPER JAFFRAY MON MKT FD	IRA				A
		✓ ✓ ✓ ✓	IRA		SPOUSE		A
		PIPER JAFFRAY VALUE FUND } KEOGH					C
		✓ ✓ MON MKT FD }					–
		FED RET THRIFT SAV. PLAN					B
TRANSACTIONS							
IV	3-15	GOLDEN VALLEY MIC FOODS	COM STK		BUY		B
	6-22	CR BARD	COM STK		BUY	(SPOUSE)	B
	12-21	EXXON	COM STK		BUY	✓	B
	9-26	KRAFT	COM STK		SELL	✓	B
	12-7	KRAFT	COM STK		✓	✓	C
	6-22	PHILIP MORRIS	✓	✓	✓	✓	B
	6-27	WEYERHAEUSER	✓	✓	✓	✓	A
	10-4	SIT US GOVT SECURITIES FD	✓	✓	BUY	✓	B
	VARIOUS	✓ ✓ ✓ ✓	DIV REINVEST			✓	A
	12-27	APPLIED MAGNETICS	PAYMENT ON NOTE				B
	1-8	✓ ✓	FINAL ESCROW PAYMENT				A

* REPORTED AS "F" LAST YEAR. SHOULD HAVE BEEN "D."

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Bill Frenzel
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
VII	1/25-27/88 American Express, Geneva, Switz. round trip air
	2/11-15/88 Sit Investment Co., LaQuinta, CA round trip air, hotel & meals (Cong.&Mrs. Frenzel)
	6/20/88 G.D. Searle, Chicago, IL round trip air, meals
	5/8-9/88 National Council of Savings Inst., Toronto round trip air, hotel, meals
	5/23/88 Int'l Paper, Purchase, NY round trip air, meals
	5-27-29/88 US Telephone Assn., White Sulphur Springs, WV round trip air, hotel, meals(Cong. & Mrs. F)
	6/10-13/88 Grocery Mfgrs Assn., White Sulphur Springs, WV round trip air, hotel, meals (Cong. & Mrs.F)
	7/17-23/88 Chinese Nat'l Assn. of Industry & Commerce Taipei, ROC, round trip air fare, hotel & meals (Cong. & Mrs. F.)
	9/19-21/88 Petroleos de Venezuela, Caracas, Venez., round trip air fare, hotel & meals
	11/11-13/88Autranet, West Palm Beach, FL, round trip air, hotel & meals (Cong. & Mrs. Frenzel)
	11/17-21/88 US Telephone Assn., Boca Raton, FL, round trip air, hotel & meals (Cong .& Mrs. Frenzel)
	12/4/88 Food Marketing Inst., Jacksonville, FL round trip air, hotel & meals

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NORWEST BANKS

Norwest Bank Minnesota, N.A.
8th Street and Marquette Avenue
Minneapolis, Minnesota 55479

Statement

Capital Management and Trust Group

STATEMENT OF ACCOUNT
JAN 1, 1988 TO DEC 31, 1988

ACCOUNT NUMBER 6-17575-00-1
RUTH P FRENZEL INVESTMENT AGENCY

PAGE 1

CASH EQUIVALENTS

DREYFUS TAX EXEMPT CASH MGMT INC
66.477%

JAN 5 88	[redacted]	
JAN 31 88	TOTAL AUTOMATIC INVESTMENT PURCHASES FOR JANUARY	A
	TOTAL AUTOMATIC INVESTMENT SALES FOR JANUARY	A
FEB 2 88	[redacted]	
FEB 10 88	TOTAL AUTOMATIC INVESTMENT PURCHASES FOR FEBRUARY	A
	TOTAL AUTOMATIC INVESTMENT SALES FOR FEBRUARY	A
MAR 2 88	[redacted]	
MAR 31 88	TOTAL AUTOMATIC INVESTMENT PURCHASES FOR MARCH	A
	TOTAL AUTOMATIC INVESTMENT SALES FOR MARCH	A
APR 4 88	[redacted]	
APR 30 88	TOTAL AUTOMATIC INVESTMENT PURCHASES FOR APRIL	A
	TOTAL AUTOMATIC INVESTMENT SALES FOR APRIL	A

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Norwest Bank Minnesota, N.A.
8th Street and Marquette Avenue
Minneapolis, Minnesota 55479

Statemen

Capital Management and Trust Gro

STATEMENT OF ACCOUNT
JAN 1, 1988 TO DEC 31, 1988

ACCOUNT NUMBER 6-17573-00-1
RUTH P FRENZEL INVESTMENT AGENCY

PAGE 3

DATE	DESCRIPTION OF TRANSACTION	INCOME	PRINCIPAL	COST VALUE	REALIZED GAIN/LOSS
OCT 4 88	[redacted]				
OCT 31 88	TOTAL AUTOMATIC INVESTMENT PURCHASES FOR OCTOBER	B			
NOV 2 88	[redacted]				
NOV 30 88	TOTAL AUTOMATIC INVESTMENT PURCHASES FOR NOVEMBER	A			
	TOTAL AUTOMATIC INVESTMENT SALES FOR NOVEMBER	A			
DEC 2 88	[redacted]				
DEC 31 88	TOTAL AUTOMATIC INVESTMENT PURCHASES FOR DECEMBER	C			
	TOTAL AUTOMATIC INVESTMENT SALES FOR DECEMBER	B			

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Statemer

Norwest Bank Minnesota, N.A.
8th Street and Marquette Avenue
Minneapolis, Minnesota 55479

Capital Management and Trust G

STATEMENT OF ACCOUNT
JAN 1, 1988 TO DEC 31, 1988

ACCOUNT NUMBER 6-17575-00-1
RUTH P FRENZEL INVESTMENT AGENCY

PAGE 2

DATE	DESCRIPTION OF TRANSACTION	INCOME	PRINCIPAL	COST VALUE	REALIZED GAIN/LOSS
MAY 3 88	[redacted]				
MAY 31 88	TOTAL AUTOMATIC INVESTMENT PURCHASES FOR MAY	A			
	TOTAL AUTOMATIC INVESTMENT SALES FOR MAY	A			
JUN 2 88	[redacted]				
JUN 30 88	TOTAL AUTOMATIC INVESTMENT PURCHASES FOR JUNE	A			
	TOTAL AUTOMATIC INVESTMENT SALES FOR JUNE	A			
JUL 5 88	[redacted]				
JUL 31 88	TOTAL AUTOMATIC INVESTMENT PURCHASES FOR JULY	A			
	TOTAL AUTOMATIC INVESTMENT SALES FOR JULY	A			
AUG 2 88	[redacted]				
AUG 31 88	TOTAL AUTOMATIC INVESTMENT PURCHASES FOR AUGUST	A			
	TOTAL AUTOMATIC INVESTMENT SALES FOR AUGUST	A			
SEP 2 88	[redacted]				
SEP 30 88	TOTAL AUTOMATIC INVESTMENT PURCHASES FOR SEPTEMBER	A			
	TOTAL AUTOMATIC INVESTMENT SALES FOR SEPTEMBER	A			

pay 7 of 9

Norwest Bank Minnesota, N.A.
8th Street and Marquette Avenue
Minneapolis, Minnesota 55479

Stateme

Capital Management and Trust C

ACCOUNT NUMBER 6-17572-00-3

STATEMENT OF ACCOUNT
JAN 1, 1988 TO DEC 31, 1988

WILLIAM E FRENZEL INVESTMENT AGENCY

PAGE 1

CASH EQUIVALENTS

DREYFUS TAX EXEMPT CASH MGMT INC
00.477%

JAN 5 88	~~DIV-AT-[illegible]~~	
JAN 31 88	TOTAL AUTOMATIC INVESTMENT PURCHASES FOR JANUARY	B
FEB 2 88	~~DIV-AT-[illegible]~~	
FEB 29 88	TOTAL AUTOMATIC INVESTMENT PURCHASES FOR FEBRUARY	A
	TOTAL AUTOMATIC INVESTMENT SALES FOR FEBRUARY	A
MAR 2 88	[redacted]	
MAR 31 88	TOTAL AUTOMATIC INVESTMENT PURCHASES FOR MARCH	A
	TOTAL AUTOMATIC INVESTMENT SALES FOR MARCH	B
APR 4 88	~~DIV-AT-[illegible]~~	
APR 30 88	TOTAL AUTOMATIC INVESTMENT PURCHASES FOR APRIL	A
	TOTAL AUTOMATIC INVESTMENT SALES FOR APRIL	A
MAY 3 88	[redacted]	

page 8 of 9

Norwest Bank Minnesota, N.A.
8th Street and Marquette Avenue
Minneapolis, Minnesota 55479

Statement

Capital Management and Trust Group

STATEMENT OF ACCOUNT
JAN 1, 1988 TO DEC 31, 1988

ACCOUNT NUMBER 6-17572-00-3
WILLIAM E FRENZEL INVESTMENT AGENCY

PAGE 8

DATE	DESCRIPTION OF TRANSACTION	INCOME	PRINCIPAL	COST VALUE	REALIZED GAIN/LOSS
MAY 31 88	TOTAL AUTOMATIC INVESTMENT PURCHASES FOR MAY	A			
	TOTAL AUTOMATIC INVESTMENT SALES FOR MAY	A			
JUN 2 88	[redacted]				
JUN 30 88	TOTAL AUTOMATIC INVESTMENT PURCHASES FOR JUNE	A			
	TOTAL AUTOMATIC INVESTMENT SALES FOR JUNE	A			
JUL 5 88	[redacted]				
JUL 31 88	TOTAL AUTOMATIC INVESTMENT PURCHASES FOR JULY	A			
	TOTAL AUTOMATIC INVESTMENT SALES FOR JULY	A			
AUG 2 88	[redacted]				
AUG 31 88	TOTAL AUTOMATIC INVESTMENT PURCHASES FOR AUGUST	A			
	TOTAL AUTOMATIC INVESTMENT SALES FOR AUGUST	A			
SEP 2 88	[redacted]				
SEP 30 88	TOTAL AUTOMATIC INVESTMENT PURCHASES FOR SEPTEMBER	A			
	TOTAL AUTOMATIC INVESTMENT SALES FOR SEPTEMBER	A			
OCT 4 88	[redacted]				
OCT 31 88	TOTAL AUTOMATIC INVESTMENT PURCHASES FOR OCTOBER	A			

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Norwest Bank Minnesota, N.A.
8th Street and Marquette Avenue
Minneapolis, Minnesota 55479

Statement

Capital Management and Trust Group

STATEMENT OF ACCOUNT
JAN 1, 1988 TO DEC 31, 1988

ACCOUNT NUMBER 6-17572-00-3
WILLIAM E FRENZEL INVESTMENT AGENCY

PAGE 3

DATE	DESCRIPTION OF TRANSACTION		
	TOTAL AUTOMATIC INVESTMENT SALES FOR OCTOBER	A	3 38
NOV 2 88	~~[illegible]~~		
NOV 30 88	TOTAL AUTOMATIC INVESTMENT PURCHASES FOR NOVEMBER	A	
	TOTAL AUTOMATIC INVESTMENT SALES FOR NOVEMBER	A	
DEC 2 88	~~[illegible]~~		
DEC 31 88	TOTAL AUTOMATIC INVESTMENT PURCHASES FOR DECEMBER	A	
	TOTAL AUTOMATIC INVESTMENT SALES FOR DECEMBER	C	

COMMON STOCK

CALL WRITE OPTION
DIGITAL EQUIPMENT
[illegible]

.96

.78-

HAND DELIVERED

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

BILL FRENZEL
(Full Name)

1026 Longworth Bldg.
(Mailing Address)

Washington, DC 20515

MC ✓
(Office Use Only)

MAY 24 [illegible] 1:24

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3rd State MN

☐ Officer or Employee—Employing Office

XX Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES _____ NO _____ NA _____

For more information, see detailed Instruction Booklet at page 7.

89070291527

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY

8 9 0 7 0 2 9 1 5 2 8

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE

8 9 3 7 0 2 9 1 5 2 9

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
1/27-2/1 World Economic Forum, ~~Davos, Switz.~~ Geneva to Davos to Washington	ONE-WAY AIR FARE, Geneva/DC
1/25-27/88 American Express, ~~Geneva, Switz.~~ Washington to Geneva	ONE WAY AIR FARE, DC/Geneva
(above reported as round trip air fare previously)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Bill Frenzel	5-23-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Martin Frost
(Full Name)

2459 Rayburn Building
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

MAY -4 PM 1:19

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 24 State Texas

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. ***Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.***

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Natl. Restaurant Assn. (1/4/88)	Honorarium	$1,000.00	
Natl. Assn. of Homebuilders (1/7/88)	Honorarium	2,000.00	
Furr's (1/14/88)	Honorarium	2,000.00	
Federation of American Health (1/26/88)	Honorarium	1,000.00	
American Hospital Assn. PAC (2/17/88)	Honorarium	1,000.00	

(continued)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Tennants, condominium, Dallas, Texas	Rent	D
Wright Patman Credit Union	Interest	A
Central and Southwest Corporation	Dividend	B
Houston Industries	Dividend	A
Fundamental Investors	Dividend	A
Exxon	Dividend	B

(continued)

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
553 Shares Central and Southwest	C
772 Shares Exxon	C
99 Shares General Motors	B
273 Shares Houston Industries	B
137 Shares Eli Lilly Company	B
100 Shares Anheuser Busch (wife, Valerie)	A

(continued)

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sold 204 Shares Federated Dept. Stores	3/14/88	B
Sold 344 Shares Massachusetts Investors Trust	11/28/88	A
Sold 280 Shares Wellington Fund	11/23/88	A
Bought 40 Shares Merck	3/88	B
Bought 75 Shares Schering Plough	3/88	A

continued

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage, Condominium, Dallas, Texas (Star States Mortgage Company)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
American Medical Association	Travel to Boca Raton, Florida
Nat'l Council of Community Hospitals	Travel to Jacksonville, Florida

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/4/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

[illegible]

Page 5 of 7

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Martin Frost
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
II. Income Earned - A	ALL HONORARIUM	
	American Hospital Assn. (2/19/88)	$2,000.00
	Healthcare Financial Management Assn. (3/14/88)	1,000.00
	Congressional Quarterly (3/15/88)	500.00
	Manet, Phelps, Rothenberger & Evans (4/5/88)	1,000.00
	Medical Group Management (4/6/88)	1,000.00
	American Medical Association (4/8/88)	2,000.00
	OPIEU (4/18/88)	2,500.00 *1
	Chemical Bank (5/4/88)	500.00
	Nat'l Council of Community Hospitals (5/11/88)	2,000.00
	Goucher College (5/13/88)	500.00
	Nat'l Assn. of Rehabilitation Agencies (5/16/88)	1,500.00
	Connell Rice & Sugar (5/20/88)	2,000.00
	Brookings Institution (5/27/88)	300.00
	American Optometric Assn. (5/13/88)	1,000.00
	Nat'l. Community Action Foundation (6/9/88)	750.00 *2
	The Greater Southwest Management Assn. (6/15/88)	1,000.00
	Brookings Institution (6/17/88)	300.00 *2
	Wine Institute (6/20/88)	1,000.00 *2
	Edison Electric Institute (7/6/88)	250.00
	Brookings Institution (10/7/88)	400.00
	Professional Development Institute (10/20/88)	1,000.00 *3

Disposition

*1 - $500.00 from OPEIU to Jewish Federation of Dallas

*2 - $2,060.00 to Temple Rodef Shalom, Falls Church, Va.

*3 - $1,000.00 to Jewish Federation of Dallas

Page 6 of 7

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Martin Frost
(Name)

Continuation Sheet

Source, Type, Amount, Category, Description or Value (As Applicable)

Part UNEARNED INCOME II (continued)	SOURCE	TYPE	CATEGORY
	General Motors	Dividend	A
	Wellington Fund	Dividend	A
	Eli Lilly	Dividend	A
	Mobil	Dividend	A
	Westinghouse	Dividend	A
	Century National Bank (daughter, Alanna)	Interest	A
	Southwestern Bell Corp. (daughter, Alanna)	Dividend	A
	Bell South (daughter, Alanna)	Dividend	A
	Metropolitian Savings & Loan (daughter, Alanna)	Interest	D
	Pacific American Income Shares (daughter, Alanna)	Dividend	A
	Savings of America (daughter, Alanna)	Interest	A
	Central and Southwest (daughter, Alanna)	Dividend	A
	Pinnacle West (daughter, Alanna)	Dividend	A
	Nynex (daughter, Alanna)	Dividend	A
	Florida Progress (daughter, Alanna)	Dividend	A
	SCE Corp. (daughter, Alanna)	Dividend	A
	Citicorp Savings (daughter, Mariel)	Interest	A
	Zero Coupon Bonds (daughter, Mariel)	Interest	A
	First City Bank (daughter, Mariel)	Interest	A
	Congressional Credit Union (daughter, Mariel)	Dividend	A
	San Antonio Savings (daughter, Camille)	Interest	A
	Zero Coupon Bonds (daughter, Camille)	Interest	A
	Public Service of New Mexico (daughter, Camille)	Dividend	A
	Congressional Credit Union (daughter, Camille)	Dividend	A

HOLDINGS III (continued)	IDENTITY	CATEGORY
	54 Shares Mobil Corporation	A
	179 Shares Westinghouse	B
	120 Shares Merck	B
	929 Shares Fundamental Investors	B
	75 Shares Schering Plough	A
	300 Shares Wal-Mart	B
	Condominium, Dallas, Texas	C
	Eaton Vance Growth Fund (IRA)	B
	60 Shares Waste Management (wife, Valerie)	A
	160 Shares Blockbuster Entertainment (wife, Valerie)	A
	Washington Fringe Benefit Investment Club (wife, Valerie)	A
	Eaton Vance Growth Fund (IRA, wife, Valerie)	B
	Savings Account, Savings of America (daughter, Alanna)	A
	Savings Accounts Metropolitan S & L (daughter, Alanna)	D
	300 Shares, Pacific American Income (daughter, Alanna)	A
	100 Shares Southwestern Bell (daughter, Alanna)	A
	100 Shares Central and Southwest (daughter, Alanna)	A
	100 Shares NYNEX (daughter, Alanna)	B
	150 Shares Bell South (daughter, Alanna)	B
	Certificate of Deposit, Cong. Credit Un. (daughter, Alanna)	B
	70 Shares Florida Progress (daughter, Alanna)	A
	100 Shares Southern California Edison (daughter, Alanna)	A
	50 Shares Duke Power (daughter, Alanna)	A
	Savings Account, Citicorp Savings (daughter, Mariel)	B
	Zero Coupon Bonds (daughter, Mariel	B
	Savings Acct., Congressional Credit Union (daughter, Mariel)	B
	75 Shares Kelly Services (daughter, Mariel)	A
	Savings Acct., Congressional Credit Union (daughter, Camille)	A
	Savings Acct., San Antonio Savings (daughter, Camille)	A
	Zero Coupon Bonds (daughter, Camille)	B
	75 Shares Kelly Services (daughter, Camille)	A
	85 Shares Public Service of New Mexico (daughter, Camille)	A
	Md. St. Health & Higher Ed. Fac. Rev. Bond (Camille)	A
	Austin, Tx. Combined Utility System Municipal Bond (Camille)	A

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Page 7 of 7

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Martin Frost
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
IV Transactions (continued)	Brief Description	Date	Category
	Sold 100 Shares Pinacle West (Alanna)	7/5/88	A
	Bought 30 Shares Florida Progress (Alanna)	4/14/88	A
	Bought 50 Shares Duke Power (Alanna)	7/25/88	A
	Sold 100 Shares Mass. Devel. Fund (Camille)	6/6/88	A
	Sold 100 Shares Mass. Devel. Fund (Mariel)	6/6/88	A
	Bought Md. State Health & Higher Ed. Facility Rev. Bond (Camille)	6/16/88	A
	Bought Austin, Tx. Combined Utility System Municipal Bond (Camille)	11/15/88	A

BALANCE SHEET: MARTIN AND VALERIE FROST

As of 31 December 1988

ASSETS:

Condominium, Dallas, Texas	$47,500.00
House and Lot, Arlington, Virginia	292,100.00
Home furnishings and other personal effects	35,000.00
Savings, Wright Patman Federal Credit Union	49.15
Checking Account, Wright Patman Federal Credit Union	10,554.81
Retirement, U. S. Congress	34,494.06
Thrift Savings Plan	6,694.18
IRAs (Martin and Valerie)	18,726.37
Washington Fringe Benefit Investment Club	5,362.07
Sub Total	$450,480.64

Automobiles:

1979 Chevrolet Chevette	1,000.00
1984 Toyota Van	4,550.00
1984 Starcraft Pop-up Trailer	2,090.00
1985 Pontiac 6000	5,575.00
Sub Total	$13,215.00

Stocks and Bonds:

553 Shares Central and Southwest	$17,143.00
772 Shares Exxon	34,740.00
99 Shares General Motors	8,452.00
273 Shares Houston Industries	7,507.00
137 Shares Eli Lilly	11,936.00
54 Shares Mobil	2,470.00
179 Shares Westinghouse	9,352.00
929 Shares Fundamental Investors	13,492.00
U. S. Savings Bonds	300.00
300 Shares Wal-Mart	9,225.00
8 Shares General Motors (E)	349.00
8 Shares General Motors (H)	223.00
100 Shares Anheuser Busch	3,137.00
60 Shares Waste Management	2,490.00
160 Shares Blockbuster Entertainment	3,080.00
120 Shares Merck	6,780.00
75 Shares Schering Plough	4,312.00
Sub Total	$134,988.00
TOTAL ASSETS	$598,683.64

LIABILITIES:

Mortgages:

Star States Mortgage Corporation (Dallas Condominium)	$37,225.76
Paine-Webber (Arlington Residence)	171,185.25
Century National Bank (Arlington Residence	37,446.80
Sub Total	$245,857.81

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BALANCE SHEET: MARTIN AND VALERIE FROST

Continued:

Installment Loans:

Open Charge Accounts (Balance)	$ 1,000.00
GMAC (Automobile)	3,355.44
Sub Total	4,355.44
TOTAL LIABILITIES	250,213.25

NET WORTH:

Total Assets	$598,683.64
Total Liabilities	250,213.25
TOTAL NET WORTH	$348,470.39

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MARTIN FROST
24th District, Texas

RULES COMMITTEE

HOUSE ADMINISTRATION
COMMITTEE

DEPUTY MAJORITY WHIP

2459 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-3605

Congress of the United States
House of Representatives
Washington, DC 20515

MAY 18 PM 5:00

DISTRICT OFFICES
☐ DALLAS, TEXAS 75208
NCNB Tower, Room 1319
400 South Zang Boulevard
(214) 767-2816
☐ GRAND PRAIRIE, TEXAS 75051
NCNB Tower, Room 720
801 West Freeway
(214) 262-1503
PLEASE REPLY TO
OFFICE CHECKED

16 May 1989

HAND DELIVERED

✓
MC

Honorable Julian C. Dixon, Chairman
Committee on Standards of Official Conduct
Suite HT-2, U. S. Capitol
Washington, D. C. 20515

Dear Mr. Chairman:

Pursuant to your letter of May 15, 1989, concerning the recently filed Financial Disclosure Statement, I am writing to clarify and amend the deficiencies you noted.

Under Part III, Holdings, the Trust Holdings Box should be checked "No".

Under Part IV, Transactions, line 6 of the checklist, the Fur Vault shares held by Mariel and Camille are not listed under transactions because they are still held. Also, the Fur Vault shares held by Mariel and Camille were not listed under Holdings because they are less than $1,000 in value.

Should you need further information, please let me hear from you.

Sincerely,

Martin Frost

MF/jdm

MAY 16 PM 4:50

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

JAIME B. FUSTER
(Full Name)

427 CANNON HOB
(Mailing Address)

WASHINGTON, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District - State Puerto Rico

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed: identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO _X_ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
William Patterson College (2-22-1988)	honorarium	$1,200	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
2 Patricia St., Bayamón, P.R.	Rents	D (Gross)
Government of Puerto Rico Bonds	Interest	E
G.N.M.A. Secured Mortgage Certificates	Interest	E
Puerto Rican banks (see below)	Interest	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Three bedroom house in 1/4 acre plot in Bayamón, P.R.	E
Equity in 1/4 acre plot owned in partnership in Dorado, P.R.	A
Equity in 5 acre plot owned in partnership in Trujillo, P.R.	A
Government of Puerto Rico bonds	F
G.N.M.A. Secured Mortgage Certificates	F
Personal Savings Accounts in Banco Financiero and Ponce Federal Bank	E

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION (sale)	DATE	CATEGORY
(1) Redemption/of G.N.M.A. Mortgage Certificate	3-18-88	C
(2) Redemption (sale) of equity in Life Ins. Policy	5 3-88	A
(3) Purchase of G.N.M.A. Mortgage Certificate	1-29-88	C
(4) Purchase of G.N.M.A. Mortgage Certificate	7-1-88	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage loan with H.F., Inc.	D
Personal loan with Assoc. of P.R. Government Employees	A

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
(1) Spanish Chambers of Commerce (fact-finding: self and spouse)	Round trip airfare Wash.-Madrid-Seville: 11 days food and lodging
(2) Hispanic Caucus Institute (speech-conference) self and spouse	One round trip airfare Wash.-San Juan: 3 days lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Chairman	Hispanic Caucus Institute

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Jaime B Fuster	May 11, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

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APR 28 1989

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

ELTON GALLEGLY
(Full Name)

1791 Erringer Road, Suite 204
(Mailing Address)

Simi Valley, CA 93065

MC (Office Use Only)

1989 MAY -4 AM 10 48
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 21st State California

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES _____ NO XX NA _____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Board of Trade of the City of Chicago (8-26-88)	Honoraria	$500.00	
Chicago Mercantile Exchange (8-26-88)	Honoraria	$500.00	
California Cable Television Assn. (12-1-88)	Honoraria	$1,000.00	
Dynamic Realty (spouse)	Commission	N/A	
Nostalgia Junction (spouse)	Sales	N/A	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Don Scanlin, trust deed vacant land in Moorpark	Interest	B
Don Scanlin, payoff of trust deed in Moorpark	Principal	E
Partnership Income, 1791 Erringer Rd., Simi Valley	Rent	E (½ interest)
Partnership Income, 1353 Agnew St., Simi Valley	Rent	D (½ interest)
Partnership Income, 1365 Agnew St., Simi Valley	Rent	D (½ interest)
Valley Federal Savings, Simi Valley	Interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __XX__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Dynamic Realty (spouse's business) Simi Valley	B
Nostalgia Junction (spouse's business) Simi Valley (antiques on hand)	C
Dynamic Realty (office equipment) Simi Valley	B
Gallegly/Schweitzer Partnership - office bldg. 1791 Erringer, Simi Valley	F (½ interest)
Gallegly/Schweitzer Partnership - 1353 Agnew, Simi Valley (rental house)	D (½ interest)
Gallegly/Schweitzer Partnership - 1365 Agnew, Simi Valley (rental house)	D (½ interest)

(Continued on Continuation Sheet, Page 5)

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
H. L. Schweitzer, P. O. Box 602, Tujunga CA (trust deed 1791 Erringer)	D (½ liability)
Valley Federal, Simi Valley (trust deed for 1353 Agnew St., Simi Valley)	C (½ liability)
Valley Federal, Simi Valley (trust deed for 1365 Agnew St., Simi Valley)	C (½ liability)
Simi Valley Bank, Simi Valley (personal loan for 1986 campaign - paid off in 1988)	C (paid off)

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

[illegible] 2 / 3 1 2 [illegible]

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
California Cable Television Assn. (speech)	Roundtrip airfare - Wash, D.C. to Los Angeles
Congressional Institute, Inc. (seminar participant)	Roundtrip bus transportation - Washington to Easton, Md. lodging and meals

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Gallegly/Schweitzer Partnership, Simi Valley, CA.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Elton Gallegly	4/24/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989 [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

ELTON GALLEGLY
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III	HOLDINGS (CONTINUED)	
	Vacant lot Tahoe Keys, Lake Tahoe, CA (½ interest w/D. Ross)	D
	Valley Federal Savings, Simi Valley, CA (savings)	C
	Simi Valley Bank, Simi Valley, CA (various accounts)	B
	California Federal (keough)	D
	7½ Acres vacant land trust deed Moorpark (paid off 1-29-88)	C

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

MAY -9 11:02

FORM A—For use by Members, officers, and employees

Dean A. Gallo
(Full Name)

64 Continental Road
(Mailing Address)

Morris Plains, New Jersey 07950

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 11 State New Jersey

☐ Officer or Employee—Employing Office ____________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Gallo & DeCroce Inc. Parsippany, N.J.	Salary	26,850	-
N.J. State Employees Def.Comp. Trenton, N.J.	Def Comp	Gov't	-
Arthur Anderson & Co. Received 2-4-88	Honorarium	1,000	Charity ($1,000)
The Tobacco Institute Received 6-29-88	Honorarium	1,000	Charity ($1,000)
Nat'l Restaurant Assoc. Received 8-30-88	Honorarium	1,000	Charity ($1,000)

(Continued on Schedule Attached)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Congressional Federal Credit Union	Interest	A
Morris County Federal Credit Union	Interest	A
D&H Enterprises - Partnership	Interest	B
202 North Associates - Partnership	Interest	B
Beachwood Associates - Partnership	Interest	B
Zodiac Futures Fund - Partnership	Interest	A

(Continued on Schedule Attached)

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
Gallo & DeCroce Inc. Parsippany, N.J.	Common Stock	C
Gal Lex & Tedesco Inc. Parsippany, N.J.	Common Stock	E
Gallo DeCroce & Buhler Inc. Parsippany N.J. (SubS)	Common Stock Liquidated	B
Partnerships:		
D. H. Enterprises Hanover, N.J. 25% Interest Realty		E
Rand Associates Hanover, N.J. 25% Interest Realty		D

(Continued on Schedule Attached)

[illegible]

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Zodiac Futures Fund - Purchase .17075%-Passive Interest	8-29-88	A
Templeton Growth Fund - Purchase Interest	7-11-88	B
Inv. Co. of America - Purchase Invest Interest	7-11-88	B
Passive Activity Entities - Beechwood Associates-Partnership and Gallo DeCroce & Hubler Inc. - Net Passive Activity - Sale Realty - IRS Form 4797 and Schedule D - Partners Share Net Income)	1988	(Net) E

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a **SPEAKING ENGAGEMENT** or **FACT-FINDING EVENT** related to official duties, whether those expenses were **REIMBURSED** to the individual or **PAID DIRECTLY** by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
N.J. Congressional Mission	All travel, lodging, meal and
to Israel (Member)	other program expenses Total
	Cost - Nov 14-20, 1988

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	Gallo & DeCroce Inc. Parsippany, N.J.
Vice President	Gal Lex & Tedesco Inc. Parsippany, N.J.
President	Gallo DeCroce & Hubler Inc. (In Liquidation) Parsippany NJ
Partner 25%	D & H Associates E. Hanover, NJ
Partner 25%	Rand Associates E. Hanover, NJ
	(Continued on Schedule Attached)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. 701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. 706 and 18 U.S.C. 1001).

Signature	Date
Dean A. Gallo Dean A. Gallo	May 8th 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page 5 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

DEAN A. GALLO
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
	(CONTINUED FROM PAGE 2)			
II. Income A. Source		Type	Amount	Disposition
	United Jersey Bank NW (Received 11-2-88	Honorarium	$ 100.	Charity ($100)
	BASF Corp - Received 12-2-88	Honorarium	1,500.	Charity ($1,500)
			Type	Category
II. Income B. Source Unearned Income	Rand Associates -Partnership		Interest	A
	Fox Ridge Associates - Partnership		Interest	A
	Powder Mill Bank		Interest	A
	A.G. Edwards Money Mkt A/C		Interest	A
	Oppenheimer Director Fund		Dividends	A
	A.G. Edwards		Dividends	C
	Templeton Growth Fund		Dividend	A
	Inv. Co. of America		Dividend	A
	Gallo & DeCroce Inc.		Dividend	E
	Gallo & DeCroce Inc. PreElective Passive		Commission	B
	Gal Lex & Tedesco Inc PreElective Passive		Commission	G
	Fox Ridge Assoc.-Passive		Commission	D
	Powder Mill Bank-Passive		Dir. Fee	B
	OTHER PASSIVE ACTIVITY INTERESTS AND CORPORATE SUB S HOLDINGS DETAILED IN III. HOLDINGS REFLECTED A NET PASSIVE INCOME TOTALING CATETORY			E
III. Holdings	Partnerships (Continued)			
	7410 Partnership - Morris Plains, NJ 50% Interest		Realty	D
	Fox Ridge Associates - Morris Plains, NJ 16.67% Interest		Realty	C
	Morris County Invest. Assoc.-Morristown NJ 11.11% Interest		Investment	A
	Beechwood Associates-Parsippany NJ 50% Interest		Realty	C
	202 North Associates-Parsippany NJ 25% Interest		Realty	E
	Franklin Ridge Associates-Rockaway NJ 33 1/3 % Interest		Realty	C
	Zodiac Futures Fund-St.Louis, MO .17075% Interest		Investment	A
	Powder Mill Bank-Morris Plains, NJ Investor		Investment	E
	SAVINGS ACCOUNTS			
	Congressional Fed. Cr Union		Sav. A/C	B
	Morris County Fed Cr Union		"	"
	A.G. Edwards & Son Cash Accum Fund			D
	Oppenheimer Director Fund			C
	Templeton Growth Fund			B
	Inv. Co of America			B
	IRA Funds (Various Fund for Member)			C

Page 6 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

DEAN A. GALLO
(Name)

Continuation Sheet

(CONTINUED FROM PAGE 4)

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
VII Reimbursements	N/A	
VIII Positions	Position	Name of Organization
	Partner 50%	7410 Partnership - Morris Plains, NJ
	Partner 16.67%	Fox Ridge Assoc. - Morris Plains, NJ
	Partner 11.11%	Morris County Inv. Assoc. Morristown, NJ
	Partner 33.3%	Franklin Ridge Assoc. Rockaway Boro, NJ
	Partner 50%	Beechwood Assoc. - Parsippany, NJ
	Partner 25%	202 North Assoc. Parsippany, NJ
	Partner .17075%	Zodiac Futures Fund - St. Louis Mo.
	Director	Powder Mill Bank - Morris Plains, NJ
	Investor	Mutual Funds Listed in III

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

OFFICE OF RECORDS & REGISTRATION
1989 JUN 15 PM 4:38
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

FORM A—For use by Members, officers, and employees

Robert Garcia
(Full Name)

2338 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 18 State New York

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO _x_ NA ____

For more information, see detailed Instruction Booklet at page 7.

This FINANCIAL DISCLOSURE STATEMENT is being submitted subject to the advise being sought from the Committee with respect to the proper reporting of certain transactions. As a result some information may have to be supplied after receiving this advise from the Committee.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Spouse:			
Moda Milano, Inc.	Fee		
continue........see attachment			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman Congressional Credit Union from		
Share Certificates	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Moccasin Kill Farm (single family house)	F
Moda Milano, Inc. (spouse)	F
Franklin Custodian Funds, Inc.,Franklin US Gov. Sec. Fund	A
" " " " " " " " (spouse)	A
Templeton Global, II	A
Wright Patman Congressional Credit Union (Share Certificate)	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Moda Milano, Inc. (spouse)	Nov. 9, 1988	SOLD

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Ponce de Leon Federal Savings Bank, N.Y.	F
New York National Bank, N.Y. (business)	D
Banco de Santander-P.R., N.Y. (commercial)	C
John Márquez (personal)	B
Banco Popular, P.R. (business)	F
Eugenio C. Vicente (business)	C
Wright Patman Congressional Credit Union (personal)	B
Citibank (business)	C
Thomas Toosie (personal)	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Lynette Teich	3/29/88 two dinner tickets for the Jackie Robinson Gala ($300. each)
Teacher's College Columbia Unv.,US Japan Foundation of NYC and Tokyo (Exchange Program)	5/6-15/88 transportation, lodging, and food; round trip NY-Japan (wife only)

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Legal Defense Funds....see attachment		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
see continuation sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
see continuation sheet	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
none		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	June 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Robert García
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II. A.	SOURCE	TYPE	AMOUNT
1/15/88	WPIX	Fee	$ 242.00
1/01/88	WPIX	Fee	$ 242.00
3/30/88	Inter. Information Agency, Inc. (TV Prodc.)	Fee	$ 143.05
10/12/88	The World and I Magazine	Fee	$ 500.00
1/28/88	Coalition for Employment Through Exports	Fee	$1,000.00
2/12/88	Western Michigan University (speech)	Honoraria	$1,000.00
2/19/88	Touro College School of Law "	Honoraria	$ 500.00
3/03/88	Securities Industries Association "	Honoraria	$2,000.00
3/21/88	Mexican American Grocers Association "	Honoraria	$1,000.00
4/08/88	Drexel Burnham Lambert "	Honoraria	$1,000.00
6/29/88	S.C.S. Business and Technical Inst. Inc."	Honoraria	$2,000.00
10/11/88	Puerto Rican Week Festival, Inc. "	Honoraria	$1,000.00

VII.	SOURCE	BRIEF DESCRIPTION
1/10/88	Touro College, Ilhee Inst. of Korea, Soochow University(in connection with speaking engament)	Round trip JFK-Korea, transportation, lodging and food (with spouse)
1/26/88	Akin, Gump, Strauss, Hauer and Feld in connection with speaking engament with Drexel Burnham Lambert	Round trip Wash.,D.C.-Los Angeles, Calf.
1/29-2/2/88	Congressional Hispanic Caucus Inst., Inc. (in connection with Board of Director Annual meeting)	Round trip from NYC-P.R., transportation, lodging and food(Board meeting)
3/18/88	Mexican American Grocers Association (in connection with speaking engament)	Round trip from NYC-Los Angeles, Calf.
5/28/88 - 6/2/88	Madrid Chamber of Commerce (in connection with fact finding mission)	Round trip NYC-Madrid, transportation, lodging and food(with wife)
9/24/88	Puerto Rican Week Festival, Inc. (in connection with speaking engament)	Transportation, lodging and food (Philiadelphia)
10/17/88	CENESO (did not received reimbursement until 1/24/89. Honoraria related not received in 1988) - in connection with speaking engament	Transportation (NYC-Rochester, NY)

VIII.	POSITIONS	NAME OF ORGANIZATIONS
	Member	Congressional Hispanic Caucus
	Member	NALEO
	Associate Member	National Council of La Raza
	Member, Board of Trustees	Mt. Sinai Hospital
	Member, National Board	Americans for Democratic Action
	Member, Board of Directors	P.R. Legal Defense
	Member (Life)	National Association for the Advancement of Colored People

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ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT FOR

1986

ROBERT GARCIA

18th DISTRICT, NEW YORK

1. SPOUSE AND DEPENDENT DISCLOSURE

EXEMPTION

In response to Standards for exemption, I have not included information in this report concerning property owned by Miss Kirsten Matos, my wife's daughter. She inherited a farm in Puerto Rico upon her father's death in 1984. In addition, my wife Jane Lee Garcia is obligated to repay a loan secured by a mortgage on the same property.

Disclosure concerning the financial interests and liabilities of Miss Kirsten Matos and Mrs. Jane Lee Garcia with respect to this property is not necessary because the standards for an exception are met:

1. I have no financial interest in the property and no knowledge of the details of Miss Kirsten Matos' financial interest.

2. The property is derived from Miss Matos' late father's estate, and I have no direct on indirect interest in it.

3. Because I have no interest in this property, financial of otherwise, I do not derive any financial or other benefit from it.

Robt Garcia

ROBERT GARCIA, M.C.

ETHICS IN GOVERMENT ACT-FINANCIAL DISCLOSURE STATEMENT FOR

1988

ROBERT GARCIA
18th DISTRICT, NEW YORK

VI. GIFTS

B. On March 20, 1987, a fund was established to pay legal fees for Congressman Robert Garcia. The fund is maintained separately and independently from the Congressman. Contributors and contribution amounts are not reported to Congressman Robert Garcia. In 1988 a total of $14,600.00 was raised.

Robt Garcia
ROBERT GARCIA, M.C.

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT FOR

1988

ROBERT GARCIA

18th DISTRICT, NEW YORK

CLERK:

Please amend 1987 Financial Disclosure Statement as follows:

III. HOLDINGS

Delete.....Legal Defense Fund B.

VI. GIFTS

B. Add: On March 20, 1987, a fund was established to pay legal fees for Congressman Robert Garcia. The fund is maintained separately and independently from the Congressman. Contributors and contribution amounts are not reported to congressman Robert Garcia. In 1987 a total of $2,481.25 was raised.

Robt Garcia

ROBERT GARCIA, M.C.

[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

MAY 11 3 20

FORM A—For use by Members, officers, and employees

JOSEPH M. GAYDOS
(Full Name)

3000 Valley Ridge Road
(Mailing Address)

McKeesport, PA 15132

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 20 State PA

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed: identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ____ NA X

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See attached page 1			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
None		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Precious metals	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Three Rivers Bank	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See attached page	2

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	None

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Joseph M. Gaydos JOSEPH M. GAYDOS	May 9,1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

JOSEPH M. GAYDOS
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-A	Income		
	Source	Type	Amount
	Shipbuilders Council of America	Honorarium	$1,000
	International Assn. of Firefighters	Honorarium	1,000
	National Constructors Assn.	Honorarium	500
	Jones, Day, Reavis and Pogue Law Firm	Honorarium	2,000
	Building and Construction Trades Dept.	Honorarium	2,000
	National Roofing Contractors Assn.	Honorarium	500
	United Schools, Inc.	Honorarium	2,000
	Cassidy & Associates (Ocean Spray, Inc.)	Honorarium	2,000
	BP America	Honorarium	1,500
	American Maritime Officers Service	Honorarium	2,000
	AT&T	Honoarium	2,000
	Industrial Training Systems Corporation	Honorarium	1,000
	National Coal Assn.	Honorarium	1,000
	National Association of Accredited Cosmetology Schools	Honorarium	2,000
	American Iron and Steel Institute	Honorarium	2,000
	USX Corporation	Honorarium	2,000

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Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

JOSEPH M. GAYDOS
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
VII	REIMBURSEMENTS
4/29/88	United Schools, Inc. - Speaking Engagement (airfare)
6/8/88	BP America - Speaking Engagement (airfare and lodging)
7/1/88	Industrial Training Systems Corporation - Speaking Engagement (airfare and lodging)
10/17/88	National Association of Accredited Cosmetology Schools Speaking Engagement (airfare and lodging)

HAND DELIVERED

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1989 MAY 16 AM 11:28

U.S. HOUSE OF REPRESENTATIVES

JOSEPH M. GAYDOS
20th District, Pennsylvania

Committees:
EDUCATION AND LABOR
Chairman:
SUBCOMMITTEE ON HEALTH AND SAFETY
HOUSE ADMINISTRATION
Chairman:
SUBCOMMITTEE ON ACCOUNTS

Congress of the United States
House of Representatives
Washington, DC 20515

2186 Rayburn House Office Building
Washington, DC 20515
(202) 225-4631

District Offices:
318 Fifth Avenue
McKeesport, PA 15132
(412) 644-2896
(412) 673-7756

979 Fourth Avenue, Room 217
New Kensington, PA 15068
(412) 339-7070

May 15, 1989

MC

Office of Records and Registration
1036 Longworth Building
Washington, DC 20515

Gentlemen:

Enclosed is an amended continuation sheet reporting Section II-A (Income) under the Ethics in Government Act - Financial Disclosure Statement. This amended continuation sheet replaces page 1 of 2 of the statement filed on May 11.

Sincerely yours,

Joseph M. Gaydos

JOSEPH M. GAYDOS
MEMBER OF CONGRESS

JMG/bp
Enclosure: Section IIA
Financial Disclosure Statement

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Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

JOSEPH M. GAYDOS
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
II-A	Income		
	Source	Type	Amount
2/10/88	Shipbuilders Council of America	Honorarium	$1,000
2/24/88	International Assn. of Firefighters	Honorarium	1,000
3/23/88	National Constructors Assn.	Honorarium	500
4/6/88	Jones, Day, Reavis and Pogue Law Firm	Honorarium	2,000
4/13/88	Building and Construction Trades Dept.	Honorarium	2,000
4/25/88	National Roofing Contractors Assn.	Honorarium	500
4/29/88	United Schools, Inc.	Honorarium	2,000
6/8/88	Cassidy & Associates (Ocean Spray, Inc.)	Honorarium	2,000
6/8/88	BP America	Honorarium	1,500
6/13/88	American Maritime Officers Service	Honorarium	2,000
6/20/88	AT&T	Honoarium	2,000
7/1/88	Industrial Training Systems Corporation	Honorarium	1,000
9/15/88	National Coal Assn.	Honorarium	1,000
10/17/88	National Association of Accredited Cosmetology Schools	Honorarium	2,000
11/16/88	American Iron and Steel Institute	Honorarium	2,000
12/16/88	USX Corporation	Honorarium	2,000

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Samuel Gejdenson
(Full Name)

1410 Longworth HOB
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

89 MAY 15 PM 3 51
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

XX Member of the U.S. House of Representatives—District 02 State CT

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, each interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION?	YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Spouse -- Substitute teacher	salary		
Temple Israel (1-25-88)	Honorarium	1,000	—
United Jewish Appeal (3-22-88)	Honorarium	2,000	—
Chabad of Irvine Jewish Center (3-28-88)	Honorarium	1,500	—

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Basement apartment of personal residence	rent	D
(spouse) Georgia Power (2004 bonds)	Interest	B
(spouse) Reliance Group (1988 bonds)	Interest	A
Wright Patman Congressional Federal Credit Union	Interest	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Basement Apartment of personal residence	C
(spouse) Georgia Power (2008 bonds)	B
(spouse) Reliance Group (1988 bonds)	A
Wright Patman Congressional Federal Credit Union (Savings)	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
none		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
none	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Temple Israel (speech/Norfolk, VA)	Roundtrip HFD - Norfolk - Hfd
United Jewish Appeal (Speech/Indianapolis, IN, 1987)	Roundtrip WDC/Indy and 1 night lodging
United Jewish Appeal (Speech/Boston, MA,1987)	Airfare WDC/Boston/Hfd-
United Jewish Appeal (Speech/Rochester,NY,1987)	Roundtrip WDC/Rochester/WDC
Temple Emanuel, Inc. (Speech/Greensboro, NC,May88)	Airfare Newark/Greensboro/WDC

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
none	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	none	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-15-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Samuel Gejdenson
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II A	Honoraria		
	JCRC Philadelphia (5-10-88)	Honorarium	2,000 —
	American Nuclear Insurers (5-4-88)	Honorarium	500 —
	Temple Emanuel, Inc. (6-1-88)	Honorarium	1,500 —
	Pfizer, Inc. (6-21-88)	Honorarium	1,000 —
	Cuban American National Found. (6-29-88)	Honorarium	2,000 —
	United Jewish Appeal (9-22-88)	Honorarium	2,000 —
	The Casden Company (11-18-88)	Honorarium	2,000 —
	Central CT Co-op (12-19-88)	Honorarium	1,000 —

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1989 MAY 22 AM 7:50
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MAY 12 1989

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

George W. Gekas
(Full Name)

732 S. 25th Street
(Mailing Address)

Harrisburg PA 17111

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District 17 State PA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Gordon D. Myers, M.D., P.C. (Spouse)	Salary	N-A	N-A
Commonwealth of Pennsylvania			
State Employee's Retirement System	Pension	13,430	N-A

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See attachment		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __✓__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See attachment	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
MID PENN BANK - REDEEMED 6 MO CD	4.88	C
MID PENN BANK - PURCHASED 6 MO CD	4.88	C
MID PENN BANK - REDEEMED 6 MO CD	10.88	C
MID PENN BANK - PURCHASED 6 MO CD	10.88	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board Member	Addictive Disease Clinic of Harrisburg - Spouse
Secretary/Treasurer	Gordon D Myers, M.D., P.C. - Spouse
Board Member	Art Association of Harrisburg - Spouse
Board Member	Rutherford House - Spouse,
Trustee	Savings Account of Smyra Gekas, Valerie Gekas & Leah Smielis

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/12/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1989

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Page 4 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

George W. Gekas
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II	UNEARNED INCOME		
	SOURCE	TYPE	CATEGORY
	Equitable Life Assurance Society	Interest	A
	Cumberland County National Bank (Spouse)	Interest	A
	Harris Savings Association	Interest	A
	Wright Patman Congressional Federal Credit Union	Interest	A
	Mid Penn Bank	Interest	C
	Hill Financial	Interest	A
	Office of Personnel Management US Government	Interest	C
	Dauphin Deposit Bank	Interest	B
	New York Life Insurance	Interest	A
	Dauphin Deposit Bank - IRA	Interest	A
	Unit Municipal Investment Trust Fund	Interest	A
	Walston Municipal Fund	Interest	A
	Mutual Life Insurance Co. of N.Y. (Spouse) IRA	Interest	A
	Financial Industrial Fund	Dividend	A
	CCNB Corp.	Dividend	B
	CCNB Corp. (Spouse)	Dividend	A

Part	Source	Category
III	HOLDINGS	
	SOURCE	CATEGORY
	Harris Savings Association - Savings	B
	Fulton Bank - Savings	B
	Wright Patman Congressional Federal Credit Union - Savings	A
	Mid Penn Bank - CDs	D
	Dauphin Deposit Bank - IRA	B
	Dauphin Deposit Bank - Savings	C
	CCNB Corp - Stock - ½ Interest	C
	Hill Financial - Saving	A
	Unit Municipal Investment Trust Fund 122 MPS - Bond Fund	A
	Financial Industrial Fund, Inc	A
	CCNB Corp (Spouse) Stock	B
	US Savings Bonds	B
	US Savings Bonds (Spouse)	A
	Walston Municipal Fund - Bond Fund	A
	Mutual Life Insurance Co. of NY (Spouse) IRA	B
	CCNB, Corp (Spouse) Savings	A

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Richard A. Gephardt
(Full Name)

1432 Longworth Building
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

MAY 15 [illegible]

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3rd State MO

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT–2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Continuation Sheet 1 of 2			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
House --- 4121 Fairview, St. Louis, MO	Rent	A
House --- Lot #6, Sea Colony, Duck NC	Rent	D
House, 50 acres land (part owner) 26 Liberty Ridge Hillsboro, MO	Rent	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
House --- Lot #6, Sea Colony, Duck, NC	E
House --- 4121 Fairview, St. Louis, MO	E
House, 50 acres land (part owner) 26 Liberty Ridge, Hillsboro, MO	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Commerce Bank (mortgage), 3134 S. Grand Blvd. St. Louis, MO	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Continuation Sheet 2 of 2	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Chairman of the Board	United States Foundation for International Economic Policy

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Richard A. Gephardt	5-15-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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ETHICS IN GOVERNMENT ACT--FINANCIAL DISCLOSURE STATEMENT

Hon. Richard A. Gephardt

Continuation Sheet 1 of 2

11. Income--Honoraria

DATE REC'D	Organization	Amount
1-04-88	Washington Post	$ 200
4-26-88	Tobacco Institute	2,000
4-30-88	American Trucking Association	2,000
5-10-88	Computer and Communications Industry Association	2,000
5-19-88	Coalition Against Regressive Taxation	2,000
5-24-88	Riviana Foods	1,621 (adjusted for airfare; see Section VII)
5-26-88	American Hospital Association	2,000
5-26-88	Wine Institute	1,000
6-01-88	Ogilvey and Mather	2,000
6-01-88	Shearson Lehman	600
6-06-88	Fertilizer Institute	2,000
6-08-88	RJR Nabisco	2,000
6-20-88	Alliance for Capital Access	2,000
6-28-88	California Hospital Association	2,000
7-13-88	National Tobaccoo Distributers	2,000
9-02-88	Touche-Ross	2,000
9-27-88	A.E. Laffer Associates	2,000
10-9-88	Chicago Mercantile Exchange	500
10-9-88	Chicago Board of Trade	500
11-03-88	Atlantic Financial	2,000
	TOTAL RECEIVED	$32,421
	AMOUNT DONATED TO CHARITY	5,571
	NET	$26,850

ETHICS IN GOVERNMENT ACT--FINANCIAL DISCLOSURE STATEMENT

Hon. Richard A. Gephardt

Continuation Sheet 2 of 2

Section 7. Reimbursements

Source	Brief Description
American Trucking Association 3-29-88	Air Travel:Member and Wife Lodging and Meals:Member and Wife Member address ATA Convention Key Largo, FL
Riviana Foods 5-24-88	Air Travel: Member and Wife Member address the Board of Directors Houston, TX
The Fertilizer Institute 6-06-88	Air Travel:Member and Staff Member address Fertlilzer Institute's Convention Greenbriar, West Virginia
California Hospital Association 6-08-88	Air Travel:Member and Wife Lodging and Meals: Member and Wife Member Address California Hospital Board of Directors Los Angeles, CA
Alliance for Capital Access 6-20-88	Air Travel: Member and Staff Member address Alliance for Capital Access Luncheon New York, NY
The New York Stock Exchange 7-25-88	Air Travel: Member and Staff Member Address New York Stock Exchange Executives New York, NY
Chicago Board of Trade 10-10-88	Air Travel: Member and Staff Address the Chicago Board of Trade Executives Chicago, IL

Chicago Research and Trading Company 10-10-88	Lodging:Member and Staff Member address Chicago Research and Trading Company Executives Chicago, IL
Atlantic Financial 11-03-88	Air Travel: Member Member address Atlantic Financial Board of Director's Meeting Hyannis Port, MA
United States Foundation for International Economic Policy 11-11-88 - 11-26-88	Air Travel: Member, Wife and Staff Lodging, Meals Member is Chairman of Board of Foundation. Foundation business and factfinding trip to Japan, The Peoples Republic of China and Hong Kong
Bethlehem Steel 12-19-88	Air Travel:Member and staff Member address Bethlehem Steel Executives Bethelem, PA

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

MAY 12 PM 1: 15

FORM A—For use by Members, officers, and employees

Sam M. Gibbons
(Full Name)

2204 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 7th State Florida

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
State of Florida	Pension	$1,702	
List attached - continuation sheet 1	Honoraria		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
House of Reps. Fed. Credit Union, Washington, DC	Interest	A
Florida Land Title, dba Stewart Title, Tampa, FL	Dividends	A
Belleair Beach, FL condominium	Rent	C
IRA	Withdrawal	D
IRA (spouse)	Withdrawal	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO X NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Home, 2300 N. Gulf Blvd., Unit 2A, Belleair Beach, FL	E
Stock, Florida Land Title, dba Stewart Title, Tampa, FL	B
Civil Service Pension Fund Contributions	D
IRA (spouse)	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
House of Representatives Fed. Credit Union, Washington, D.C.	A
First Union National Bank of Florida, Tampa, Fl	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
List attached - Continuation sheet 2	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director & Advisor	The U.S. Committee for The Battle of Normandy Museum (non-profit)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
Receive small pension (reported under income) from the State of Florida		
because of my ten years in the Florida State Legislature (1952-1962).		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Sam M. Gibbons	May 11, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT
SAM M. GIBBONS
1988

Continuation Sheet - 1

Part II.A. EARNED INCOME

Mutual Life Insurance Legislative Committee, 1-6-88, Honorarium, $1,000

American Council for Capital Formation Center for Policy Research, 1-19-88, Honorarium, $1,000

Hogan & Hartson, 2-12-88, Honorarium, $1,500

International Paper Company, 2-16-88, Honorarium, $2,000

Sutherland, Asbill & Brennan, 2-24-88, Honorarium, $2,000

FMC Corporation, 3-8-88, Honorarium, $1,000

International Sweetener Colloquium, 3-11-88, Honorarium, $2,000

French & Company, 3-14-88, Honorarium, $1,000

E. Bruce Harrison Company, Inc., 3-28-88, Honorarium, $2,000

Automobile Importers of America, Inc., 3-29-88, Honorarium, $2,000

Metropolitan Life and Affiliated Companies, 3-29-88, Honorarium, $1,000

The Penn Mutual Life Insurance Company, 4-12-88, Honorarium, $1,000

Grocery Manufacturers of America, Inc., 4-21-88, Honorarium, $2,000

National Marine Manufacturers Association, 4-25-88, Honorarium, $1,000

American Medical International (Eastern Division), 4-28-88, Honorarium, $2,000

Massachusetts Mutual Life Insurance Company, 5-2-88, Honorarium, $2,000

Retail Industry Trade Action Coalition, 5-16-88, Honorarium, $1,000

Securities Industry Association, 5-24-88, Honorarium, $2,000 ($650 DONATED TO CHARITY)

Patton, Boggs & Blow, 6-15-88, Honorarium, $1,000 (DONATED TO CHARITY)

International Management and Development Institute, 8-11-88, Honorarium, $2,000 (DONATED TO CHARITY)

International Electronics Manufacturers and Consumers of America, 9-23-88, Honorarium, $2,000 (DONATED TO CHARITY)

Tax Foundation, 12-7-88, Honorarium, $2,000 (DONATED TO CHARITY)

ETHICS IN GOVERNMENT ACT--FINANCIAL DISCLOSURE STATEMENT
SAM M. GIBBONS
1988

Continuation Sheet - 2

Part VII.

REIMBURSEMENTS

International Paper Company: Ground transportation to D.C. airport and round-trip air transportation between Washington, D.C. and White Plains, N.Y. (same day) for Congressman Gibbons.

International Sweetener Colloquium: Meals, lodging, and round-trip ground transportation between Tampa and Tarpon Springs, Florida, for Congressman and Mrs. Gibbons.

Massachusetts Mutual Life Insurance Company: Meals and lodging for Congressman and Mrs. Gibbons. Air transportation for Congressman from Tampa to Ft. Lauderdale to Washington, D.C. and round-trip air transportation for Mrs. Gibbons between Tampa and Ft. Lauderdale, Florida.

U.S. Committee for the Battle of Normandy Museum: Air transportation from Washington, D.C. to Paris, France, and one night lodging for Congressman and Mrs. Gibbons (joined congressional delegation for return to Washington) in connection with opening and dedication of Museum (non-profit organization).

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Paul E. Gillmor
(Full Name)

2253 Sand Road
(Mailing Address)

Port Clinton, Ohio 43452

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5 State Ohio

☐ Officer or Employee—Employing Office ________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,688, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
State of Ohio	salary	$57,200	
Ohio Mining & Reclamation Assn.	honorarium	500	
Ohio Trucking Assn.	honorarium	200	
Ohio Assn. of Convention Bureau	honorarium	300	
Ohio Chamber of Commerce	honorarium	300	

(continued on attached sheet)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Russ Togs	dividend	A
American Building Maintenance	dividend	A
Dealers Alliance Corp	dividend	A
Gordon Jewelry	dividend	A
Murphy Oil Company	dividend	A
Old Fort Banking Company	dividend	A

(continued on attached sheet)

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Public Employees Retirement System-Ohio - employee contributions	D
Merrill Lynch Municipal Investment Trust Mutual Funds	D
Twentieth Century Select Fund	C
Price New Horizons Fund	C
Russ Togs	B
Wendy's International	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Standard and Poor Puts - sale	2/20/88	A
Rollins Environmental Services - sale of stock	4/12/88	B
Mack Trucks - sale of stock	11/23/88	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Perpetual Federal Savings and Loan - mortgage	C
Merrill Lynch, Pierce Fenner & Smith - margin account	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Not Applicable - not a Member of Congress in 1988	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Not Applicable - not a Member of Congress in 1988		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Not Applicable - not a Member of Congress in 1988	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Dealers Alliance Corporation
Director	Old Fort Banking Company
Director	Thermocolor Corporation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
1967-1988	Public Employees Retirement System of Ohio	Retirement plan to which employee contributed 1967-1988 and from which he will receive payments beginning 1989.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 1, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Paul E. Gillmor

Financial Disclosure Statement - Part II A (continued)

Ohio Hospital Assn.	honorarium	$1,000.00
Ohio State Medical Assn.	honorarium	2,500.00
Public Affairs Council	honorarium	150.00
American Trucking Assn.	honorarium	1,000.00
Old Fort Banking Co.	director's fee	360.00

Spouse:

Ohio State University Hospitals	Spouse salary

Paul E. Gillmor

Financial Disclosure Statement - Part II B (continued)

Wendy's International	dividend	A
Golden West Financial	dividend	A
RLC Corporation	dividend	C
Rollins Environment Services	divident	B
Rollins Environment Services	Capital gain from stock sale	B
Mack Trucks	Capital gain from stock sale	A
USF&G	dividend	A
Twentieth Century Select Fund	dividend	A
Rowe Price New Horizons Fund	dividend	A
Dealers Alliance Corp.	interest	A
Old Fort Banking Co.	interest	B
David Phillips, Agt, 224 W. Third	rent	B
David Phillips, Agt, 146 Wilbur	rent	C
Merrill Lynch Municipal Investment Trust Mutual Funds	dividend	D
SPOUSE:		
Southwest Gas	dividend	A
Northwest Natural Gas	dividend	A
American General	dividend	A
American General	capital gain	A
Old Fort Banking Co.	interest	A
Household Bank	interest	A

Paul E. Gillmor

Financial Disclosure Statement - Part III (continued)

American Building Maintenance	C
Gordon Jewelry	B
Murphy Oil	B
Thermocolor	C
USF&G	B
Max & Erma's	B
Progressive Corp.	A
Solar Cells	C
Paul M. Gillmor Company	E
Old Fort Banking Co.	E
RLC Corp.	E
Rollins Environmental Services	F
Dealers Alliance Corp.	D
Republic Oil	A
Part interest - 224 W. Third	C
Part interest - 146 Wilbur Ave.	C
2253 Sand Road, Port Clinton	personal residence
Sunshine, Lt.	C
2198 Partlow Dr., Columbus	E
Old Fort Bank - Savings Accounts	C
The undersigned is owed funds by the Gillmor for Congress Committee as a result of loans the undersigned made last year to the Committee, and which are reported in the Federal Elections Commission report. Said loans would be in Category D	D

SPOUSE:

American General	A
Northwest Natural Gas	A
Southwest Natural Gas	A
Old Fort Bank - Savings Account	B

89070292460

HAND DELIVERED

PAUL E. GILLMOR
5th District, Ohio
1008 Longworth House Office Building
Washington, DC 20515
202-225-6405

Bipartisan Task Force
on House Ethics

Committee on Banking, Finance
and Urban Affairs

Subcommittees:
Financial Institutions Supervision,
Regulation and Insurance
Housing and Community Development
General Oversight and Investigations
Economic Stabilization

Committee on House Administration

Congress of the United States
House of Representatives

RECEIVED
OFFICE OF RECORDS & REGISTRATION
1989 JUN -8 AM 9:46
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

✓
MC

June 8, 1989

Clerk
U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington D.C. 20515

Dear Sir:

This letter is filed as an amendment to my financial disclosure statement which was filed on May 11, 1989.

In reviewing my statement, I find that one stock, Golden West Financial, was listed in only one part of the statement and should have been listed in two parts.

It was properly listed under Part IIB, the income section. It should also be listed under Part III, the holding section. At the end of 1988 the value would fall under category C. Earlier this year I sold all my shares of Golden West Financial and it is my understanding it should be listed also under the transaction section on the report to be filed next year.

Sincerely,

Paul Gillmor

Paul E. Gillmor
Member of Congress

PEG/cat

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Benjamin A. Gilman
(Full Name)

2185 Rayburn HOB
(Mailing Address)

Washington, DC 20515

MC
(Office Use Only)

MAY 10 PM 4:21

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District 22 State New York

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES X NO ____ NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
American Postal Workers Union	honoraria	$1,500	
National Assn. of Postal Supervisors	honoraria	750	
American Postal Workers Union	honoraria	2,000	
National Assn. of Letter Carriers	honoraria	1,500	
Behavioral Stress	honoraria	200	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of each income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman Congressional Credit Union	Dividends	C
Intercounty Savings Bank	Interest	A
Barclays Bank of New York	Interest	A
Goldome	Interest	A
16 Orchard Street, Middletown ½Commerial/Residence	Rent	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Wright Patman Congressional Credit Union (Money Market; CD)	D
16 Orchard Street, Middletown, NY; ½ commercial/½ residence	C
Barclays Bank of New York (Money Market Acct)	A
Intercounty Savings Bank (Money Market Acct)	B
Goldome Bank (Money Market & CD)	D

0 2 3 7 3 2 7 1 1 5 1

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Devereux Foundation (hospitalization)	G

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Caribbean-Central American Action ~~two days~~	lodging & food, round trip airfare from New York City to Maimi & return
American Postal Workers Union - one day	lodging & food; round trip airefare NYC to Chicago & return
National Assn. of Letter Carriers - two days	lodging & food; round trip air fare from NY to Portland & return to Washington

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 14.

POSITION	NAME OF ORGANIZATION
Member, Board of Governors	Good Samaritan Foundation for Better Health
Member, Board of Directors	World Hunger Year
Advisory Council	Lamont-Doherty Geological Observatory

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 15.

DATE	PARTIES TO	TERMS OF AGREEMENT
none		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. 701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. 706 and 18 U.S.C. 1001).

Signature

Date May 5, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Benjamin A. Gilman
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
VII	Port of Miami - one day lodging and food; round trip airfare from Washington, DC to St. Thomas, VI and return to New York
IIA	Port of Miami/South Atlantic & Caribbean Port Assn. (Catering by David) - honoraria $1,157

HAND DELIVERED

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BENJAMIN A. GILMAN
22d District, New York

FOREIGN AFFAIRS COMMITTEE

SUBCOMMITTEES:
EUROPE AND MIDDLE EAST
(RANKING MINORITY MEMBER)
INTERNATIONAL OPERATIONS

Congreſs of the United States
House of Representatives
Washington, DC 20515–3222

POST OFFICE AND CIVIL SERVICE COMMITTEE
(RANKING MINORITY MEMBER)

SUBCOMMITTEE:
INVESTIGATIONS

SELECT COMMITTEE ON NARCOTICS ABUSE AND CONTROL

SELECT COMMITTEE ON HUNGER

VICE CHAIRMAN,
TASK FORCE ON
AMERICAN PRISONERS AND
MISSING IN SOUTHEAST ASIA

May 22, 1989

1989 MAY 23 PM 1:54

✓
MC

Donnald K. Anderson, Clerk
U.S. House of Representatives
Office of Records and REgistration
1036 Longworth House Office Bldg.
Washington, D.C. 20515

Dear Mr. Anderson:

It has come to my attention that the following information was not listed under Section II. Income and accordingly, I would appreciate your assistance in amending my statement as follows:

New York State	Pension	$10,318

Thank you for your kind attention.

Sincerely,

BENJAMIN A. GILMAN
Member of Congress

BAG:nc

PLEASE REPLY TO:

WASHINGTON OFFICE:
2185 Rayburn Building
Washington, DC 20515-3222
☒ Telephone: (202) 225-3776

DISTRICT OFFICE:
44 East Avenue
P.O. Box 358
Middletown, NY 10940-0358
☐ Telephone: (914) 343-6666

DISTRICT OFFICE:
223 Route 59
Monsey, NY 10952-3498
☐ Telephone: (914) 357-9000

DISTRICT OFFICE:
32 Main Street
Hastings-on-Hudson,
NY 10706-1602
☐ Telephone: (914) 478-5550

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Representative Newt Gingrich
(Full Name)

2438 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

Mc
(Office Use Only)

89 MAY 19 PM 4:01
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6 State Georgia

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT–2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION	DATE RCV'D
Amer Assn of Airport Executives	speech honorarium	$1,000		3/08/88
American Dental Pol. Action Comm	"	2,000		3/09/88
Clemson University (Strom Thurmond)	"	250		2/19/88
Christian Life Commission	"	250		3/18/88
The Federal Forum	"	1,000		2/08/88

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Bank One (spouse)	interest	A
Merrill Lynch (spouse)	interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
General Election Stock (spouse)	A
Merrill Lynch IRA	B
Carrollton Federal IRA	B
C & S Bank of Carrollton IRA	B
C & S Bank of Carrollton IRA (spouse)	B
Merrill Lynch (spouse)	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Trust Company Bank	B
C & S Bank of Carrollton	B
Carrollton Federal Savings and Loan (paid off in December)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Indian River Republican Party	Airfare DC-Melbourne-ATL, 1 night food/lodging/member
Shelby County Republicans	Airfare ATL-Memphis-ATL, 1 night food/lodging/member
Congressional Institute, Inc.	Airfare DC-Houston, 3 nights food/lodging/member

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Chairman & Director	American Opportunity, Inc. American Opportunity Foundation
General Chairman	GOPAC
Partner	Nomonhan, Ltd.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Newt Gingrich	5/18/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

Page 3 of 4

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Representative Newt Gingrich
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
IIA EARNED INCOME	The New York Times Company	for article	$ 150	2/18/88
	Presbyterian College	speech honorarium	400	4/27/88
	The Heritage Foundation	"	1,000	4/27/88
	American Consulting Engineers Council	"	2,000	6/09/88
	Forging Industry Educational & Research Foundation	"	2,000	5/02/88
	National Assn of Realtors	"	1,000	6/02/88
	Robert N. Pyle & Assoc., Inc.	"	1,000	7/05/88
	National Assn of Accountants, Inc.	"	2,000	11/22/88
	Bureau of Wholesale Sales Reps	"	1,000	7/30/88
	Atlantic Marine & Dry Dock Corp.	"	2,000	7/09/88
	American Studies Center/Radio America	5 radio commentaries	500	3/23/88
	McGraw-Hill, Inc.	speech honorarium	2,000	11/22/88
	American Fire Sprinkler Assoc.	"	2,000	10/24/88
	National Restaurant Association	"	1,000	9/27/88
	Kenyon College	"	2,000	10/06/88
	A.B. Laffer Associates	"	1,000	9/27/88
	Alan L. Freed Associates	"	250	10/30/88
	Textile Education Foundation, Inc.	"	500	10/20/88
	United Republican Fund of Illinois	"	2,000*	10/06/88
	*of which, $1500 assigned to Section 170 Org.			
	Atlanta Journal/Constitution	news article	150*	10/20/88
	Wall Street Journal	news article	100*	12/11/88
	Planning Consultant (spouse) East Gate Development Authority			

*ASSIGNED TO SECTION 170 ORG.

HONORARIUM TOTAL: $ 26,800.00
SECTION 170 CONTRIBUTIONS TOTAL: $1,750.00

VII. Reimbursements	The Heritage Foundation	Airfare, DC-Chicago-DC, 1 night food/lodging/Member
	Nevada Republican State Central Committee	Airfare, DC-Reno-DC, 1 night food/lodging/Member
	National Association of Realtors	Airfare, DC-ATL/Member
	Massachusetts Republican State Committee	2 nights food/lodging/Member
	Atlantic Marine and Dry Dock Corp	Airfare, ATL-Jacksonville-AL 1 night food/lodging/Member/Spouse
	Building Industry Association of Georgia	2 nights food/lodging/expenses/ Member/Spouse
	Stark County Republican Party	Airfare, Providence-Canton-ATL, 1 night lodging/Member
	Kenyon College	Airfare, DC-Mt. Vernon OH-DC/Member Airfare, Youngstown-Mt. Vernon, OH DC/Spouse
	American Fire Sprinkler Association	Airfare, Ft. Myers-ATL/Member
	United Republican Fund of Illinois	Airfare, ATL-Chicago 1 night lodging/Member
	Building Products Executive Conference	Airfare, DC-ATL/Member

GOPAC: Airfare, DC-Montgomery-ATL, 1 night lodging/expenses
Airfare, DC-Charlotte, 1 night lodging/expenses
Airfare, ATL-DC; Airfare, ATL-Denver-DC, 1 night lodging/expenses; Airfare, Houston-ATL, 1 night lodging/expenses; Airfare, DC-Youngstown-DC, food; Airfare, DC-Boston-ATL, expenses; Airfare, DC-Houston-ATL, 1 night lodging/expenses;

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Page 4 of 4

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Representative Newt Gingrich
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
VII. Reimbursements (Continued)	GOPAC: misc. cabs and meals in DC-ATL-Reno; Airfare, San Digo-Los Angeles, 1 night lodging/Member; Airfare, Houston-Long View-Dallas/Member; Airfare, Dallas-ATL, 1 night lodging/Member; Airfare, ATL-NY, 3 nights lodging, expenses, food/Member; Airfare, Pittsburgh-NY, 3 nights lodging, expenses, food/Spouse; Airfare, Youngstown-ATL-NY-DC/Member; Airfare, ATL-Youngstown/Member; Airfare, ATL-Youngstown/Spouse; Airfare, Pittsburgh-Tampa-Washington, 3 nights lodging, food, expenses/Member; Airfare, Pittsburgh-Tampa-Washington, 3 nights lodging, food, expenses/Spouse

GPO: 1987 [illegible]

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HAND DELIVERED

NEWT GINGRICH
Sixth District, Georgia

Committees:
Public Works and Transportation
House Administration

Washington Office:
2438 Rayburn House Office Bldg.
Washington, DC 20515
(202) 225-4501

Congress of the United States
House of Representatives

6351 Jonesboro Road
Suite E
Morrow, GA 30260
(404) 968-3219

Post Office Box 848
Griffin Federal Building
Griffin, GA 30224
(404) 228-0389

Carroll County Courthouse
Carrollton, GA 30117
(404) 834-6398

19 East Washington Street
Newnan, GA 30263
(404) 253-8355

June 7, 1989

OFFICE OF RECORDS & REGISTRATION
1989 JUN -9 PM 3:46
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

✓
MC

The Honorable Julian Dixon
Chairman
Committee on Standards of Official Conduct
HT-2, The Capitol
Washington, D.C. 20515

Dear Mr. Chairman:

In response to your letter of May 25, 1989, the following is the additional information you requested regarding my Financial Disclosure State for 1989.

Part II B. Unearned Income

Bank One (spouse) (checking/savings accounts)
Interest Category A

Merrill Lynch (spouse) (money market account)
Interest Category B

Part III. Holdings

Neither my wife nor I have income from a qualified "blind trust," or other exempt trust.

Part V. Liabilities

Trust Company Bank (personal notes) Category B
C&S Bank of Carrollton (personal note) Category B
Carrollton Savings and Loan (personal note) Category C

Also, I would like to add the following information which was inadvertently left off of my previous report.

The Honorable Julian Dixon
June 7, 1989
Page 2

Part VII. Reimbursements

Kent County Republican Committee
Airfare, DC-Grand Rapids-Youngstown-DC

Please let me know if I need to provide additional information.

Sincerely,

Newt

Newt Gingrich

NG/eh

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

DANIEL R. GLICKMAN, MC
(Full Name)

1212 Longworth HOB
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

89 MAY 15 PM 2 15

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4 State Kansas

☐ Officer or Employee—Employing Office ____________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $57,058, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
see attached			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
see attached		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
see attached	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
none except home mortgage	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Various mementos, books and remembrances of nominal value received during the year.		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
see attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
see attached	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Daniel R Glickman	5-15-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE

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Page 1 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

DANIEL R. GLICKMAN, MC
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II A Earned Income	Glickman, Inc., Wichita Kansas (Salary & Directors Fees for family owned business)		$15,000.00
	Congressional Salary		$85,398.00
	Spouse's Salary (Comp. Arts Caucus-US House of Rep.)		$57,872.00
	Honoraria (descriptions follow) (Date Fee Received)		
	The Fertiliser Institute speech 1/7/88		$ 1,000.00
	National Aircraft Finance Assoc. speech 1/27/88		2,000.00
	National Grain & Feed Assoc., speech 1/14/88		1,500.00
	American Soybean Assoc., speech 1/6/88		500.00
	Kansas Electric Coops., speech 1/25/88		350.00
	Title Industry Assoc., speech 2/9/88		500.00
	American Meat Institute, speech 3/2/88		1,000.00
	Nat. Assoc. of Independent Insurers, speech 3/10/88		2,000.00
	American Farm Bureau speech 5/17/88		500.00
	MCA, Inc. speech 8/25/88		3,000.00
	ConAgra, speech 9/7/88		2,000.00
	University of Oklahoma, Carl Albert Center, speech 11/18/88		1,000.00
	HONORARIA DONATED		
	Tandem Computer Corp. speech 4/7/88		1,500.00
	donated to: Center for Civic Education ($1,000)		
	donated to: J.M. Glickman Scholarship, Wichita State University ($500)		
	Florida Fruit & Vegetable, speech 4/25/88		1,000.00
	donated to: Kansas Action for Children ($500)		
	donated to: J.M. Glickman Scholarship at Wichita State University ($500)		
	Readers Digest: Fee for joke used in December, 1988		240.00
	donated to Kansas Special Olympics ($240)		
	Options Clearing Corp. Speech 2/17/88		1,000.00
	donation made 4-28-88 to Mid-Kansas Jewish Fed. of $1,000 for speech on 2-17-87. (reported on 5-12-88 filing)		
II B Unearned Income	Continental Oil Co.	Oil Royalty	A
	J.M. Glickman Trust No.1 Family Trust	Trust Distribution	D
	Kansas State Bank & Trust	Interest	A
	Madison National Bank	Spouse Interest	A
	Dividends: Investors Life,	Children	A
	Sears Roebuck, IBM,	Children	
	Wright Patman Credit Union	Spouse	A
	Glickman Family Trust	Trust Distribution	B
	Children's Earnings		
	J.M. Glickman Turst No. 1 Jonathan Glickman	Trust Distribution	B
	J.M. Glickman Trust No. 1 Amy Glickman	Trust Distribution	B
	Kansas State Bank & Trust	Interest	A
	Lord Abbott Affiliated Fund (Mutual Fund)	Children	B

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Page 2 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Daniel R. Glickman, MC
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III Holdings		
	Checking & Savings Accounts: Kansas State Bank & Trust; Sgt. at Arms; Madison National Bank; Wright Patman Credit Union.	B
	Glickman Inc., P.O. Box 4309, Wichita, Kansas 67204	
	I own one-third of the common stock of Glickman Inc., a family owned corporation involved in the scrap metal business. Norman H. Glickman (brother) and Sharon K. Glickman (sister) each own 1/3 of the common stock. As of September 1988, Milton Glickman (father) preferred stock was redeemd by the corporation. This transaction increased my ownership as well as my brothers and sisters from 1/6th share to 1/3rd share each.	F
	Oil Royalty Interest (One oil & gas lease, Barton County, KS	B
	J.M. Glickman Trust No. 1 : (I am one of several beneficiaries of a trust left by my grandfather. My two children are also beneficaries under said trust and received distributions from said trust this year. The bulk of the trust's assets are invested in cash, real estate, tax exempt securities and oil and gas properties. I am not the managing trustee, nor involved in the day-to-day decisions of the trusts operations.)	D
	Glickman Family Trust (Similar to above-owns real estate in Sedwick County, Kansas).	C
	IRA with Bankers Life, General Annuity	B
	Children's Holdings:	
	Amy Glickman-Savings Accounts, Mutual Funds	B
	Jonathan Glickman-Savings Accounts, Mutual Funds	B
	Children: Amvestors Life-Common Stock, IBM Common Stock, Sears, Common Stock.	A
VII Reimbursements		
	American Soybean Association (speech): roundtrip airfare Washington, D.C. to St. Louis.	
	Nat. Rural Utilities Corporation (speech): one-way airfare Washington, D.C. to Topeka, Kansas	
	Kansas Elec. Coops. (speech): One-way airfare Topeka, Kansas to Washington, D.C.	
	American Meat Institute (speech): roundtrip airfare, food, 3 nights lodging, from Wash. D.C., to Lihue, Hawaii.	
	Tandem Computer Corp. (speech) airfare from St. Louis, MO., to Jacksonville, FL. and returning to Washington, D.C.; one night lodging.	
	Commodity Exchange, Inc. (speech): (self & spouse) roundtrip airfare, food, lodging from Washington, D.C. to West Palm Beach, Florida. Three nights lodging.	
	Hartford Insurance Co., (speech): Airfare from Washington, D.C. to Miami, FL to Detroit, MI; one night lodging.	
	MCA Inc., (speech) roundtrip airfare (self & spouse) from Washington, D.C., to Los Angeles, CA; 2 nights lodging, food.	
	ConAgra (speech): roundtrip airfare from Wichita, Kansas to Omaha, Nebraska. One night lodging.	
	Nat. Grain & Feed Assoc.: (speech) roundtrip airfare from Washington, D.C. to Omaha, NE.	
	Wichita Chamber of Commerce. (meeting) roundtrip airfare from Washington, D.C. to Atlanta, GA to visit Delta Airlines about service to Wichita, Kansas.	

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Page 3 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

DANIEL R. GLICKMAN, MC
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
VIII	Director, Glickman, Inc., (family business)
Positions	Director, Kansas Arthritis Foundation
	Trustee: J.M. Glickman Trust No. 1
	Member, Board of Directors: Sedgwick County Mental Health Assoc.
	Member, Board of Directors: Ex-Officio-Big Brothers/Sisters
	Member, Board of Directors: Midwest Heart & Vascular Institute
	Member, Albert-Pike Lodge-Shrine
	Member, Congregation Emanu-El
	Congressional Advisory Board of Distributive Education
	Clubs of America
	Advisory Committee on Wichita Aeronautical
	Historical Association

HAND DELIVERED

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1989 MAY 23 PM 3:32

U.S. HOUSE OF REPRESENTATIVES

DAN GLICKMAN
FOURTH DISTRICT—KANSAS

ASSISTANT MAJORITY WHIP

COMMITTEES:
AGRICULTURE
CHAIRMAN, SUBCOMMITTEE ON
WHEAT, SOYBEANS AND FEED GRAINS
JUDICIARY
SELECT COMMITTEE ON INTELLIGENCE
SCIENCE, SPACE, AND TECHNOLOGY
DEMOCRATIC STEERING AND POLICY

CONGRESS OF THE UNITED STATES
HOUSE OF REPRESENTATIVES
WASHINGTON, DC 20515

1212 LONGWORTH BUILDING
WASHINGTON, DC 20515-1604
(202) 225-6216

401 N. MARKET ST.
ROOM 224
WICHITA, KS 67202
(316) 262-8396

301 [illegible] BUILDING
301 [illegible]
HUTCHINSON, KS 67501-[illegible]
(316) [illegible]

SCOTT FLEMING
ADMINISTRATIVE ASSISTANT
ROBERT P. MARTIN
[illegible]

May 23, 1989

✓
MC

The Honorable Julian C. Dixon, Chairman
Committee on Standards of Official Conduct
Suite HT-2, U.S. Capitol
Washington, D. C. 20515

Dear Mr. Chairman:

This is in response to your letter of May 17, 1989 concerning the Financial Disclosure Statement I filed May 15, 1989 which indicated I failed to respond to the question on trust holdings on page 2, Part III, Holdings, of that report.

That question reads as follows: "Do you, your spouse or dependent child receive income from or have a beneficial interest in a trust or other financial arrangement whose holdings were not reported because the trust is a 'qualified blind trust' or other excepted trust? Yes__No X NA__."

Neither me, my spouse, nor my children receive any such income or have any beneficial interest or other financial arrangement in such a trust. On my original filing, the space for a "no" response should have been checked.

The interests my children, my spouse, and I do have in trusts were properly disclosed on my original filing on page 2 of the continuation sheets attached to my Financial Disclosure Statement.

As further indicated in your letter of May 17, this letter constitutes my amendment to my original filing and I am sending a copy of this letter to the Clerk.

With best personal wishes, I am

Sincerely,

Dan Glickman

DAN GLICKMAN

cc: Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building

MAY 15 1989

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR

FORM A—For use by Members, officers, and employees

HENRY B. GONZALEZ
(Full Name)
2413 RAYBURN BLDG.
(Mailing Address)
WASH., D.C. 20515

MC (Office Use Only)

1989 MAY 16 AM 8 23

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District 20 State TEXAS

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ✓ NA ✓

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
NONE			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
INTEREST DERIVED FROM SAVINGS TEXAS BANK, SAN ANTONIO, TEX.	SAVINGS INTEREST	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ✓ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
NONE	

H 9 7 / 3 2 9 0 5 9 7

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. *The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1988.*

SOURCE	BRIEF DESCRIPTION
NONE	

B. *The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1988.*

SOURCE	BRIEF DESCRIPTION	VALUE

8 9 7 7 7 2 9 0 3 5 3

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This *Financial Disclosure Statement* is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature Henry B. Gonzalez	Date 5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

HAND DELIVERED R 9 0 7 0 2 8 2 5 5 5

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

William F. Goodling
(Full Name)

R.D. #8
(Mailing Address)

York, PA 17403

MC (Office Use Only)

89 MAY 15 PM 3 24

OFFICE OF THE CLERK U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 19th State PA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,086, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
York Suburban High School (spouse)	Salary	(not required)	None
Christmas Tree Hill (spouse)	Wages	(not required)	None
Thoroughbred Horse Care (spouse)	Boarding/Labor	(not required)	None
PA Public School Employees Retirement Sys.	Pension	4,693	None
Thoroughbred Horse Care	Boarding/Labor	4,033	None
Various Sources (see attached list)	Honoraria	18,750	500

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
York Water Company	Dividends	A
Gross income received by thoroughbred Joint Ventures (Net income from ventures is negative)	Business	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
York Water Company Common Stock	A
Thoroughbred Horse Interest (see continuation sheet)	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of Thoroughbred Filly by Joint Venture	9/25/88	A
Sale of personal residence and construction of another	(not required)	

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Wright Patman Federal Credit Union	C
York Education Federal Credit Union	C
York Bank & Trust Company	F

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
(see continuation sheet)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
William F. Goodling	5-12-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

ETHICS IN GOVERNMENT ACT--FINANCIAL DISCLOSURE STATEMENT

William F. Goodling

Continuation Sheet

Part	Source, Type, Amount, Category, Description of Value (as applicable)
II. A.	Income - Type = Honoraria

Date	Source	Amount	Disposition
1/14/88	American Council on Education	$1,000	
2/8/88	National Association of Health Career Schools	2,000	
2/10/88	National Association of Secondary School Principals	250	
3/11/88	Labor Policy Association	1,000	
3/19/88	Council of Great City Schools	500	
5/15/88	Pennsylvania State University-Graduation (donated to George A. Goodling Scholarship Fund)	500	$500
6/28/88	Association of Independent Colleges and Schools	2,000	
8/31/88	National Coal Association	500	
11/9/88	Apple Processors	500	
12/6/88	American Council on Education	500	
12/14/88	College Admin. Network	2,000	
Total		$10,750	

III Holdings - Identity = Thoroughbred Horses

Description	Category
1/3 Interest in a joint venture owning a broodmare, 4 offspring and a 1/38 share of a stud stallion.	C
1/2 Interest in a joint venture owning a broodmare and 2 offspring.	B
1/3 Interest in a joint venture owning a broodmare.	B

VII Reimbursements:

Source	Brief Description
National Association of Health Career Schools	Airfare, hotel for speaking engagement
Labor Policy Association	Airfare, parking, hotel for speaking engagement.
Education Writers Association	Hotel, airfare, parking taxi for speaking engagement.
National Association of State Councils on Vocational Education	Airfare, hotel for speaking engagement.

William F. Goodling

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (as applicable)
VII (continued)	Reimbursements:

Source	Brief Description
Association of Independent Colleges and Schools	Airfare for speaking engagement.
National Adult Literacy and Teaching Conference	Airfare and parking for speaking engagement.
Interstate Migrant Education Council	Hotel, airfare, taxi, parking for speaking engagement.
National Association of Pupil Personnel Managers	Airfare, taxi for speaking engagement.
Interstate Migrant Education Council	Hotel, airfare for speaking engagement.

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1989

FORM A—For use by Members, officers, and employees

BARTON JENNINGS GORDON
(Full Name)

103 Cannon House Office Building
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6 State Tennessee

☐ Officer or Employee—Employing Office ____

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ____ NA X

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See attached sheet II. Income A			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Rental unit	rent	D
See attached sheet II.Income B		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _____ NA X

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
304 A Street, SE, Washington, DC -- rental unit	D
See attached sheet III. Holdings	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See attached sheet IV. Transactions		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
First City Bank (Murfreesboro, TN)	
. Mortgage--rental unit	D
See attached sheet V. Liabilities	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See attached sheet VII. Reimbursements	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Barton Jennings Gordon	May 12, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

ETHICS IN GOVERNMENT ACT--FINANCIAL DISCLOSURE STATEMENT 1988

The Honorable Barton J. Gordon
Member of Congress
Sixth District
Tennessee

II. INCOME A -- 1988 HONORARIA

DATE (of Reciept)	EVENT	AMOUNT
01/11/88	SOUTHERN MOTOR CARRIERS RATE CONFERENCE Fellowship Dinner Atlanta, Georgia 01/11/88	$2000.00
01/12/88	TOBACCO INSTITUTE Annual Legislative Conference Palm Springs, California 01/12/88	$1000.00
01/15/88	HEALTH TRUST, INC. Nashville, Tennessee 01/15/88	$1000.00
03/11/88	NATIONAL COAL ASSOCIATION Washington Representatives Washington, DC 03/11/88	$ 500.00
05/09/88	NATIONAL ASSOCIATION OF HOMEBUILDERS Board of Directors Washington, DC 05/09/88	$2000.00
06/24/88	CSX TRANSPORTATION CSX Rail Transport Jacksonville, FL 06/24/88	$1000.00
07/08/88	MORTGAGE BANKERS/ NATIONAL ASSOCIATION FOR SENIOR LIVING INDUSTRIES Boston, MA 06/27/88	$1000.00

07/12/88	SECURITIES INDUSTRY ASSOCIATION Washington, DC 07/12/88	$2000.00
07/25/88	RJR/NABISCO Atlanta, Georgia 07/18/88	$2000.00
08/17/88	NATIONAL ASSOCIATION OF REALTORS Phoenix, AR 08/05/88	$2000.00
09/22/88	VERN CLARK & ASSOCIATES Outdoor Advertising Washington, DC 08/12/88	$1000.00
11/04/88	CHICAGO BOARD OF OPTIONS EXCHANGE Chicago, IL 11/04/88	$1000.00
11/04/88	CHICAGO BOARD OF TRADE Chicago, IL 11/04/88	$ 500.00
11/04/88	CHICAGO MERCANTILE EXCHANGE Chicago, IL 11/04/88	$ 500.00
11/29/88	WASTE MANAGEMENT, INC. Oak Brook, IL 11/04/88	$2000.00
12/29/89	SECURITIES INDUSTRY ASSOCIATION Boca Raton, FL 11/30/88	$2000.00
12/20/89	FLOUR CORPORATION National Society of Professional Engineers Washington, DC 12/05/88	$1000.00
12/07/88	DISTILLED SPIRITS COUNCIL OF THE UNITED STATES, INC. Washington, DC 12/07/88	$1000.00
12/14/88	AMERICAN SUGAR CANE LEAGUE Louisiana Sugar Cane Industry New Orleans, Louisiana 12/14/88	$1000.00
	TOTAL:	$24500.00

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ETHICS IN GOVERNMENT ACT--FINANCIAL DISCLOSURE STATEMENT 1988

The Honorable Barton J. Gordon
Member of Congress
Sixth District
Tenessee

Attachment for the following: II. Income B
III. Holdings
IV. Transactions
V. Liabilities

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ATTACHMENTS: II. Income B. III. Holdings. IV. Transactions. V. Liabilities

Mid-South Bank & Trust Co.
201 East Main Street • P.O. Box 100
Murfreesboro, Tennessee 37133-0100
615/893-1000

TRUST DIVISION

DEC 31,1988 RECEIPTS AND DISBURSEMENTS 20 00 3210 12 5
MBT TUA DTD 12/30/85 WITH BARTON J GORDON (ANNUALLY) PAGE 1

DATE	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENT COST BASIS
	BEGINNING BALANCES	0.00	0.00	106,937.06
1 5 88	DIVIDEND RECEIVED SHORT-TERM US GOVERNMENT FUND 057 P	58.10		
1 6 88	PURCHASE SHORT-TERM US GOVERNMENT FUND 057 P		-58.10	58.10
1 6 88	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-58.10	58.10	
1 13 88	ADDITION TO FUND FROM BART GORDON		6,500.00	
1 13 88	ADJ-COST AND INVENTORY AS RESULT OF INSTALLMENT MAPLE CANYON LTD P/S PAYMENT (CONTRIBUTION TO P/S) 1/16/88			16,500.00
1 13 88	ADJ SHS COST AND INVENTORY TO DECREASE LIABILTIY MAPLE CANYON NT DUE TO INSTALLMENT PAYMENT 1/16/88			16,500.00
1 14 88	MAPLE CANYON LP-1988 CONTRIBUTION,		-16,500.00	
1 14 88	QUARTERLY TRUSTEE FEES TAKEN	-158.59		
1 14 88	PURCHASE SHORT-TERM US GOVERNMENT FUND 057 P		-6,500.00	6,500.00
1 15 88	REDEEM SHORT-TERM US GOVERNMENT FUND 057 P		16,611.96	-16,611.96
1 15 88	AUTO TRANSFER PRINCIPAL TO INCOME CASH	111.96	-111.96	
1 19 88	DIST-FRO-LAUREL HILLS	64.50		

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TRUST DIVISION

DEC 31,1988 RECEIPTS AND DISBURSEMENTS 20 00 3210 12 5
MBT TUA DTD 12/30/85 WITH BARTON J GORDON (ANNUALLY) PAGE 2

DATE	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENT COST BASIS
1 20 88	PURCHASE SHORT-TERM US GOVERNMENT FUND #57 P		-17.87	17.87
1 20 88	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-17.87	17.87	
1 27 88	MID SOUTH BANK-RESEARCH & PREPARATION OF STOCKHOLDER REPORT REQUIRED BY NATIONAL HEALTH CORP	-10.00		
1 28 88	REDEEM SHORT-TERM US GOVERNMENT FUND #57 P		10.00	-10.00
1 28 88	AUTO TRANSFER PRINCIPAL TO INCOME CASH	10.00	-10.00	
2 2 88	DIVIDEND RECEIVED SHORT-TERM US GOVERNMENT FUND #57 P	27.81		
2 3 88	ADDITION TO FUND FROM BART GORDON		3,000.00	
2 3 88	PURCHASE SHORT-TERM US GOVERNMENT FUND #57 P		-27.81	27.81
2 4 88	PURCHASE SHORT-TERM US GOVERNMENT FUND #57 P		-3,000.00	3,000.00
2 4 88	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-27.81	27.81	
2 11 88	ADDITION TO FUND FROM BART GORDON		2,000.00	
2 12 88	PURCHASE SHORT-TERM US GOVERNMENT FUND #57 P		-2,000.00	2,000.00
2 17 88	DIVIDEND 1160 SHARES @ 0.23 PER SHARE NATIONAL HEALTHCORP L P UT LTD PRTNRSHP	266.80		

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TRUST DIVISION

DEC 31,1988 RECEIPTS AND DISBURSEMENTS 28 00 3210 12 5
MBT TUA DTD 12/30/85 WITH BARTON J GORDON (ANNUALLY) PAGE 3

DATE	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENT COST BASIS
2 18 88	DIST FROM LAUREL HILLS	64.50		
2 18 88	PURCHASE SHORT-TERM US GOVERNMENT FUND #57 P		-266.80	266.80
2 18 88	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-266.80	266.80	
2 19 88	PURCHASE SHORT-TERM US GOVERNMENT FUND #57 P		-64.50	64.50
2 19 88	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-64.50	64.50	
3 3 88	DIVIDEND RECEIVED SHORT-TERM US GOVERNMENT FUND #57 P	20.88		
3 4 88	PURCHASE SHORT-TERM US GOVERNMENT FUND #57 P		-20.88	20.88
3 4 88	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-20.88	20.88	
3 17 88	DISTRIBUTION-FROM-LAUREL-HILLS	64.50		
3 18 88	PURCHASE SHORT-TERM US GOVERNMENT FUND #57 P		-64.50	64.50
3 18 88	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-64.50	64.50	
4 4 88	DIVIDEND RECEIVED SHORT-TERM US GOVERNMENT FUND #57 P	28.88		
4 5 88	PURCHASE SHORT-TERM US GOVERNMENT FUND #57 P		-28.88	28.88

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TRUST DIVISION

DEC 31,1988 RECEIPTS AND DISBURSEMENTS 20 00 3210 12 5
MBT TUA DTD 12/30/85 WITH BARTON J GORDON (ANNUALLY) PAGE 4

DATE	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENT COST BASIS
4 5 88	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-28.88	28.88	
4 8 88	STATE OF TENNESSEE FIDUCIARY INCOME TAX FYE 12/31/87	-34.02		
4 11 88	QUARTERLY TRUSTEE FEES TAKEN	-197.16		
4 11 88	REDEEM SHORT-TERM US GOVERNMENT FUND #57 P		34.02	-34.02
4 11 88	AUTO TRANSFER PRINCIPAL TO INCOME CASH	34.02	-34.02	
4 12 88	REDEEM SHORT-TERM US GOVERNMENT FUND #57 P		197.16	-197.16
4 12 88	AUTO TRANSFER PRINCIPAL TO INCOME CASH	197.16	-197.16	
4 14 88	DIST FROM LAUREL HILLS	64.50		
4 15 88	PURCHASE SHORT-TERM US GOVERNMENT FUND #57 P		-64.50	64.50
4 15 88	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-64.50	64.50	
4 26 88	DIVIDEND 1160 SHARES @ 0.175 PER SHARE NATIONAL HEALTHCORP L P UT LTD PRTNRSHP	203.00		
4 27 88	PURCHASE SHORT-TERM US GOVERNMENT FUND #57 P		-203.00	203.00
4 27 88	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-203.00	203.00	
5 3 88	DIVIDEND RECEIVED SHORT-TERM US GOVERNMENT FUND #57 P	28.36		

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TRUST DIVISION

DEC 31,1988 RECEIPTS AND DISBURSEMENTS 20 00 3210 12 5
MBT TUA DTD 12/30/85 WITH BARTON J GORDON (ANNUALLY) PAGE 5

DATE	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENT COST BASIS
5 4 88	PURCHASE SHORT-TERM US GOVERNMENT FUND 057 P		-28.36	28.36
5 4 88	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-28.36	28.36	
5 12 88	JOBE TURLEY & ASSOCIATES PREPARATION OF FORM 1041	-175.00		
5 13 88	REDEEM SHORT-TERM US GOVERNMENT FUND 057 P		175.00	-175.00
5 13 88	AUTO TRANSFER PRINCIPAL TO INCOME CASH	175.00	-175.00	
5 23 88	ADDITION TO FUND FROM BART GORDON		500.00	
5 24 88	PURCHASE SHORT-TERM US GOVERNMENT FUND 057 P		-500.00	500.00
5 31 88	ADDITION TO FUND FROM BART GORDON		4,500.00	
6 1 88	PURCHASE SHORT-TERM US GOVERNMENT FUND 057 P		-4,500.00	4,500.00
6 2 88	DIVIDEND RECEIVED SHORT-TERM US GOVERNMENT FUND 057 P	30.73		
6 3 88	PURCHASE SHORT-TERM US GOVERNMENT FUND 057 P		-30.73	30.73
6 3 88	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-30.73	30.73	
7 6 88	DIVIDEND RECEIVED SHORT-TERM US GOVERNMENT FUND 057 P	58.28		

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TRUST DIVISION

DEC 31,1988 RECEIPTS AND DISBURSEMENTS 20 00 3210 12 5
(ANNUALLY) PAGE 6
MBT TUA DTD 12/30/85 WITH BARTON J GORDON

DATE	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENT COST BASIS
7 7 88	PURCHASE SHORT-TERM US GOVERNMENT FUND #57 P		-58.28	58.28
7 7 88	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-58.28	58.28	
7 8 88	DIVIDEND 1160 SHARES @ 0.175 PER SHARE NATIONAL HEALTHCORP L P UT LTD PRTNRSHP	203.00		
7 8 88	QUARTERLY TRUSTEE FEES TAKEN	-194.04		
7 11 88	PURCHASE SHORT-TERM US GOVERNMENT FUND #57 P		-8.96	8.96
7 11 88	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-8.96	8.96	
7 27 88	ADDITION TO FUND FROM BART GORDON		5,000.00	
7 28 88	PURCHASE SHORT-TERM US GOVERNMENT FUND #57 P		-5,000.00	5,000.00
8 2 88	DIVIDEND RECEIVED SHORT-TERM US GOVERNMENT FUND #57 P	66.99		
8 3 88	PURCHASE SHORT-TERM US GOVERNMENT FUND #57 P		-66.99	66.99
8 3 88	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-66.99	66.99	
8 16 88	DIVIDEND 1160 SHARES @ 0.25 PER SHARE NATIONAL HEALTHCORP L P UT LTD PRTNRSHP	290.00		
8 17 88	PURCHASE SHORT-TERM US GOVERNMENT FUND #57 P		-290.00	290.00

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TRUST DIVISION

DEC 31,1988 RECEIPTS AND DISBURSEMENTS 20 00 3210 12 5
MBT TUA DTD 12/30/85 WITH BARTON J GORDON (ANNUALLY) PAGE 7

DATE	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENT COST BASIS
8 17 88	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-290.00	290.00	
9 2 88	DIVIDEND RECEIVED SHORT-TERM US GOVERNMENT FUND 057 P	98.23		
9 6 88	PURCHASE SHORT-TERM US GOVERNMENT FUND 057 P		-98.23	98.23
9 6 88	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-98.23	98.23	
9 15 88	DIVIDEND 1160 SHARES @ 0.175 PER SHARE NATIONAL HEALTHCORP L P UT LTD PRTNRSHP	203.00		
9 16 88	PURCHASE SHORT-TERM US GOVERNMENT FUND 057 P		-203.00	203.00
9 16 88	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-203.00	203.00	
10 4 88	DIVIDEND RECEIVED SHORT-TERM US GOVERNMENT FUND 057 P	100.66		
10 4 88	QUARTERLY TRUSTEE FEES TAKEN	-228.85		
10 5 88	REDEEM SHORT-TERM US GOVERNMENT FUND 057 P		128.19	-128.19
10 5 88	AUTO TRANSFER PRINCIPAL TO INCOME CASH	128.19	-128.19	
10 11 88	ADDITION TO FUND FROM BART GORDON		5,000.00	
10 12 88	PURCHASE SHORT-TERM US GOVERNMENT FUND 057 P		-5,000.00	5,000.00

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TRUST DIVISION

DEC 31,1988 RECEIPTS AND DISBURSEMENTS 20 00 3210 12 5
MBT TUA DTD 12/30/85 WITH BARTON J GORDON (ANNUALLY) PAGE 8

DATE	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENT COST BASIS
11 2 88	DIVIDEND RECEIVED SHORT-TERM US GOVERNMENT FUND 057 P	128.64		
11 3 88	PURCHASE SHORT-TERM US GOVERNMENT FUND 057 P		-128.64	128.64
11 3 88	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-128.64	128.64	
11 17 88	DIVIDEND 1160 SHARES @ 0.25 PER SHARE NATIONAL HEALTHCORP L P UT LTD PRTNRSHP	290.00		
11 18 88	PURCHASE SHORT-TERM US GOVERNMENT FUND 057 P		-290.00	290.00
11 18 88	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-290.00	290.00	
12 2 88	DIVIDEND RECEIVED SHORT-TERM US GOVERNMENT FUND 057 P	138.72		
12 5 88	PURCHASE SHORT-TERM US GOVERNMENT FUND 057 P		-138.72	138.72
12 5 88	AUTO TRANSFER INCOME TO PRINCIPAL CASH	-138.72	138.72	
12 14 88	ADDITION TO FUND FROM BART GORDON		5,000.00	
12 15 88	PURCHASE SHORT-TERM US GOVERNMENT FUND 057 P		-5,000.00	5,000.00
12 28 88	ADDITION TO FUND FROM BART GORDON		3,000.00	
12 29 88	BART GORDON - DISTRIBUTION		-30,000.00	

Mid-South Bank & Trust Co.
201 East Main Street • P.O. Box 100
Murfreesboro, Tennessee 37133-0100
615/893-1000

TRUST DIVISION

DEC 31,1988 RECEIPTS AND DISBURSEMENTS 20 00 3210 12 5
MBT TUA DTD 12/30/85 WITH BARTON J GORDON (ANNUALLY) PAGE 9

DATE	DESCRIPTION		INCOME CASH	PRINCIPAL CASH	INVESTMENT COST BASIS
12 29 88	SOLD 26556.28 SHORT-TERM US GOVERNMENT	FUND 057 P		26,556.28	-26,556.28
12 29 88	SOLD 1160 SHS @ 9.75 NATIONAL HEALTHCORP L P	UT LTD PRTNRSHP		11.285.22	-11,890.00
12 30 88	FREEMAN NOTE PAYMENT DUE 1-16-89 FOR MAPLE CANYON L. P.			-10,400.00	
12 30 88	PURCHASE SHORT-TERM US GOVERNMENT	FUND 057 P		-10,761.50	10,761.50
	ENDING BALANCES		0.00	-10,400.00	128,755.50

Mid-South Bank & Trust Co.
[illegible] East Main Street • P.O. Box [illegible]
Murfreesboro, Tennessee [illegible]
[illegible]

TRUST DIVISION

REVIEW OF ASSETS AS OF DEC 31, 1988 29 00 3210 12 5

MBT TWA DTD 12/30/85 WITH BARTON J GORDON PAGE 1

SHARES OR PAR VALUE	DESCRIPTION	UNIT MARKET PRICE	TOTAL MARKET VALUE	INVESTMENT COST BASIS	EST. ANNUAL INCOME	CURRENT YIELD
	CASH					
	INCOME CASH		0.00	0.00		
	PRINCIPAL CASH		-10,400.00	-10,400.00		
	TOTAL CASH		-10,400.00	-10,400.00		
	MISC CASH EQUIV-TXBL					
10,761.50	SHORT-TERM US GOVERNMENT FUND 057 P		10,761.50	10,761.50	916	8.51
	TOTAL MISC CASH EQUIV-TXBL		10,761.50	10,761.50	916	8.51
	COMMON STOCK					
8,000	FRANKLIN AMERICAN LIFE INS (DO NOT SELL)		40,000.00	40,000.00	0	0.00
	TOTAL COMMON STOCK		40,000.00	40,000.00	0	0.00
	PARTNERSHIPS					
1	MAPLE CANYON LTD P/S		15,000.00	63,000.00	0	0.00
.50	WOODGATE LTD-B GORDON LTD P/S		.00	13,550.00	0	0.00
	TOTAL PARTNERSHIPS		15,000.00	76,550.00	0	0.00

Mid-South Bank & Trust Co.
201 East Main Street • P.O. Box 100
Murfreesboro, Tennessee 37133-0100
615/893-1900

TRUST DIVISION

REVIEW OF ASSETS AS OF DEC 31, 1988 20 89 3210 12 5

MBT TWA DTD 12/30/85 WITH BARTON J GORDON

PAGE 2

SHARES OR PAR VALUE	DESCRIPTION	UNIT MARKET PRICE	TOTAL MARKET VALUE	INVESTMENT COST BASIS	EST. ANNUAL INCOME	CURRENT YIELD
	SUNDRY ASSETS					
11,844	LAUREL HILL NT 94		5,500.00	11,844.00	0	0.00
	TOTAL SUNDRY ASSETS		5,500.00	11,844.00	0	0.00
	LIABILITIES-OTHER					
10,400	MAPLE CANYON NT		-10,400.00	-10,400.00	0	0.00
	TOTAL LIABILITIES-OTHER		-10,400.00	-10,400.00	0	0.00
	GRAND TOTAL		50,461.50	110,355.50	916	1.01

Mid-South Bank & Trust Co.
201 East Main Street • P.O. Box 100
Murfreesboro, Tennessee 37133-0100
[illegible]

TRUST DIVISION

NBT TUA DTD 12/30/85 WITH BARTON J GORDON   20 00 3210 12 5

CAPITAL GAIN STATEMENT FOR YEAR ENDED 12/31/88   PAGE 1

SECURITY NAME	SHARES	PURCH/SALE DATES	PURCHASE PRICE	SALES PRICE	CAPITAL GAIN SHORT	CAPITAL GAIN LONG
NATIONAL HEALTHCORP L P UT LTD PRTNRSHP	1160	4/22/87 12/21/88	11,890.00	11,205.22		-684.78
TOTAL					0.00	-684.78

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ETHICS IN GOVERNMENT ACT--FINANCIAL DISCLOSURE STATEMENT 1988

The Honorable Barton J. Gordon
Member of Congress
Sixth District
Tennessee

VII. REIMBURSEMENTS

Southern Motor Carriers Rate Conference (speaking engagement) provided round-trip transportation between Washington, DC, and Atlanta, Georgia.

The Tobacco Institute (speaking engagement) provided round-trip transportation to Palm Springs, California, 3 nights' lodging, rental car, 1 day.

CSX Transportation (speaking engagement) provided round-trip transportation between Washington, DC, and Jacksonville, Florida.

Mortgage Bankers Association (speaking engagement) provided round-trip transportation between Washington, DC, and Boston.

National Association of Realtors (speaking engagement) provided round-trip transportation to Phoenix, Arizona.

Coastal Corporation (speaking engagement) provided round-trip transportation to Dallas/Ft. Worth and 1 night's lodging.

Chicago Mercantile Exchange (speaking engagement) provided round-trip transportation between Washington, DC, and Chicago.

Chicago Board of Options Exchange (speaking engagement) provided 1 night's lodging.

Securities Industry Association (speaking engagement) provided round-trip transportation between Washington, DC, and West Palm Beach, Florida and 2 nights' lodging.

American Sugar Cane League (speaking engagement) provided round-trip transportation between Washington, DC, and New Orleans, Louisiana.

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

PORTER J. GOSS
(Full Name)

3869 GULF DRIVE
(Mailing Address)

SANIBEL, FLORIDA 33957

MC
(Office Use Only)

89 MAY 10 PM 4:55
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 13 State FLORIDA =(WAS NOT A MEMBER DURING CALENDAR YEAR 1988)

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Lee County Commission	Salary	7413.64	N/A
PNC Trust Company of Florida/NA	Director Compensation	6000.00	N/A
Community Bank of the Islands-Spouse	Director Compensation	1000.00	N/A

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Berkshire Life Insurance Company	Annuity	A
National Life of Vermont	Annuity	B
PNC Trust Co. Revocable Trust/Porter Goss	Dividends/Interest	C
PNC Trust Co. Revocable Trust/Spouse	Dividends/Interest/Gains	G
Mellon Bank Beneficiary Trust/Spouse	Dividends/Interest	F
Fishers Island Utility Co., New York	Dividend	C

(CONTINUED ON PAGE 5)

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Rental Property - 1+ acre/cottage - Fishers Island, NY	F
Undeveloped Real Property - Fishers Island, NY - 15 acres+	F
Undeveloped Real Property - Lee County, FL - 195 acres (1/10 share)	F
Aetna Life Insurance - Accumulated Dividend	B
FIDCO - Fishers Island, NY - Stock	B
Fiddlesticks Country Club - Lee County, FL - Membership certificate	B

(CONTINUED ON PAGE 6)

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Community Bank of the Islands - Purchase	5/31/88	C
South Florida Bank - Purchase	3/02/88	B
Certificate of Deposit - Cashed	6/88	B
Certificate of Deposit - Purchased	10/88	B
(Continued to Page 11 of 11)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Jack Thomas Realtor Trustee, Investment Partnership, Lee County, FL (1/10 share) (Edwin Roller, Shawn Harper hold notes obligating partnership payments) - 195 acres unimproved real estate	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE (Was not a member of Congress in 1988)	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE (Was not a member of Congress in 1988)		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE (Was not a member of Congress in 1988)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Fishers Island Utility Company
Director	FIDCO
Partner (10%)	Jack Thomas Realtor Investment Partnership
Director	Walsh Park Benevolent Association (Non-profit)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	10 May '89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989 [illegible]

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Page 5 of 11

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

PORTER J. GOSS
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
II-B	Fishers Island, New York property - Rent - D
II-B	Pittsburgh Nat'l Bank-W.C. Robinson/Goss Family Estate Beneficiary Trust - Dividends/Interest - F
II-B	Pittsburgh Nat'l Bank Mary Oliver Robinson Estate Trusts- Dividends/Interest - F
II-B	Pittsburgh Nat'l Bank Charles Oliver Trust (Spouse/Fractional share) Dividends/Interest - D
II-B	Pittsburgh Nat'l Bank Beneficial Trust (Spouse) - Dividends/Interest - D
II-B	Pittsburgh Nat'l Bank Beneficial Trust (Spouse) - Dividends/Interest - D
II-B	Mellon Bank Robinson Family Beneficial Trust (Dependent Children) - Dividends/Interest - E
II-B	PNC Trust Co. Revocable Trust (Dependent Child) - Dividends/Interest - E
II-B	Pittsburgh Nat'l Bank Beneficiary Trust (Dependent Child) - Dividends/Interest - C
II-B	Pittsburgh Nat'l Bank Beneficiary Trust (Dependent Child) - Dividends/Interest - C
II-B	Pittsburgh Nat'l Bank Beneficiary Trust (Dependent Child) - Dividends/Interest - C
II-B	Pittsburgh Nat'l Bank Beneficiary Trust (Dependent Child) - Dividends/Interest - C
II-B	Pittsburgh Nat'l Bank Beneficiary Trust (Dependent Child) - Dividends/Interest - D
II-B	Pittsburgh Nat'l Bank Beneficiary Trust (Dependent Child) - Dividends/Interest - D
II-B	Aetna Life Insurance - Dividends/Interest - A
II-B	Community Bank of the Islands - Interest - A

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

PORTER J. GOSS

CONTINUATION SHEET

III. HOLDINGS (CONTINUED)

Atlantic City Sun - Atlantic City, NJ - Note/Stock	C
Coastplan, Inc. - Lee County, FL	A
Community Bank of the Islands - Sanibel, FL - Stock	C
Fishers Island NY Utility Co. - Stock	C
Community Bank of the Islands - Certificate of Deposit (Dependent Child)	B
South Florida Bank - Fort Myers, FL - Stock	B

ITEMIZED ASSETS OF CANDIDATE, SPOUSE AND DEPENDENT CHILDREN HELD IN 15 SEPARATE TRUSTS. (STOCK VALUATIONS AS OF 12/31/88)

STOCKS BY COMPANY NAME AND CATEGORY

Bank of Boston Corp.,	A
Avnet Inc.	A
General Motors	D
General Motors Class H	A
Hewlett-Packard Company	B
International Business Machines	F
Louisiana Land & Exploration	A
PNC Financial Corp.	F
TRW, Inc.	C
Aluminum Company of America	C
American Brands, Inc.	C
American Ecology Corp, Inc.	A
American Express Company	D
American Information Tech Corp.	E
American Telephone & Telegraph	B
Argonaut Group	A
Atlantic Richfield Company	D
Bell South Corp.	B
Burlington Northern Inc.	E

II. HOLDINGS (Con't)

Coca Cola Inc.	D
Walt Disney Company	D
DuPont E.I. De Nemours & Company Inc.	C
Eastman Kodak Company	C
Merck & Company	E
Minnesota Mining & Manufacturing	F
Motorola Inc.	B
NYNEX Corp.	B
PPG Industries Inc.	F
Pacific Telesis Group	C
Phelps Dodge Corp.	C
Raytheon Company	B
Southwestern Bell Corp.	B
Teledyne Inc.	A
U.S. West Inc.	D
Warner Communications Inc.	E
[redacted]	[redacted]
Altron Inc.	A
AMP Inc./Pamcor Inc.	C
Chevron Corp.	C
Great Northern Nekoosa Corp.	C
Lifeline Systems Inc.	B
May Department Stores Inc.	C
National Computer System Inc.	B
United Technologies Corp.	C
Xerox Corp.	C
Air Products & Chemicals	D
American Home Products	E
Bell Atlantic Corp.	E
Bristol Myers Company	E
CPC International	C
Dow Chemical Company	E
Echlin Inc.	C
FPL Group Inc.	C
General Electric Company	F
Georgia Pacific Corp.	C
Millpore Corp.	C
Norfolk Southern Corp.	C
JC Penney Company	C
Proctor & Gamble Company	C
Lubrizol Corp.	C
Pepsico Inc.	B
Premark International Inc.	A

III. HOLDINGS (Con't)

Aetna Life & Casualty Company	C
Waste Management Inc.	C
Smithkline Beckman Corp.	C
Westinghouse Electric Corp.	D
K-Mart Corp.	D
Schlumberger Ltd.	B
Scott Paper Company	C
Florida Progress Corp.	C
New York State Electric & Gas Corp.	C
CSX Corp.	B
DF Tax-Exempt Money Market Fund	C
Sherwin Williams Company	C
Eli Lilly & Company	C
Borden, Inc.	C
Sara Lee Inc.	C
General Reinsurance Corp.	C
Torchmark Corp.	C
Melville Corp.	C
F.W. Woolworth Company	C
Exxon Corp.	D
ITT Corp.	C
Philip Morris Companies	C
DF Income Stock Fund	D
Johnson & Johnson	A
PNC Common Stock Fund	F
Becton Dickinson & Company	C
Browning Ferris Industries Inc.	B
Corning Glass	B
Duke Power	C
Ecolab Inc.	C
Heinz, H.J. Company	C
Union Pacific	C
Columbia Pictures Entertainment Inc.	A
Transamerica Corp.	C
Unisys Corp.	B

Page 2 of 11
Porter J. Goss

III. HOLDINGS (Con't)

BONDS BY NAME AND CATEGORY

Lee County Florida Sanibel Bridge Improvement	A
Lee County Florida (G.O. Ser 85) Cap Bond	D
Palm Beach Florida (G.O. Ser 85)	D
Leon & Polk Counties, Florida HSG Fin Auth 85A	B
Dade County Florida HSG Fin Auth 85	C
Brentwood PA School Authority Ser 76	B
Allegheny County PA Series 69	C
Manatee County FL Indl Dev 85	D
Margate FL Water & Sewer 85	D
Central Greene School Auth 78	B
Dayton,PA Jt. Elem. School Autn 54	A
Niceville FL Water & Sewer 85	D
Pennsylvania G.O. Highway 72	B
Okaloosa County FL Water & Sewer 85	D
Orange County FL Water & Sewer 85	D
Orange County FL Capt Imp G.O. 83	C
Orange County FL HSG Fin Auth 85	B
Boynton Beach FL G.O. 83	C
Greater Orlando Aviation Auth 65	D
Marion County FL Hosp Dist Rev	D
South Florida Water Mgmt Dist Spl Obl 86	C
Dade County FL Spcl Obl 85	D
Escambia County FL HSG Fin Auth 85	B
Kissimee FL Elec & Water 75	C
Volusia County FL Hosp Rev 85	D
Florida St Board of Education Cap 83	C
Volusia County FL School Dist 83	C
Florida State Pollution Control 83	C
Miami Beach FL G.O. 85	D
Boynton Beach FL Water & Sewer 85	D
Broward County FL HSG Fin Autn 85A	C
Miami FL G.O. Series 83	C
Pasco County FL Water & Sewer 85	D
Bethel Park, FL School Bldg 64	B
Santa Fe Southern Pacific Corp Sr Sub Deb 3/1/2003	A
Lee County Fla Transn Facs Ser 87	B
Penna HOus Finance Agency 93	C
Berks Co PA 92	C
Mount Lebannon G.O. Ser 77	B
Upper St. Clair Twp, PA School Auth 67	B

III. HOLDINGS (Con't)

Lancaster PA Parking Auth 72	C
Mechanicsburg PA School Dist Auth 68	B
Pennsylvania G.O. Ser 68-5	C
Pennsylvania G.O. 1st Ser 71-5	C
Pennsylvania St. G.O. 1st Ser 5 73	C
Allegheny County Ser 66	B
Allegheny County Ser 69	C
Courtland AL Industrial Development Board Ser 67	C
Erie, PA City School District Ser 74	B
Keystone Oaks PA School Auth Ser 67	C
Lackawanna County PA New Housing Ser 68	C
Lower Makefield PA General Obligation Ser 75	C
Lower Moreland PA Sewer Ser 68	C
Mechanicsburg PA School District Auth Ser 68	C
Millcreek PA Sewer Auth Ser 65	B
PA State Public School Building Ser 60	B
Pittsburgh PA Public Package Authority Ser 66	C
Quakertown PA Area Joint School Auth Ser 73	B
Souderton Area Joint School Auth Ser 79	C
Wilson PA Joint School Auth Ser 75	E
Puerto Rico Housing Auth 76	A
Puerto Rico Housing Auth 75	B
Allentown PA Ser 3-15-84 5M G.O.	C
Allentown School District PA Ser 4-1-86 G.O.	C
Butler County Area School Auth 4-15-87 G.O.	C
Downington Area Joint Elementary School Auth 86 G.O.	B
PA State Public School Building Authority 3-1-84 G.O.	C
Allegheny County Residential Finance Auth 11-15-82 G.O.	B
Altoona City Auth PA 4-1-86 G.O.	C
Chester County Industrial Development Auth, PA 8-1-84 G.O.	C
Hatboro Boro Auth, PA 6-1-84 G.O.	C
Montgomery County Indust. Development Auth, PA 12-31-84 GO	C
PA Higher Education Facilities Auth 11-1-86 G.O.	C
West Goshen Sewer Authority PA, 1984 G.O.	B
Avonworth, PA School District 85	C
Puerto Rico Government Delev. Bank 83	C
Monroeville, PA Water Auth '93	C
LA State Lease #192 & #194 Royalty	F
PNC Personal Stif - PNC Trust Co. of FL NA	E

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III. HOLDINGS (Con't)

NOTES, FUNDS AND OTHER ASSETS BY NAME AND CATEGORY

Federated Tax Free Trust #73-PNC Trust Co. of FL NA	F
PNC Long Term Municipal Fund - Fixed Collective Fund	E
WS Farish III Note (50% interest)	D
Sewickley Heights Trust (Real Estate)	A
RI Wrightsman Investment Co (Real Estate)	A
Herr and Co Joint Venture (Real Estate)	A
Hay Harbor Club Inc (Real Estate Certificate)	A
Cotton Bay Club (Real Estate Certificate)	A
USA Treasury Notes ser 9-30-87	C
USA Treasury Notes ser 10-15-87	C
USA Treasury Notes 9-3-85	C
USA Treasury Notes 12-2-85	C
USA Treasury Notes 12-3-85	C
DF Government Securities Fund	D

IV. TRANSACTIONS (Con't)

Aetna Life & Casualty Co. - Sold	5/22/88	A
Coca Cola - Sold	6/22/88	A
General Motors Corp - Sold	7/29/88	B
Kraft Inc. - Sold	12/7/88	C
Tenneco - Sold	5/27/88 & 6/3/88	B
Merck & Co. - Received split	5/25/88	A
Santa Fe Southern Pac Corp - Sold	3/30/88	B
Edgeworth Boro Pa. Water & Sewer 96 - Called	11/1/88	A
Duvall County FL Hsg Fin Auth 84 - Called	9/1/88	D
Florida Housing Authority 85 - Called	6/1/88	D
Jacksonville Fl Elec Auth 83 - Called	10/3/88	A
Puerto Rico Hsg Fin Agency 85 - Called	12/28/88	C
Bell Atlantic Corp. - Bought	3/23/88	C
IBM - Partial sale	3/23/88	C
K-Mart - Partial sale	3/23/88	B
Transamerica Corp. - Bought	3/23/88	C
Unisys Corp - Bought	3/23/88	B
Penna Hous Finance Agency 93 - Bought	3/11/88	C
Berks Co PA 92 - Bought	3/17/88	C
CSX Corp. - Partial sale	4/15/88	B
Dow Chemical - Partial sale	4/26/88	C
Chester Cty Indust. Dev. Auth. PA - Called	6/01/88	B
Allegheny County PA Ser - Matured	10/1/88	C
Hospital Corp. of America - Sold	3/23/88	B
West Jefferson Hills. PA Schl Dist - Called	4/01/88	C

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

1989 MAY 11 PM 3:54
[illegible]

FORM A—For use by Members, officers, and employees

WILLIS D. GRADISON, Jr.
(Full Name)

2311 Rayburn Building
(Mailing Address)
Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2 State Ohio

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
see schedule, attached	Honoraria	$88.650	$62.400 donated
Gradison & Co., Inc.	Director's fees	500	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
see schedule, attached		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: **Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)**

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
see schedule, attached	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
see schedule, attached		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
see schedule, attached	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
see schedule, attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Gradison & Co., Inc.
Trustee	Children's Home of Cincinnati (resigned during 1988)
Trustee	Children's Hospital (Cincinnati) (resigned during 1988
Trustee	The Gradison Foundation
Trustee	The Helen Steiner Rice Foundation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	none	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Willis D. Gradison Jr.	May 11, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

WILLIS D. GRADISON, Jr.

II. A. Earned Income

Total: $89,150

Disposition: $62,400 donated to charities

See attached lists.

WILLIS D. GRADISON, Jr.
1988 HONORARIA

DATE	GROUP	AMOUNT
12-4-87*	American Academy of Dermatology	$1000.00
12-6-87*	Sun Health Corporation	2000.00
12-8-87*	Home Life Insurance Co.	2000.00
12-10-87*	National Printing Equipment	1000.00
1-21-88	Self Insurance Institute of America	1500.00
1-14-88	Paine Webber	2000.00
1-27-88	Paine Webber	2000.00
1-20-88	Bradley, Woods & Co.	1000.00
2-3-88	National Venture Capital Assn.	1000.00
2-10-88	CASE (Council for Advancement and Support of Education)	500.00
2-11-88	American Physical Therapy Assn.	2000.00
2-15-88	Center to Promote Health Care Studies	500.00
2-23-88	Washington Campus	500.00
3-2-88	Washington Campus	500.00
2-24-88	Brookings Institution	300.00
3-17-88	Paine Webber	2000.00
3-7-88	Washington Campus	500.00
3-28-88	SMS Financial Health Executives	2000.00
3-11-88	American Assn of PPOs	2000.00
3-21-88	Touche Ross	1000.00
4-10-88	International Council of Shopping Centers	2000.00
4-11-88	Merrell Dow	2000.00
3-4-88	American Psychological Assn.	2000.00

* honoraria received in 1988

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Page 2 - 1988 HONORARIA

4-26-88	American Medical Care & Review Assn.	$1000.00
4-25-88	American Physical Therapy Assn.	1000.00
3-21-88	National Health Lawyers	2000.00
4-15-88	American College of Healthcare Executives	1500.00
5-14-88	Ohio Ambulance Assn.	200.00
5-17-88	Chocolate Manufacturers Assn. National Confectioners Assn.	1000.00 1000.00
3-23-88	American Hospital Assn.	2000.00
4-20-88	Avon	2000.00
5-3-88	Washington Campus	500.00
5-13-88	Pfizer	1000.00
4-25-88	Washington Campus	500.00
5-5-88	Hospital Governing Boards	1000.00
5-11-88	UNISYS	1000.00
5-17-88	Washington Campus	500.00
5-25-88	Assn. of Private Pension & Welfare Plans	1000.00
5-11-88	Washington Campus	500.00
6-7-88	Brookings Institution	300.00
6-8-88	Brookings Institution	300.00
6-17-88	American College of Surgeons	2000.00
6-13-88	National Assn. of the Remodeling Industry	1000.00
6-22-88	The Tax Council	500.00
6-6-88	Washington Campus	500.00
6-27-88	Healthcare Financial Management Assn.	2000.00
6-20-88	Touche Ross	1000.00
7-13-88	The Tobacco Institute	1000.00
6-8-88	Washington Campus	250.00

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7-6-88	Washington Campus	250.00
7-26-88	Peat Marwick Main	2000.00
7-18-88	North Carolina Assn. of CPAs	1000.00
9-16-88	American Council for Capital Formation	1000.00
9-19-88	Brookings Institution	400.00
9-16-88	American College of Cardiology	1500.00
9-26-88	Blue Cross & Blue Shield	2000.00
9-26-88	Washington Campus	500.00
10-3-88	Washington Campus	500.00
10-22-88	Peer Review Systems	2000.00
10-24-88	Wm. Lowry & Associates (USF & G)	2000.00
11-2-88	Columbia Institute	1000.00
11-14-88	Digital	2000.00
10-25-88	Ohio HMO Association	1000.00
11-16-88	Washington Campus	500.00
11-12-88	National Council of Community Hospitals	2000.00
11-16-88	International Mass Retail Assn.	1500.00
11-30-88	20th Century Fund	150.00
12-6-88	Merrill Lynch	2000.00
11-17-88	Florida Assn. of HMOs	1500.00
11-30-88	Health Industry Manufacturers Assn.	2000.00
12-6-88	Merck	2000.00
12-7-88	Enterprise Communications	2000.00

WILLIS D. GRADISON, Jr.

II. B.	AMAX	Dividend	A
	American Cyanamid	Dividend	C
	Deere	Dividend	A
	First National Cincinnati Corp.	Dividend	B
	Freeport McMoran	Dividend	E**
	General Motors	Dividend	D
	Gradison & Co., Inc.	Dividend	G
	Nuveen Tax-free Reserves	Dividend	C
	Ohio Ind. Rev. Cincinnati Coliseum 6.5% due 6/1/94	Interest	A
	Ohio Mental Health Facil. 6% due 6/1/88	Interest	A
	Puerto Rico Hgwy Auth. 6.9% due 7/1/89	Interest	B
	Tennessee 5% due 3/1/95	Interest	B
	Freeport McMoran Oil & Gas Royalty Trust	*	A
	Pominex Oil & Gas Drilling Program, 1981-B	*	A
	First National Bank-Cincinnati	Interest	A
	Pominex Oil & Gas Drilling Program, 1983-B Ltd.	*	B

* Partnership distribution

** Cash plus stock dividends

WILLIS D. GRADISON, Jr.

III.	General Motors Class H	A
	General Motors Class E	B
	Ohio Ind. Rev. Cincinnati Coliseum 6.5% due 6/1/94	A*
	AMAX	C
	Deere	D
	First National Cincinnati Corp.	D
	Freeport McMoran Energy Partn. Ltd. Deposit Units	C
	Freeport McMoran Resource Part Ltd.	C
	Freeport McMoran Gold Co.	C
	Freeport McMoran Oil & Gas, Royalty Trust	B
	Pominex Oil & Gas Drilling Program-1981-B Ltd	A***
	Pominex Oil & Gas Drilling Program 1983-B Ltd	B***
	Puerto Rico Hgwy Auth 6.9% due 7/1/89	C*
	Tennessee 5% due 3/1/95	C*
	American Cyanamid	E
	Court Street Center Associates Ltd.	D**
	General Motors	E
	Nuveen Tax-free Reserves, Inc.	E
	Freeport McMoran	F
	Gradison & Co., Inc.	F****
	Gradison & Co. Retirement Savings Plan	D
	Public Employees Retirement System of Ohio	B
	Civil Service Retirement Fund	C
	Federal Retirement Thrift Savings Plan	A
	IRA: Gradison Cash Reserves	A
	Ctfs. Accrual Treas Secs Series M 0%, 5/15/90	B
	Ctfs. Accrual Treas Secs Series Q 0%, 11/15/93	B
	Keogh: Gradison Cash Reserves	C
	Ctfs. Accrual Treas Secs. Series M 0%, 11/15/92	B
	Ctfs. Accrual Treas Secs. Series R 0%, 8/15/94	A

* Valued at par
** Valued at original investment
*** Based on 10-21-88 purchase offer
**** Book value

All others are at market except Retirement accounts, which are valued at balances as reported by the plan.

WILLIS D. GRADISON, Jr.

IV. 6/1/88 Ohio Mental Health Facil, 6% due 6/1/88, Matured C

V. New England Mutual Life (life insurance loan) B
John Hancock Mutual Life (life insurance loan) B
Mutual Benefit Life (life insurance loan) C
Northwestern Mutual Life (life insurance loan) C

WILLIS D. GRADISON, Jr.

VII. Reimbursements

Source	Description
Paine Webber	trip to New York, New York for speech
The Center to Promote Health Care Studies	trip to San Diego, California for speech
SMS Financial Health Executives	trip to Scottsdale, Arizona for speech
American College of Health Care Executives	trip to Dallas, Texas for speech
American College of Surgeons	trip to Chicago, Illinois for speech
Ernst & Whinney	trip to Greensboro, North Carolina for speech
USF & G/Wm. Lowry Associates	trip to Atlanta, Georgia for speech
Ohio HMO Association	trip to Columbus, Ohio for speech
Columbia Institute	trip to Sacramento, California for speech
National Council of Community Hospitals	trip to Palm Springs, California for speech
Digital	trip to Tucson, Arizona for speech
Florida Association of HMOs	trip to Miami, Florida for speech

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ATTACHMENT A
WILLIS D. GRADISON, Jr.

HEATHER JANE GRADISON (spouse)

II. A.	Interstate Commerce Commission	Salary	
	Washington, Inc.	Speech	
B.	Sears	Dividend	A
	Nuveen Tax-free Reserves	Dividend	B
	IBM	Dividend	B
	DuPont	Dividend	A
	Becton Dickinson	Dividend	A
	Eastman Kodak	Dividend	B
	U.S. Shoe	Dividend	A
	Best Products	Capital gain	A
	J. M. Smucker	Dividend	A
	Pominex Oil & Gas 1981-B	Partnership disttribution	A
	Supermarkets General	Interest and capital gain	A
III.	Sears		B
	Nuveen Tax-free Reserves		C
	IBM		C
	Pominex Oil & Gas Drilling Program, 1981-B Ltd		A*
	DuPont		C
	Eastman Kodak		C
	J. M. Smucker		B
	U.S. Shoe		C
	IRA		C
	Civil Service Retirement		C
	Becton Dickinson		B
	Toys R Us		B
	Xoma		B
	Dart Drug Stores		A
	Amber Park Ltd. Partnership		B**

ATTACHMENT A, continued

IV.	4/28/88	Sold Allen Group	A
	4/28/88	Sold Berkey Photo	A
	4/28/88	Sold Gibson Greetings	A
	5/18/88	Sold Supermarkets General Pfd and Bonds	A
	11/9/88	Sold Best Products	B

Purchased and sold various amounts of Nuveen Tax-free Reserves from time to time during the year (see Holdings, above)

V. None

VI. None

VIII. Chairman, Interstate Commerce Commission

IX. None

* Based on 10/21/88 purchase offer

** Based on amount actually invested

All others are valued at market.

ATTACHMENT B
WILLIS D. GRADISON, Jr.

MAILE JO GRADISON (dependent child)

II. A. None

B. American Telephone & Telegraph	Dividend	A
Gradison Cash Reserves	Dividend	A
Kroger	Dividend	A
Texaco	Dividend	A
First National Cincinnati	Dividend	A
Ctfs Accrual Treas. Secs. Series M 0% 11/15/92	Interest	B
Ctfs Accrual Treas Secs. Series E 0% 11/15/95	Interest	B
Pacific Telesis	Dividend	A
Sunbelt Savings CD	Interest	A

III.	Texaco	A
	Brunswick	B
	Dart Drug Stores	A
	Gradison Cash Reserves, Inc.	A
	Eastman Kodak	A
	General Electric	B
	American Telephone & Telegraph	A
	Unisys	B
	First National Cincinnati Corp.	A
	Ctfs Accrual Treas Sec Series M 0% 11/15/92	B
	Ctfs Accrual Treas Sec Series E 0% 11/15/95	C
	Pacific Telesis	B

IV. Purchases of Gradison Cash Reserves from time to time during the year (see Holdings, above)

5/4/88	Sold Federated Department Stores	B
5/13/88	Bought Eastman Kodak	A
5/13/88	Bought Kroger	A
7/7/88	Matured Sunbelt Savings CD	B

ATTACHMENT B, continued
WILLIS D. GRADISON, Jr.

9/23/88	Bought General Electric	B
9/23/88	Sold Kroger	B
12/14/88	Bought Brunswick	B
12/14/88	Bought Unisys	B

V. None

VI. None

VII. None

VIII. None

IX. None

All holdings are valued at market.

ATTACHMENT C
WILLIS D. GRADISON, Jr.

BENJAMIN DAVID GRADISON (dependent child)

II. A. None

B.	American Telephone & Telegraph	Dividend	A
	Gradison Cash Reserves	Dividend	A
	Easygrowth Trust Rcpt.	Interest	B
	Freeport McMoran	Dividend	C*
	Ctfs Accrual Treas 0% 11/15/95	Interest	A
	Pacific Telesis	Dividend	A
	USF & G	Dividend	A

III.	Texaco	A
	Freeport Gold	A
	Freeport McMoran	C
	Freeport McMoran Energy Part. Ltd.	A
	Gradison Cash Reserves, Inc.	A
	American Telephone & Telegraph	A
	Easygrowth Trust Rcpt.	C
	Pacific Telesis	B
	Ctfs Accrual Treas 0% 11/15/95	B
	Freeport McMoran Resource Ltd. Part	A
	USF & G	A

IV. 2/11/88 Bought USF & G A

Purchases of Gradison Cash Reserves from time to time during the year (see Holdings, above)

V. None

VI. None

VII. None

VIII. None

IX. None

* Cash plus stock dividends

All holdings are valued at market.

ATTACHMENT D
WILLIS D. GRADISON, Jr.

LOGAN JANE GRADISON (dependent child)

II. A. None

B.	Eastman Kodak N.Z. NT. 17% 2/15/89	Interest	A
	Gradison Cash Reserves	Dividend	A
	Procter & Gamble	Dividend	A
	First National Cincinnati Corp.	Dividend	A
	U.S. Shoe Corp.	Dividend	A
	Eastman Kodak	Dividend	A
III.	Gradison Cash Reserves, Inc.		A
	First National Cincinnati Corp.		A
	PepsiCo		A
	U.S. Shoe		B
	Procter & Gamble		B
	Xoma		A
	Eastman Kodak common		B
IV.	6/29/88 Bought Eastman Kodak common		B
	6/30/88 Sold Eastman Kodak N.Z. NT.		B
	Purchases of Gradison Cash Reserves from time to time during the year (see Holdings, above)		

V. None

VI. None

VII. None

VIII. None

IX. None

All holdings are valued at market.

UNITED STATES HOUSE OF REPRESENTATIVES

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Committee on Standards of Official Conduct

MAY 15 1989

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Frederick L. Grandy
(Full Name)

418 CHOB
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6 State Iowa

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are excepted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ✓ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, [illegible] aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See attached Schedule			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Attached Schedule		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO [✓] NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Attached Schedule	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Attached Schedule		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See Attached Schedule	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description *of gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Attached Schedule	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
See Attached Schedule	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	See Attached Schedule	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989 [illegible]

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Page 1 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Frederick L. Grandy
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II A.	CPT Holdings, Inc.	Wages	134.60 *	
	A.S. Payroll Company, Inc.	Wages	19350.22 *	
	Cast & Crew Payroll, Inc.	Wages	536.00 *	
	Catherine Mann Prod, Inc.	Wages	Spouse	
	2/27/88 Sun Diamond	Honorarium	1000.00	
	3/1/88 Capital Forum	"	500.00	
	3/16/88 Nat'l Grain & Feed	"	2000.00	
	3/15/88 NAM	"	500.00	
	4/27/88 Nat'l Retail Merchs	"	1000.00	
	4/30/88 3rd Distrct Reps	"	250.00	
	5/9/88 IA Dental PAC	"	500.00	
	5/13/88 Chicago Merc	"	500.00	
	5/13/88 Chicago Board of TR	"	500.00	
	5/23/88 CIBA-GEIGY Corp	"	1000.00	
	6/9/88 Tobacco Institute	"	1000.00	
	6/15/88 Rockingham County Republican Party (Final Payment of honorarium due from 1987)	"	500.00	
	8/5/88 WIT Check signed over to Western Iowa Tech Community college Fund.	"	100.00	
	* Earned prior to membership in Congress - not subject to 30% limitation			
II B.	Presidential Savings Bank	Interest	A	
	City National Bank	"	A	
	Congressional Fed'l Credit Union	"	A	
	Montebello Associates	"	A	
	"	"	A	
	Riggs National Bank	"	B	
	Norwest Bank	"	A	
	First American Bank	"	A	
	Citicorp Savings	"	A	
	"	"	B	
	Hillcrest Mineral	"	A	
	Broadcast Music, Inc.	Royalty	B	
III	Biogen Medical Products		C	
	Minneapolis Hotel Partners		E	
	Marya Gwin Grandy Dependent		D	Short Term Trust
	Charles Brendan Grandy		D	Short Term Trust
	Granman Productions		A	Sole Shareholder
	Catherine Mann Productions		C ~~E~~	Sole Shareholder (Spouse)
	Presidential Savings Bank		C ~~B~~	
	City National Bank		A	
	Congressional Fed'l Credit Union		A	
	Montebello Associates		A	
	"		A	
	Riggs National Bank		C ~~B~~	
	Norwest Bank		A ~~E~~	
	First American Bank		A ~~B~~	
	Citicorp Savings		C	
	"		A ~~B~~	
	Hillcrest Mineral		A	

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Page 2 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Frederick L. Grandy
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*
IV	Sold Smith-Barney Equity Funds 2/10/88 (Loss)
	Sold Hillcrest Mineral Interests 3/30/88 A
V	Biogen Medical Products - Limited Partnership C
VII	Sun Diamond, Pleasanton, California. Speech & Factfinding
	Roundtrip airfare for Fred & Catherine Grandy (Spouse)
	2 nights/food/lodging (Paid for by Herger for Congress)
	National Grain & Feed Association, Orlando, Florida. Speech
	Airfare & 1 night food/lodging
	Third District Republican Party, Viroqua, WI. Speech
	Airfare & 1 night/food/lodging
	Iowa Dental PAC, Des Moines, Iowa Speech
	Roundtrip airfare, no lodging
	Chicago Mercantile Exchange Speech & Factfinding
	Chicago Board of Trade Speech & Factfinding
	Chicago Mercantile paid for airfare & 1 night food/lodging
	CIBA GEIGY Corporation, Greensboro, N.C. Speech & Factfinding
	Airfare & 1 night food/lodging &
	" for Mike Neruda (Staff)
	Hastert for Congress Committee, Illinois Speech
	Airfare & 1 night food/lodging &
	" for Craig Tufty (Staff)
	J.C. Penney, Tampa, Florida Speech
	Airfare & 2 nights food/lodging &
	" Catherine(Spouse) & Charles Grandy
	Martin for Congress, Rockford, Illinois Speech
	Airfare
	Lake County Republican Central Committee Speech
	Crown Point, Indiana
	Airfare & 1 night food/lodging
	Strangeland for Congress Speech
	Minnesota
	Airfare & 1 night food/lodging
	Michael for Congress, Peoria, Illinois Speech
	Airfare
	Harkers Inc., Le Mars, Iowa Speech, Factfinding & Plant Tour
	Airfare to Joliet, Illinois for Fred and Craig Tufty (Staff)
VIII	President Granman Productions, Inc.
	Board of Directors Briar Cliff College
	Limited Partner Biogen Medical Products
	" " Minneapolis Hotel Partners

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Page 3 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Frederick L. Grandy
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
IX	Gramman Productions, Inc. Defined Benefit Pension Plan Mr. Grandy was the sole shareholder and employee of Grammam Productions, Inc. The corporation set up a pension plan and made annual contributions to it for Mr. Grandy's benefit. Mr. Grandy ceased employment with Grammam Productions, Inc. in 1986 and accordingly no additional contributions have been made. The plan shall continue to invest the assets until Mr. Grandy's retirement.

8 9 0 7 0 2 9 0 3 9 6

1988 Honorarium Summary

Total Honorarium Received		$9350
Honorarium given to charity		$ 100
	TOTAL KEPT	$9250 —

(Honorarium Limit = $26,850)

1988 Charity Account Summary

deposits -- none

withdrawls -- none (no checks written)

interest earned (at 5%) --

checking	$11.73
savings	$ .24
TOTAL	$11.97

Checking Account Balance as of 12/31/88	$112.47
Savings Account Balance as of 12/31/88	$ 5.27
TOTAL	$117.74

NOTE: $100 was given to charity (Western Iowa Tech Community College Fund) in 1988. A check was not written out of the Charity Account for the $100. Instead, the honorarium check was signed back over to WIT college at the event.

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INSIDE MA

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Frederick L. Grandy
(Full Name)

418 CHOB
(Mailing Address)

Washington, D.C. 20515

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(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6 State Iowa

☐ Officer or Employee—Employing Office ______

☒ Check if amended Statement.

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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _____ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See attached schedule	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See attached schedule		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Fred Grandy	6/5/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Frederick L. Grandy
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
Part III #5	Copyright of music lyrics
Part IV #6	Inadvertently entered. Under $100 limitation on personal residence.

[illegible]

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

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U.S. HOUSE OF REPRESENTATIVES [illegible]

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

James William Grant
(Full Name)

1330 Longworth Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2nd State Florida

☐ Officer or Employee—Employing Office ______________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.

- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.

- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES __X__ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Laser RK	Dir Fees	$ 2,400	Personal Expenses
Home Intensvie Care, Inc.	Dir Fees	$13,900	Personal Expenses
International Asset Management Group, Inc.	Dir Fees	$ 3,500	Personal Expenses
Florida Power & Light Corp (3/1/88)	Honorarium	$ 1,000	Personal Expenses
Electric Utility Institute Seminars (5/5/88)	Honorarium	$ 1,000	Personal Expenses

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Interest on James Pittman Note	Interest	A
North Florida Bank Corp	Dividend	A
State Farm Insurance Company	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
North Florida BankCorp (Common Stock)	A
James Pittman, Jasper, Florida (Note Receivable)	A
Home Intensive Care, Inc. (common Stock purchase options)	E
International Asset Management Group, Inc. (common stock purchase options)	B
Equity Growth Corp. (common stock purchase options)	C
Alligator Point Beach House	E
Zodia Corp (common stock)	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of Personal Vehicle	9/25/88	B
Home Intensive Care Stock Options granted (as member of Board)	4/14/88	C
Home Intensive Care Stock Options granted (as member of Board)	11/1/88	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Congressional Federal Credit Union	B
Bank of Madison County	C
Lafayette County State Bank	B
Gulf State Bank	C
Security Federal Savings Bank	E
C & S Bank - Tallahassee	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
American Wood Preservers (12/1/88)	expenses re: speech in Orlando, FL
Home Intensive Care 3/15 and 9/15	travel & expenses re: Board Meetings
T.A. & D.A. Troy, Inc. 5/31 and 8/18	travel & expenses re: Board Meetings
Equity Growth	travel & expenses re: Board Meetings
International Asset Management Group	travel & expenses re: Board Meetings

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Home Intensive Care, Inc.
Director	Laser, BK
Director	International Asset Management Group, Inc.
Owner/Director	TALMASH, Inc.
Director	Zodia Corp
Director	D.A. & T.A. Troy, Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Bill Grant	May 12, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Bill Grant
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
IIA.	Income			
IIA.	National Coal Assn. (5/11/88)	Honorarium	$ 500.00	Personal Expenses
IIA.	Lockheed (8/11/88)	Honorarium	$1,000.00	Personal Expenses
IIA.	Tallahassee Business Roundtable (8/30/88)	Honorarium	$1,000.00	Personal Expenses
IIA.	Nat'l Restaurant Assn. (5/6/88)	Honorarium	$1,000.00	Personal Expenses
IIA.	Nat'l Assn. of Tobacco Distributors (8/14/88)	Honorarium	$1,000.00	Personal Expenses
IIA.	The Tobacco Institute (2/23/88)	Honorarium	$1,000.00	Personal Expenses
IIA.	RJR Nabisco (5/5/88)	Honorarium	$2,000.00	Personal Expenses
IIA.	American Trucking Assn. (3/16/88	Honorarium	$1,000.00	Personal Expenses
IIA.	Equity Growth Corp.	Directors Fees	$ 750.00	Personal Expenses
IIA.	American Wood Preservers (12/1/88)	Honorarium	$ 500.00	Personal Expenses

IIA. I inadvertently received excess outside income for calendar year 1988. I discovered this on May 12, 1989 and on May 12, 1989, I donated this excess outside income to the Dick Howser Center for Cerebral Palsy.

Part	
VIII. Positions	
VIII. Director	Equity Growth
VIII. Director	Windsor Capital Corp.
VIII. Director	Campbell Capital Corp.

HAND DELIVERED

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BILL GRANT
2d District, Florida

COMMITTEE ON AGRICULTURE

SUBCOMMITTEES:
TOBACCO AND PEANUTS
LIVESTOCK, DAIRY, AND POULTRY

PUBLIC WORKS AND
TRANSPORTATION COMMITTEE

SELECT COMMITTEE ON NARCOTICS
ABUSE AND CONTROL

Congreſs of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE:
Room 1330
Longworth House Office Building
202-225-5235

DISTRICT OFFICES:
930 Thomasville Road, Suite 101
Tallahassee, FL 32303
904-681-7434

1990-A South First Street
Lake City, FL 32055
904-755-6657

Post Office Building #109
Marianna, FL 32446
904-[illegible]-3628

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OFFICE OF RECORDS & REGISTRATION
[illegible] JUN -7 PM 4:24
OFFICE OF THE CLERK
HOUSE OF REPRESENTATIVES

June 5, 1989

The Honorable Julian C. Dixon
Chairman
Comm. on Stands. of Offical Conduct
U. S. House of Representatives
Suite HT-2, U. S. Capitol
Washington, D.C. 20515

MC ✓

Dear Mr. Chairman:

Please accept the following information as an amendment to my financial disclosure as requested by your letter of May 16, 1989.

IV. TRANSACTIONS

Sold 1/3 ownership of Alligator Point Beach House	C
Purchase of full ownership of Alligator Point Beach House	D

V. LIABILITIES

Congressional Federal Credit Union (unsecured)	B
Bank of Madison County (automobile/miscellaneous)	C
Lafayette County State Bank (unsecured)	B
Gulf State Bank (real estate)	C
Security Federal Savings Bank (open)	E
C & S Bank - Tallahassee (unsecured)	C

If you need additional information, please do not hesitate to contact me.

Thanks.

Sincerely,

Bill Grant

Bill Grant
Member of Congress

/sb

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED Committee on Standards of Official Conduct

15 3 49

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

WILLIAM H. GRAY, III
(Full Name)

11320 FRENCH HORN LANE
(Mailing Address)

RESTON, VA 22091

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District ______ State ______________

☐ Officer or Employee—Employing Office ______________________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,086, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Kid's Inc. Washington, DC (Spouse)			
Much Ado About Something, Wash., DC (Spouse)			
New York Times Public Opinion, Wash., DC	Fee	$150	Charity
Washington Post Editorial	Fee	$200	Charity
Miami Herald Editorial	Fee	$150	Charity

SEE ATTACHED

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Delaware Group Cash Reserve (Spouse)	Dividend	B
Chevy Chase Savings Bank CD (Spouse)	Interest	B
Signet Bank Money Market Fund	Dividend	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Bergner Associates Limited Partnershop Interest in building at	A
226-230 Martin Luther King Boulevard, Harrisburgh, PA	
Delaware Group Cash Reserve (Spouse)	B
Chevy Chase Savings Bank CD (Spouse)	B
Signet Bank Money Market Fund	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
N/A		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Bergner Associates	A

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
SEE ATTACHED	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Beckman Singer Gray
Senior Preaching Pastor	Bright Hope Baptist Church

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	N/A	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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HONORARIA

DATE	NAME OF ORGANIZATION AND CITY	TYPE	AMOUNT	DISPOSITION
Jan. 5	Cigna Business Roundtable, Washington, DC		1000	Charity
Jan. 5	Georgetown University Conference on South Africa Washington, DC		200	Charity
Jan.7	Maryland Legislative Black Caucus Greenbelt, MD	Honorarium	1000	
Jan. 18	American Council of Education Washington, DC	Honorarium	2000 1000	 Charity
Jan. 26	General Electric Constituents Relations Program	Honorarium	2000	
Jan. 26	Sun Diamond Growers Board of Directors Meeting Washington, DC	Honorarium	2000	
Jan. 26	Ohio Dept. of Transportation Columbus, Ohio	Honorarium	1500	
Feb. 2	American Hospital Assoc. Washington, DC	Honorarium	1000	
Feb. 2	Nat'l. Committee for Quality Healthcare Washington, DC	Honorarium	2000	
Feb. 3	American Assoc. of Equipment Lessors Govt. Relations Conf. Washington, DC	Honorarium	1300	
Feb. 4	Small Business Legislative Council Annual Meeting Washington, DC	Honorarium	1000	
Feb. 12	Bluff Medical Society Memphis, Tennessee	Honorarium	1500	
Feb. 22	Association of Community Colleges Trustee Annual Meeting Washington, DC	Honorarium	1500	
Feb. 22	Indiana University Bloomington, Indiana	Honorarium	1500	
Feb. 25	Brookings Institute Seminar Washington, DC	Honorarium	300	
Feb. 26	G.E. Aircraft Engine Conf. Washington, DC	Honorarium	2000	

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HONORARIA

DATE	NAME OF ORGANIZATION AND CITY	TYPE	AMOUNT	DISPOSITION
March 1	National Assoc. of Community Health Centers Washington, DC	Honorarium	800	
March 3	A.B. Laffers Assoc. Washington, DC	Honorarium	1500	
March 4	Pa. Black Conference of Higher Education Harrisburg, PA	Honorarium	500	
March 8	Securities Industry Assoc. Washington, DC	Honorarium	1850 150	 Charity
March 10	Shipbuilders Council of America Washington, DC		1000	Charity
March 11	Illinois Educational Assoc. Chicago, Illinois		2500	Charity
March 15	Int'l. Management & Development Institute Washington, DC		2000	Charity
March 15	American Public Transit Authority Washington, DC		1000	Charity
March 17	Shippensburg University Shippensburg, PA		2000	Charity
March 23	American Business Conference Washington, DC		1000	Charity
March 25	Nat'l. Conference of State Legislators State Federal Assembly Washington, DC		1000	Charity
April 2	British Broadcasting Corp. Washington, DC		138.94	Charity
April 2	Ocean Spray Congressional Forum Kansas City, Missouri		1000	Charity
April 9	Nat'l. Education Association Nat'l. Conference Washington, DC		2000	Charity
April 18	Amherst College Amherst, Mass		5000	Charity
April 19	Brookings Institute Seminar Washington, DC		1000	Charity

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HONORARIA

DATE	NAME OF ORGANIZATION AND CITY	TYPE	AMOUNT	DISPOSITION
April 23	Harlem Hospital Centinnal New York, New York		5000	Charity
April 28	West Virginia University Charleston, West VA		2000	Charity
April 30	Black Employees Assoc. of Mountain Bell Denver, Colorado		2000	Charity
May 2	Ohio State University Columbus, Ohio		1000	Charity
May 11	Construction Equipment Manufacturers Conference Washington, DC		1000	Charity
May 11	Computer Communications Industry Washington, DC		1000	Charity
May 12	Independent Petroleum Assoc. Meeting Washington, DC		1000	Charity
May 20	Vassar College Poukepsie, New York		3000	Charity
May 21	Morehouse School of Medicine Commencement Atlanta, Georgia		500	Charity
May 27	Layfette College Easton, Pa.		3000	Charity
May 27	Princeton Theological Seminary Princeton, New Jersey		1000	Charity
June 7	American Logistics Association Philadelphia, PA		3000	Charity
June 8	University of Maryland Faculty & Staff Assoc. College Park, MD		1200	Charity
June 9	Nat'l. Community Action Foundation Washington, DC		2000	Charity
June 10	Cleveland NAACP Freedom Fund Dinner Cleveland, Ohio		2000	Charity

HONORARIA

DATE	NAME OF ORGANIZATION AND CITY	TYPE	AMOUNT	DISPOSITION
June 15	The Conference Board Senior Executive Economic Briefing Philadelphia, PA		2000	Charity
July 8	The "City Club" of Cleveland Cleveland, Ohio		1000	Charity
July 8	AME Church General Meeting Ft. Worth, Texas		5000	Charity
July 10	Zeta Phi Beta Sorority Nat'l. Conference Baltimore, MD		1500	Charity
Sept. 7	E.Bruce Harrison Co. "Wednesday's with the House" Washington, DC		2000	Charity
Sept. 14	American Assoc. of School Admin. Washington, DC		2000	Charity
Sept. 27	Blue Cross Annual Govt. Conf. Arlington, VA		2000	Charity
Sept. 28	American Buisness Conf. Washington, DC		1000	Charity
Sept. 29	Paine Webber Breakfast Washington, DC		2000	Charity
Sept. 30	Georgetown University Bicentennial Celebration Washington, DC		3000	Charity
Sept. 30	Florida Int'l. University Miami, Florida		2000	Charity
Oct. 5	Inside Report, Evans & Novak Washington, DC		500	Charity
Oct. 20	Shearson Lehman Hutton New York, New York		500	Charity

HONORARIA

DATE	NAME OF ORGANIZATION AND CITY	TYPE	AMOUNT	DISPOSITION
Nov. 17	Foreign Policy Research U.S. Policy in Africa Philadelphia, PA		500	Charity
Nov. 18	Minority Supplier Development Council Greenbelt, MD		1000	Charity
Nov. 22	Cheltenham Township Wyncote, Pa.		2000	Charity
Nov. 29	Pfizer Civic Action Program Washington, DC		1000	Charity
Dec. 8	American Enterprise Institute Washington, DC		500	Charity
Dec. 8	NAPI Conference Washington, DC		2000	Charity
Dec. 14	Vandenburg Foreign Dinner Seminar Washington, DC		1000	Charity
Dec. 15	The Washington Campus Washington, DC		1000	Charity
Dec. 15	Paine Webber Breakfast Washington, DC		2000	Charity

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REIMBURSEMENTS

Ohio Department of Transportation-Speech: Two roundtrip airfares, Washington-Columbus-Washington; 1 nights lodging and food for two

Alpha Phi Alpha Martin Luther King, Jr., Ceremony-Speech: Roundtrip airfare and hotel accommodations; Washington-Los Angeles-Washington

Kleinwort Benson Conference in London-Speech: Two roundtrip airfares, JFK-London-JFK; loding and food for two

Bluff Medical Society Banquet-Speech: One-way airfare from Memphis-Phil; 1 nights lodging and food

New York State Black & Puerto Rican Caucus Dinner-Speech: Air transportation, Washington-Albany, NY-Washington

Indiana University Banquet-Speech: Air transportation, Washington-Indiana-Washington

Atlantic Financial Board Meeting-Speech: Air transportation, Washington-Phil-Washington

Citizens Chamber of Commerce, Lufkin, Texas-Speech: Air transportation, Washington-Lufkin,Texas-Washington

Illinois Education Association-Speech: Roundtrip airfare for two, Washington-Chicago-Philadelphia; Philadelphia-Chicago-Philadelphia; lodging and food for 1 night

Pa. Savings Institution Conference-Speech: Air transportation form Philadelphia-Harrisburg-Philadelphia

Shippensburg University-Speech: Air Transportation from Washington-Shippensburg-Washington

Ocean Spray Congressional Forum-Speech: Roundtrip airfare from Philadelphia-Kansas City-Washington

Hallmark Annual PAC Meeting-Speech: Air transportation from Kansas City-Philadelphia-Kansas City

Johnson C. Smith Founders Day-Seech: Air transportation from Washington-Charlotte,NC-Philadelphia

Democratic Party of the Virgin Islands Dinner-Speech: Roundtrip airfore for two

Amherst College Lecture-Forum-Speech: Air transportation from LaGuardia-Westfield, Mass-Philadelphia-Dulles

Harlem Hospital Centinnel Dinner-Speech; Roundtrip Metroliner fare from Philadelphia-New York-Philadelphia

St. Paul's College Honors Awards Day-Speech: Air transportation from Philadelphia-Lawrenceville, VA-Philadelphia

West Virginia University-Speech: Air transportation from Washington-Charleston, WV-Philadelphia

Black Employees Association of Mountain Bell-Speech: Roundtrip airfare from Philadelphia Denver-Philadelphia; 1 nights loding and food

REIMBURSEMENTS

Ohio State University-Speech: Air transportation from Washington-Columbus, Ohio-Washington

Vassar College Commencement-Speech: Air Transportation from Dulles-Poukepsie, NY-Washington

Morehouse School of Medicine Commencement-Speech: Roundtrip airfare for two, Washington-Atlanta-Philadelphia, 1 nights lodging and food for two

Layfette College Commencement-Speech: Air transportation from Philadelphia-Easton, PA

Princeton Theological Seminary-Speech: Air transportation from Mercer County-Washington

American Logistics Association Conference-Speech: 1 nights lodging and food

Tex PAC Annual Meeting-Speech: 1 nights lodging and food, Dallas, Texas

Texas Coalition of Blacks Democratic Luncheon-Speech: Roundtrip airfare, Washington-Houston-Washington

The City Club of Cleveland, Ohio-Speech: Roundtrip airfare, Washington-Cleveland-Washington

AME Church General Meeting-Speech: Roundtrip airfare, Washington, Ft. Worth, Texas-Philadelphia

Zeta Phi Beta Sorority, Nat'l. Convention-Speech: Travel expenses by automobile, Philadelphia-Baltimore-Philadelphia

Rural Letter Carriers Convention -Speech: Roundtrip airfare for two, Washington-Orlando-Washington

Coopers & Lybrand Partners Meeting-Speech: Air transportation, Washington-Boca Raton-Philadelphia; lodging and food for two

Bay Area Black Employees Dinner-Speech: Roundtrip airfare for two; Washington-San Francisco-Washington; 1 nights lodging and food for two

American Federation of Government Employees Convention-Speech: Air transportation, Marthas Vineyard-Miami-Marthas Vineyard

Phillip Morris Executives Meeting-Speech: Roundtrip airfare, Washington, New York, Washington, 1 nights lodging and food

Morgan Stanley Dinner_-Speech: Air transportation, Pittsburgh-Washington-Philadelphia

Florida International University Banquet-Speech: Roundtrip airfare, Washington Miami-Philadelphia; 1 nights lodging

Atlanta University Founder's Day-Speech: 1 nights lodging and food

Coca Cola PAC Meeting-Speech: Air transportation, Philadelphia-Atlanta-Philadelphia

NAACP South Carolina Branch Dinner-Speech: Air transportation, Washington-Hilton Head, SC-Homestead, Hot Springs

Johnson & Johnson Business Council Meeting-Speech: Air transportation, Homestead, Hot Springs-Philadelphia

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REIMBURSEMENTS

Miami Black Lawyers Association Dinner-Speech: Roundtrip airfare for two, Washington-Miami-Washington; 1 nights lodging for two

Securities Industry Association-Speech: Roundtrip airfare, Washington-West Palm, Fla.-Philadelphia, 1 nights lodging and food

RJR Nabisco Executives Conference-Speech: Roundtrip airfare for two, Philadelphia-San Juan, Puerto Rico-Philadelphia

SmithKline Beckman Executives Conference-Speech: Hotel accommodations for two and food in Puerto Rico

1282

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

◆

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

1989 MAY 15 AM 11:51

Sedgwick William Green
(Full Name)

1110 Longworth House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 15 State New York

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,000, as of May 15, 1989.
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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "print-outs" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Schedule Attached			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Schedule Attached		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Schedule Attached	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Schedule Attached		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Schedule Attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
See Schedule Attached	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Bill	5/19/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Mr. Green

II A Earned Income

New York Times - Article	250.00
Honorariums:	
American Insurance Association 2/19/88	500.00
Presbyterian Health, Education and Welfare Association 2/24/88	225.00
St. Francis College 11/18/88	150.00

II B Interest Income

S.S.B. of Allentown Inc.	B
East River Savings Bank	A
Seamans Bank for Savings	B
Municipal Bonds	D
Manufacturers Hanover	D
Northern Indiana Public Service	A
Union Electric	C
U.S. Trust Company	A
J. Colin Bankruptcy Estate	G

Dividend Income

American Family Corp	A
Ametek Inc	B
Calfed	B
Chemical Banking Corp	B
Chemical New York Corp	A
Cyprus Minerals	A
Dime Savings Bank	A
E Systems Inc	A
Equifax Inc	B
First Bank Systems	B
First Chicago Corp	A
Ford Motor Co.	B
Imperial Corp of America	B
Kaufman & Broad $1.50 Pfd	A
Manufacturers Hanover	B
McDermott International	A
Scientific Atlanta	A
Shearson Lehman Bros. Hldg	A
Southwest Gas Corp	A
Talman Home Federal Savings	A
Wells Fargo Mtg & Equity	B

[illegible]

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Mr. Green - Continued

Capital Gains

Calfed Inc	Loss
Chemical New York Corp.	D
Wts Chieftain Development	D
Crestmont Federal S&L Spr. NJ	Loss
Cyprus Minerals Co	E
Energy Factors Inc	Loss
First Bank System Inc	Loss
Ford Motor Co	E
Imperial Corp of America	Loss
Inco Ltd	D
Manufacturers Hanover	Loss
Northern Indiana Pub. Svc 11.25%	B
Scientific Atlanta Inc.	B
Talman Home Federal Savings	Loss
Ultrasystems Inc.	C
Viratek Inc.	C
Municipal Bonds	Loss
Watts Industries Cl. A	D
Wells Fargo Mtg & Equity	Loss

Partnership Income

UDC Universal Development L.P.	D

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ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Mr. Green - Continued

III	Albion Trading Corp	A
	Thames Rico	E
	Golden Gate Associates IV	A
	Energy Answers Corp - Equity & Loans	F
	SWC Corp	F
	East River Savings Bank	A
	Seamans Bank for Savings	C
	Option - Shoreham Associates	A
	Shearson Money Market Account	E
	Manufacturers Hanover Certificate of Deposit	D
	Loan Rec - Committee for Congressman Green	E
	Receivable from J. Colin Bankruptcy Estate	Unknown
	AST Research	C
	American Family Corp	C
	Ametek Inc	C
	Applied Magnetics Corp	C
	Applied Materials Inc	C
	Cambridge Bioscience	C
	Computer Factory Inc	B
	Computer Consoles	C
	Crossland Savings FSB-Bklyn NY	D
	Dime Savings Bank, NY	D
	Diversified Industries	D
	E. Systems Inc.	B
	Equifax Inc	D
	Equity Oil Co	B
	First Chicago Corp	D
	Gibraltar Finl Corp	A
	Hadson Corp	C
	Kaufman & Broad $1.50 Pfd	B
	Ketema Inc	A
	Mark IV Inds. Inc	B
	Material Sciences Corp	C
	McDermott International	A
	Micropolis Corp	A
	Nortek Inc Del	B
	Rabbit Software Corp	A
	Ramtek Corp	C
	Regional Financial Share Inv. Fund	C
	Shearson Lehman Hutton Inc	B
	Southwest Gas Corp	B
	Sudbury Inc	B
	Teknowledge Inc.	A
	Thermo Electron Corp	E
	UDC Universal Development L.P.	D
	Union Electric 10.5% Debenture	C
	Wainoco Oil Co	B
	Municipal Bonds	D

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Mr. Green Continued

IV Purchases during 1988

AST Research Inc	C
Ametek Inc	C
Applied Magnetics Corp	C
Applied Materials Inc	C
Cambridge Bioscience Corp	C
Chemical New York Corp	C
Computer Factory Inc	B
Computer Consoles	B
Crossland Savings Bank	D
Cyprus Minerals Co	D
Dime Savings Bank NY	D
Diversified Industries	C
First Chicago Corp	D
Inco Ltd	D
Ketema Inc	A
Material Sciences Corp	C
Microplois Corp	B
Nortek Inc Del	B
Regional Financial Share	C
Silicon Systems Inc	C
Sudbury Inc	C

Sales during 1988

Calfed Inc	D
Chemical New York Corp	C
Wts Chieftain Development	C
Crestmont Federal S&L Spr. NJ	C
Cyprus Minerals Co	D
Energy Factors Inc	B
First Bank Systems Inc	C
Ford Motor Co	D
Imperial Corp of America	C
Inco Ltd	D
Manufacturers Hanover	C
Northern Indiana Pub Svc 11.25% Debenture	C
Scientific Atlanta Inc	C
Talman Home Federal Savings Ill	C
Ultrasystems Inc	C
Viratek Inc	C
Watts Industries Cl A	D
Wells Fargo Mtg & Equity	C
Municipal Bonds	D

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Mr. Green Continued

	Source	Description
VII		
	National Womens Political Caucus	Transportation to Kansas City for Republican Platform Committee hearing 5/31/88
	Ripon	Transportation for trip to London and Cambridge, U.K. for five day conference 7/19/88
	NYS Association of Redevelopment & Housing Officials	Transportation to Granite Hotel, Kerhonkson, NY for conference 10/18/88
	Congressional Institute, (seminar participant)	Roundtrip air transportation, DC to Houston, 3 days food/ lodging
	Electronic Industries Association	Transportation, food & lodging at Captiva, Fla. for self & wife for legislative conf.
	L'Union des Producteurs Agricoles	Transportation, food & lodging in Montreal, Canada for two day acid rain conference
	Energy Answers Corp.	Air transportation to Albany, NY & Rochester, MA. at various times for meetings. Mr. Green is a corporate director
	Northeast-Midwest Institute	Air transportation & lodging in New Orleans for two day conference

VIII

Limited Partner - Golden Gate Associates IV
Limited Partner - UDC Universal Development L.P.
Director - Energy Answers Corp
Director - American Friends of the Rambam Society for Advancement and Development of Medical Science and Research, Inc.
President & Director - SWC Corp
Treasurer & Director - The Green Fund, Inc.
Trustee - Jewish Assn for Services for the Aged
Trustee - Montefiore Medical Center
Trustee - New School for Social Research
Honorary Trustee - Cancer Research Institute
Overseer - Albert Einstein College of Medicine
Overseer - Graduate School for Urban Professions - New School for Social Research
Overseer - UJA - Federation of Jewish Philanthropies of New York
Member - Advisory Committee - NYU Graduate School of Public Administration
Member - Advisory Committee - Kennedy School of Government - Harvard University
National Trustee - National Conference of Christians & Jews

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

II B	**Mrs. Green**	
	Interest Income	
	Pa. Power & Light 9%	B
	Chemical Bank	D
	Toledo Edison Co.	A
	Dividend Income	
	Carolina Power & Light	A
	Hershey Foods Corp	A
	IBM Corp	B
	Lehman Money Mkt Fnds	D
	NYS Electric & Gas	A
	Ohio Edison Company	B
	Savannah Electric & Power	A
	Smithkline Beckman Corp	B
	Southern Co	B
	Unilever N V Ord	A
	Capital Gains	
	Coast Savings & Loan Assn	A
	Consumer Power $3.60 Pfd	C
	Gibraltar Financial Corp	Loss
	ICN Pharmaceuticals	Loss
	Ohio Edison Co	C
	Thousand Trails Inc	Loss
	Toledo Edison 12.25% Debenture	C
	Partnership Income	
	Enserch Exploration Partners L.P.	Loss
III	Chase Manhattan Bank	A
	Chemical Bank	C
	Shearson Money Market Accounts	E
	Carolina Power & Light	A
	Enserch Expl. Partners Ltd	A
	Gentex Corp	B
	Hershey Foods Corp	B
	IBM Corp	D
	NYS Electric & Gas Corp	B
	Pa. Power & Light 9% Debentures	C
	Smithkline Beckman Corp	C
	Southern Co	C
	Unilever N V Ord	C
IV	**Purchases during 1988**	
	IBM Corp	C
	Sales during 1988	
	Coast Savings & Loan Assn	A
	Consumer Power $3.60 Pfd	C
	Gibraltar Financial Corp	A
	ICN Pharmaceuticals	A
	Ohio Edison Co	C
	Savannah Elec & Power	B
	Thousand Trails Inc	A
	Toledo Edison Co 12.25% Debenture	C

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Mr. Green is the income beneficiary of a trust created under the will of his father (Louis A. Green). Listed below is the financial disclosure of this trust.

II B Interest Income

Golden Gate Associates III	B
Municipal Bonds	D
Thru Mesa Ltd Partnership	B
Mark IV Ind.	B
Nortek Inc	B
Thru Petrolane Partners Ltd Partnership	A

Dividend Income

Americus Trust for Dow Shares	A
American Family Corp	A
Ametek Inc	A
Bohemia Inc.	A
Calfed Inc.	B
Chemical Banking Corp	B
Chemical New York Corp	B
Coast Savings & Loan Assn	A
Cyprus Minerals Co	A
Dime Savings Bank	A
E Systems Inc.	A
Equifax Inc.	A
First Bank System Inc.	A
First Chicago Corp	A
Ford Motor Co.	B
Golden Gate Associates III	A
Hallwood Group Inc.	C
Imperial Corp of America	B
Lehman Mgmt Money Mkt Funds	A
Manufacturers Hanover	C
McDermott Intl	C
Thru Mesa Ltd Partnership	A
Nike Inc. Cl B	A
Primerica Corp	A
Reebok Intl	A
Scientific Atlanta Inc	A
Shearson Money Market Funds	D
Smithkline Beckman	C
Southwest Gas Corp	B
Thru Stryker & Brown	A
Talman Home Federal Savings	A
Tenneco Inc.	C
UGI Corp	C
Ultrasystems Inc.	D
Watts Industries Cl A	A

Royalty Income

Thru Stryker & Brown	D
Thru Mesa Ltd Partnership	A

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Trust f/b/o Sedgwick William Green Continued

Capital Gains

Thru Golden Gate Associates III	Loss
Thru Stryker & Brown	C
Thru Mesa Ltd Partnership	Loss
Thru UDC Universal Development L.P.	A
Applied Magnetics Corp	E
Calfed Inc	Loss
Chemical New York Corp	E
Wts Chieftain Development	D
Coast Savings & Loan Assn	D
Computer & Comm. Tech.	Loss
Crestment Federal S&L Spr. NJ	Loss
Cyprus Minerals Co	E
Damon Biotech Inc	Loss
Danaher Corp	E
Energy Factors Inc.	Loss
Enserch Exploration Partners	Loss
First Bank Systems Inc	Loss
Ford Motor Co	E
General Datacomm Inc	Loss
Genicom Corp	Loss
Harcourt Brace Jovanovich	F
Imperial Corp of America	Loss
Inco Ltd	D
Manufacturers Hanover	Loss
Micropolis Corp	Loss
Plantronics Inc	C
Pope & Talbot Inc	D
Primerica Corp	C
Reebok Intl Ltd	D
Scientific Atlanta Inc	B
Standard Pacific Ltd Ptr	A
Talman Home Federal Savings	Loss
Tekelec Co	D
Thermo Instrument Systems	C
VLSI Technology	D
Viratek Inc	A
Watts Industries Cl A	E
Willcox & Gibbs	D

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Trust f/b/o Sedgwick William Green Continued

	Partnership Income	
	Golden Gate Associates III	Loss
	Stryker & Brown	Loss
	Enserch Exploration Partners	Loss
	Mesa Limited Partnership	Loss
	Standard Pacific L.P.	D
	UDC Universal Development L.P.	D
	Petrolane Partners L.P.	A
III	Investment in Golden Gate Assoc. III	C
	Stryker & Brown	D
	Shearson Money Market Funds	E
	AST Research Inc	C
	American Family Corp	C
	Americus Trust for Dow Shares	C
	Ametek Inc	C
	Applied Magnetics Corp	C
	Applied Materials Inc	D
	Banctexas Group Inc	A
	Biogen Inc	B
	Bohemia Inc	D
	Cambridge Bioscience Corp	B
	Computer & Comm. Tech.	A
	Computer Factory Inc	C
	Computer Consoles Inc	C
	Crossland Savings Bank - NY	D
	Danaher Corp	C
	Dime Savings Bank NY	D
	Diversified Industries	D
	E Systems Inc.	D
	Enserch Exploration Partners Ltd	C
	Equifax Inc.	C
	Ferrofluidics Corp	A
	First Chicago Corp	B
	Gibraltar Financial Corp	B
	Grossman's Inc.	B
	Hadson Corp	C
	Hallwood Group Inc.	C
	Ketema Inc	A
	Mark IV Inds Inc 7% 6/15/11 Deb.	C
	Material Sciences Corp	C
	McDermott International	C
	Mesa Ltd Partnership Pfd Cl A	D
	Municipal Bonds	E
	Nike Inc Cl B	D
	Norteck Inc 7.5% 5/1/06 Deb	C
	Petrolane Partners L.P.	C
	Smithkline Beckman Corp	D
	Southwest Gas Corp	C
	Standard Pabific Ltd Ptr	E
	Tecogen Inc	C
	Teknowledge Inc.	A
	Tenneco Inc	C
	Thermo Electron Corp	E
	UDC Universal Development L.P.	C
	UGI Corp	D
	Unifi Inc	C
	Union Bank of San Francisco	D
	Wainoco Oil Co	B

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Trust f/b/o Sedgwick William Green Continued

IV Purchases during 1988

AST Research Inc	C
Americus Trust for Dow Shares	C
Ametek Inc	C
Applied Magnetics Corp	D
Applied Materials Inc	D
Biogen NV	B
Bohemia Inc	D
Calfed Inc	C
Cambridge Bioscience Corp	B
Chemical New York Corp	C
Computer & Comm. Tech	B
Computer Factory Inc	C
Computer Consoles	B
Crossland Savings Bank NY	D
Cyprus Minerals Co	E
Danaher Corp	C
Dime Savings Bank NY	D
First Chicago Corp	B
Genicom Corp	C
Inco Ltd	E
Ketema Inc	A
Mark IV Inds 7% Deb.	C
Material Sciences Corp	C
Nike Inc Cl B	D
Nortek Inc 7.5% Deb.	C
Petrolane Partners L.P.	C
Plantronics Inc	C
Primerica Corp	C
Standard Pacific Ltd Ptr	E
Tecogen Inc	C
Thermo Electron Corp	E
UDC Universal Development L.P.	C
Unifi Inc	C
Union Bank of San Francisco	D
VLSI Technology Inc	C

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Trust f/b/o Sedgwick William Green Continued

Sales during 1988

Applied Magnetics Corp	D
Calfed Inc	D
Chemical New York Corp	D
Wts Chieftain Development	C
Coast Savings & Loan Assn	C
Computer & Comm. Tech.	A
Crestmont Federal S&L Spr. NJ	C
Cyprus Minerals Co	E
Damon Biotech Inc	A
Danaher Corp	C
Energy Factors Inc	C
Enserch Exploration Partners L.P.	C
First Bank System Inc	A
Ford Motor Co.	D
General Datacomm Inc	A
Genicom Corp	B
Harcourt Brace Jovanovich	D
Imperial Corp of America	C
Inco Ltd	E
Manufacturers Hanover	D
Micropolis Corp	C
Plantronics Inc	C
Pope & Talbot Inc	D
Primerica Corp	C
Reebok Intl Ltd	C
Scientific Atlanta Inc.	D
Standard Pacific Ltd Ptr	C
Talman Home Federal Savings Ill	B
Tekelec Co	C
Thermo Instrument Systems	D
Ultrasystems Inc	D
VLSI Technology Inc	C
Viratek Inc	B
Watts Industries Cl A	E
Willcox & Gibbs	C

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Catherine A. Green - Daughter

II B **Interest Income**

Lincoln Savings Bank	A
Custom Energy Service	C
Mark IV Inds. 7% Debentures	B
Municipal Bonds	D

Dividend Income

American Family Corp	A
Ametek Inc.	A
Bohemia Inc	A
Chemical Banking Corp	A
Chemical New York Corp	A
Coast Savings & Loan Assn	A
Cyprus Minerals Co	A
Dime Savings Bank NY	A
E Systems Inc	A
Equifax Inc	A
First Chicago Corp	A
Lehman Management Money Market Funds	B
Nortek Inc. Del.	A
Scientific Atlanta	A
Shearson Global Opportunities	A
Shearson Lehman Bros. Hldg	A
Southwest Gas Corp	A

Capital Gains

Applied Magnetics Corp	D
Chemical New York Corp	C
Wts Chieftain Development	C
Crestmont Federal S&L Spr. NJ	Loss
Cyprus Minerals Co	D
Danaher Corp	C
Gibraltar Financial Corp	Loss
Inco Ltd	B
Manufacturers Hanover	Loss
Pope & Talbot Inc	C
Scientific Atlanta Inc	A
Shearson Global Opportunities	A
Thermo Instrument Systems	C
Ultrasystems Inc	C
VLSI Technology Inc	B
Viratek Inc	B
Wang Labs Inc. Cl B	Loss
Watts Industries Cl A	C
Wells Fargo Mtg & Equity	Loss
Whitehall Corp	Loss

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Catherine A. Green Continued

Partnership Income

	Enserch Exploration Partners	Loss
	UDC Universal Development L.P.	D
III	AST Research Inc	A
	American Family Corp.	B
	Ametek Inc	B
	Applied Magnetics Corp	A
	Applied Materials Inc	B
	Biogen Inc	A
	Bohemia Inc	C
	Cambridge Bioscience Corp	B
	Computer Factory Inc	B
	Computer Consoles Inc	A
	Crossland Savings Bank NY	B
	Customedix Wts	A
	Custom Energy Svcs 15.5% Debentures	B
	Dime Savings Bank NY	B
	Diversified Industries	C
	E Systems Inc.	B
	Energy Answers Corp	F
	Enserch Expl Partners	A
	Equifax Inc	C
	Equity Oil Co	A
	Ferrofluidics Corp	A
	Gibraltar Finl Corp	A
	Hadson Corp	C
	Katema Inc	A
	Mark IV Inds. Inc	A
	Mark IV Inds. 7% - 11	B
	Material Sciences Corp	C
	Micropolis Corp	B
	Municipal Bonds	D
	Nortek Inc Del	A
	Petrolane Partners L.P.	C
	Rabbit Software Corp	A
	Regional Financial Share	B
	Repligen Corp	A
	Shearson Global Opportunities	B
	Silicon Systems	C
	Southwest Gas Corp	B
	Sudbury Inc	A
	Tecogen Inc	B
	Teknowledge Inc.	A
	Thermo Electron Corp	D
	UDC Universal Development L.P.	C
	Union Bank S.F. Cal.	C
	Wainoco Oil Co	B
	Lincoln Savings Bank	B

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

IV Purchases during 1988

AST Research Inc	A
Ametek Inc	C
Applied Magnetics Corp	C
Applied Materials Inc	B
Biogen NV	A
Bohemia Inc	C
Cambridge Bioscience Corp	B
Chemical New York Corp	B
Computer Factory Inc	B
Crossland Savings Bank	B
Cyprus Minerals Co	C
Dime Savings Bank NY	B
Diversified Industries	B
Ferrofluidics Corp	A
Gibraltar Financial Corp	B
Inco Ltd	C
Ketema Inc	A
Material Sciences Corp	C
Micropolis Corp	A
Nortek Inc. Del.	A
Petrolane Partners L.P.	C
Regional Financial Share	B
Silicon Systems Inc	C
Sudbury Inc	A
Tecogen Inc	A
Thermo Electron Corp	D
UDC Universal Development L.P.	C
Union Bank S.F. Cal.	C
VLSI Technology Inc	B

Sales during 1988

Applied Magnetics Corp	C
Chemical New York Corp	C
Wts Chieftain Development	B
Coast Savings & Loan	B
Crestmont Federal S&L Spr. NJ	B
Cyprus Minerals Co	C
Danaher Corp	B
Gibraltar Financial Corp	B
Inco Ltd	C
Manufacturers Hanover	C
Pope & Talbot Inc	C
Scientific Atlanta Inc	B
Shearson Global Opportunities	A
Standard Pacific L.P.	C
Thermo Instrument Systems	C
Ultrasystems Inc	C
VLSI Technology Inc	B
Viratek Inc	B
Wang Labs Cl B	A
Watts Industries Cl A	C
Wells Fargo Mtg & Equity	A
Whitehall Corp	A

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ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Louis H. Green - Son

II B Interest Income

Lincoln Savings Bank	A
Custom Energy Service	C
Mark IV Industries	B
Municipal Bonds	D

Dividend Income

American Family Corp	A
Ametek Inc	A
Bohemia Inc	A
Chemical Banking Corp	B
Chemical New York Corp	A
Coast Savings & Loan Assn	A
Cyprus Minerals Co	A
Dime Savings Bank NY	A
Enserch Corp	A
Equifax Inc	A
First Chicago Corp	A
Kaufman & Broad $1.50 Pfd	A
Lehman Mgmt Money Market Funds	A
Manufacturers Hanover	B
Ohio Edison	A
Scientific Atlanta	A
Shearson Daily Dividend	A
Shearson Global Opportunities	A
Shearson Lehman Bros. Hldg	A
Southwest Gas Corp	A
United Illuminating	A
Wells Fargo Mtg & Equity	A

Capital Gains

Applied Magnetics Corp	D
Chemical New York Corp	D
Wts Chieftain Development	C
Crestmont Federal S&L Spr. NJ	Loss
Cyprus Minerals Co	D
Danaher Corp	C
Gibraltar Financial Corp	Loss
Harcourt Brace Jovanovich	C
Inco Ltd	B
Manufacturers Hanover	Loss
Ohio Edison Co	B
Pope & Talbot Inc	C
Scientific Atlanta Inc	A
Thermo Instrument Systems	C
Ultrasystems Inc	B
VLSI Technology	B
Viratek Inc.	A
Wang Labs Cl B	Loss
Watts Industries Cl. A	C
Wells Fargo Mtg & Equity	Loss

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Louis M. Green Continued

Partnership Income

	Enserch Exploration Partners	Loss
	Petrolane Partners L.P.	A
	UDC Universal Development L.P.	C
III	American Family Corp	C
	Ametek Inc	B
	Applied Magnetics Corp	B
	Applied Materials Inc	B
	Biogen Inc	A
	Bohemia Inc	C
	Cambridge Bioscience Corp	B
	Computer Factory Inc	C
	Computer Consoles Inc	A
	Crossland Savings Bank NY	C
	Customedix Wts	A
	Custom Energy Svcs 15.5% Debentures	C
	Dime Savings Bank NY	C
	Diversified Industries	C
	E Systems Inc.	A
	Energy Answers Corp	F
	Enserch Expl Partners	A
	Equifax Inc	C
	Ferrofluidics Corp	A
	General Datacomm Inc	A
	Grow Group Inc	A
	Hadson Corp	B
	Kaufman & Broad $1.50 Pfd	A
	[illegible] Inc	A
	Mark IV Inds. Inc 7% - 11	B
	Material Sciences Corp	C
	Micropolis Corp	A
	Municipal Bonds	E
	Nortek Inc Del	A
	Petrolane Partners L.P.	C
	Rabbit Software Corp	A
	Regional Financial Share Inv Fnd	B
	Repligen Corp	A
	Shearson Global Opportunities	C
	Silicon Systems Inc	C
	Southwest Gas Corp	B
	Shearson Money Market Accounts	B
	Sudbury Inc	A
	Tecogen Inc	B
	Teknowledge Inc	A
	Thermo Electron Corp	D
	UDC Universal Development L.P.	C
	United Illuminating	B
	Wainoco Oil Co	B
	Lincoln Savings Bank	B

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Louis N. Green Continued

IV Purchases during 1988

Ametek Inc	C
Applied Magnetics Corp	C
Applied Materials Inc	B
Biogen NV	A
Bohemia Inc	C
Cambridge Bioscience Corp	B
Chemical New York Corp	C
Computer Factory Inc	C
Computer Consoles.	A
Crossland Savings FSB	C
Cyprus Minerals Co	C
Dime Savings Bank NY	C
Diversified Industries	B
Ferrofluidics Corp	A
Gibralter Financial Corp	A
Inco Ltd	C
Ketema Inc	A
Mark IV Inds. 7% Debentures	B
Material Sciences Corp	C
Nortek Inc Del	A
Petrolane Partners L.P.	C
Regional Financial Share	B
Silicon Systems Inc	C
Sudbury Inc	A
Tecogen Inc	A
Thermo Electron Corp	D
UDC Universal Development L.P.	C
VLSI Technology Inc	B

Sales during 1988

Applied Magnetics Corp	C
Chemical New York Corp	C
Wts Chieftain Development	B
Coast Savings & Loan Assn	B
Crestmont Federal S&L Spr. NJ	B
Cyprus Minerals Co	C
Danaher Corp	B
Gibraltar Financial Corp	B
Harcourt Brace Jovanovich	B
Inco Ltd	C
Manufacturers Hanover	C
Ohio Edison Co	B
Pope & Talbot Inc	C
Scientific Atlanta Inc	B
Standard Pacific L.P.	B
Thermo Instrument Systems	C
Ultrasystems Inc	B
VLSI Technology Inc	B
Viratek Inc	A
Wang Labs Cl B	A
Watts Industries Cl A	C
Wells Fargo Mtg & Equity	B

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ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Mr. Green's children, Catherine & Louis, are the beneficiaries and remaindermen of various trusts created by Mr. Green's father and mother. For reporting purposes these trusts have been combined and considered as one entity. Listed below is the financial disclosure of these trusts.

II B Dividend Income

Americus Trust for Dow Shares	A
American Family Corp	A
Ametek Inc	B
Bohemia Inc	A
Calfed Inc.	C
Chemical Banking Corp	B
Chemical New York Corp	A
Coast Savings & Loan	A
Cyprus Minerals Co	A
Dime Savings Bank	A
Equifax Inc.	A
Thru Golden Gate Assoc II	A
Thru Golden Gate Assoc III	A
Imperial Corp of America	A
Lehman Money Market Funds	B
Manufacturers Hanover	B
Scientific Atlanta	A
Shearson Daily Dividend	A
Shearson Lehman Bros. Hldg	A
Southwest Gas Corp	A
Talman Home Federal Savings	A
Wells Fargo Mtg & Equity	A

Interest Income

Municipal Bonds	E
Bank of Boston/Bristol	D
Golden Gate Associates II	G
Golden Gate Associates III	F
Manufacturers Hanover	C
Mark IV Inds.	B
Nortek Inc	A

Partnership Income

BQK Green Acres L.P.	A
Golden Gate Associates II	Loss
Golden Gate Associates III	Loss
UDC Universal Development L.P.	E

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ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Catherine & Louis Green Trusts Continued

II B Capital Gains

Bolar Pharmaceuticals	C
Calfed Inc	Loss
Chemical New York Corp	D
Wts Chieftain Development	D
Coast Savings & Loan Assn	C
Crestmont Federal S&L Spr. NJ	Loss
Cyprus Minerals Co	D
Damon Biotech	Loss
Energy Factors Inc	Loss
Equifax Inc	B
Gibraltar Financial Corp	Loss
Thru Golden Gate Assoc II	A
Thru Golden Gate Assoc III	Loss
Harcourt Brace Jovanovich	D
Imperial Corp of America	Loss
Inco Ltd	D
Manufacturers Hanover	Loss
Plantronics Inc	C
Scientific Atlanta	B
Talman Home Federal Savings	Loss
Tekelec Inc	C
UDC Universal Development L.P.	A
Ultrasystems Inc.	D
VLSI Technologies	B
Viratek Inc	D
Wang Labs Cl. B	Loss
Watts Industries Cl. A	E
Wells Fargo Mtg & Equity	Loss

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Catherine & Louis Green Trusts Continued

III	AST Research Inc	D
	American Family Corp	C
	Americus Trust for Dow Shares	B
	Ametek Inc	D
	Applied Magnetics Corp	B
	Applied Materials Inc	C
	Biogen Inc	B
	Bohemia Inc.	B
	Bolar Pharmaceuticals	B
	Cambridge Bioscience Corp	C
	Computer Consoles Inc	D
	Computer Factory Inc	B
	Crossland Savings Bank NY	D
	Damon Biotech Inc.	A
	Danaher Corp	C
	Dime Savings Bank NY	D
	Diversified Industries	C
	EQK Green Acres LP	C
	Equifax Inc.	C
	Equity Oil Co	B
	Ferrofluidics Corp	A
	General Datacomm	A
	Genicom Corp	B
	Gibraltar Finl Corp	B
	Hadson Corp	C
	ICN Pharmaceuticals	C
	Ketema Inc	B
	Mark IV Inds. Inc	A
	Mark IV Inds. 7% - 11	C
	Material Sciences Corp	C
	Micropolis Corp	B
	Monoclonal Antibodies	A
	Municipal Bonds	E
	Norteck Inc. 7.5% Deb.	C
	Nortek Inc. Del.	B
	Petrolane Partners L.P.	C
	Regional Financial Share	C
	Repligen Corp	A
	Shearson Lehman Bros. Hldg	B
	Shearson Money Market Accounts	C
	Silicon Systems Inc	B
	Southwest Gas Corp	B
	Starrett Housing	A
	Sudbury Inc	A
	Tecogen Inc	B
	Teknowledge Inc.	A
	Thermo Electron Corp	D
	Thousand Trails Inc.	A
	UDC Universal Development L.P.	E
	Unifi Inc	C
	Wainoco Oil Co.	D
	Wells Fargo Mtg & Equity	A
	Weston, Roy F. Inc	B
	Bank of Boston/Bristol CD	D
	Golden Gate Associates II	C
	Golden Gate Associates III	C
	Bank Leumi	B
	Manufacturers Hanover Certificate of Deposit	C
	Rec. from Golden Gate Associates II	F
	Rec. from Golden Gate Associates III	F
	Loans & Equity in Energy Answers Corp	F

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ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Catherine & Louis Green Trusts Continued

IV Purchases during 1988

AST Research Inc	D
Americus Trust for Dow Shares	B
Ametek Inc	D
Applied Magnetics	B
Applied Materials Inc	C
Biogen NV	B
Calfed Inc	B
Cambridge Bioscience Corp	C
Chemical New York Corp	C
Computer Consoles	A
Computer Factory Inc	B
Crossland Savings Bank	D
Cyprus Minerals Co	C
Danaher Corp	C
Dime Savings Bank NY	D
Diversified Industries	C
Ferrofluidics Corp	A
Genicom Corp	C
Inco Ltd	D
Mark IV Industries 7% Deb.	C
Material Sciences Corp	C
Micropolis Corp	B
Nortek Inc. Del.	B
Norteck Inc. 7.5% Deb.	C
Petrolane Partners L.P.	C
Plantronics Inc	B
Regional Financial Shares	C
Silicon Systems Inc	B
Sudbury Inc	A
Tecogen Inc	B
Unifi Inc	C
VLSI Technology Inc	B
Weston, Roy F. Inc	B

Sales during 1988

Bolar Pharmacueticals	C
Calfed Inc	D
Chemical New York Corp	C
Wts Chieftain Development	C
Coast Savings & Loan Assn	C
Crestmont Federal S&L Spr. NJ	C
Cyprus Minerals Co	C
Damon Biotech Inc	B
Energy Factors Inc	C
Equifax Inc	C
Gibraltar Financial Corp	A
Harcourt Brace Jovanovich	C
Imperial Corp of America	B
Inco Ltd	D
Manufacturers Hanover	C
Plantronics Inc	C
Scientific Atlanta Inc	C
Talman Home Federal Savings	C
Tekelec Co	C
Ultrasystems Inc	D
VLSI Technology Inc	B
Viratek Inc	C
Wang Labs Cl. B	A
Watts Industries Cl. A	D
Wells Fargo Mtg & Equities	B

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Frank J. Guarini
(Full Name)

Journal Square Plaza
(Mailing Address)

Jersey City, New Jersey 07306

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 14 State New Jersey

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT–2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA XX

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Continuation sheet attached			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Continuation sheet attached		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Continuation sheet attached	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Continuation sheet attached		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
National Community Bank	C
The Trust Company of New Jersey	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Sole Owner (formerly)	Guarini & Guarini, Esqs., Jersey City, New Jersey
President	Guarini & Guarini, P.A., Jersey City, New Jersey
Trustee	Christ Hospital, Jersey City, New Jersey

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Frank Guarini	May 12th, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Frank J. Guarini
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)				
A	II. EARNED INCOME				
	SOURCE		TYPE	AMOUNT	DISPOSITION
	Touro College	4/19/88	Honorarium	$1,500	None
	National Technological Univ.	5/6/88	Honorarium	1,000	None
	R.J.R. Nabisco	9/8/88	Honorarium	2,000	None
	National Venture Capital Assn.	3/1/88	Honorarium	1,000	None
	Puerto Rico U.S. Foundation	3/1/88	Honorarium	2,000	None
	Coalition Against Reg. Tax	3/22/88	Honorarium	2,000	None
	Security Industry Foundation	3/28/88	Honorarium	2,000	None
	Merasbic	7/1/88	Honorarium	2,000	None
	Dean Witter	7/1/88	Honorarium	2,000	None
	Caribbean Hotel	7/1/88	Honorarium	2,000	None

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Page 2 of 5

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Frank J. Guarini
(Name)

Continuation Sheet

Part: B

Source, Type, Amount, Category, Description or Value (As Applicable)

II. UNEARNED INCOME

SOURCE	TYPE	CATEGORY
The Trust Company of New Jersey	Interest	D
UJB/Commercial Trust	Interest	C
Civil Service Retirement Fund	Interest	C
Congressional Federal Credit Union	Interest	A
Emil Del Baglivo	Interest	A
Peter G. Mangin	Interest	C
State of Israel Bond	Interest	A
Internal Revenue Service	Interest	A
Cedars Industrial Park	Interest	A
Journal Sq. Plaza Urban Renewal Assoc.	Interest	D
Casselberry Fernpark Associates, Ltd.	Interest	A
Golden Partners, Ltd.	Interest	C
Golden Associates, Ltd.	Interest	D
PGM Associates	Interest	A
Goldenrod Associates	Interest	A
Aruba Paint	Dividends	B
Phillips Petroleum	Dividends	B
600 Newark Avenue Corp.	Dividends	A
Trust Company Bancorp.	Dividends	C
Sias & Co.	Dividends	C
Merrill Lynch	Dividends	C
Shearson Lehman	Dividends	B
Livingston State Bank	Dividends	B
Casselberry Fernpark Associates, Ltd.	Capital Gain	F
Aruba House	Gross Rents	D
Boulevard Parking Associates	Share of Partnership Income	D

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Page 3 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Frank J. Guarini
(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value (As Applicable)

III. HOLDINGS

IDENTITY	CATEGORY
Deposits	
Commercial Trust Co.	B
Caribbean Mercantile Bank N.V.	A
The Trust Company of New Jersey	D
Investment in Corporate Stock	
Lawyers Guild Realty, Inc.	F
590 Newark Avenue, Inc.	F
Hanover Realty and Mortgage Co.	D
116 Newark Avenue Corp.	F
F.J.G. Investment Company	E
600 Newark Avenue Corp.	E
Great Notch Development Corp.	D
Pension Funds	
First State Bank of N.J.	C
IRA - Shearson Lehman Bros.	C
Fidelity Union Bank	C
Investment in Limited Partnerships	
Cedars Industrial Park	
One half interest	
(Owns 93 acres of undeveloped land in North Bergen and Secaucus, N.J.)	F
PGM Associates (Formerly PHM Joint Venture)	
15% Interest	F
(Office building)	
Henry Energy Corporation	
1980 Drilling Program No. 1	
3.54% Interest	
(Oil well drilling)	A
Journal Square Plaza Associates	
15% Interest	F
(Office building)	
Golden Associates, Ltd. (Formerly Golden Partners,Ltd.)	
42.5% Interest	E
(Real estate)	
Casselberry Fernpark Assoc., Ltd.	
42.5% Interest	E
(Real estate)	
Goldenrod Associates, Ltd.	
37.5% Interest	E
(Real estate)	
Boulevard Parking Associates (Spinoff from PHM Joint Venture)	
80% Interest	D
(Real estate)	

Continued

GPO: [illegible]

Page 4 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Frank J. Guarini
(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value (As Applicable)

III. HOLDINGS

IDENTITY	CATEGORY
Property	
Land - Undeveloped 6 acres located on Grand Street	
and Pacific Avenue, Jersey City, N.J.	F
One family condominium located in	
Harmon Cove, Secaucus, N.J.	E
One family house located in the Netherland, Antilles	E
Cooperative Apartment, New York City	E
Condominium - located at St. Johns Apt's.	
Jersey City, N.J.	D
Condominium Time Sharing - Casa Del Sol	B
Condominium Time Sharing - Harbourtown	A
Condominium Time Sharing - Playa Linda Beach	B
Stocks and Bonds	
Classic Mining Corp.	B
Wilshire Oil Co. of Texas	D
The Trust Company of New Jersey	E
State of Israel Bond	A
Phillips Petroleum Co.	B
Canada Southern Petroleum	B
Arnet, Inc. (formerly Sunlite Oil Company)	A
Mark IV Industries	C
Columbus Citizens Comm.	A
CFAC Credit Union	A
Game-A-Tron Corp.	A
Henry Energy Corp.	A
Nickling Resources (formerly American Enersource Corp.)	C
Aruba Paint	A
National Data Communications	B
Radiation Service Assoc.	A
Golden City Bank	D
Funds	
Merrill Lynch	
CMA Government Securities Fund	F
Putnam Intermediate Government Fund	C

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Frank J. Guarini
(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value (As Applicable)

IV. TRANSACTIONS

BRIEF DESCIPTION	DATE	CATEGORY
Purchases		
Goldenrod Associates, Ltd. - 37.5% Interest	Various	E
Condominium Time Sharing - Casa Del Sol	7/10/88	B
Condominium Time Sharing - Harbourtown	11/29/88	A
Putnam Intermediate Government Fund	Various	C
Merrill Lynch CMA Government Fund	Various	F
Condominium Time Sharing - Playa Linda Beach	4/7/88	B
Sales		
Brilund Mines, Ltd.	12/29/88	A
Lasertechnics	12/29/88	A
Pro-Scan, Inc.	12/30/88	A
Pauite Oil & Mining	12/30/88	A
TONM Oil & Gas	12/30/88	A

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RECEIVED
OFFICE OF RECORDS & REGISTRATION
1989 JUN 14 PM 2: [illegible]
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HAND-DELIVERED

[illegible] GUARINI
14TH DISTRICT
NEW JERSEY

COMMITTEE ON WAYS AND MEANS
SUBCOMMITTEE ON TRADE
COMMITTEE ON BUDGET
SELECT COMMITTEE ON
NARCOTICS ABUSE AND CONTROL

Congress of the United States
House of Representatives
Washington, DC 20515

[illegible] Office Building
Washington, DC 20515
(202) 225-2765

15 Path Plaza
Jersey City, NJ 07306
(201) 659-7700

[illegible] 60th Street
West New York, NJ 07093
(201) 869-0329

864 Avenue C
Bayonne, NJ 07002
(201) 823-2900

✓
MC

Clerk
U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

Dear Sir:

I am in receipt of a letter dated May 25, 1989 from the Committee on Standards of Official Conduct regarding certain apparent deficiencies on my 1988 Financial Disclosure Statement. Enclosed is a copy of the checklist provided with the above letter identifying the areas to be addressed.

Please include the following information as an addendum to my Financial Disclosure Statement:

PART III. HOLDINGS

With respect to the Trust Holdings box, this should be marked N/A, as I have no beneficial interest in a trust.

PART IV. TRANSACTIONS

My 1987 Financial Disclosure Statement reported a holding in a Shearson Lehman Money Market Fund. Such fund is not reported on my 1988 Disclosure Statement, as the monies from the Money Market Fund were taken out and used to purchase the Putnam Intermediate Government Fund. Under Section IV of my 1988 Financial Disclosure Statement the purchase of the Putnam Intermediate Government Fund is reported as a transaction. I have not reported the removal of the funds from the Shearson Lehman Money Market Fund, as these are similar to cash and, since they do not represent real property, stocks, bonds, commodities, futures, or other forms of securities, they do not require reporting under this Section, based on my understanding of the instructions provided with the form.

In addition, on my 1988 Financial Disclosure Statement under Section III for Holdings, there appears an 80% interest in a Boulevard Parking Associates. I did not report this as a transaction under Section IV of the form, due to the fact that this was a spin-off from an existing investment that I have held in prior years, PHM Joint Venture, and required no investment, or exchange of investments on my part. I did note under Section III that Boulevard Parking Associates resulted from a spin-off from PHM Joint Venture.

continued...

89070212/77

FRANK J. GUARINI
14th District
New Jersey

Committee on Ways and Means
Subcommittee on Trade
Committee on Budget
Select Committee on
Narcotics Abuse and Control

Congress of the United States
House of Representatives
Washington, DC 20515

House Office Building
Washington, DC 20515
(202) 225-2765
15 Path Plaza
Jersey City, NJ 07306
(201) 659-7700
425 60th Street
West New York, NJ 07093
(201) 869-0325
664 Avenue C
Bayonne, NJ 07002
(201) 823-2900

PART V. LIABILITIES

Under Section V of my 1988 Financial Disclosure Statement there are two liabilities reported. The first is National Community Bank, and this represents a mortgage payable on real property. The second liability reported is to The Trust Company of New Jersey, and this also represents a mortgage payable secured by real property.

I hope the above information satisfies your inquiry and I regret any inconvenience caused by this matter.

Sincerely,

Frank J. Guarini
Member of Congress

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Hon. Steven C. Gunderson
(Full Name)

Route 2
(Mailing Address)

Osseo, Wisconsin 54758

Mc (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3d State WI

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA XX

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
see attached continuation sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Pigeon Falls (WI) State Bank	Interest	A
Wright Patman Federal Credit Union	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Mobile Home (Route 2, Osseo, WI)	C
Townhouse (1418B 12th St. North, Arlington, VA) (sold 7/88)	E
Pigeon Falls (WI) State Bank--Savings Account	A
John O. Melby (Whitehall, WI) Bank--IRA	B
Wright-Patman Federal Credit Union--Savings Account	A
Lot/Residence (6441 Hitt Ave. McLean, VA)--undivided 1/2 ownership interest	F (total value)
Lot (1650 Kirby Rd., McLean, VA)--undivided 1/4 ownership int.	C (total valu

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Townhouse (1418B 12th St. North, Arlington, VA) -- SOLD	7/88	E
Lot (6441 Hitt Ave., McLean, VA)--PURCHASED --undivided 1/2 ownership interest	2/88*	D (total value)
*NOTE: Residence constructed on lot between 2/88 and 9/88.		
Lot (1650 Kirby Rd., McLean, VA)--PURCHASED --undivided 1/4 ownership interest	12/88	C (total value)

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Pigeon Falls (WI) State Bank (personal)	C
Shawmut Mortgage Corporation (Dayton, OH)(mortgage-satisfied 7/88)	E
Union Bank of Blair (WI) (personal)	B
Household Finance (Baltimore, MD)(mortgage-undivided 1/2 liability)	F(totalloan
Riggs Nat'l Bank (McLean, VA)(personal-undivided 1/2 liability)	B(totalloan
Bob Martini (2nd mortgage-satisfied 2/89)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
see attached continuation sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Gallaudet University (by appointment of the Speaker of the House of Representatives)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	15 May, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Hon. Steven C. Gunderson
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II. A.	EARNED INCOME.		
	3/2/88	Am. School Food Service Assoc.	$ 750 honorarium
	3/8/88	Nat'l Assoc. of Trade and Technical Schools	$2,000 honorarium
	3/10/88	Am. Butter Institute/Nat'l Cheese Institute	$ 500 honorarium
	4/10/88	Am. Truck Dealers Division of the Nat'l Auto Dealers Assoc.	$2,000 honorarium
	5/5/88	Labor and Judiciary Subcom. of the Nat'l Auto Dealers Assoc.	$1,000 honorarium
	5/14/88	Careercom Corporation	$2,000 honorarium
	5/21/88	Nat'l Restaurant Assoc.	$1,000 honorarium
	10/30/88	Nat'l Milk Producers Fed.	$2,000 honorarium
VII.	REIMBURSEMENTS		
	4/10/88	American Truck Dealers Division of Nat'l Auto Dealers Assoc. (speaking event)	--roundtrip airfare, Washington, DC to Reno, NV; 1 night's lodging & meals
	5/14/88	Careercom Corporation (speaking event)	--roundtrip airfare Washington, DC to Atlanta, GA; 3 night lodging/meals
	5/21/88	Nat'l Restaurant Assoc. (legislative conf./ fact-finding event)	--roundtrip airfare, Minneapolis, MN to Chicago, IL; 1 night lodging/meals
	11/30/88	Nat'l Milk Producers Fed. (speaking event)	--roundtrip airfare Washington, D.C. to Anaheim, CA; meal
	12/5/88	Wisconsin Farm Bureau Fed. (speaking event)	--airfare, Washington, DC to Oshkosh, WI/Chicago, IL to Washington, D.C.; 1 night's lodging; meals

GPO: 1987 [illegible]

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UNITED STATES HOUSE OF REPRESENTATIVES

[illegible]

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Ralph M. Hall
(Full Name)
236 Cannon Building
(Mailing Address)
Washington, D.C. 20515

MC (Office Use Only)

1989 MAY 15 PM 3:31

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4th State Texas

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,000, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Attached Schedule			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Attached Schedule		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Attached Schedule	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION		DATE	CATEGORY
Office Building Caddo Mills, Texas	Sold	6-25-88	A
Residential Lots Rockwall, Texas	Purchase	11-09-88	E

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See Attached Schedule	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. *The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1988.*

SOURCE	BRIEF DESCRIPTION
None	

B. *The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1988.*

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
See Attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
See Attached Schedule	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Ralph M. Hall	5-11-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Ralph M. Hall
Financial Disclosure - 1988

II. INCOME

A. Earned Income

Source	Type	Amount
Lakeside National Bank, Rockwall, TX	Director Fee	$ 2000.00
Bank of Crowley, Crowley, TX	Director Fee	1800.00
Law Office of Ralph Hall, Rockwall, TX	Legal Fee	2992.65
Law Office of Scott & Crouch, Greenville, TX	Legal Fee	10676.80

B. Unearned Income

Source	Type	Category
State of Texas, Austin, TX	Annuity	E
Office of Personnel Management, Washington	Interest	C
Lakeside National Bank, Rockwall, TX	Interest	A
Kenneth Maloney, Rockwall, TX	Interest	A
First National Bank, Garland, TX	Interest	B
Lakeside Bancshares, Rockwall, TX	Interest	D
R. Hall, Rockwall, TX	Interest	D
J. Hall, Rockwall, TX	Interest	D
Lakeside National Bank, Rockwall, TX	Dividend	A
General Apartment Fund #10, Longview, TX	Partnership	D
Bank Building, Rockwall, TX	Rental	E
Oil Property	Production	B

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RALPH M. HALL
III. HOLDINGS

IDENTITY	TYPE INTEREST	CATAGORY
SECURITIES:		
Farprint, Inc. Rockwall, Texas	Mineral	A
Lakeside National Bank Rockwall, Texas	Banking	C
North & East Trading Company Rockwall, Texas	Real Estate	D
Lakeside Bancshares, Inc. Rockwall, Texas	Banking	F
Crowley Holding Company Crowley, Texas	Banking	C
REAL ESTATE;		
Office Building, Caddo Mills, Texas	Real Estate	A
1/2 Interest 52.36 Acres, Wood County, Texas	Real Estate	C
Airport Hangar, Rockwall, Texas	Real Estate	C
15 Acres, Henderson County Texas	Real Estate	C
1/3 Interest in 400.37 Acres, Jack County, Texas	Real Estate	D
1/3 Interest in 427.37 Acres, Jack County, Texas	Real Estate	D
163.45 Acres, Wood County, Texas	Real Estate	E
2.6547 Acres, Rockwall, Texas	Real Estate	E
Condominium, Washington, DC	Real Estate	E
Bank Building, Rockwall, Texas	Real Estate	E
Office Building, Rockwall, Texas	Real Estate	E
200 Acres, Hunt County, Texas	Real Estate	E
353.57 Acres, Hunt County, Texas	Real Estate	F
3 Residential Lots Harbor Landing, Rockwall, Texas	Real Estate	E
PARTNERSHIP INTEREST;		
10% General Apartment Fund #10 Longview, Texas	Real Estate	C
40% H&H Properties Rockwall, Texas	Real Estate	C
PERSONAL PROPERTY:		
Oil Well Interest Caddo Parrish, Louisiana	Mineral	C
Law Office Rockwall, Texas	Furnishings	C
Cash Accounts	Cash	B
NOTES RECEIVABLE;		
Kenneth Maroney Terrell, Texas	Real Estate	B
North & East Trading Co Rockwall, Texas	Real Estate	C
J. Hall Rockwall, Texas	Banking	C
B. Hall Rockwall, Texas	Banking	C
R. Hall Rockwall, Texas	Banking	B
Lakeside Bancshares Debentures	Banking	D

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RALPH M. HALL

V. LIABILITIES

IDENTITY	TYPE INDEBTEDNESS	CATAGORY
Lakeside National Bank Rockwall, Texas	Personal	D
Bank of Crowley Crowley, Texas	Personal	D
Community Bank Rockwall, Texas	Personal	C
Citizens State Bank, Royce City, Texas	Personal	C
First National Bank, Garland, Texas	Personal	C
L. Wilcox Rockwall, Texas	Investment	C
F. Oliver Austin, Texas	Investment	D
M. Springer Wills Point, Texas	Investment	C
L. Myers Rockwall, Texas	Investment	E
First National Bank Garland, Texas	Investment	E
First National Bank Rowlett, Texas	Investment	C
National Permanent Federal Washington, DC	Mortgage	D
Garland Federal Savings & Loan Garland, Texas	Mortgage	D
NCNB Garland, Texas (formally Republic Bank)	Mortgage	F
Union Security Life Greenville, Texas	Mortgage	D
Union Security Life Greenville, Texas	Mortgage	F
Independent Bank, NA Rockwall, Texas	Mortgage	E
Lakeside National Bank Rockwall, Texas	Mortgage	C
AMOUNTS PAID DURING 1987:		
Colonial Bank Greenville, Texas	Mortgage	F

VII. REIMBURSEMENTS

Source	Description
1/13/88 Edison Electric Institute Government Affairs Conference Marco Island, Florida	Speech -- Transportation and Lodging for self and spouce
2/3/88 National SSC Symposium Denver, Colorado	Transportation and Lodging Speech
7/15/88 Family Forum 1988 Atlanta, Georgia	Speech -- Transportation only,

RALPH M. HALL

VIII. POSITIONS

POSITION	NAME OF ORGANIZATION
Chairman & Director	Crowley Holding Company Crowley, Texas
Director	Bank of Crowley Crowley, Texas
Chairman & Director	Lakeside Bancshares, Inc. Rockwall, Texas
Director	Lakeside Naitonal Bank Rockwall, Texas
Partner	H&H Properties Rockwall, Texas
Partner	General Apartment Find #10 Longview, Texas
Chairman & Director	Linrock, Inc. Rockwall, Texas
President & Director	North & East Trading Company Rockwall, Texas
Chairman	Colonial Holding Company Greenville, Texas

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

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U.S. HOUSE OF REPRESENTATIVES [illegible]

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Tony P. Hall
(Full Name)

2560 North 23rd Road
(Mailing Address)

Arlington, VA 22207

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3rd State Ohio

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Janet Hall-Hall's Hand Laundry (bookkeeping)	Salary	$2,700.	None
1/2/88 Mayor's Prayer Breakfast Comm.-Bakersfld.CA	Honoraria	500.	None
3/17/88 Am. Med. Assoc. Conf.,BocaRaton, FLA	Honoraria	2,000.	None
5/27/88 United States Tel. Assoc., Greenbrier,WVA	Honoraria	2,000.	None
8/7/88 Chicago, Merchantile Exchg.,Chicago, Ill.	Honoraria	1,000.	None

(see continuation sheet)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Society Bank-Dayton, Ohio	Interest	B
Signet Bank-Arlington, VA	Interest	C
Hall's Hand Laundry	Dividend	B
BankOne-Dayton, OH(Clifford Trust for Jyl&Matt Hall)	Interest	B
Perpetual Am.Bank-VA(Acct. for minors Jyl&Matt Hall)	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
1/3 Interest-Hall's Hand Laundry, Inc. (Family business)	E
1.98% Partnership Interest/Benedict A. Silverman,etal(RO Assoc/K-Mart Lease)	C
Society Bank-Dayton, Ohio	B
Signet Bank-Arlington, VA	D
Jyl & Matthew Hall(Clifford Trust, set up by Tony&Janet Hall)	B
Worthington Industries Stock (300 shares)	B

(see continuation sheet)

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a **SPEAKING ENGAGEMENT** or **FACT-FINDING EVENT** related to official duties, whether those expenses were **REIMBURSED** to the individual or **PAID DIRECTLY** by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
1/12/88 Mayor's Prayer Breakfast-Bakersfield, Claif.	Provided roundtrip air fare between Washington,DC and Bakersfield,CA and one nights' food&Lodging for Speakin at Mayor's Prayer Breakfast
3/3/88 Governor's Prayer Breakfast,Helena, Montana (see continuation sheet)	Provided roundtrip air fare between Wash.DC & Helena, Montana and Food & Lodging for 2 nights for speaking engagement at Prayer Breakfast.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	Hall's Hand Laundry, Inc.(family business-Dayton, Oh)
Partner(1.98% interest)	Benedict A. Silverman, Etal, R.O. Associates(K-Mart Lease)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Tony P. Hall	5-9-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Tony P. Hall
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	Type	Disposition
II	8/88 Dallas Business Association/Textron, Inc. Dallas, TX $2,000.	Honoraria	None
	10/88 Ohio Dental Assoc., Washington, DC $1,000.	Honoraria	None
	10/88 Ohio Bell Telephone Co., Dayton, OH $1,000.	Honoraria	None
	Paul Roberts Co., Norman,OK/Wash. DC $1,000.	Honoraria	None
	Board of Trade, City of Chicago, Ill. $1,000.	Honoraria	None
III	E.F. Hutton Account (for Jyl & Matthew Hall-100 shares Wendys, 20 shares Mattel, 10 shares Technicl one, Int'l) Category A		
VII 3/17/88	American Medical Assoc. Provided roundtrip air fare from Washington, DC to Boco Raton, FLA. and food and lodging for 2 nights for same period for speaking engagement at convention.		
5/12/88	Mayor's Prayer Breakfast Committee, Birmingham, ALA paid roundtrip air fare from Washington, D.C. to Birmingham, Ala. and one nights food and lodging for speaking engagement at Mayor's Prayer Breakfast.		
5/27/88	United States Telephone Assoc. paid two nights food & lodging and transportation to and from Greenbrier, WVA for speaking engagement.		
8/7/88	Chicago Mercantile Exchange paid rountrip airfare from Washington, DC and Chicago and one night's food and lodging for speaking engagement before Chicago Board of Trade and Chicago Mercantile Excg.		
8/12/88	Dallas Business Assoc. paid roundtrip air fare from Washington, DC to Dallas,TX and one night food and lodging for speaking engagement before association.		

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

LEE H. HAMILTON
(Full Name)

2187 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 9 State INDIANA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,028, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of th[illegible]s in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 6[illegible] in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
see attached sheet		$37,400.00	
		$ 7,000.00	ASHOKA
		$ 3,550.00	North United Methodist Church

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Sears, Roebuck & Company	Dividend	D
General Motors	Dividend	A
A T & T	Dividend	A
Pac Telesis	Dividend	A
Crestar Bank	Interest	A
Wright Patman Congressional Federal Credit Union	Interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO X______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Sears, Roebuck & Company, Stock	E
General Motors, Stock	A
A T & T, Stock	A
Pacific Telesis, Stock	A
Growth Fund of Washington, IRA	B
AMCA Funds, IRA	A
Crestar Bank Money Market Fund	A
Wright Patman Congressional Federal Credit Union Money Market Fund	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Universal Studios, California	Speaking engagement, airfare, California, Member & Spouse
Aspen Institute, Washington, DC	Seminar participant, airfare, meals, lodging, Jamaica, Member & Spouse
Crichton Club, Columbus, Ohio	Speaking engagement, airfare, meal, lodging, Columbus, Member
Ross Associates, Tiger Bay, Florida	Speaking engagement, airfare, Florida, Member
Detroit College of Law, Detroit, Michigan	Speaking engagement, airfare, Michigan, Member
Chicago Council on Foreign Relations	Seminar participant, Jacksonville, Florida, airfare, Spouse, meals, lodging, Member & Spouse

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/11/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

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Page ____ of ____

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

LEE H. HAMILTON

(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
IIA	SOURCE	TYPE	AMOUNT
rec'd 1/20/88	Jewish Comm. Fed. of Louisville	Hon	2,000.00
1/25/88	Universal Studios	Hon	2,000.00
1/26/88	Southern California Hellenic Association	Hon	2,000.00
2/16/88	Association of American Publishers	Hon	500.00
2/16/88	New Forum	Hon	1,000.00
3/1/88	Crichton Club	Hon	2,000.00
3/11/88	American University	Hon	400.00
3/11/88	Tiger Bay Club/Ross Associates	Hon	2,000.00
3/21/88	NATUS, Inc.	Hon	2,000.00
3/22/88	Congressional Quarterly	Hon	500.00
3/31/88	Christian Science Monitor	Article	100.00
4/11/88	Center for the Study of the Presidency	Hon	500.00
4/11/88	University of Notre Dame	Hon	500.00
4/11/88	General Mills	Hon	1,000.00
4/12/88	Indiana University of Pennsylvania	Hon	2,000.00
4/18/88	General Dynamics	Hon	2,000.00
5/3/88	Christian Science Monitor	Article	100.00
5/3/88	Johns Hopkins University	Hon	1,000.00
5/9/88	Dubois County Bar Association	Hon	50.00
5/16/88	SAIS	Hon	250.00
5/17/88	Council of Scientific Socity Presidents	Hon	200.00
5/18/88	Christian Theological Seminary	Hon	600.00
6/10/88	The American University	Hon	400.00
6/17/88	The Washington Post	Article	200.00
6/20/88	Arent Fox Kinter Plotkin & Kahn	Hon	500.00
7/5/88	People for the American Way .	Hon	500.00
7/7/88	The Christian Science Monitor	Article	100.00
7/14/88	The Christian Science Monitor	Article	100.00
7/20/88	Brookings	Hon	400.00
7/20/88	Congressional Quarterly	Hon	400.00
9/6/88	Christian Science Monitor	Article	100.00
9/8/88	Owens Corning	Hon	2,000.00
9/28/88	Middle East Institute	Hon	1,500.00
10/3/88	SRI International	Hon	1,000.00
10/5/88	Paine Webber	Hon	2,000.00
10/28/88	Midwest Universities Consortium for Int'l Activities	Hon	2,000.00
10/31/88	Hudson Institute	Hon	1,500.00
11/23/88	Time Magazine	Article	2,000.00
		total:	37,400.00
	Assigned to charity:		
	Ashoka, Innovators for the Public, Washington, DC		7,000.00
	North United Methodist Church, Indianapolis Indiana		3,550.00

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERE

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

John Paul Hammerschmidt
(Full Name)

U. S. House of Representatives
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

15 9 25

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3rd State Arkansas

☐ Officer or Employee—Employing Office __________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT–2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES _____ NO _X_ NA _____

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
First Federal Savings & Loan, Harrison, AR	Directors fee	$7200	none
U. S. Air Force Reserve retirement	retired pay	$4643	none
Associated General Contractors of America	honorarium	$2000	none
The Tobacco Institute	"	$1000	"
American Council of Highway Advertisers	"	$1000	"

continued page 5

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
New York Life Insurance Company	Interest	C
Wright Patman Congressional Federal Credit Union	Interest	B
First Federal Savings & Loan, Harrison, AR	"	A
First National Bank, Harrison, AR	"	A
Chase Manhattan Bank, Wilmington, DE	"	A
Hammerschmidt Lumber Company, Harrison, AR	"	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
see attached	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
sale 1/3 interest 100 acre farm (spouse)	Oct '88	C
Exchange & purchase 1989 auto	Dec '88	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
none	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
The Tobacco Institute, Legislative Conference	Roundtrip airfare and 2 days food & lodging, Palm Springs, CA; self.
Associated General Contractors of America	Roundtrip airfare; one day food & lodging, Mid-year Meeting. Seattle, WA self
American Council of Highway Advertisers Annual Convention	Roundtrip airfare; 3 days food & lodging, San Diego, CA self & spouse
American Association of Airport Executives, Legislative Issues Seminar	Roundtrip airfare, 4 days food & lodging, Kona, Hawaii self & spouse

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Chairman of the Board,	Hammerschmidt Lumber Company, Inc., Harrison, AR
Director	First Federal Savings and Loan Assn, Harrison, AR

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	none	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
John Paul Hammerschmidt	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

John Paul Hammerschmidt
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
III	Common stock, Hammerschmidt Lumber Co, Inc. Harrison, AR			F
"	Industrial land, 14 acres, Harrison, AR			E
"	Government Investors Trust, Arlington, VA			A
"	First National Bank, Harrison, AR			C
"	Security Bank, Harrison, AR			A
"	First Federal Savings & Loan, Harrison, AR			C
"	Sergeant at Arms, House of Representatives, Washington, D. C.			C
"	New York Life Insurance Co., New York, NY (net loan value)			B
"	Wright Patman Federal Credit Union, Washington, D. C.			D
"	Chase Manhattan Bank, New York, NY			B
"	First National Bank, Green Forest, AR			A
II	American Trucking Association	honorarium	$1000	none
	American Association of Airport Executives	"	$1000	"
	Arkansas Farm Bureau	"	$750	"

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JOHN PAUL HAMMERSCHMIDT
THIRD DISTRICT, ARKANSAS

HOME ADDRESS:
HARRISON, ARKANSAS

WASHINGTON ADDRESS:
2207 RAYBURN BUILDING
WASHINGTON, DC 20515
PHONE: 225-4301

Congress of the United States
House of Representatives
Washington, DC 20515

COMMITTEES:
PUBLIC WORKS AND TRANSPORTATION
SUBCOMMITTEES:
AVIATION—RANKING MEMBER
WATER RESOURCES
SURFACE TRANSPORTATION
VETERANS' AFFAIRS—RANKING MEMBER
SUBCOMMITTEES:
HOSPITALS AND HEALTH CARE—RANKING MEMBER
COMPENSATION, PENSION AND INSURANCE
HOUSING AND MEMORIAL AFFAIRS
SELECT COMMITTEE ON AGING
SUBCOMMITTEE:
HOUSING AND CONSUMER INTERESTS—RANKING MEMBER

May 24, 1989

HAND DELIVERED

1989 MAY 25 AM 10 39
U.S. HOUSE OF REPRESENTATIVES

The Clerk
U. S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D. C. 20515

✓ HC

Sir:

As was pointed out by letter of May 16th from the chairman and ranking member of the Committee on Standards of Official Conduct, the Trust Holdings Box under Section III near the bottom of page 2 of my Financial Disclosure Statement for 1988, was inadvertently overlooked.

That entry should have been "no."

I trust this amendment completes the filing requirements.

Sincerely,

John Paul Hammerschmidt
JOHN PAUL HAMMERSCHMIDT
Member of Congress

JPH/paw

[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Melton D. Hancock
(Full Name)

6200 W. Grand Street Road
(Mailing Address)

Springfield, Missouri 65802

MC
(Office Use Only)

APR 24 2 08

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 7 State MO

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SCHEDULE ATTACHED			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
SCHEDULE ATTACHED		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SCHEDULE ATTACHED	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
SCHEDULE ATTACHED		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
SCHEDULE ATTACHED	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 15.

POSITION	NAME OF ORGANIZATION
Director & Chairman	Federal Protection Inc. (Family Corp. Subchapter "S")
Trustee	Federal Protection Inc. - Profit sharing/money purchase pension plans.
Chairman, Director and President	Taxpayers' Survival Assn. (Non-profit Corporation)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 15.

DATE	PARTIES TO	TERMS OF AGREEMENT
Verbal	Federal Protection Inc., and Melton D. Hancock	Salary continuation $18,000 per year. Pension plan participation and Sub-chapter "S" dividends.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Melton D. Hancock	24 Apr 85

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE

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Melton D. Hancock Schedule IIA. INCOME March 31, 1989

Source	Year	Type	Amount
Federal Protection, Inc. Springfield,MO	1988	Salary	$ 125,000
Federal Protection, Inc.	1989	Salary	4,500
American National Life Galveston TX	1988	Vested Comm.	504
American National Life Galveston TX	1989	Vested Comm.	125
Securities Mgt & Research Galveston, TX	1988	Vested Comm.	122
Securities Mgt & Research Galveston, TX	1989	Vested Comm.	12
Johnson Insurance Services Spfld, MO	1988	Vested Comm.	297
Federal Protection, Inc. Springfield,MO	1988	Salary	Dependent
Federal Protection, Inc. Springfield,MO	1989	Salary	Dependent
Olson Medical Images	1988	Salary	Dependent
Olson Medical Images	1989	Salary	Dependent

Melton D. Hancock　　Schedule IIB. INCOME　　March 31, 1989

Source	Year	Type	Category
Federal Protection Inc. Spfld,MO	1988	Lease	Gross E;Net C
Federal Protection INc. Spfld,MO	1989	Lease	Gross C;Net B
614 N. Haseltine Rd Spfld, MO	1988	Rent	D
614 N. Haseltine Rd Spfld, MO	1989	Rent	C
6200 W. Grand Spfld, MO (Spouse)	1988	Rent	D
6200 W. Grand Sofld, MO (Spouse)	1989	Rent	B
House Barnes Meadow Spfld, MO	1988	Rent	Gross C;Net A
House Barnes Meadow Spfld, MO	1989	Rent	Gross A;Net A
S I McDaniel Trust (Spouse)	1988	Int/Rent	B
S I McDaniel Trust (Spouse)	1989	Int/Rent	B
Centerre Bank Spfld, MO	1988	Interest	C
Centerre Bank Spfld, MO	1989	Interest	B
State Bank Southwest Mo, Spfld, MO	1988	Interest	B
Farm & Home Savings & Loan	1988	Interest	B
First City National Bank	1988	Interest	B
Great Southern Savings & Loan	1989	Interest	C
Scottsdale Securities	1988	Interest	B
John Hancock Life Insurance	1988	Interest	A
John Hancock Life Insurance (spouse)	1988	Interest	A
American Electric Power	1988	Div.	A
American Electric Power	1989	Div.	A
ASA	1989	Div.	A
Houston Industries TX	1988	Div.	B
Houston Industries TX	1989	Div.	A
Union Electric, MO	1988	Div.	A
Union Electric, MO	1989	Div.	A
Dow Chemical	1988	Div.	A
Exxon Corp. NJ	1988	Interest	B
Exxon Corp. NJ	1989	Interest	A
St.Louis San Francisco Bond	1988	Interest	A
R & J Cook (Individuals)	1988	Interest	A
R & J Cook (Individuals)	1989	Interest	A
Fidelity Magellan Fund	1988	Div/Cap gain	A
20th Century Funds, MO	1988	Div/Cap gain	B
United Services Gold Fund TX	1988	Div/Cap gain	A
St Louis San Francisco Railroad	1988	Interest	A
Mo State Environmental Bond	1988	Interest	B
Mo State Environmental Bond	1989	Interest	A
National Muni Trust Series	1988	Interest	B
National Muni Trust Series	1989	Interest	A
Spfld Mo Public Utility	1988	Interest	A
Spfld Mo Public Utility	1989	Interest	A
Spfld Mo Park Board	1988	Interest	A
Spfld Mo Park Board	1989	Interest	A
Cox Hospital Spfld, MO	1988	Interest	B
Mid-America Daries, Spfld,MO	1988	Interest	B
Mo Housing & Development	1988	Interest	B
20th Century Growth (Dependent)	1988	Int/Cap gain	B

Melton D. Hancock Schedule III Holdings March 31, 1989

Identity	Catagory
Federal Protection Inc. Spfld, MO (Common Stock)	F
Federal Protection, Inc (Note)	C
614 N. Haseltine Road, Spfld, MO (Office)	D
6200 N. Grand, Spfld, MO (Home & 60 Acres)	E
Barnes Meadows, Spfld, MO (Rental)	C
S I McDaniel Trust (Spouse)	E
Boatman's National Bank Spfld, MO (Checking & Savings)	C
20th Century Funds, KC, MO	C
20th Century Funds, KC, MO (Dependent)	D
20th Century Funds, KC, MO (Pension)	D
Rowe Price Funds (SEP-IRA)	E
Rowe Price Funds (SEP-IRA Spouse)	C
American Electric Power (Common Stock)	B
Houston Industries (Common Stock)	C
Union Electric (Common Stock)	B
Exxon Corporation (Bonds)	C
Navistar (Common Stock)	A
St Louis San Francisco Railroad (Bonds)	A
Industrial Development Authority Eldorado Springs MO (Bonds)	B
Mo State Environmental Authority (Bonds)	C
Mo State Health Facility (Bonds)	C
Mo State Housing & Development Authority (Bonds)	B
National Municiple Trust (Bonds)	C
City of Springfield Park Board (Bonds)	B
City of Springfield Public Utitlity (Bonds)	B
U. S. Treasury Securities	E

890/02/3133

Melton D. Hancock   Schedule IV. TRANSACTIONS   March 31, 1989

Description		Date	Category
Dow Chemical (Bonds)	(SOLD)	7-15-88	B
Residence - Washington, D.C.	(PURCHASED)	12-29-88	E

Melton D. Hancock Schedule V. LIABILITIES March 31, 1989

Identity	Category
Chips Inc. Washington D.C., Mortgage, 414 S. Capitol SE	E
Great Southern Savings & Loan Trust Mortgage Rental House Barnes Meadows	C

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

89 MAY -9 PM 9 59

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

James V. Hansen
(Full Name)

399 East Oak Lane
(Mailing Address)

Farmington, Utah 84025

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1 State Utah

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,035, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed: identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
GENERAL DYNAMICS	1-18-88	HONORARIUM	1,000	
GENERAL DYNAMICS	1-19-88	HONORARIUM	1,000	
MCDONNELL DOUGLAS	1-20-88	HONORARIUM	1,000	
COLT INDUSTRIES	1-26-88	HONORARIUM	1,000	
UNITED TECHNOLOGIES	2-5-88	HONORARIUM	1,000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
OFFICE BUILDING RENTAL	RENT	D
CONDOMINIUM RENTAL	RENT	A
MOUNTAIN AMERICA CREDIT UNION	INTEREST	A
CONGRESS FEDERAL CREDIT UNION	INTEREST	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO X NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
RENTAL OFFICE BUILDING-FARMINGTON, UTAH	D
2 BUILDING LOTS (1 ACRE EACH)-FARMINGTON, UTAH	C
CIVIL SERVICE RETIREMENT	C
CIVIL SERVICE THRIFT SAVINGS PLAN	A
U.S. SAVINGS BONDS	B
PERSONAL SAVINGS ACCOUNTS(AMERICA 1ST CREDIT UNION, CONGRESS FEDERAL CREDIT UNION, MOUNTAIN AMERICA CREDIT UNION, DAVIS COUNTY BANK	C
CONDOMINIUM-(1/3 INTEREST) BEAR LAKE, UTAH	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
OFFICE BUILDING MORTGAGE- AMERICA 1ST CREDIT UNION	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
GENERAL DYNAMICS	LODGING, AIRFARE D.C. TO LOS ANGLES AND SAN DIEGO
MCDONNELL DOUGLAS	AIRFARE SAN DIEGO TO S.L.C.,UT.
COLT INDUSTRIES	AIRFARE TOTEXAS AND RETURN TO D.C.
UNITED TECHNOLOGIES	LODGING, AIRFARE WEST PALM BEACH FLORIDA & RETURN TO D.C.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5-6-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

JAMES V. HANSEN
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II (A)	HARRIS CORPORATION	2-8-88	HONORARIUM	1,000
II (A)	GRUMMAN CORPORATION	3-7-88	HONORARIUM	2,000
II (A)	HERCULES	3-29-88	HONORARIUM	1,000
II (A)	COLT INDUSTRIES	4-11-88	HONORARIUM	1,000
II (A)	NATIONAL WILDERNESS CONF.	6-2-88	HONORARIUM	500
II (A)	NORTHRUP CORPORATION	6-3-88	HONORARIUM	2,000
II (A)	MCDONNELL/DOUGLAS	6-3-88	HONORARIUM	1,000
II (A)	AAI CORPORATION	10-7-88	HONORARIUM	1,500
II (A)	AMERICAN CONSULTING ENGINEER	11-15-88	HONORARIUM	2,000
VII	HARRIS CORP.	LODGING, AIRFARE TO MELBORN FLORIDA & RETURN TO D.C.		
VII	GRUMMAN CORP.	AIRFARE TO LONG ISLAND NY & RETURN TO D.C.		
VII	COLT INDUSTRIES	AIRFARE D.C. TOCONN. & RETURN		
VII	NATIONAL WILDERNESS CONF.	LODGING, AIRFARE D.C. TO LAS VEGAS AND LOS ANGLES		
VII	NORTHRUP CORO.	LODGING, LOS ANGLES TO D.C.		
VII	MCDONNELL/ DOUGLAS	LODGING		
VII	AMERICAN CONSULTING ENGINEERS	LODGING, AIRFARE SLC, UT TO SCOTTSDALE ARIZONA & RETURN		

HAND DELIVERED

[illegible]

1989 MAY 11 PM 2:48

JAMES V. HANSEN
1ST DISTRICT, UTAH

COMMITTEES
ARMED SERVICES
INTERIOR AND
INSULAR AFFAIRS
STANDARDS OF OFFICIAL
CONDUCT

WASHINGTON OFFICE
ROOM 2421
RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-0453

Congress of the United States
House of Representatives
Washington, DC 20515

DISTRICT OFFICES
1017 FEDERAL BUILDING
324 25TH STREET
OGDEN, UT 84401
(801) 625-5677
(801) 451-5822

435 EAST TABERNACLE
ST. GEORGE, UT 84770
(801) 628-1071

May 11, 1989

✓
Mc

The Clerk of the House
U. S. House of Representatives
Office of Records and Registration
1036 Longworth HOB
Washington, D. C. 20515-6612

Gentlemen:

After officially filing my 1988 Financial Disclosure, I found that I had inadvertently left out an entry under the transaction section. In July, 1988, I purchased a one-third interest in a vacation condominium at Bear Lake, Utah. Please amend my financial disclosure, transaction section, in the following way:

Transaction	Date	Category
Bear Lake Vacation Condominium	7/14/88	B

Your immediate attention and compliance with this request is very much appreciated.

Sincerely yours,

James V. Hansen
Member of Congress

JVH:mb

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

MAY 12 PM 4:53

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Claude Harris
(Full Name)

1009 Longworth, Washington, DC 20515
(Mailing Address)

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 7 State AL

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

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A. SOURCE	TYPE	AMOUNT	DISPOSITION	
Arkansas Poultry Federation	Honorarium	$2,000.	NONE	2/23/88
Tobacco Institute	Honorarium	$1,000.	NONE	4/12/88
Chicago Board of Trade	Honorarium	$ 500.	NONE	4/21/88
Chicago Mercantile Exchange	Honorarium	$ 500.	NONE	4/21/88
Alabama Poultry & Egg Association	Honorarium	$2,000.	NONE	6/11/88

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Tuscaloosa County Credit Union	Interest	A
AmSouth Bank	Interest	A
Southern Farm Bureau Life Ins. Co.	Dividend	A
Stonehedge IV, Lot 6, Tuscaloosa, Al.	Cap. Gain	A
First State Bank, Tuscaloosa, AL.	Interest	A
Peoples Bank of Greensboro, Al.	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(8) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO X NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
2138 Shannon Rd., Lot #1, Bessemer, Al. (½ Int)	B
2138 Shannon Rd., Lot #2, Bessemer Al. (½ Int)	B
2138 Shannon Rd., Lot #3, Bessemer, Al. (½Int)	B
2138 Shannon Rd., Lot #4, Bessemer, Al. (½ Int)	B
2138 Shannon Rd., Lot #5, Bessemer, Al. (½ Int)	B
2138 Shannon Rd., Lot #6, Bessemer, Al. (½ Int)	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of Stonehedge IV, Lot 6, to Jody L. Hall	9-12-88	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
First Alabama Bank, Tuscaloosa, Al. (personal loan)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Arkansas Polutry Federation	transportation from DC-Ark.-DC; lodging and meals
Republic of China-USA Economic Council	round trip airfare DC-Taiwan-DC; lodging, meals for self & spouse
Alabama Farmers Federation	airfare from DC-Montgomery-DC to speak to Al. Fish Farming conference

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 12, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE, 1988 [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Claude Harris
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II A	Army National Guard	salary	$6501.52	None
IIB	First Alabama Bank, Tuscaloosa	Interest	A	
"	Principal Mutual Life Ins.	Dividend	A	
"	2138 Shannon Rd., Lot #1, Bessemer, AL.	(½ int)	rent	A
"	2138 Shannon Rd., Lot #2, Bessemer, AL.	(½ int)	rent	A
"	2138 Shannon Rd., Lot #3, Bessemer, AL.	(½ int)	rent	A
"	2138 Shannon Rd., Lot #4, Bessemer, AL.	(½ int)	rent	A
"	2138 Shannon Rd., Lot #5, Bessemer, AL.	(½ int)	rent	A
"	2138 Shannon Rd., Lot #6, Bessemer, AL.	(½ int)	rent	A
"	226 Hulsey St., Shannon Al.	(½ int)	rent	A
"	Shannon Rd., Bessemer, Al.	(½ int)	rent	A
"	Jody L. Hall (purchaser of Stonehedge IV,Lot6)		Int.	A
	5269 A Bonanza Ct.			
	Beale AFB, California			
III	226 Hulsey St., Shannon, Al.			A
"	Shannon Rd., Bessemer, Al.			A
"	Jody L. Hall Note			A
"	Stonehedge IV, Lot 6, Tuscaloosa, Al.			A
"	AmSouth Bank			A
"	First State Bank, Tuscaloosa, Al.			B
"	First Alabama Bank			B
"	First Alabama Bank			A
"	Tuscaloosa County Credit Union			A
"	Peoples Bank of Greensboro, Al.			B
VII	Sonat	air fare from Al-DC to speak to Sonat Leagl Conference		
VII	Chicago Mercantile Exchange	air fare from DC-Chicago-DC lodging & meals for self & wife		

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—*For use by Members, officers, and employees*

J. Dennis Hastert
(Full Name)

P.O. Box 386
(Mailing Address)

Yorkville, Illinois 60560

MC (Office Use Only)

MAY -4 AM 9 06

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 14 State Illinois

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a *Financial Disclosure Statement* on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,030, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Seargent at Arms - U.S. House of Representatives	Salary	N/A	
Yorkville Unit Dist. #115 (Spouse) - School Teacher	Salary	N/A	
See Attached List	Honorarial/Speaking	$8,250	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Yorkville National Bank	Interest	A
Opera House Property (1/2 interest)	Rent	D-Gross D-Net
Tenant Cropshare from Farm	Rent	D
House - Yorkville, IL	Rent	C-Gross: A-Net

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
104 acres of farmland - Shipman, IL	D
110 acres of farmland, includes house and farm bldgs - Shipman, IL	D
Farmland - 51 1/2 acres in Macoupin County, IL	D
50% Ownership in Opera House Properties Partnership - Sole Asset is commercial real estate at 500-502 Lockport St., Plainfield, IL	D
Yorkville National Bank - Farm Now Account	A
Yorkville House (Bldg. on residence rented)	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Purchased 110 acres of farmland, includes house and farm bldg. in Shipman, IL	1/15/88	D
Purchased 51 1/2 acres of farmland in Macoupin County, IL	12/14/88	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Note for purchase of 51 1/2 acres farmland to Yorkville National Bank	C
50% interest in mortgage by Opera House Properties held by First Midwest Bank/Joliet	D
Assumed mortgage of 110 acres of farmland to Federal Land Bank	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
50% Partner	Opera House Property Partnership

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
N/A	State of Illinois - State Employers Retirement System	Pension available at age 55 due to prior service

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature

Date 5/3/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE

Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

J. Dennis Hastert
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

Part II - Income

Source	Date	Type	Amount
- NAMGA Political Education Fund	10/28/88	Honorarial/ Speaking	$ 500
- Illinois Road Builders	6/01/88	"	2,000
- Board of Trade of the City of Chicago	9/20/88	"	1,000
- Chicago Mercantile Exchange	9/19/88	"	1,000
- American Trucking Associations, Inc.	10/05/88	"	1,000
- Associated General Contractors of America	10/05/88	"	2,000
- Association of Oil Pipe Lines	10/13/88	"	750
			$ 8,250

Part VII - Reimbursements

Source	Brief Description
- The Association of General Contractors of America	Roundtrip Airfare D.C. to Seattle. Three nights lodging and meals
- The Illinois Coalition of Youth	Airline Chicago-Munich-Geneva-Vienna-Chicago. Four nights lodging and meals
- Anti-Defamation League of the B'nai B'rith	Airfare, lodging and meals Tel Aviv-Isfeal for 9 days stay from Chicago; Wife incl.
- Congressional Institute, Inc.	Airfare - D.C. to Houston Three days lodging and meals (including wife and two children).

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[illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

INSIDE MAIL

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

1989 MAY 12 PM 2:07
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
OFFICE OF RECORDS & [illegible]

FORM A—For use by Members, officers, and employees

Charles Floyd Hatcher
(Full Name)
405 Cannon House Office Building
(Mailing Address)
Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2 State Georgia

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Georgia Council on Child Abuse	salary of spouse		
Am. Dehydrated Onion and Garlic Assn.	Honorarium	$ 500.00	
The Tobacco Institute	Honorarium	$1000.00	
RJR Nabisco, Inc.	Honorarium	$2000.00	
Georgia Oilmen's Assn.	Honorarium	$ 500.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Individual Retirement Account-Charles F. Hatcher	earned interest	A
Individual Retirement Account-Ellen W. Hatcher	earned interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Individual Retirement Account-Charles F. Hatcher	B
Individual Retirement Account-Ellen W. Hatcher	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Security Bank and Trust Company, Albany, Georgia	C
Baker County Bank, Newton, Georgia	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Southeastern Peanut Assn.	Transportation, food and lodging for speaking engagement, Hilton Head, S.C.
Board of Trade of the City of Chicago	Transportation and food for speaking engagement, Chicago, Ill.
Georgia Oilmen's Assn.	Lodging for speaking engagement, Atlanta, Ga.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature

Date May 10, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page 2 of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Charles F. Hatcher
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-A	Southeastern Peanut Assn.	Honorarium	$2000.00
	Board of Trade of the City of Chicago	Honorarium	$ 500.00
	Chicago Mercantile Exchange	Honorarium	$ 500.00

GPO : 1977 [illegible]

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INSIDE MAIL

CHARLES HATCHER
2D DISTRICT
GEORGIA

COMMITTEE ON
AGRICULTURE

COMMITTEE ON
SMALL BUSINESS

CHAIRMAN
SUBCOMMITTEE ON
DOMESTIC MARKETING,
CONSUMER RELATIONS
AND NUTRITION

Congreſs of the United States
House of Representatives
Washington, DC 20515

OFFICES:
405 CANNON BUILDING
WASHINGTON, DC 20515
(202) 225-3631

225 PINE AVENUE, ROOM 201
POST OFFICE BOX 1932
ALBANY, GA 31702
(912) 439-8067

401 NORTH PATTERSON STREET, ROOM 211
POST OFFICE BOX 1826
VALDOSTA, GA 31603
(912) 247-870

OFFICE OF RECORDS & REGISTRATION
1989 MAY 25 PM 2:10
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

May 24, 1989

✓
MC

The Honorable Julian C. Dixon
2400 Rayburn House Office Building
Washington, D.C. 20515

Dear Julian:

I am including an amendment to my 1988 Financial Disclosure Statement. Due to an error, the following information was omitted:

PART TWO, EARNED INCOME

1. The American Dehydrated Onion and Garlic Association, March 31, 1988.
2. The Tobacco Institute, April 12, 1988.
3. RJR Nabisco, May 10, 1988.
4. Georgia Oilmen's Association, May 20, 1988.
5. Southeastern Peanut Association, June 6, 1988.
6. Board of Trade of the City of Chicago, June 24, 1988.
7. Chicago Mercantile Exchange, June 24, 1988.

Thank you for your assistance in this matter. If I may provide additional information, please feel free to call on me.

Sincerely,

Charles Hatcher
Member of Congress

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INSIDE MAIL

CHARLES HATCHER
2D DISTRICT
GEORGIA

COMMITTEE ON
AGRICULTURE

COMMITTEE ON
SMALL BUSINESS

CHAIRMAN
SUBCOMMITTEE ON
DOMESTIC MARKETING,
CONSUMER RELATIONS
AND NUTRITION

Congress of the United States
House of Representatives
Washington, DC 20515

OFFICES
405 CANNON BUILDING
WASHINGTON, DC 20515
(202) 225-3631

225 PINE AVENUE, ROOM 201
POST OFFICE BOX 1932
ALBANY, GA 31702
(912) 439-8067

401 NORTH PATTERSON STREET, ROOM 211
POST OFFICE BOX 1626
VALDOSTA, GA 31603
(912) 247-8706

May 24, 1989

RECEIVED
OFFICE OF RECORDS & REGISTRATION
1989 MAY 30 PM 4: 32
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC ✓

The Honorable Julian C. Dixon
2400 Rayburn House Office Building
Washington, D.C. 20515

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Sincerely,

Charles Hatcher
Member of Congress

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[illegible] 7:43

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

AUGUSTUS F. HAWKINS
(Full Name)

2371 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☐ Member of the U.S. House of Representatives—District 29 State CA

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
CA Legislator's Retirement System	Annuity	$28,926.00	
Social Security	Pension	13,262.00	
American Assn. of School Administrators	Honorarium	2,000.00	
National Collegiate Athletic Assn.	Honorarium	2,000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman Congressional Fed. Credit Union (SPOUSE)	Interest	A
Bank of America (2 accounts)	Interest	B
Wright Patman Congressional Fed. Credit Union	"	C
Maryland National Bank	"	A
First Annapolis Savings	"	B
U.S. Treasury	"	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See,* Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __XX__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Wright Patman Congressional Fed. Credit Union (SPOUSE)	B
Maryland National Bank	B
First Annapolis Savings	C
Bank of America (2 accounts)	D
U.S. Treasury	A
Wright Patman Congressional Fed. Credit Union	D

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
GMAC	A

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
American Assn. of School Administrators	Reimbursement for air travel from 29th Cong. Dist., (CA) to Las Vegas and return into Dulles International Airport; 1 nights lodging and meals

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	Hawkins Family Memorial Foundation for Educational Research and Development

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

	Date
Augustus F. Hawkins	April 24, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989 [illegible]

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Page 5 of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

AUGUSTUS F. HAWKINS
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II INCOME	SOURCE		
	Labor Policy Assn., Inc.	Honorarium	$1,000.00
	California Student Loan Finance Corp.	Honorarium	2,000.00
	The New York Times	Article	150.00
	U.S. Government (Civil Service) — SPOUSE	Retirement	
VII REIMBURSEMENT	SOURCE		
	National Black Child Development Inst., Inc. Reimbursement to cover expenses incurred at Annual Conf.		
	OIC's of America, Inc. Reimbursement for air travel to Minneapolis, Minn. to receive award at Annual Conf.		
	National Education Assn. Reimbursement for air travel to New Orleans, La. to receive award at Annual Conf.; 1 nights lodging and meals		
	California Student Loan Finance Corp. Reimbursement for air travel from Los Angeles, CA to Palm Springs, CA and back to Los Angeles; 1 nights lodging and meals		

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AUGUSTUS F. HAWKINS
29th District, California

Committees
CHAIRMAN, EDUCATION AND LABOR
Subcommittee
Elementary, Secondary, and
Vocational Education, Chairman
JOINT ECONOMIC COMMITTEE

Congreſs of the United States
House of Representatives
Washington, DC 20515–0529

2371 Rayburn House Office Building
Washington, DC 20515-0529
Telephone 225-2201

Mark J. Molli
Special Assistant

Los Angeles Office
4509 South Broadway
Los Angeles, CA 90037-2727
Telephone 233-0733

Huntington Park Office
2710 Zoe Avenue
90255-4219
Telephone 587-0421

HAND DELIVERED

May 24, 1989

1989 MAY 24 PM 4:41
U.S. HOUSE OF REPRESENTATIVES

Mr. Donnald K. Anderson
Clerk of the House of Representatives
H-105, U. S. Capitol
Washington, D.C. 20515

MC ✓

Dear Mr. Anderson:

This is to request that my 1988 Financial Disclosure Statement be amended.

I neglected to enter the dates honorariums were received under Section II, Part A. This information is listed below:

Source	Date Received
American Assn. of School Administrators	2/21/88
Nat'l Collegiate Athletic Assn.	3/18/88
Labor Policy Assn., Inc.	9/6/88
California Student Loan Finance Corp.	3/31/88
The New York Times (Article)	6/23/88

1989 MAY 24 PM 4:40
U.S. HOUSE OF REPRESENTATIVES

Sincerely,

Augustus F. Hawkins

Augustus F. Hawkins
Member of Congress

AFH:kb

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Honorable Charles A. Hayes
(Full Name)

1028 Longworth HOB
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 01 State Illinois

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
United Food & Commercial Workers Int'l. Union	Pension	42,911	
AETNA Life Insurance Company	Annuity	7,212	
Ronphil Publishing Company (spouse)	Salary		
Kelso and Company (4/6/88)	Honorarium	1,000	
University of Maryland-College Park (4/13/88)	Honorarium	250	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
NONE	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Wright Patman Congressional Federal Credit Union (personal)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a **SPEAKING ENGAGEMENT** or **FACT-FINDING EVENT** related to official duties, whether those expenses were **REIMBURSED** to the individual or **PAID DIRECTLY** by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Kelso and Company (Legislative conference)	Roundtrip Airfare; Wash., D. C. to Palm Springs, Calif., 2 nights lodging
United Food & Commercial Workers Int'l. Union (speech; expenses for self and spouse)	Roundtrip Airfare; Wash., D.C. to San Francisco, Calif., 2 nights lodging
Coalition of Black Trade Unionists (speech)	Wash., D. C.; 2 nights lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Chairman of the Board and President	Charles A. Hayes Labor, Cultural and Community Center
Board of Advisors	MLK Center for Nonviolent Social Change
Board of Directors	Congressional Black Caucus Foundation, Inc.
Board of Directors	Chicago Urban League

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Charles A. Hayes	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Hon. Charles A. Hayes
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II-A.	Best Foam Fabricators	5/13/88	Honorarium	600.00
	U. S. Dept. of the Navy	5/13/88	Honorarium	500.00
	National Assn. of Letter Carriers	6/17/88	Honorarium	1,000.00
	Hotel Employees & Restaurant Employees Int'l. Union	6/30/88	Honorarium	1,000.00
	Univ. of North Carolina	7/8/88	Honorarium	640.00
	Chicago Mercantile Exchge.	8/19/88	Honorarium	1,000.00
	Chicago Board of Trade	8/19/88	Honorarium	1,000.00
	Best Foam Fabricators	9/3/88	Honorarium	2,000.00
	Gardley Investigations	10/31/88	Honorarium	200.00
	Chicago Board of Options Exchange	12/14/88	Honorarium	1,000.00
	District of Columbia Gov't.	(spouse)	Honorarium	
VII.	Ohio State University (spouse)	(speech-conference)	Roundtrip airfare; Wash., D.C. to Columbus, Ohio; 3 nights lodging	

GPO: [illegible]

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

James A. Hayes
(Full Name)

503 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

MAY 15 PM 4:43

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 7 State LA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
see Attachment A			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
see Attachment B		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO X NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
see Attachment C	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
see Attachment D		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
see Attachment E	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
see Attachment F	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Commerce Partners, Lafayette, LA
Partner	Winnwood Partners, Lafayette, LA
Partner	Woodlands Property Partnership, Lafayette, LA
Partner	Williams Building Partnership, Lafayette, LA

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
none		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
James A. Hay	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989 [illegible]

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ATTACHMENT A

II. A. EARNED INCOME

A. SOURCE	TYPE	AMOUNT	DISPOSITION
1-29-88 Arthur Anderson & Co. Lafayette, LA	honor.	$1000	
3-5-88 Missouri Democratic Party Hannibal, MO	honor.	$1000	
3-11-88 Associated Genl. Contractors New Orleans, LA	honor	$2000	
3-12-88 Louisiana Medical Assn. Lake Charles, LA	honor.	$1000	
3-21-88 Chicago Mercantile Exchange Chicago, IL	honor.	$ 500	
3-21-88 Chicago Board of Trade Chicago, IL	honor.	$ 500	
3-31-88 American Trucking Assns. Washington, DC	honor.	$1000	
4-8-88 The Brookings Institute Washington, DC	honor.	$ 300	
4-16-88 Domengeaux & Wright Lafayette, LA	honor.	$2000	
5-16-88 Distilled Spirits Council Orlando, FL	honor.	$2000	
5-20-88 Natl. Restaurant Assn. Chicago, IL	honor.	$1000	
6-6-88 Connell Rice & Sugar Westfield, NJ	honor.	$2000	
6-8-88 Louana Foods Sea Island, GA	honor.	$2000	
6-27-88 LAMAR Corp. Lafayette, LA	honor.	$1000	
7-26-88 George Washington University	honor.	$ 400	

Washington, DC

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8-5-88	Institute of Electronic Technology Lake Charles, LA	hon.	$1000
10-28-88	Brown's Furniture, Inc. Lafayette, LA	honor.	$2000
10-28-88	Fazzio Law Firm Lafayette, LA	honor.	$2000
11-21-88	Dow Chemical Co. Baton Rouge, LA	honor.	$ 500
11-25-88	First American Title Lafayette, LA	honor.	$1000
12-9-88	Olin Corp. Alton, IL	honor.	$2000

SPOUSE'S INCOME

Oct-Dec. 1988	Washington, Inc.	salary

ATTACHMENT B

II. UNEARNED INCOME, PART B.

During the period covered by this report, I was a partner in six Louisiana partnerships. None of the partnerships paid interest, rents, dividends, or distributions to me or my spouse. However, the partnerships themselves experienced gains and/or losses from real estate activities and/or other business activities. Each partnership filed a K-1 form as required by the Internal Revenue Service. Nevertheless, I have chosen to list each partnership and state the category of earnings (or losses) to which my interest could be attributed, as follows:

SOURCE	TYPE	CATEGORY
1) Winnwood Properties Partnership	Rental	(loss, D)
2) Williams Building Partnership	Rental	E
3) Quartz Inc.	Earnings	(loss, A)
4) Commerce Partners	none	-0-
5) The Last Hayride	sales	A
6) Woodlands Properties Partnership	rental	(loss, D)

ATTACHMENT C

III. HOLDINGS

IDENTITY	CATEGORY
Interest in Woodlands Property Partnership (.375) Real Estate Development, Lafayette, LA.	F
Winnwood Partners (.50), Shopping Center, Lafayette, LA	F
40 Acres (.50) North Lafayette, LA	E
Commerce Partners (1/8) Real Estate, Lafayette, LA	F
Pinhook Realty Stock (closely held) Lafayette, LA	A
Commerce & Energy Bank Stock Lafayette, LA	A
Williams Building Partnership (Building Gross value, Partnership has no value because of mortgage liability on building and negative cash flow).	F

NOTE: Each of the above has been listed without regard to the net effect of liabilities to each entity, which would substantially reduce the values above stated. This listing was done in an abundance of caution so as not to omit any holding.

ATTACHMENT D

IV. TRANSACTIONS

BRIEF DESCRIPTION	DATE	CATEGORY
Exchange (sale in consideration for assumption of indebtedness), Williams Building Partnership	4-12-88	F
Exchange (sale in consideration for assumption of indebtedness), Woodlands Properties Partnership	4-12-88	F
Exchange (sale in consideration for assumption of indebtedness), Winnwood Partners	4-12-88	F

ATTACHMENT E

V. LIABILITIES

IDENTITY	CATEGORY
Interest in Woodlands Property Partnership, mortgage and pledge to Commerce & Energy Bank, Lafayette, LA	F
Interest in Winnwood Partners, mortgage and pledge to Commerce & Energy Bank, Lafayette, LA	F
40 acres, mortgage of interest to First Louisiana Federal Savings Bank, Lafayette, LA	E
Commerce Partners, pledge to Commerce and Energy Bank, Lafayette, LA	F
Stock, Commerce and Energy Bank, Lafayette, LA., pledged to First Louisiana Federal Savings Bank, Lafayette, LA	F
Williams Building Partnership, interest pledged to Commerce & Energy Bank, Lafayette, LA	F
Guaranty Bank and Trust Co., Lafayette, LA (endorsed loan liability through financial failure of principal obligor)	A
Mid South Natl. Bank, Lafayette, LA, (endorsed loan liability as guarantor through financial failure of principal obligor)	D
Commerce & Energy Bank, Lafayette, LA, loan restructuring agreement with Dwight W. Andrus (see "Transactions" section of this form, 4-12-88).	E

ATTACHMENT F

VII. REIMBURSEMENTS

SOURCE	BRIEF DESCRIPTION
Machinists Intl. Union	Roundtrip airfare to New Orleans, meals, one night's hotel room.
Chicago Mercantile Exchange and Chicago Board of Trade	Roundtrip airfare to Chicago, meals, one night's hotel room.
Connell Rice and Sugar	Roundtrip airfare to New Jersey.
Natl. Restaurant Assn.	Roundtrip airfare to Chicago, meals, one night's hotel room.
Distilled Spirits Council	Roundtrip airfare to Orlando, meals, hotel room.
Louana Foods	Roundtrip airfare to Sea Island, Georgia, meals, hotel room.
Olin Corp.	Roundtrip airfare to Alton, IL, meals, hotel room.

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Joel M. Hefley
(Full Name)

Rm. 222 Cannon House off. BLDG.
(Mailing Address)

Wash. D.C. 20515

MC (Office Use Only)

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
89 MAY 15 AM 10: 12

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District 5 State Colorado

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,033, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ✓ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Chicago Mercantile Exchange 8/22/88	honoraria	$500	None
Bd. of Trade of the City of Chicago 8/22/88	honoraria	$500	None
United Way of America 9/14/88	honoraria	$500	None
Okla. Cable Television Assn. 6/22/88	honoraria	$[illegible]	None
[illegible] Inc. 5/18/88	honoraria	$1000	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Apartments	Rents	E

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ✓

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
[illegible] apts. - Haileck St., Colo. Springs, Colo. (½ interest)	F
4-Plex apts. - Jones [illegible], Colo. Springs, Colo.	E
4-Plex apts. - Friendship Pl., Colo. Springs, Colo. (½ interest)	E
3-Plex apts. - [illegible] Av., Colo. Springs, Colo. (½ interest)	D

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage - 8-plex - Foot Av. Colo. springs, Colo. (½ interest) to Merrill R. Carter Lou Carpenter	C
Mortgage - Dashmore [illegible] apts. Colo. springs, Colo. (½ interest) to Jim Burton	F
Mortgage - 4-plex - Jones Ln. Colo. springs, Colo. to Sun Savings	D
Mortgage - 4-plex - Friendship Pl., Colo. springs, Colo. (½ interest) to Lomas Co.	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Colo. Broadcasters Assoc. - speech	3 nights lodging at Vail, Colo.
Colo. Cable Television Assn. - speech	2 nights lodging at Breckenridge, Colo.
Anti Defamation League - Fact Finding	Round trip air travel & [illegible] days lodging in Israel + spouse
Chinese Nat. Assn. of Business & Industry	Round trip air travel & 5 days lodging in Taiwan + spouse
Jack Davis For Congress - Campaign	Airfare & one night lodging at [illegible]
Jim Inhofe For Congress - Campaign	Airfare & one night lodging in Tulsa, Okla.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Joel M. Hefley	5/5/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1989

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Joel M. Hefley
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II Income	Rocky Mt. Farmers Union 2/[illegible]/88 honoraria $100 None
"	Colo. Credit Union League 4/[illegible]/88 honoraria $500 None
"	Lynn Hefley's Property Management Fees $[illegible] None

GPO: 1987 [illegible]

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

U.S. HOUSE OF REPRESENTATIVES

1989 MAY 12 PM 2:01

FORM A—For use by Members, officers, and employees

W. G. (Bill) Hefner
(Full Name)

2161 Rayburn Building
(Mailing Address)

Washington, D.C. 20515

Mc (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 8 State N.C.

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT–2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds
$100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT.
In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself
and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF
RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary
they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of
the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other
earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE,
SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Town & Country Properties, Inc. - Spouse	Commission		
Honoraria - See continuation sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest,
rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any
source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported
under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value
of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000;
D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See continuation sheet		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the
production of income, during calendar year 1988, including business interests, that had a fair market value
exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of
value of any item where it is difficult to determine an approximate fair market value, any recognized indication
of value may be used provided that the method of valuation is included on the Disclosure Statement. (See
Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company
in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings,
a brief description of the property (such as number of acres and indication of any improvements), and
its location should be included. Filer may use a computer printout or similar listing, if so desired.
IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year,
and any personal liability owed to the reporting individual by a relative. A personal residence would not be
reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy
need not be reported. The reporting individual need only report the category of the amount of income received
by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse,
or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge
of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section
102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL
CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See continuation sheet	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sold shares of Fidelity Municipal Bond Fund	1/88 - 12/88	F
Sold shares of MFS Municipal Income Trust	5/11/88	B
Purchased shares of Food Lion, Inc. "B"	1/8 & 8/23/88	C
Purchased Metro Washington,D.C. Airport Bonds	5/11/88	B
Purchased shares of Keystone Medical Corporation	5/19/88	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Fleet Funding	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Kemper Open Pro-Am	Various personal items including shirt, vest, socks, golf balls, carry-all and picture frame	316.

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Honoraria - related expenses	Appropriate travel, food and lodging were provided for listed honoraria by the sponsoring associations and/or corporations.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
W S [illegible]	5/11/89

WHERE TO FILE:

**RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:**

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

W. G. (Bill) Hefner
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II - A	Honoraria:	Date Received:	Amount:
	Talley Defense Systems	1/20	$ 1,000
	The Tobacco Institute	1/20	2,000
	Oshkosh Truck Corporation	1/25	2,000
	Killeen Chamber of Commerce	2/4	2,000
	LTV Aerospace and Defense Company	2/12	2,000
	Lockheed - California Company	2/17	2,000
	United Technologies - Pratt & Whitney	2/22	1,000
	Pfizer, Inc.	2/29	1,000
	Emerson Electric Co.	2/29	1,000
	Avco Systems/Textron	3/7	2,000
	McDonnell Douglas	3/16	2,000
	AT & T	3/21	2,000
	Associated General Contractors of America	3/22	2,000
	Precision Tune	5/5	1,000
	Loval Corporation	5/6	1,000
	McDonnell Douglas Helicopter Co.	5/6	2,000
	Singer Company	5/11	1,500
	Philip Morris U.S.A.	10/3	1,000
	Total		$ 28,500
	Less - donated to tax-exempt charities		1,650
	Net Honoraria		$ 26,850
II - B	Unearned Income:	Type:	Category:
	A. G. Edwards & Sons	Dividend	[illegible]
	Fidelity Municipal Bond Fund	Dividend	E
	Fidelity Tax Exempt Money Market Trust	Dividend	A
	First Charter Corporation	Dividend	A
	Putnam Tax Free Fund	Dividend	E
	Burke and Herbert Bank	Interest	B
	Central Fidelity	Interest	A
	Dominion Federal S&L	Interest	A
	First Union National Bank	Interest	A
	Madison Bank	Interest	A
	New York Life Insurance Co.	Interest	A
	Prudential Insurance Co.	Interest	A
	House, Arlington, VA	Rent	D
	Metro Washington, D.C. Airport Bonds	Interest	A
III	Holdings:		Category:
	Fidelity Municipal Bond Fund		E
	Putnam Tax Free Insured Fund		F
	Food Lion, Inc. "B" stock		E
	General Electric Co. stock		A
	Jaguar PLC stock		B
	Keystone Medical Corp. stock		B
	One Price Clothing Stores, Inc. stock		B
	Global Growth and Income Fund		A
	House, 1065 N. Main St., Arlington, VA		E
	Metro Washington, D.C. 8% Airport Bonds		B
	U.S.House of Representatives - Sergeant at Arms		C
	Savings account - Central Fidelity		B
	Savings account - Burke and Herbert Bank		C
	Savings account - Madison Bank		C
	Savings account - Dominion Federal S&L		B
	Savings account - FUNB		A
	First Charter Corporation stock		C

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RECEIVED
OFFICE OF RECORDS & REGISTRATION
1989 JUN -7 AM 10 22
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED

W.G. (BILL) HEFNER
8TH DISTRICT, NORTH CAROLINA

2161 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-3715
FAX (202) 225-4036

COMMITTEES:
COMMITTEE ON APPROPRIATIONS
SUBCOMMITTEE ON DEFENSE
CHAIRMAN: SUBCOMMITTEE ON MILITARY CONSTRUCTION

Congress of the United States
House of Representatives
Washington, DC 20515

DISTRICT OFFICES:
P.O. BOX 385
101 UNION STREET, SOUTH
CONCORD, NC 28025
[illegible]-1616 or 786-1612

P.O. BOX 4220
HOME SAVINGS AND LOAN BLDG.
507 WEST INNES STREET, SUITE 225
SALISBURY, NC 28144
(704) 636-0838

P.O. BOX 1503
230 EAST FRANKLIN STREET
ROCKINGHAM, NC 28379
(919) 997-2070

June 7, 1989

Mr. Dounald Anderson
Clerk, U.S. House of Representatives
Office of Records & Registration
1036 Longworth Building
Washington, D. C. 20515

✓

MC

Dear Donn:

As requested, I am amending my recently submitted Financial Disclosure Statement as follows:

Part III. Dividends on various corporate stocks held for my account by A.G.Edwards & Sons were identified as A.G.Edwards & Sons under Part II-B since A.G.Edwards & Sons does not furnish a detailed listing of dividends from each corporation. In Part III the stocks were listed by each corporate name since the value of each corporate stock is readily determinable. Thus, assests generating income in Part II-B have been included.

Part V. The type of indebtedness should read "Mortgage on Rental Property".

Part VII. Reimbursements for travel expenses were received from The Associated General Contractors of America and The Tobacco Institute, each totaling $250 or more in 1988. These travel expenses were incurred in connection with speaking engagements to the aforementioned groups. Any other "reimbursements" were paid directly by the sponsoring organization to the provider of the services and would amount to ordinary and reasonable air and land transportation fares, plus any necessary food and lodging expenses incurred while away from home overnight.

With kindest regards, I am

Sincerely,

Bill Hefner
BILL HEFNER
Member of Congress

BH:mp

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

[illegible] MAY 10 PM 3 32

FORM A—For use by Members, officers, and employees

Paul B. Henry
(Full Name)

190 Robinwood Lane S. E.
(Mailing Address)

Grand Rapids, Michigan 49506

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5th State Michigan

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

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- **Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.**
- **Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.**

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Calvin College (spouse)	salary	N/A	
Los Angeles Times 1/31/88	royalty	$150	none
Council of Scientific Society Pres. 5/12/88	honorarium	$200	none
Dow Corning 6/3/88	honorarium	$500	none
Food Marketing Institute 6/30/88	honorarium	$1000	charity

please see attached sheet for continuation

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
checking account (joint)	interest	A
deferred compensation/State of Michigan	interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
savings and checking accounts (in the aggregate)	B
-- Wright Patman Credit Union, Wash. D. C.	
-- Michigan National Bank, Grand Rapids, Michigan	
--Sgt. at Arms/H of R/Wash. D. C.	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

0 2 9 7 9 2 7 4 1 9 1

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
see attached itinerary	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Member of Board	Economic Club, Grand Rapids, MI

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
1/3/85	State of Michigan	coverage for health, life insurance vested retirement as provided by MI State Law.
12/31/78	TIAA/CREF - Calvin College	Retirement annuity payout at age 65.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Paul B H	5/10/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
see attached itinerary	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Member of Board	Economic Club, Grand Rapids, MI

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
1/3/85	State of Michigan	coverage for health, life insurance vested retirement as provided by MI State Law.
12/31/78	TIAA/CREF - Calvin College	Retirement annuity payout at age 65.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §704 and 18 U.S.C. §1001).

Signature	Date
Paul B Henry	5/10/89

WHERE TO FILE:

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(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

II INCOME

A.

SOURCE	DATE	TYPE	AMOUNT	DISPOSITION
Sheet Metal & Air Conditioning Contractors Nat'l Assoc.	7/11/88	honorarium	$500	charity
Bethel College and Seminary	11/15/88	honorarium	$500	none
Moody Bible Institute	12/2/88	honorarium	$100	none

VII. REIMBURSEMENTS

SOURCE	BRIEF DESCRIPTION/DATE(S)
Bethel College and Seminary	round trip commercial airline ticket for self from Grand Rapids, MI to Minneapolis, MN
Anti-Defamation League of B'nai B'rith	round trip commercial airline ticket from Grand Rapids, MI to Tel Aviv, Israel, meals, lodging, for self and spouse 1/10/88 - 1/19/88 to participate in factfinding mission.

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Wally Herger
(Full Name)

7115 Galgate Drive
(Mailing Address)

Springfield, VA 22152

MC (Office Use Only)

MAY 15 PM 9 26

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2nd State California

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
1) Nat'l Steel & Shipbuilding Co. (5/13)	Hon.	$1,000.	None
2) Nat'l Ocean Industries Assoc. (7/15)	Hon.	$ 500.	None
3) Farmers' Rice Cooperative (8/23)	Hon.	$2,000.	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
1) Rental House, Rio Oso, CA	Rents	D
2) Herger Ranch	Dividends	D
3) Herger Gas, Inc.	Dividends	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—[illegible]; E—[illegible]; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
1) Rental House - 1120 Fourth Avenue, Rio Oso, CA	D
2) Herger Ranch - 1059 Fourth Avenue, Rio Oso, CA Cattle Ranch Plus Real Property Improvements	F
3) Herger Gas, Inc. - Common Stock - Propane Gas Distrib.	F
4) Montrose Barber Investments, Inc. - Limited Partner - Apt. Complex (less than 10% interest)	C
5) Savings	C

0 9 1 7 1 2 1 0 3 4 5

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 15.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
1) Foundation for Africa's Future	Rd trip airfare, DC to So. Africa,
	13 days food/lodging for self
2) Congressional Institute	Rd trip airfare, DC to Houston,
	3 days food/lodging for self, wife,
	& family

(see continuation sheet)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Herger Gas, Inc. (Propane Gas Distributor)
Limited Partner	Montrose Barber Investments, Inc. (Apt. Complex)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Wally Herger	May 5, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE

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Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Wally Berger
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
VII. Reimbursements (continued)		
	3) Foreign Policy Institute	Rd trip airfare, DC to Turkey, 10 days food/lodging for self & wife

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HAND DELIVERED

WALLY HERGER
2d DISTRICT CALIFORNIA

PLEASE REPLY TO

☐ WASHINGTON OFFICE
1108 Longworth House Office Building
(202) 225-3076

DISTRICT OFFICES

☐ 20 Declaration Dr. Suite 8
Chico CA 95928
(916) 893-8363

☐ 2400 Washington Ave. Suite 410
Redding CA 96001
(916) 246-5172

☐ 951 Live Oak Blvd. Suite 10
Yuba City CA 95991
(916) 673-7182

Congress of the United States
House of Representatives
Washington, DC 20515

COMMITTEE ON AGRICULTURE
SUBCOMMITTEES
COTTON RICE AND SUGAR
FORESTS FAMILY FARMS AND ENERGY
DOMESTIC MARKETING CONSUMER RELATIONS AND NUTRITION

COMMITTEE ON MERCHANT MARINE AND FISHERIES
SUBCOMMITTEES
FISHERIES AND WILDLIFE CONSERVATION AND THE ENVIRONMENT
OCEANOGRAPHY

May 18, 1989

✓

MC

The Honorable Julian C. Dixon
Chairman
Committee on Standards of Official
 Conduct
Washington, D.C. 20515

Dear Mr. Chairman:

In response to your May 16th letter, this response serves to amend my financial disclosure statement for 1988.

Under Section III, Holdings, #5, in which I report savings, the entry should read:

5) Savings - Feather River State Bank, c
Marysville, CA, & Wright Patman
Congressional Federal Credit Union,
U.S. House of Representatives

Please let me know if any further clarification is needed.

Sincerely,

Wally Herger

WALLY HERGER
Member of Congress

CC: Clerk, U.S. House of Representatives

WH/jx

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

MAY 15 AM 9:02

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Dennis M. Hertel
(Full Name)

2442 Rayburn Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 14 State Michigan

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
G.D. Land Systems	1/27/88	Honorarium	$2000.00	
Westinghouse	2/17/88	Honorarium	$1000.00	
United Technologies-Pratt & Whitney	3/8/88	Honorarium	$1000.00	
Eastern Technologies	4/7/88	Honorarium	$2000.00	
Textron	4/7/88	Honorarium	$1000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman Congressional Federal Credit Union	Interest	B
Condominium unit in Haslett, MI (on Maple Ridge Rd.)	Rent	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO __X__ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Wright Patman Congressional Federal Credit Union	C
Condominium unit in Haslett, MI (on Maple Ridge Rd.)	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
-none-		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Condominium unit in Haslett, MI (on Maple Ridge Rd.)	C
(Empire of America, Detroit, MI)	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
-none-	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
-none-		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
G.D. Land Systems.	Round trip air transportation from Washington, D.C. and Lima, OH
United Technologies-Pratt and Whitney.	Round trip airfare between Washington, D.C. and Riviera Beach, FL, meals and lodging for Dennis M. Hertel and spouse.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Member, Board of Directors	Cystic Fibrosis Foundation, Metropolitan Detroit Chapter
Member, Board of Visitors	Oakland University School of Nursing

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	-none-	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
D M Hertel	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Dennis M. Hertel
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II. Income Earned	Center for Strategic and International Studies			
		4/18/88	Honorarium	$1000.00
	United Technologies-Sikorsky Aircraft			
		4/13/88	Honorarium	$1000.00
	Cambridge International	4/19/88	Honorarium	$500.00
	Ft. Worth Div., G.D.	6/6/88	Honorarium	$2000.00
	LTV	6/7/88	Honorarium	$2000.00
	Bell Helicopter	6/29/88	Honorarium	$1000.00
	Shipbuilders Council	9/14/88	Honorarium	$1000.00
	Hudson Institute	12/8/88	Honorarium	$1500.00
	United States Telephone Association			
		5/27/88	Honorarium	$1000.00

VII. Reimbursements

Textron. Airfare for Dennis M. Hertel from Boston, MA to New York, NY, and lodging.

United Technologies-Sikorsky Aircraft. Round trip airfare from Washington, D.C. to Stratford, CT for Dennis M. Hertel.

Center for Strategic and International Studies. Lodging and meals for Dennis M. Hertel, spouse and four children at Williamsburg, VA.

Ft. Worth Div., G.D. Airfare from Washington, D.C. to Ft. Worth and return for Dennis M. Hertel, meals and lodging.

Shipbuilders Council. Meals and lodging for Dennis M. Hertel and spouse at Greenbrier, WV

United States Telephone Association. Lodging and meals at Greenbrier, WV, and round trip transportation for Dennis M. Hertel and spouse.

Michigan Distributors and Vendors. Lodging for Dennis M. Hertel and spouse.

GPO: 1987 [illegible]

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

John Patrick Hiler
(Full Name)

407 Cannon HOB
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

MAY 15 PM 12 43

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3 State Indiana

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

A 9 3 7 0 2 3 1 2 5 9

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
* See continuation sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
* See continuation sheet		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
* See continuation sheet	

89070291270

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
* See continuation sheet		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
The National Bank of Washington - Mortgage (spouse)	D
(Mortgage paid off 10/27/88 when property at 2512 M Street,	
Washington, DC, sold)	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Chuck O'Neil	print	250.00

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Indiana Bankers Association (speech)	Airfare South Bend-Louisville- Washington 1 night lodging
Casket Manufacturers Association of America (speech)	Roundtrip airfare Washington to Indianapolis, 1 night lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Charles O. Hiler & Son, Inc.
Director	Accurate Castings, Inc.
Trustee	LaLumiere School
Partner	Triple H Enterprises

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
12/31/80	J. Hiler & Charles O. Hiler & Son, Inc.	Leave of Absence
12/31/80	J. Hiler & Kingsbury Castings, Inc.	Leave of Absence
	J. Hiler & Charles O. Hiler & Son, Inc.	Continue to maintain interest in profit sharing plan

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
John Patrick Hiler	May 11, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE

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Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

John Patrick Hiler
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II (A)	Accurate Castings, Inc -Director's Fees-$3500
	Charles O. Hiler & Son, Inc. -Director's Fees-$2500
	Honoraria:
	9/13/88 Barnett Banks -$2000
	5/17/88 Institute of International Bankers -$2000
	4/22/88 American Insurance Association -$ 500
	4/14/88 American Cast Metals Association -$1000
	3/23/88 Casket Manufacturers Assoc. of America -$1000
	4/12/88 Coalition for Regional Banking & Econ. Development -$ 500
	1/12/88 American Bankers Association -$1500
	1/28/88 Edward D. Jones & Co. -$1000
	8/01/88 American Bankers Association -$2000
II (B)	Thomson-McKinnon Securities -Dividend -A
	Congressional Federal Credit Union -Interest -B
	Dennis Bateman (spouse) -Rent -D
	Metro Washington Arpts Auth Gen Arpts(spouse) -Interest -A
	2512 M Street NW, Washington (spouse) -Capitol Gains -E
	Triple H Enterprises -Distributive Share of Partnership Income -B
/	John P. Hiler Trust -Distribution -G
III	2512 M Street, NW Washington, DC (spouse) (sold 10/27/88) -Rental Property -F
	Carlisle Real Estate Ltd. Partnership XII (Chicago) (spouse) -Partnership -A
	Metro Wash Arpts Auth Gen Arpts (spouse) -Bond -B
	U.S. Savings Bonds (Daughters) -Bonds -B
	City of Hammond Pollution Control Revenue Bond (Daughters) -Bonds -B
	Thomson-McKinnon Securities, Inc. -Money Market Fund-A
	Congressional Federal Credit Union; Farmers State Bank of Wyatt -Savings Accounts -C
	Triple H Enterprises (LaPorte) -Partnership -A
	John P. Hiler Trust:
	Accurate Castings, Inc. (Laporte, In) -Stock -F
	Charles O. Hiler & Son, Inc. (LaPorte, In) -Stock -F
	Wyatt Bancorp, Inc. (Wyatt, In.) -Stock -F
	Wyatt Trading Co., Inc. (Wyatt, In) (sold 9/9/88) -Stock -D
	Clark Cnty., NV Sch. Dist. -Bond -C
	Alabama St. Docks Dept. -Bond -C
	Metro Wash Arpts Auth Gen Arpt. -Bond -B
	Seagate Tax Exempt Fund 1 -Money Market Acct-F
	1126 Balls Hill Rd, McLean, VA -Family Residence -F

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Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

John Patrick Hiler
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
IV	Sales:			
	10/27/88	2512 M Street, NW, Washington, DC (spouse)	-Rental Property	-E
	Purchases:			
	04/04/88	Metro Wash Arpts Auth Gen Arpt. (spouse)	-Bond	-B
	John P. Hiler Trust			
	Purchases:			
	07/01/88	Wyatt Trading Co., Inc. (Wyatt, IN)	-Common Stock	-C
	08/18/88	Clark Cnty, NV Sch. Dist.	-Bond	-C
	12/20/88	Alabama St. Docks Dept.	-Bond	-C
	04/04/88	Metro Wash Arpts Auth Gen Arpt.	-Bond	-B
	Sales:			
	09/09/88	Wyatt Trading Co., Inc. (Wyatt, IN)	-Common Stock	-C

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HAND DELIVERED

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JOHN HILER
Third District, Indiana

Committees
Banking, Finance and
Urban Affairs

Small Business

House Administration

Congress of the United States
House of Representatives
Washington, DC 20515

Washington Office
407 Cannon House Office Building
Washington, DC 20515
(202) 225-3915

District Office
224 W. Jefferson Blvd
Suite 101
South Bend, IN 46601
(219) 236-8282

May 18, 1989

✓
MC

Honorable Donnald K. Anderson
Clerk of the House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

Dear Mr. Anderson:

On May 15th, 1989, I filed my financial disclosure, applicable to calendar year 1988. I have been advised by the Committee on Standards of Official Conduct that my form did not provide all of the necessary information on one item. Please consider this letter as a request to amend my financial disclosure as follows:

Part II (B)
Dennis Bateman, 2512 M St., N.W., Washington, D.C. (Spouse) Rent - D

Thank you for your cooperation.

Sincerely,

John Hiler

JOHN HILER
Member of Congress

JH/jah

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Peter J. Hoagland
(Full Name)

1415 Longworth House Office Building
(Mailing Address)

Washington, D.C. 20515

MC

(Office Use Only)

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
1989 JUN -1 PM 3:38
OFFICE OF RECORDS & REGISTRATION

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2nd State Nebraska

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,082, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Law Offices of Peter Hoagland	Gross Fees	$133,808	None
(see Addendum A)	Net Income	$85,151	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Addendum B		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Fidelity Cash Reserves	A
Fidelity Flexible Bond Fund (KEOGH)	D
Fidelity High Income Fund (KEOGH)	C
Norwest Money Market Savings	A
Hoagland Family Partnership (see Addendum C)	D
Carpenter Cottage Corporation (see Addendum D)	E
Fidelity High Income Fund (IRA)	B
Fidelity Flexible Bond Fund (Spousal IRA)	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None	N/A	N/A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Norwest Bank 10010 Regency Circle, Omaha, NE 68114	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	None

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None	None	N/A

VII. REIMBURSEMENTS

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GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a **SPEAKING ENGAGEMENT** or **FACT-FINDING EVENT** related to official duties, whether those expenses were **REIMBURSED** to the individual or **PAID DIRECTLY** by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	None

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Proprietor	Law Offices of Peter Hoagland
Partner	Hoagland Family Partnership
Member	House of Delegates of the Nebraska Bar Association

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	None

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	June 1, 1989

Prepared By: Jack [illegible]

Deloitte Haskins & Sells ([illegible])
[illegible] Woodmen Tower, Omaha, NE 68102

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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ADDENDUM A

Part II - Income

A. Earned Income - 1988

The amounts shown represent gross fees from the operation of the Law Office of Peter Hoagland in Omaha Nebraska. The net income figure represents gross fees less operating expenses. Operating expenses do not include any amounts disbursed to Peter Hoagland.

ADDENDUM B

Part II - Income

B. Unearned Income - 1988

Source

	TYPE	CATEGORY
Fidelity Cash Reserves	Interest	A
Aetna Life Insurance Company	Interest	A
Norwest Money Market Savings	Interest	A
Fidelity Flexible Bond Fund (KEOGH)	Interest	C
Fidelity High Income Fund (KEOGH)	Interest	B
Hoagland Family Partnership (see Addendum C)	Div/Int/ Cap Gains	C
Fidelity High Income Fund (IRA)	Interest	A
Fidelity Flexible Bond Fund (Spousal IRA)	Interest	A

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ADDENDUM C

Part II - Income

B. Unearned Income - 1988

Part III - Holdings

The Hoagland Family Partnership is a partnership consisting of members of the Hoagland family formed for the purpose of investing funds in the stock and bond markets. The partnership is located in Omaha, Nebraska. The securities owned and the category of value of my share of the partnership for 1988 are as follows:

Stocks	Categories	
	Unearned Income	Holdings
American Telephone and Telegraph, Common Stock	A	A
CPS Financial Corporation, Common Stock	A	A
Horizon Bancorp, Common Stock	A	A
Intrex Financial Services, Inc., Common Stock	A	A
Masco Corp., Common Stock	A	A
Mellon Bank Corporation, Common Stock	A	A
New York Marine & General Insurance Co., Common Stock	A	A
N.E. Bankcorp Inc., Common Stock	A	A
Ore Steel Mills, Inc., Common Stock	A	A
Philip Morris Companies Inc., Common Stock	A	A
Quanex Corporation, Common Stock	A	A
Southeast Banking, Common Stock	A	A
Squibb Corp., Common Stock	A	A
Sunguard Data Systems, Inc., Common Stock	A	A

Bonds	Categories	
	Unearned Income	Holdings
Alaska St. Hsg. Fin. Corp. Home Mtg. Rev. 2nd Series, 0% Bond Due	A	A
College Park, Georgia, Business and Industrial Development Authority Civic Center Project Revenue 10.50% Bond	A	A
Fla St. Mun Pwe Agy Rev Requirements PWR Supply A 9.00% Bd Due	A	A
Grand River Dam Authority, Okla., Revenue, 9.375% Bond Due	A	A
Greater Orlando Aviation Authority, Fla., Airport Facilities Revenue, 1981 Series of Orlando, Florida, 10.375% Bond Due	A	A
NO HDC, 0% Bond Due	A	A
North Slope Borough, Alaska, General Obligation, Series N, 10.10% Bond Due	A	A
Ocean Twp. NJ SWR Auth SWR Rev. 1985 Ser A, 8.875% Bond Due	A	A
Espanola N. Mexico Hospital System Revenue 9.8% Bond Due	A	A
Private Colleges and Universities Facilities Authority Revenue, Ga., Mercer University Project Refunding, 10.25% Bond Due	A	A
Rhode Island St. Pub. Bldgs. Auth. St. Pub. Prjs. Rev. Series A, 8.3% Bond Due	A	A

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ADDENDUM D

The sole assets of the Carpenter Cottage Corporation consist of a cottage and land at Omaha, Beach, Lake Okoboji, Iowa. I own a percentage share of the corporation with other family members.

MAY 15 1989

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

1989 MAY 23 AM 11:41
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

GEORGE J. HOCHBRUECKNER
(Full Name)
1 WYCOMB PLACE
(Mailing Address)
CORAM, NEW YORK 11727

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District 1 State NEW YORK

☐ Officer or Employee—Employing Office ____

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ✓ NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	DATE RECEIVED	TYPE	NET AMOUNT	DISPOSITION
GENERAL DYNAMICS	2-29-88	HONORARIUM	1,000	
GA TECHNOLOGIES	3-9-88	"	1,000	
SIKORSKY AIRCRAFT	4-11-88	"	1,000	
NORDEN	4-11-88	"	1,000	
(SEE ADDENDUM)				

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
NONE		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ✓ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
REAL ESTATE :	
① 1/3 ACRE - UNIMPROVED LOT - CALIFORNIA CITY, CALIF.	A
② 1/3 INTEREST WITH RELATIVES IN A VACATION COTTAGE IN MAINE	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
1- GENERAL DYNAMICS + GA TECHNOLOGIES	ROUND TRIP AIRFARE - WASH D.C.
(SPEECHES)	TO SAN DIEGO; 2 DAYS FOOD + LODGING
2- SIKORSKY & NORDEN (SPEECHES)	ROUND TRIP TRANSP. - ISLIP NY
	TO BRIDGEPORT, CT. NO LODGING
(SEE ADDENDUM)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
George J Hochbrueckner	MAY 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page ___ of ___

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

GEORGE J. HOCHBRUECKNER
(Name)

Continuation Sheet

Part | Source, Type, Amount, Category, Description or Value (As Applicable)

II-INCOME

1988 HONORARIA

SOURCE	DATE RECEIVED	NET AMOUNT	DISPOSITION
SHIPBUILDERS COUNCIL OF AMERICA	4-29-88	1,000	
CAPITOL CLUB ASSOCIATION OF OLD CROWS	5-18-88	1,000	
RAYTHEON CO.	5-20-88	887.09	
LOCKHEED CORP. (SAN FRAN)	5-23-88	887.09	
LOCKHEED CORP (GEORGIA)	11-14-88	1,000	
MAURICE TEMPELSMAN CO.	12-1-88	946	CHARITY
BOEING CO.	12-14-88	2,000	
BELL/TEXTRON INC	12-15-88	1,000	
LTV CORP.	12-23-88	1,000	CHARITY

VII
REIMBURSEMENTS

SOURCE	BRIEF DESCRIPTION
3- RAYTHEIN + LOCKHEED (SPEECHES)	ROUND TRIP AIRFARE - WASH. D.C. TO SAN FRANCISCO + SANTA BARBARA; 3 DAYS FOOD + LODGING - ACCOMPANIED BY WIFE WHO PAID HER OWN AIRFARE
4- LOCKHEED CORP. TRIP TRANSPORTATION PAID BY AMERICAN PREPAREDNESS ASSOCIATION WHERE I ALSO SPOKE - NO FEE	ROUND TRIP AIRFARE - WASH D.C. TO GEORGIA + 1 DAY FOOD + LODGING
5- BELL/TEXTRON + LTV	ROUND TRIP AIRFARE - WASH. D.C TO DALLAS, TX ; 1 DAY FOOD + LODGING
6- BOEING CO.	ROUND TRIP AIRFARE - WASH D.C. TO SEATTLE WA ; 1 DAY FOOD + LODGING
7- JEWISH COMMUNITY RELATIONS COUNCIL, N.Y.	ROUND TRIP AIRFARE FOR MY WIFE + ME TO ISRAEL. 8 DAYS FOOD + LODGING
8- YOUNG PRESIDENTS ORGANIZATION - DC CHAPTER	ROUND TRIP AIRFARE - N.Y. TO D.C. NO FOOD OR LODGING FOR MY WIFE + ME

MAY 15 1989

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Clyde C. Holloway
(Full Name)

1207 Longworth House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

1989 MAY 24 AM 10 39

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 8th State Louisiana

☐ Officer or Employee—Employing Office ____________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Savannah Foods & Industries	Honorarium	1000.00	
Holloway's Nursery	Sole Prop.	(Loss)	
Plantscape	Sole Prop.	14889.00	
Charles Dunbar	Honorarium	500.00	
Brian Wagger	Honorarium	1000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
(See Attached Schedule)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _____ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
(See Attached Schedule)	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
(NONE)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
(See Attached Schedule)	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
(NONE)	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Georgio of Beverly Hills	Perfume	175.00

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
(NONE)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	Forest Hill Speedway, Inc. Forest Hill, LA

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	(NONE)	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Clyde C Holloway	5-15-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989 [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Clyde C. Holloway
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III	HOLDINGS	CATEGORY
	Certificate of Deposit - Peoples Bank	C
	Certificate of Deposit - Security Bank	C
	Certificate of Deposit - Bank of Commerce	B
	Stock - Federal Land Bank	B
	Stock - Forest Hill Speedway, Inc. 1000 Shares	D
	Pan American Airlines 400 Shares	B
	199 Acres Used For Nursery	F
	Holloway's Nursery, Plantscape, Inventory, Bldg.& Equip.	F
II	UNEARNED INCOME	
	Savings Accounts:	
	Sabine State Bank & Trust	A
	Congressional Federal Credit Union	A
	Peoples Bank-Savings-Cathy	A
	Pelican Homestead	A
	Pan American Credit Union	A
	Certificates of Deposit:	
	Peoples Bank & Trust	C
	Peoples Bank & Trust	A
	Security 1st National Bank	C
	Bank of Commerce	B
V	Peoples Bank & Trust	D
	Federal Land Bank	D
	Bank of Lecompte	D
	Security 1st National Bank	C
	First Bank of Pineville	E
	Congressional Federal Credit Union	C
	Hibernia National Bank	E
	Kurts	B

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

JUN 8 - 1989

Clyde C. Holloway
(Full Name)

1207 Longworth House Office Building
(Mailing Address)

Washington, D.C. 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 8th State Louisiana

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Savannah Foods & Industries	Honorarium	1000.00	
Holloway's Nursery	Sole Prop.	(Loss)	
Plantscape	Sole Prop.	14889.00	
Charles Dunbar	Honorarium	500.00	
Brian Wagner	Honorarium	1000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
(See Attached Schedule)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO X______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
(See Attached Schedule)	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
(NONE)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
(See Attached Schedule)	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
(NONE)	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Georgio of Beverly Hills	Perfume	175.00

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a **SPEAKING ENGAGEMENT** or **FACT-FINDING EVENT** related to official duties, whether these expenses were **REIMBURSED** to the individual or **PAID DIRECTLY** by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
(NONE)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	Forest Hill Speedway, Inc. Forest Hill, LA

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	(NONE)	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Clyde C Holloway	5-15-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Clyde C. Holloway
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III	HOLDINGS	CATEGORY
	Certificate of Deposit - Peoples Bank	C
	Certificate of Deposit - Security Bank	C
	Certificate of Deposit - Bank of Commerce	B
	Stock - Federal Land Bank	B
	Stock - Forest Hill Speedway, Inc. 1000 Shares	D
	Pan American Airlines 400 Shares	B
	199 Acres Used For Nursery	F
	Holloway's Nursery, Plantscape, Inventory, Bldg.& Equip.	F
II	UNEARNED INCOME	
	Savings Accounts:	
	Sabine State Bank & Trust	A
	Congressional Federal Credit Union	A
	Peoples Bank-Savings-Cathy	A
	Pelican Homestead	A
	Pan American Credit Union	A
	Certificates of Deposit:	
	Peoples Bank & Trust	C
	Peoples Bank & Trust	A
	Security 1st National Bank	C
	Bank of Commerce	B
V	Peoples Bank & Trust - MORTGAGE - C/D Collateral	D
	Federal Land Bank - MORTGAGE	D
	Bank of Lecompte - MORTGAGE	D
	Security 1st National Bank - OPEN & C/D Collateral	C
	First Bank of Pineville - MORTGAGE	E
	Congressional Federal Credit Union - OPEN	C
	Hibernia National Bank - MORTGAGE	E
	Kurts - Personal Loan - OPEN	B

HAND DELIVERED

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

[illegible]Y 15 [illegible] 12 31

FORM A—For use by Members, officers, and employees

Larry Jones Hopkins
(Full Name)

2437 Rayburn House Office Building
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6th State Kentucky

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,086, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XXX NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Carolyn Hopkins, Color Consultant (Lexington, KY) (Spouse)	Fee		
McDonnell Douglas 1/11/88	Honorarium	$1,000	
Rockwell International 1/11/88	Honorarium	2,000	
Northrop Corporation 1/12/88	Honorarium	2,000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Kemper Cash Equivalent Fund	Dividend	A
Congressional Federal Credit Union	Interest	B
Kentucky Utilities (Custodian for son)	Dividend	A
Kemper Cash Equivalent Fund	Dividend	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _XX_ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
2 small lots in Graves County, Kentucky	A
Kemper Cash Equivalent Fund	B
First Security Corporation (Kentucky) (Custodian for son)	A
Jerrico Incorporated (Custodian for son)	A
Kentucky Utilities Company (Custodian for son)	A
Wiser Oil Company Delaware (Custodian for son)	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Kemper Cash Equivalent Fund	2/10/88	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
The Tobacco Institute (Speech, expenses for self)	Round trip airfare, Lexington, KY to Palm Springs, CA; food/lodging
ConAgra (Speech, expenses for self)	Airplane from Lexington, KY to Omaha, Nebraska
McDonnell Douglas Corp. (Speech, expenses for self)	Airfare, Lexington, KY to St. Louis, Missouri

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board of Visitors	United States Naval Academy

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Larry J Hopkins	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Larry Jones Hopkins
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II-A	The Marquardt Company 1/12/88 Honorarium $2,000
II-A	Lockheed Aeronautical Systems Co. 1/13/88 Honorarium 1,000
II-A	The Tobacco Institute 1/14/88 Honorarium 1,000
II-A	Kentucky Beef Cattle Association 2/6/88 Honorarium 100
II-A	Distilled Spirits Council of the United States, Inc. 3/8/88 Honorarium 1,000
II-A	McDonnell Douglas 3/25/88 Honorarium 1,500
II-A	ConAgra 4/5/88 Honorarium 2,000
II-A	Philip Morris 4/14/88 Honorarium 1,000
II-A	Dairy Products Association of KY 4/25/88 Honorarium 1,000
II-A	Harris Corporation 4/26/88 Honorarium 1,000
II-A	Loral Corporation 5/6/88 Honorarium 1,000
II-A	Talley Defense Systems 5/6/88 Honorarium 1,000
II-A	McDonnell Douglas Helicopter Co. 5/6/88 Honorarium 2,000
II-A	Agri-Business Council of Arizona, Inc. 5/9/88 Honorarium 1,000
II-A	RJR/Nabisco 8/15/88 Honorarium 2,000
II-A	Kentucky CATV Association 9/18/88 Honorarium 1,000
II-A	Ashland Oil 11/5/88 Honorarium 2,000
III	Kemper Cash Equivalent Fund (Custodian for son) A
VII	Northrop (Speech, Expenses for self)--lodging
VII	Ashland Oil (Speech, Expenses for self and wife) -- food/lodging

HAND DELIVERED

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[illegible] MAY 25 [illegible]

LARRY J. HOPKINS
6TH DISTRICT, KENTUCKY

COMMITTEES:
AGRICULTURE
ARMED SERVICES

2437 Rayburn House Office Building
Washington, DC 20515
(202) 225-4706

Vine Center
Suite 207
333 West Vine Street
Lexington, KY 40507
(606) 233-2848

Congress of the United States
House of Representatives

May 24, 1989

✓
MC

The Clerk, U. S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D. C. 20515

Dear Sir:

I am writing to amend my Financial Disclosure Statement for 1988, Part VII, Reimbursements, to read:

SOURCE	DESCRIPTION
Northrop Corporation (Speech, expenses for self)	Two nights lodging
The Tobacco Institute (Speech, expenses for self)	Round trip airfare, Lexington, KY. to Palm Springs, CA; one day's food/lodging
Ashland Oil (Speech, expenses for self and wife)	Two days food/lodging.

With best wishes, I am

Sincerely yours,

Larry J. Hopkins

LARRY J. HOPKINS
Member of Congress

LJH:jc

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

FRANK HORTON
(Full Name)

2108 Rayburn Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

MAY 12 [illegible] 11:15

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 29th State NY

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT–2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA XX

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Aires Technology, Inc. 1/27/88	Hon.	$2000	None
Computer & Bus. Equip. Manu. Assoc. 2/28/88	"	$1000	"
AT&T Public Affairs, 3/10/88	"	$2000	"
AIM-DEC meeting, 3/22/88	"	$1000	"
(continued on attachment)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
NONE		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(f)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA XX

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
NONE	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE in excess of $10,000	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
The Congressman Frank Horton Testimonial	A cruise vacation for Cong. and
(a gathering of constituents and friends) under	Mrs. Horton including plane dep.
the auspices of the Rochester Inst. of Technology	from Wash. area to connect to
celebrating 25 years of public service on 12/11/87.	ship dep. from Florida and return.
	All expenses paid. Start of vacation
	February 1988.

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Oneida Ltd., Oneida Mansion, Oneida, NY	1/3-5/88 lodging
Nat'l Leag. of Postmstrs' Conv., (St. Louis, MO)	8/7-8/88 r/t air, meals, lodging
Amn Postal Workers Union Nat'l Conv. (Chicago, IL)	7/30-8/1/88 r/t air, meals, lodging
Nat'l Assoc. of Ltr. Carriers Conv. (Portland, OR)	8/8-9/88 r/t air, meals, lodging
(continued on attachment)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Frank Horton	May 12, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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Continuation for Part II/Income:

Wine Institute (Bd/Dirs) 5/24/88	Hon.	$1,000	None
Amn. Postal Workers Union, AFL-CIO 5/24/88	"	$1,500	"
The Assoc. of Amn Vintners and Wine Inst. (Exec. Mtg.) 6/3/88	"	$1,000	"
Rochester Institute of Technology. 6/13/88	"	$1,500	"
Nat'l Assoc. of Postal Supervisors 6/17/88	"	$1,000	"
Amn. Postal Workers Union Nat'l Conv. 7/13-8/1/88	"	$2,000	"
Hercules Luncheon 6/14/88	"	$2,000	"
National Assoc. of Ltr. Carriers, 8/7-8/88	"	$1,500	"
National Leag. of Postmasters Conv. 8/9-11/88	"	$1,000	"
Amn. Sugar Cane Leag. 8/18/88	"	$1,000	"
Nat'l Fed'n of Fed'l Emp. 9/14-15/88	"	$1,000	"
Computer & Comm. Ind. 10/13/88	"	$1,000	"
Nat'l Assoc. of Postal Supervisors, 8/18/88	"	$2,000	"
Nat'l Assoc. of Postamsters of the US Nat'l Conv. 10/19/88	"	$2,000	"
ADAPSO, 12/7/88	"	$1,000	"

Continuation for Part VII: Reimbursements:

Foreign Policy Institute of Turkey 4/2-11/88 all expenses paid trip to Turkey with stops in Ankara, Izmir and Istanbul. This includes r/t air, land transportation, meals and lodging for Member and spouse. Fact-finding.

Nat'l Fed'n of Fed'l Employees, St. Louis, MO 9/14-15/88 r/t air, meals, lodging

Nat'l Assoc. of Postal Supervisors, San Antonio, TX 8/18/88 r/t air

Nat'l Assoc. of Postmasters of the US, Va. Beach, VA 10/10/88 r/t air

UNITED STATES HOUSE OF REPRESENTATIVES

H 9 1 7 1 2 9 0 3 3 3

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

FRANK HORTON
(Full Name)

2108 Rayburn Building
(Mailing Address)

Washington, D.C. 20515

MC ✓
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 29th State NY

☐ Officer or Employee—Employing Office

☒ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO ____ NA XX

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Aires Technology, Inc. 1/27/88	Hon.	$2000	None
Computer & Bus. Equip. Manu. Assoc. 2/28/88	"	$1000	"
AT&T Public Affairs, 3/10/88	"	$2000	"
AIM-DEC meeting, 3/22/88	"	$1000	"
(continued on attachment)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing; if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
NONE		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA XX

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
NONE	

8 9 0 7 1 2 7 0 3 4 0

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE in excess of $10,000	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
The Congressman Frank Horton Testimonial	A cruise vacation for Cong. and
(a gathering of constituents and friends) under	Mrs. Horton including plane dep.
the auspices of the Rochester Inst. of Technology	from Wash. area to connect to
celebrating 25 years of public service on 12/11/87.	ship dep. from Florida and return.
	All expenses paid. Start of vacation
	February 1988.

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether these expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Oneida Ltd., Oneida Mansion, Oneida, NY	1/3-5/88 lodging
Nat'l Leag. of Postmstrs' Conv., (St. Louis, MO)	8/7-8/88 r/t air, meals, lodging
Amn Postal Workers Union Nat'l Conv. (Chicago, IL)	7/30-8/1/88 r/t air, meals, lodging
Nat'l Assoc. of Ltr. Carriers Conv. (Portland, OR)	8/8-9/88 r/t air, meals, lodging
(continued on attachment)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Frank Horton	May 17, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

Continuation for Part II/Income:

Wine Institute (Bd/Dirs) 5/24/88	Hon.	$1,000	None
Amn. Postal Workers Union, AFL-CIO 5/24/88	"	$1,500	"
The Assoc. of Amn Vintners and Wine Inst. (Exec. Mtg.) 6/3/88	"	$1,000	"
Rochester Institute of Technology, 6/13/88	"	$1,500	"
Nat'l Assoc. of Postal Supervisors 6/17/88	"	$1,000	"
Amn. Postal Workers Union Nat'l Conv. 7/13-8/1/88	"	$2,000	"
Hercules Luncheon 6/14/88	"	$2,000	"
National Assoc. of Ltr. Carriers, 8/7-8/88	"	$1,500	"
National Leag. of Postmasters Conv. 8/9-11/88	"	$1,000	"
Amn. Sugar Cane Leag. 8/18/88	"	$1,000	"
Nat'l Fed'n of Fed'l Emp. 9/14-15/88	"	$1,000	"
Computer & Comm. Ind. 10/13/88	"	$1,000	"
Nat'l Assoc. of Postal Supervisors, 8/18/88	"	$2,000	"
Nat'l Assoc. of Postamsters of the US Nat'l Conv. 10/19/88	"	$2,000	"
ADAPSO, 12/7/88	"	$1,000	"

Continuation for Part VII: Reimbursements:

Foreign Policy Institute of Turkey 4/2-11/88 all expenses paid trip to Turkey with stops in Ankara, Izmir and Istanbul. This includes r/t air, land transportation, meals and lodging for Member and spouse. Fact-finding.

Nat'l Fed'n of Fed'l Employees, St. Louis, MO 9/14-15/88 r/t air, meals, lodging

Nat'l Assoc. of Postal Supervisors, San Antonio, TX 8/18/88 r/t air

Nat'l Assoc. of Postmasters of the US, Va. Beach, VA 10/10/88 r/t air

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

89 MAY 15 PM 4:19

U.S. HOUSE OF REPRESENTATIVES

Amory Houghton, Jr.
(Full Name)

80 East Market Street, Suite 304
(Mailing Address)

Corning, New York 14830

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 34th State New York

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,028, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA __X__

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE. AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
- See continuation sheet attached			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
- See continuation sheet attached		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(f)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
- See continuation sheet attached	

99077233087

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
- See continuation sheet attached		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
- See continuation sheet attached	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description *of gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
- See continuation sheet attached	

B. The source, a brief description, and value *of all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
- See continuation sheet attached		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether these expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
- See continuation sheet attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	- See continuation sheet attached

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	- See continuation sheet attached	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 9

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Amory Houghton Jr.
(Name)

Continuation Sheet

Part — Source, Type, Amount, Category, Description or Value (As Applicable)

II.A. Earned Income

	Amount
Corning Glass Works:	
For prior services rendered:	
Investment plan withdrawal	$ 5,000
Savings plan withdrawal	3,000
Pension	83,000
Supplemental pension	564,000
Deferred compensation	118,500
Income (in-kind): Value of transportion benefits provided by former employer - see Part IX - agreements	1,500
Income (in-kind): Value for secretarial services provided by former employer for whom consulting services are provided without monetary compensation	16,000*

Honoraria:

Date	Source	Amount
2/22/88	N.Y. Life - Ripon Society (assigned to charity)	$ 2,000
3/3/88	J.P. Stevens/Ward Burns (Textile) (assigned to charity)	2,000
3/3/88	Corning Glass Works	500*
3/4/88	Seimens A.G.	1,000*
6/26/88	Rushford Central School (assigned to charity)	50
7/15/88	CIBA-Geigy	500*
8/26/88	Chicago Mercantile Exchange	500*
8/29/88	Board of Trade - City of Chicago	500*
10/7/88	The Brookings Institute	400*

Trustee Commissions (commissions represent personal services provided to protect family resources):

Trust	Amount
(1) Trust dated 1/3/49 by G. R. Montgomery	$ 20,000
(1) Trust dated 8/15/49 by G. R. Montgomery	1,750
(8) Trusts dated 12/10/34 by A. B. Houghton	45,600
(2) Trusts dated 4/11/60 by H. C. Hollister	2,250
(4) Trusts dated 4/18/46 by G. B. Hollister	1,750
(1) Trust dated 4/6/57 by M. H. Anderson	1,750
(2) Trusts dated 6/24/63 by E. A. Ray	1,700
(1) Trust dated 4/21/65 by E. A. Ray	1,250
(1) Trust dated 1/22/64 by E. A. Ray	1,100
(1) Trust dated 1/20/7 by A. S. Lassalle	1,200
(1) Trust dated 11/21/78 by G. H. Lassalle	1,400
(3) Trusts dated 12/22/61 by A. A. Houghton, Jr.	9,900
(5) Trusts dated 1/5/52 by G. B. Hollister	2,600

*Amounts subject to the 30% earned income limitation ($19,400 in total)

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Amory Houghton Jr.
(Name)

Continuation Sheet

Part *Source, Type, Amount, Category, Description or Value (As Applicable)*

II.B. Unearned Income

	Category
Trust Income:	
Trust dated 9/16/41 by A. B. Houghton	G
Trust dated 12/10/34 by E. Houghton (1/15 share)	E
Trust dated 12/10/34 by A. B. Houghton	G
Dividends:	
Citicorp, N.A. - common stock	B
Corning Glass Works - common stock	E
IBM Corporation - common stock	D
Proctor & Gamble - common stock	A
Interest:	
Chase Lincoln First Bank, N.A., Corning, NY	D
Citibank, N.A., New York, NY	D
Corning Glass Works Credit Union, Corning, NY	A
Key Bank, Corning, NY	A
U.S. Government	D
Partnership Income:*	
Desert Gas Drilling	A
Acorn III	A
Acorn IV	A
Corning Associates I	D
Corning Associates II	A
Corning Associates VC-1 (Intersouth)	B
Corning Associates VC-1 (Business Development)	A
Associates Partners	A
Corning Associates Equity Fund	A
Rental Income:	
76-78 E. Market Street	E

	Category
Capital Gains:	
Partnership Gains -	
Corning Associates VC-1 (Business Development)	A
Desert Gas Drilling	A
Other Gains -	
Corning Glass Works - common stock	C
From shares held in Corning Glass Works Equity Purchase Plan repurchased by CGW at book value	D

*See Part III for disclosure of ownership percentage information.

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Amory Houghton Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	Category
III.	**Holdings**	**Category**
	Debt Securities:	
	Augusta National Inc. - building certificate - Series B	A
	U.S. Series E & EE bonds	B
	Equity Securities:	
	Citicorp, N.A. - common stock	D
	Corning Glass Works - common stock	F
	Genentech Corporation - common stock	E
	IBM Corporation - common stock	E
	Kittensett Club - voting trust certificate	A
	Proctor & Gamble - common stock	C
	Market Street Trust Company - common stock	E
	Other:	
	Cash - interest bearing deposits (Citibank, N.A., Chase Lincoln First Bank, N.A., National Westminister Bank, Key Bank, and Sergeant at Arms)	F
	Account receivable from Market Street Trust Company (formerly Corning Associates)	B
	76-78 E. Market Street (investment property)	F

	Share*	Category**
Partnerships:		
Desert Gas Drilling, natural gas wells in Fredonia, NY	5.00%	A
Corning Associates Equity Fund, investments in U.S. Treasury bills and notes	0.26%	C***
Acorn III, managed by 1666 N. Firman, Inc., development of real estate for office building rental in Richardson, TX	10.00/9.80%	C
Acorn IV, managed by Smith-Allen development of real estate for office building rental in Austin, TX	20.00/19.60%	E
Corning Associates - I, managed by Aicre Realty, rental of shopping center, Cape May Court House, NJ	33.33/9.90%	A
Corning Associates II, managed by Aicre Realty, rental of office building in Albany, NY	50.00/5.50%	E

*Represents ownership % in both investment partnership/ownership in ultimate partnership, respectively.

**Valuation method - original investment, plus/less any additional capital contributed/withdrawn since inception.

***Valuation method - market value of securities held as of December 31, 1988.

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Amory Houghton Jr.
(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value (As Applicable)

III. Holdings

	Share*	Category**
Partnerships:		
Corning Associates VC-1, investment in venture capital partnerships:	19.61/-	
Business Development Capital Ltd. III, managed by the Foster Management Co. NY, NY; investments are in Heritage Health Systems Inc., Chartwell Group Inc., Inspeech Inc., Medac Inc., Orthopedic Services Inc. Heritage Holdings Co. and Waste Disposal Equipment Acquisition Corporation	-/0.16%	C
Intersouth Partners, managed by Intersouth Associates Chapel-Hill, NC, invested in Resonnex Inc., Digital Recorders Inc., Biotherm Inc., Trancept Systems Inc., Imsatt Corp., Integrated Silicon Systems Inc., Illumelex Corp., Sphinx Biotechnologies Inc., Couer Laboratories, Cardiovascular Diagnostics, Inc. and Granutech Corp.	-/0.78%	C
Associates Partners: general partner in Corning Associates Fixed Income Fund (CAFIF), investments include: demand securities of the District of Columbia, State of Illinois, State of New York Tax and Revenue Anticipation notes, Pennsylvania Higher Educational Authority, Student Loan Fund of the Idaho Marketing Association, Wisconsin Health Facilities Authority and the First Interstate Bank of Denver; U.S. Treasury Notes; Municipal bonds of the State of Connecticut, Howard County - Maryland, Lewisville Independent School District-Texas, State of Maryland, Commonwealth of Massachusetts, State of Oregon, Washington Suburban Sanitary District - Maryland, Harris County - Texas, State of Mississippi, New York State Medical Care Facilities Finance Authority, City of San Antonio - Texas, City of Springfield Illinois, L.A. Unified School District - California, District of Columbia, L.A. County Sanitation District - California, Board of Public Works of State of Maryland, State of Illinois, New York State Dormitory Authority, New York State Housing Finance Agency, Sun Valley Public Improvement Corp. - Arizona, and Union County Oregon Hospital Facilities Authority. CAFIF holdings disposed of during the year ended December 31, 1988 - demand securities of the City of Berwyn - Illinois, California Health Facilities, Kaweah Delta Hospital - California, Montgomery County Economic Development Revenue American Red Cross Facility - Maryland, South Carolina Public Service Authority and Industrial Development Authority of the County of Sacramento - California; Municipal obligations of the Baltimore County Public Schools - Maryland, Florida State University, New York State Dormitory Authority, and the Pennsylvania Housing Finance Agency.	0.27/0.029%	B***

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Amory Houghton Jr.
(Name)

Continuation Sheet

Part *Source, Type, Amount, Category, Description or Value (As Applicable)*

III. Holdings

	Share*	Category**
Amory Houghton Jr. Tr. U/W ABH - (income interest only) holdings consist of:		
Partnerships (see holdings listed above) -		
Associates Partners	31.49/3.36%	F***
Corning Associates Equity Fund	2.59%	E***
Municipal Bonds -		
Westchester County, NY (matured - 1988)		C
Monroe County, NY (matured - 1988)		C
Onondaga County, NY		C
U.S. Treasury Obligations -		
U.S. Treasury notes		E
Other -		
Corning Glass Works - common stock		F
Ivy Institutional Investors - mutual fund		E
Demand note receivable from Amory Houghton, Jr.		E
Principal cash - interest bearing (Citibank, N.A.)		F
Income cash - interest bearing (Citibank, N.A.)		D
Amory Houghton Jr. Tr. 12/10/34 - (income and limited principal interest) holdings consist of:		
Partnerships (see holdings listed above) -		
Corning Associates Fixed Income Fund	3.41%	F
Corning Associates Equity Fund	2.27%	E
Municipal Bonds -		
Onondaga County, NY		C
U.S. Treasury Obligations -		
U.S. Treasury Notes		E
Other -		
Corning Glass Works - common stock		F
Ivy Institutional Investors - mutual fund		D
Account receivable from Market Street Trust Company		A
Principal cash - interest bearing (Citibank, N.A.)		C
Principal cash - money deposit account interest bearing (Citibank, N.A.)		F
Income cash - interest bearing (Citibank, N.A.)		D

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ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Amory Houghton Jr.
(Name)

Continuation Sheet

Part

Source, Type, Amount, Category, Description or Value (As Applicable)

III. Holdings

		Category
Elisabeth Houghton Trust 12/10/34 - (1/15th income interest only) holdings consist of:		
Partnerships (see holdings listed above) -		
Corning Associates Fixed Income Fund	0.54%	E
Corning Associates Equity Fund	0.46%	C
Municipal Bonds -		
Onondaga County, NY (matured in 1988)		A
Westchester County, NY		B
Monroe County, NY (matured in 1988)		A
Orange County, NY (matured in 1988)		A
Seattle, WA (matured in 1988)		A
Scarsdale, NY (matured in 1988)		A
Maryland		A
Ulster County, NY		A
Suffolk County, NY		A
Livingston County, NY		A
Rockland County, NY		A
Georgia		A
U.S. Treasury Obligations -		
U.S. Treasury notes		C
Other-		
Corning Glass Works - common stock		F
Ivy Institutional Investors - mutual fund		B
Principal cash - interest bearing (Citibank, N.A.)		C
Income cash - interest bearing (Citibank, N.A.)		B

*See explanation on pg. 3 of 9
**See explanation on pg. 3 of 9
***See explanation on pg. 3 of 9

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Amory Houghton Jr.
Name

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
IV.	Transactions*	
Date	Sales -	
3/28/88	Shares held in Corning Glass Works Equity Purchase Plan repurchased by CGW at book value	C
12/20/88	Corning Glass Works - common stock	F
12/31/88	Desert Gas Drilling - partnership interest	A
	Purchases -	
1/29/88	Corning Glass Works - common stock	F
4/1/88	Corning Associates Equity Fund	B

*Associates Partners/Corning Associates Fixed Income Fund/Corning Associates Equity Fund - No reportable transactions given that the marginal personal investment in the respective investment partnerships (CAFIF/CAEF) remains less than 1/3 of 1% and the Congressman's direct interest in various purchases or sales was below the $1,000 reporting threshold. See Part III - Holdings, for complete listing of investments held by respective partnerships.

V.	Liabilities	Category
	Amory Houghton, Jr. Trust dated 12/10/34 by A.B. Houghton - demand note	E
	Chase Lincoln First Bank, Corning, NY - line of credit	F
	Chase Lincoln First Bank, Corning, NY - mortgage on 76-78 E. Market Street	E

VI. Gifts -

Source	Brief Description
New York Telephone, Board of Directors	Clock; presented to all former board members in recognition of performance of past services. (approximate fair value $450)

VII. Reimbursements

Source	Brief Description
Congressional Institute, Inc. (seminar participation)	Roundtrip air transportation, DC to Houston, 3 days food/lodging (March 1988)
J.P. Stevens/Ward Burns	Roundtrip air transportation, DC to New York City and food (March 1988)
Corning Glass Works	Roundtrip air transportation, DC to Augusta, GA, 1 day food/lodging (March 1988)

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Amory Houghton Jr.
(Name)

Continuation Sheet

Part | Source, Type, Amount, Category, Description or Value (As Applicable)

VIII. **Positions**

Non-Profit Affiliations:
- The Meadows Charitable Trust - Trustee
- The Houghton Foundation - President
- The Washington Cathedral Chapter - Inactive Trustee
- Corning Chamber of Commerce - Member
- Corning Museum of Glass - Vice President and Trustee
- Corning Glass Works Foundation - Trustee

Congressman Houghton serves as trustee for the following in addition to those trusts listed previously (reference Part II.A.)

(1) Trust dated 6/17/40 by A. B. Houghton
(1) Trust under will of E. Houghton
(1) Trust dated 2/3/76 by E. H. Oedell
(1) Trust dated 6/7/76 by P. H. Mowbray
(1) Trust dated 4/19/77 by H. M. Carter
(1) Trust (not dated) by M. T. Mowbray
(1) Trust dated 3/14/68 by E. H. Cole
(5) Trusts dated 12/16/76 by E. H. Cole
(3) Trusts dated 5/13/69 by A. A. Houghton, Jr.
(7) Trusts dated 7/3/69 by A. A. Houghton, Jr.
(1) Trust dated 12/22/71 by A. A. Houghton, Jr.
(1) Trust dated 1/4/72 by A. A. Houghton, Jr.
(1) Trust dated 12/28/67 by J. R. Houghton
(19) Trusts dated 2/21/81 under will of Amory Houghton
(3) Trusts dated 7/1/82 by G. R. Montgomery
(9) Trusts dated 9/16/41 by A. B. Houghton

Executor:

Estate of Amory Houghton
Estate of E. H. Cole

Congressman Houghton is also a limited partner in in each of the partnerships previously listed in Part III with the exception of Associates Partners where he serves as a general partner.

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Amory Houghton Jr.
(Name)

Continuation Sheet

Part *Source, Type, Amount, Category, Description or Value (As Applicable)*

XI. Agreements

Date	Parties to	Terms of Agreement
2/87	Retired employee of Corning Glass Works, Inc. and beneficiary of certain Company retirement pension and health plans.	Benefits received in accordance normal plan provisions (lump-sum and/or monthly).
2/87	Corning Glass Works (former employer)	Transporation benefits - any retired employee (or immediate family member) is permitted to travel on the Company plane subject to space availability and without charge. For income tax purposes only, income is imputed to the retiree based upon the prevailing commercial airline value of the trip and reported by the Company on federal Form-1099 MISC.

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AMO HOUGHTON
34th District, New York

Congress of the United States
House of Representatives

HAND DELIVERED

May 18, 1989

1989 MAY 18 PM 4:50
U.S. HOUSE OF REPRESENTATIVES

Hon. Julian C. Dixon
Chairman
Committee on Standards of Official Conduct
Suite HT-2, U.S. Capitol
Washington, D.C. 20515

MC

Dear Mr. Chairman:

I wish to amend my Financial Disclosure Statement by checking the Trust Holdings Box as NO under part III, Holdings.

I regret this oversight and thank you for bringing it to my attention.

Sincerely,

Amo Houghton

Amo Houghton

AH/ns

WASHINGTON OFFICE
1217 Longworth House Office Building
Washington, DC 20515
Phone: (202) 225-3161

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

89 MAY 15 PM 2 27

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

STENY HAMILTON HOYER
(Full Name)

1513 LONGWORTH HOUSE OFFICE BUILDING
(Mailing Address)

WASHINGTON, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5th State MD

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989; if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Law Office - Steny H. Hoyer	profit	23,183.00	
Judith P. Hoyer - Prince George's County Board of Education			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
89 Orange Street, Nantucket, MA	rental	D
John Hanson Savings Bank	interest	A
Massachusetts Cash Management Trust	dividend	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
89 Orange Street, Nantucket, MA - rental property	F
Personal Property - Law Firm	C
Massachusetts Cash Management Trust - Savings	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Nantucket Savings Bank (mortgage on rental property)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

A 9 0 7 0 2 3 2 0 5 7

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
National Homebuilders Association(speech)	roundtrip airfare; Wash.,DC to Dallas, TX; 2 days food/lodging
American Psychiatric Assoc.(speech)	roundtrip airfare; Wash., DC to Montreal, Canada; 1 night lodging/ food

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Owner	Steny H. Hoyer, Attorney

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Carroll Hubbard, Jr.
(Full Name)

2267 Rayburn House Office Building
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

MAY 11 PM 2:10

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1st State Kentucky

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DATE ~~DISPOSITION~~
Chicago Mercantile Exchange	HONORARIUM	$ 500.00	1/08/88
Chicago Board of Trade	"	500.00	1/08/88
Chicago Research and Trading Group, Ltd.	"	2,000.00	1/08/88
Manufactured Housing Institute	"	1,000.00	1/13/88
The Tobacco Institute	"	2,000.00	1/12/88

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Wright Patman Congressional Federal Credit Union	Interest (wife)	A
House and lot, 1512 Bellemeade Drive, Mayfield, KY	Rental Income	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
56-acre farm in north Graves County	D
45-acre farm in north Graves County	C
House and lot, 1512 Bellemeade Drive, Mayfield, KY	E

89171274195

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Purchase 56-acre farm in north Graves Co., Mayfield, KY	8/5/88	D
Purchase house and lot, 1512 Bellemeade Drive, Mayfield, KY	1/5/88	E

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Hart County Bank and Trust Co., Munfordville, KY	C
Commercial Bank, West Liberty, KY	D
Owensboro National Bank, Owensboro, KY	C
Bank of Benton, Benton, KY	B
First National Bank of Mayfield, Mayfield, KY	C
Exchange Bank of Mayfield, Mayfield, KY	D
Liberty Savings Bank of Mayfield, Mayfield, KY	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Chicago Research and Trading Group, Ltd.	One way airfare DC-Chicago-Louisville & one night lodging
The Tobacco Institute	One way airfare (& wife) Louisville-Palm Springs & four nights lodging
National Association of Homebuilders	One way airfare (& wife) Palm Springs-Dallas and three nights lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Founder's Board	Transylvania University, Lexington, KY

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Carroll Hubbard, Jr.	Date May 11, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Carroll Hubbard, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	DATE	TYPE: HONORARIA
II A	National Assn. of Homebuilders	1/19/88	$2,000.00
	Kentucky Home Economics Association	4/09/88	50.00
	Hogan Users Group, Inc.	4/18/88	500.00
	Consumer Credit Insurance Association	5/16/88	2,000.00
	Immanuel Baptist Church	7/03/88	200.00
	State Convention of Baptists	7/15/88	300.00
	Barnett Banks, Inc.	7/26/88	2,000.00
	Home Federal Savings and Loan Association	8/27/88	1,000.00
	Great Western Financial Corporation	8/16/88	2,000.00
	First Federal Savings Bank of California	8/16/88	500.00
	County Savings Bank	8/17/88	750.00
	Aydin Corporation	8/21/88	2,000.00
	Larry McDonald Memorial Trust	8/24/88	1,000.00
	Kentucky Bankers Association	9/22/88	1,000.00
	Credithrift Financial Corporation	10/03/88	1,500.00
	Kentucky Credit Union League, Inc.	10/29/88	1,000.00
	Morgan Guaranty Trust Company	10/31/88	1,000.00
	Chicago Board of Trade	11/18/88	500.00
	Waste Management, Inc.	11/21/88	2,000.00
	American Bankers Association	11/22/88	1,000.00
	Conference of State Bank Supervisors	12/14/88	2,000.00
		TOTAL:	$30,300.00

Total given to charity out of aggregate honoraria

(1) Memorial Baptist Church, Murray, KY, student aid fund	500.00
(2) Georgetown College, Georgetown, KY, student aid fund	1,000.00
(3) The Southern Baptist Theological Seminary, Louisville, KY, Dr. Carroll Hubbard Sr. Memorial Student Aid Fund	1,450.00
(4) First Baptist Church, Mayfield, KY	500.00
TOTAL:	$3,450.00

Wife Carol - Computer Sciences Corporation -- Salary
- KY Council on Higher Education -- per diem for meetings attended
- Carroll Hubbard for Congress Committee -- Salary

GPO: 1987 73-045 (m)

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Carroll Hubbard, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
VII	Hogan Users Group, Inc.	Round trip airfare DC-Toronto-DC
	Consumer Credit Insurance Assn.	Round trip airfare Nashville-Maui-Nashville & 1 night lodging
	Aydin Corporation	Round trip train ticket (& wife) DC-Philadelphia-DC & 2 nights lodging
	State Convention of Baptists	Mileage DC-Columbus, OH & 1 night lodging
	Barnett Banks of Florida	Round trip airfare (& wife) DC-Ft Myers-DC & three nights lodging & rental car
	Great Western Financial Corp.	Round trip airfare (& wife) BWI-Los Angeles-BWI & three nights lodging
	Home Federal Savings and Loan	One night lodging (& wife), San Diego
	Aydin Corporation	Round trip train ticket (& wife) DC-Philadelphia-DC
	Larry McDonald Memorial Trust	Round trip airfare (& wife) Dulles-Frankfurt-Nashville & 3 nights lodging
	Kentucky Bankers Association	Round trip airfare DC-Evansville-Louisville-DC and rental car
	Credithrift Financial Corp.	One way air transportation (& wife) KY Dam Village-DC and 2 nights lodging
	Kentucky Credit Union League	One night lodging (& wife), Ft. Mitchell
	Chicago Board of Trade	One night lodging, Chicago
	Waste Management, Inc.	Round trip airfare DC-Chicago-DC
	Conference of State Bank Supervisors	One night lodging, Louisville

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Carroll Hubbard, Jr.
(Full Name)

2267 Rayburn House Office Building
(Mailing Address)

Washington, DC 20515

✓
MC
(Office Use Only)

13 2:58

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1st State Kentucky

☐ Officer or Employee—Employing Office ______

☒ Check if amended Statement. Amendment to Part VII only (to add the word Food where applicable)

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

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ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA ✓

For more information, see detailed Instruction Booklet at page 7.

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

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The Tobacco Institute	One way airfare (& wife) Louisville-Palm Springs & four nights food & lodging
National Association of Homebuilders	One way airfare (& wife) Palm Springs-Dallas and three nights food & lodging

VIII. POSITIONS

GENERAL GUIDELINES:

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EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION

IX. AGREEMENTS

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Carroll Hubbard, Jr. | May 18, 1989

(amendment to Part VII)

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Carroll Hubbard, Jr.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
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	Consumer Credit Insurance Assn.	Round trip airfare Nashville-Maui-Nashville & 1 night food & lodging
	Aydin Corporation	Round trip train ticket (& wife) DC-Philadelphia-DC & 2 nights food & lodging
	State Convention of Baptists	Mileage DC-Columbus, OH & 1 night food/lodg.
	Barnett Banks of Florida	Round trip airfare (& wife) DC-Ft. Myers-DC & 3 nights food/lodging and rental car
	Great Western Financial Corp.	Round trip airfare (& wife) BWI-Los Angeles BWI & 3 nights food and lodging
	Home Federal Savings and Loan	One night food & lodging (& wife), San Diego
	Aydin Corporation	Round trip train ticket (& wife) DC-Philadelphia-DC
	Larry McDonald Memorial Trust	Round trip airfare (& wife) Dulles-Frankfurt-Nashville & 3 nights food & lodging
	Kentucky Bankers Association	Round trip airfare DC-Evansville-Louisville DC and rental car
	Credithrift Financial Corp.	One way air transportation (& wife) KY Dam Village-DC and 2 nights food & lodging
	Kentucky Credit Union League	One night food & lodging (& wife) Ft. Mitchell
	Chicago Board of Trade	One night food and lodging, Chicago
	Waste Management, Inc.	Round trip airfare DC-Chicago-DC
	Conference of State Bank Supervisors	One night food & lodging, Louisville

GPO: [illegible]

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Thomas Jerry Huckaby
(Full Name)

2182 Rayburn Building
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

MAY 15 PM 1:25

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5th State Louisiana

☐ Officer or Employee—Employing Office __________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 7.

A 9 3 7 7 2 3 2 3 5 9

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Shannon & Luchs Real Estate (Spouse)	Commissions		
1/04 Hawaiian Sugar Planters Assn.	Honorarium	$1,500	None
1/11 American Farm Bureau Federation	Honorarium	$1,000	None
2/10 Chemical Producers & Distributors Assn .	Honorarium	$ 500	None
2/16 Natl. Council of Farmer Cooperatives	Honorarium	$1,000	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Hallmark Farms, Inc. (Family Farm Corp.)		
Rent of farm house	Rent	B
Advance Development Corp.	Interest &	
	Capital Gains	D
Sanders Farm, Inc. - Agriculture Lease	Lease	F
A.S.C.S. - Share of Agriculture Lease	Lease	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Hallmark Farms, Inc, - 920 acres farm land, Ringgold, LA	F
Note from Advance Development Corp. for purchase of 77 acres	B
Limited Partnership - Bienville Apartments II, Ringgold, LA	B
Stock - First City Savings & Loan, Baton Rouge, LA	A
IRA account - Fidelity Freedom	C
Townhouse - Hallcrest, McLean, VA	E

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Purchased Townhouse, King's Manor, McLean, VA	5/20/88	E
Purchased Townhouse, McLean Province, McLean, VA	5/25/88	F

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Hallmark Farms, Inc.	
The Evans Trust - Mortgage	F
The First National Bank - Arcadia, LA	C
American Bank, Monroe, LA	C
Duval Federal Savings & Loan, Jacksonville, FL - Hallcrest Townhouse	E
Providence Savings & Loan, Alexandria, VA - King's Manor Townhouse	E
Providence Savings & Loan, Alexandria, VA - McLean Province Townhouse	F

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1986, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1986.

SOURCE	BRIEF DESCRIPTION
Hawaiian Sugar Planters Assn.	Round trip airfare from Washington, DC to Honolulu; 3 nights lodging, & meals for myself and spouse.
American Farm Bureau Federation	Round trip airfare from Washington, DC to New Orleans; 1 night lodging & meals

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	Hallmark Farms, Inc.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature: Thomas Jerry Huckaby

Date: 5-15-87

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Thomas Jerry Huckaby
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)				
II-A (Earned Income)	3/02	Edison Electric Institute	Honorarium	$ 250	None
	3/24	Chicago Board of Trade	Honorarium	$1,000	None
	4/08	The Julien Company, Memphis	Honorarium	$1,000	None
	4/13	The Tobacco Institute	Honorarium	$1,000	None
	4/21	New York Futures Exchange, Inc.	Honorarium	$1,000	None
	4/21	New York Cotton Exchange	Honorarium	$1,000	None
	4/21	COMEX	Honorarium	$1,000	None
	4/21	Coffee, Sugar and Cocoa Exchange	Honorarium	$1,000	None
	4/21	New York Mercantile Exchange	Honorarium	$1,000	None
	4/25	National Soft Drink Assn.	Honorarium	$2,000	None
	5/03	Nuclear Power Assembly	Honorarium	$1,000	None
	7/25	U.S. Sweetener Producers	Honorarium	$2,000	None
	9/09	Western Cotton Shippers Assn.	Honorarium	$1,000	None
	10/18	Shell Oil Company	Honorarium	$2,000	None
	10/29	Connell Rice & Sugar Co., Inc.	Honorarium	$2,000	None
II-B (Unearned Income)		Townhouse, Hallcrest, McLean, VA	Rent	E (Gross)	A (Net)
		Townhouse, King's Manor, McLean, VA	Rent	E (Gross)	A (Net)
		Townhouse, McLean Province, McLean, VA	Rent	E (Gross)	A (Net)
		Dominion Federal Savings & Loan	Interest	A	
III (Holdings)		Townhouse, King's Manor, McLean, VA		E	
		Townhouse, McLean Province, McLean, VA		F	
		Woodardvilla Trust (Spouse & Children)		F	
VII (Reimbursements)		Chicago Board of Trade/Futures Industries Assn.	Round trip airfare from Washington, D.C. to Ft. Lauderdale; 2 nights lodging & meals for myself & spouse		
		The Julien Company, Memphis, TN	Round trip airfare from Washington, D.C. to Memphis; 1 night lodging and meals		
		New York Futures Exchange, Inc.	Round trip airfare from Washington, D.C. to New York City; 1 night lodging and meals		
		Electronic Industries Assn.	Round trip airfare from Washington, D.C. to Ft Myers, FL; 3 nights lodging & meals for myself & spouse		
		Shell Oil Company	Round trip airfare from Washington, D.C. to New Orleans; 1 night lodging and meals		
		Connell Rice & Sugar Co., Inc.	Round trip airfare from Washington, D.C. to New York City; 1 night lodging & meals for myself & spouse		
		Qantas Airways Ltd.; Australian Meat & Livestock Corp.; Queensland Sugar Board on behalf of Australian Sugar Industry; New Zealand Dairy Board; New Zealand Meat Producers Board; New Zealand Wool Board; New Zealand Apple and Pear Marketing Board	Round trip airfare from Washington, D.C. to Australia/New Zealand; 12 nights lodging and meals for myself and spouse		

HAND DELIVERED

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JERRY HUCKABY
5th District, Louisiana

COMMITTEE ON AGRICULTURE
CHAIRMAN—SUBCOMMITTEE ON
COTTON, RICE AND SUGAR

COMMITTEE ON THE BUDGET

Congreſs of the United States
House of Representatives
Washington, DC 20515

Washington Office
2182 Rayburn House Office Building
Washington, DC 20515
(202) 225-2376

District Offices
Washington Square
211 North 3d Street
Monroe, LA 71201
(318) 387-2244

Old Courthouse Building
P.O. Box 34
Natchitoches, LA 71458
(318) 352-9000

May 18, 1989

The Honorable Donnald K. Anderson
Clerk
U. S. House of Representatives
Washington, D. C. 20515

✓
MC

Attention: Office of Records and Registration

Dear Donn:

I have just received a letter from the Committee on Standards of Official Conduct advising that I inadvertently overlooked checking the appropriate space in the box regarding income from excepted trust holdings on page 2 of my Financial Disclosure form. The question in that box is:

> Do you, your spouse or dependent child receive income from or have a beneficial interest in a trust or other financial arrangement whose holdings were not reported because the trust is a "qualified blind trust" or other excepted trust?

The "No" space should have been checked in response to this question.

I regret the oversight and will appreciate your having my Financial Disclosure form corrected accordingly.

Warmest personal regards.

Sincerely,

Jerry Huckaby

Jerry Huckaby

JH/jt

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

MAY 15 PM 4:21

FORM A—For use by Members, officers, and employees

William J. Hughes
(Full Name)

341 Cannon House Office Building
(Mailing Address)

Washington, DC 20515

Mc (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2nd State NJ

☐ Officer or Employee—Employing Office ________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

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In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE William J. Hughes	TYPE	AMOUNT	DISPOSITION
Mary G. Hand Trust	Tr. Comm.	$ 957.50	
F.B. Loveland Trust	Tr. Comm.	1013.09	
American Land Title Association	Hon.	500.00	NONE
New Jersey Bell	Hon.	2000.00	NONE
National Wholesale Druggists Association	Hon.	2000.00	NONE
Nancy L. Hughes - The WJH Corporation	Off. Salary	2500.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE William J. Hughes & Nancy L. Hughes	TYPE	CATEGORY
Congressional Federal Credit Union	interest	A
Cape Savings Bank	interest	A
The Coastal Bank	interest	A
NY Life Insurance Co.	interest	A
Collective Federal Savings Bank	interest	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY n/o William J. Hughes & Nancy L. Hughes	CATEGORY
Asbury Apartments, 16th & Asbury Ave., Ocean City, NJ	F
Townhouse, Apartment & Garage, 2nd St. SE, Washington, DC	F
WV Condominium, Bolongo Bay, St. Thomas, USVI	F
Business office, Wesley Rd., Ocean City, NJ	C
Dreyfus Tax Exempt Cond Fund	B
Wright Patman Federal Credit Union	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Liquidated CD's, Collective Federal Savings Bank	June/July '88	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Thelma L. Loftin, Washington, DC	C
The WJH Corporation	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Atlantic City LPG Benefit Assoc. (a non-profit corporation)	Gift of tuxedo, tie & cumberbund donated to Assoc. by After Six & presented to me as Honorary Chairman. Worn by me as an escort in fashion show; also tournament blazer worn during tournament. Approx. $380.00 in value.

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
see A. above		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
William J. Hughes	
President/Director	The WJH Corporation
Trustee	Estate of M. L. Hand; Estate of F. B. Loveland
Trustee	Richard Stockton State College Foundation
Nancy L. Hughes	
VP/Dir - Sec/Treas	The WJH Corporation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature William J. Hughes	Date May 11, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

William J. Hughes
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II. A	Estate of Marguerite B. Wilson	Exec. Comm.	$350.
II. B.	Asbury Apartments, 16th & Asbury Ave. Ocean City, NJ	rentals	E
	WV Condominium, St. Thomas, USVI	rentals	D
	Washington, DC apartment	rentals	D
	Homestead Rebate - rebate by State of NJ		
	real estate taxes on home	rebate	A
	Dreyfus Tax Exempt Bond	interest	A
	n/o William J. Hughes		
	stock, S. Jersey Industries	dividend	A
	Atlantic County MUA Bond	interest	A
	n/o Nancy L. Hughes		
	Evesham Twp. MUA Bond	interest	A
III.	Cape Savings Bank (Sav & CD)		C
	The Coastal Bank (CD's)		C
	Land, Roosevelt Blvd., Upper Twp., NJ		E
	n/o William J. Hughes		
	stock, The WJH Corporation		D
	stock, So. Jersey Industries, Inc.		A
	Atlantic Co. MUA Bond		B
	stock, Coastal Bank		A
	n/o Nancy L. Hughes		
	stock, The WJH Corporation		D
	Evesham Twp. MUA Bond		B
	stock, Coastal Bank		A

GPO: 1987 79-046 (m)

HAND DELIVERED 8 9 0 7 2 2 9 0 3 3 4

WILLIAM J. HUGHES
2D DISTRICT, NEW JERSEY

COMMITTEES:
COMMITTEE ON THE JUDICIARY
CHAIRMAN
SUBCOMMITTEE ON CRIME

COMMITTEE ON MERCHANT
MARINE AND FISHERIES

SELECT COMMITTEE ON AGING

SELECT COMMITTEE ON
NARCOTICS ABUSE AND CONTROL

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE:
341 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-6572

DISTRICT OFFICES:
CENTRAL PARK EAST
BUILDING 4, SUITE 5
222 NEW ROAD
LINWOOD, NJ 08221
(609) 927-9063

151 NORTH BROADWAY
P.O. BOX 248
PENNSVILLE, NJ 08070
(609) 678-3333

May 18, 1989

MC

Honorable Julian C. Dixon
Chairman
Committee on Standards of Official Conduct
HT-2 - US Capitol
Washington, DC 20515

Dear Mr. Chairman:

This will acknowledge receipt of your correspondence of May 17, 1989.

By this letter I would like to amend my Financial Disclosure Statement filed on May 15, 1989 by adding the following additional information:

II. INCOME

A.

American Land Title Association	Hon.	2/9/88	$500.	NONE
New Jersey Bell	Hon.	4/18/88	$2000.	NONE
National Wholesale Druggists Assoc.	Hon.	6/17/88	$2000.	NONE

V. LIABILITIES

IDENTITY		CATEGORY
Thelma L. Loftin, Washington, DC	mortgage on DC real property	C
The WJH Corporation	shareholders loan	B

Thanking you for your attention to the above, I remain,

Sincerely,

Bill Hughes

William J. Hughes
Member of Congress

WJH/mm

HAND DELIVERED 8 9 0 7 0 2 3 2 4 9 8

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

DUNCAN LEE HUNTER
(Full Name)

133 Cannon HOB
(Mailing Address)

Washington, DC 20515

MC
(Office Use Only)

MAY 15 3 12

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District ______ State ______

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,036, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
1/7/88 General Dynamics - Honorarium	Gross Income	1,000.00	
1/21/88 Atlantic Dry Dock - Honorarium	" "	2,000.00	
2/4/88 Bell Helicopter - Honorarium	" "	1,000.00	
2/5/88 Rockwell International - Honorarium	" "	2,000.00	
2/18/88 McDonnell Douglas - Honorarium	" "	1,000.00	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Rental of Horse Barn, Chantilly, VA	Gross Income	B
Rental of Coronado House (6 mos prepaid)	" "	D
Wright Patman Federal Credit Union (Interest)	" "	A
USAA Savings Account (Interest)	" "	A
Jurupa Development Corp. (Interest)	" "	B
Condemnation-Portion of Riverside Lot for Roadway	" "	E

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Residence and Horse Barn, Chantilly, VA	F
Residence, Coronado, CA	F
Real Estate, 5/8 acre Lot, Riverside, CA	D

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
29 acres Marshall, VA land Exchanged for Coronado Residence	7/1/88	F
Sale of calves	7/1/88	A
Settlement of 1/4 of Riverside Lot involuntarily taken by Riverside County for Roadway	3/88	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Sovran Mortgage Corporation	F
Elinor Horwitz	C
Chase Home Mortgage Corporation	F
Bank of Coronado	B
Jurupa Development Corporation	F
Wright Patman Federal Credit Union	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Congressional Institute Seminar-Son's Expenses for trip to Houston, TX	Airfare & Lodgings (3 nights) Roundtrip DC to Houston

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
King Ranch, Texas, Congressional Roundtable discussion on Central America w/Son	Meals & Lodgings (3 nights)
Atlantic Dry Dock - Speech	Airfare & Lodgings (2 nights)
Kemp for President - Speech	Airfare & Lodgings
Howell County Republican Committee-Speech	Airfare & Lodgings

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	American Security Council (no salary)
Co-Chairman	National Security Caucus (no salary) (241 Members of House & Senate)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Duncan Hunter	MAY 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

Page ___ of ___

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

DUNCAN LEE HUNTER
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II-A	2/22/88	Lockheed Corporation - Honorarium	Gross Income	1,000.00
	2/24/88	Marquardt Corporation - Honorarium	" "	2,000.00
	3/24/88	Flour Technology - Honorarium	" "	1,000.00
	5/11/88	Toby Roth for Congress - Honorarium	" "	500.00
	6/27/88	American Security Council Fdn - Hon.	" "	1,000.00
	6/27/88	American Security Council - Honorarium	" "	1,000.00
	8/11/88	Center of Educational Integrity - Hon.	" "	2,000.00

Part		
VII	Toby Roth for Congress - Speech	Airfare & Lodgings
	National Christian Fellowship - Speech w/Mrs. Hunter	One-Way Airfare from San Diego to Maui
	NRA Convention Participant w/Mrs. Hunter	Airfare & Lodgings (3 nights)
	Congressional Institute Seminar Participant w/Mrs. Hunter - Houston, TX	DC to Orlando, FL Airfare & Lodgings (3 nights)
	Campaign to Reelect Joel Hefley - Speech	Airfare & Lodgings
	Atlantic Marine, Inc - Speech	Airfare & Lodgings (2 nights)
	Friends of Connie Mack - Speeches	Airfare & Lodgings

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DUNCAN HUNTER
45TH DISTRICT, CALIFORNIA

CHAIRMAN
REPUBLICAN RESEARCH COMMITTEE

COMMITTEE ON ARMED SERVICES
SUBCOMMITTEES
SEAPOWER
RESEARCH AND DEVELOPMENT

REPUBLICAN TASK FORCE
ON AGRICULTURE

The 101st Congress
U.S. House of Representatives
Washington, DC 20515

133 Cannon Building
Washington, DC 20515
(202) 225-5672
Fax: (202) 225-0235

366 South Pierce Street
El Cajon, CA 92020
(619) 579-3001—Inland
(619) 293-5383—Coastal

1101 Airport Road, Suite G
Imperial, CA 92251
(619) 353-5420

825 Imperial Beach Boulevard
Imperial Beach, CA 92032
(619) 423-3011

1989 MAY 24 AM 7: 34
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U.S. HOUSE OF REPRESENTATIVES

MC ✓

May 23, 1989

The Honorable Donnald K. Anderson
Clerk of the House
1036 Longworth House Office Building
Washington, DC 20515

Re: 1988 Financial Disclosure Forms

Dear Mr. Anderson:

I received a letter from the Committee on Standards of Official Conduct requesting additional information on my 1988 disclosure forms. The missing information is as follows:

PART III. HOLDINGS - The Trust Holdings Box was not checked
BOX SHOULD BE MARKED - NO

PART V, LIABILITIES - Type of indebtedness not indicated for Elinor Horwitz, also Explain Mrs. Horwitz.

TYPE OF INDEBTEDNESS IS INTEREST & PRINCIPAL ON LOAN.

MRS. HORWITZ SOLD 29 ACRES IN MARSHALL, VA TO ME, AND IN TURN FINANCED MY PURCHASE OF THIS LAND.

I hope the above answers are sufficient and if you have any other questions, please get back to me.

Many thanks.

Sincerely,

Duncan

Duncan Hunter
Member of Congress

DH/mmp

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Earl D. Hutto
(Full Name)

2435 Rayburn Building
(Mailing Address)

Washington, D. C. 20515

HC (Office Use Only)

MAY 15 12:36

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1 State Florida

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
4/19 Nat'l Marine Mfrs Association	Honorarium	$500	$50 to charity
4/13 Tobacco Institute Seminar	"	1,000	100 to charity
5/9 Nat'l Restaurant Association	"	1,000	100 to charity
9/10 Shipbuilders Council Of America	"	1,000	100 to charity
12/6 The Brookings Institution		400	40 to charity

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Fedstar Savings Bank	Interest	A
The Kroger Company	Dividend	B
House in Highlands, N. C.	Rent	A
House in Ozark, Alabama	Rent	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
The Kroger Company - common stock	A
Rental House - 942 Martin Street, Ozark, Alabama	C
Rental House - Wyanoak Drive, Highlands, N. C.	D
Fidelity Destiny, Puritan, High Income, and Overseas mutual funds	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
First Federal Savings Bank - Panama City, Florida	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
National Restaurant Association	Transportation to Chicago for speech

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	NONE

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Earl D. Hutto	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989 90-707

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Henry J. Hyde
(Full Name)

House of Representatives
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

'89 MAY 15 PM 12:50

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6 State Illinois

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Jeanne M. Hyde (spouse) U.S Gov't.	salary		
Honoraria (see attached)			
Servant Publications- book	Royalty	900.02	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Illinois General Assembly	pension	C
U.S.Navy Retirement	pension	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Civil Service Retirement (self)	D
IRA Accounts (self and spouse)	C (each)
Civil Service Retirement (spouse)	C
Paine Webber Cashfund	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

8 9 7 7 7 2 8 1 2 8 6

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
H J Hyde	5-15-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989 [illegible]

1988 Honraria Report

Paine Webber
55 West Monroe Street
Chicago, Illinois 60603
1/5/88 $2000.

$2000

Paine Webber
55 West Monroe Street
Chicago, Illinois 60603
1/5/88 $1500.

3500

Ukrainian Educational & Cultural Center, Inc.
700 Cedar Road
Abington Township
Philadelphia, PA 19111
215-663-1166
1/6/88 $1000.

4500

Amarillo Pro-Life Coalition
Post Office Box 19477
Armarillo, Texas 79114-1477
806-376-9771
1/15/88 $500. Airfare $881.

5000

Warner Lambert
1667 L Street, N.W.
Washington, D.C. 20006
202-862-3840
1/17/88 $2500.

Donation of $500 to:
Care & Counseling Center
4333 Main Street
Downers Grove, Illinois 60515

7000

Illinois Bell
Bob Dixson
225 West Randolph Street
Chicago, Illinois 60606
312-727-5006
1/20/88 $2000.

9000

South Area Republican Action Committee
of Oakland County
Ms. Jeanne Hacker
724 Henlin Drive
St. Louis, MO 63123
314-631-6414
1/30/88 $1000.

10,000

Kemper Group
Michael F. Dineen
600 Pennsylvania, S.E., #206
Washington, D.C. 20003
202-547-0120
2/4/88 $500.

10,500

Furr's Inc.
Post Office Box 1650
Lubbock, Texas 79408
1/26/88 $2000.

12,500

Kootenai County Central Committee
David Chapman
Post Office Box 458
Coeur D'Alene, Idaho 83814
208-667-1317
2/14/88 $1000. Airfare $831.

13,500

Self Insurance Association
Ms. Agnes Kenton
525 West Monroe Street, Suite 1600
Chicago, Illinois 60606-3693
312-653-6120
2/15/88 $1000.

14,500

Elgin Chamber of Commerce
Elgin Area Chamber of Commerce
Edward Kelly
Post Ofice Box 648
Elgin, Illinois 60121
312-741-5660
2/16/88 $1000.

15,500

American Intellectual Property Law Association
Suite 203, 2001 Jefferson Davis Highway
Arlington, VA 22202
703-521-1680
2/28/88 $250. Article

15,750

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National Association of Independent Insurers
Darrell Cover, Sr.
499 South Capital Street, S.W., #401
Washington, D.C. 20003
2/25/88 $2000.

17,750

Rocky Mountain Pipeline Contractors Association
Ed Kull
6100 Mecca
Odessa, Texas 79762
915-362-0752
2/29/88 $2000.

19,750

Los Angeles Times
Times Mirror Square
Los Angeles, CA 90053
2/19/88 $250. Article

20,000

Lake County Right to Life
Ms. Bonnie Quirke
Post Office Box 586
389 Center Street
Grayslake, Illinois 60030
312-587-2112
3/20/88 $500.

20,500

Los Angeles Times
Times Mirror Square
Los Angeles, CA 90053
4/13/88 $250.

20,750

Brokers Council Fund No. 1
9501 West Devon Avenue, Suite 401
Rosemont, Illinois 60018
3/18/88 $500.

21,250

Polyconomics, Inc.
Ronald DeLaRosa
86 Maple Avenue
Morristown, NJ 07960
4/8/88 $1000. Airfare $667.

22,250

American Bar Association
Fund For Justice & Education
750 North Lake Shore Drive
Chicago, Illinois 60611
4/20/88 $300.

22,550

Illinois State Medical Society
20 North Michigan Avene, #700
Chicago, Illinois 60602
4/26/88 $1500.

24,050

Cardinal Mindszenty Foundation
Post Office Box 11321
St. Louis, MO 63105
4/26/88 $500. Airfare $293.

24,550

Pioneer Valley Region Citizens for Life
Michael J. Ashe
79 West York Street
Springfield, MA 01105
5/19/88 $500. Airfare $681.

25,050

Independent Bakers Association
Robert Pyle
Post Office Box 3731
Washignton, D.C. 20007
202-333-8190
6/15/88 $500.

25,550

Commercial Law League of America
Webster, Chamberlain, & Bean
222 West Adams Street
Chicago, Illinois 60606
312-236-4942
6/15/88 $1000.

26,550

Twin Circle Publishing Company
6404 Wilshire Boulevard, #900
Los Angeles, CA 90048
9/14/88 $50. Article

26,600

The Washington Times
3600 New York Av., NE
Washington, D.C. 20002
8/25/88 $100. Article

Donation of $100. to:
Des Plaines Pro-life
Pregnancy Problem Centers
Post Office Box 7644
Des Plaines, Illinois 60017

Helene Curtis Golf Tournament
Kuai, Hawaii, no honorarium.
Speaking engagement, reimbursed for
transportation, lodging, self and spouse.
2/29/88 - 3/6/88

GROSS HONORARIUM RECEIVED	27,200
TOTAL DONATED TO CHARITY	600
NET HONORARIUM RECEIVED	$26,600
	=======

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MAY 15 1989

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

JAMES M. INHOFE
(Full Name)

2139 East 32nd Street
(Mailing Address)

Tulsa, Oklahoma 74105

MC
(Office Use Only)

1989 MAY 18 AM 8 43
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District First State Oklahoma

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Schedule II-A			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Schedule II-B		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Schedule III	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Paid loan from Ralph Abercrombie	10-19-1988	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Western National Bank; Tulsa; Oklahoma-Secured by	
real estate at South Padre Island, Texas	E
Ralph Abercrombie; Tulsa; Oklahoma-Personal Loan	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
University of Tulsa	Season tickets for football
	and basketball

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

89070290348

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Schedule VII	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	Tulsa Tiger Company; Tulsa; Oklahoma

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Schedule II-A

James M. Inhofe

Tulsa, Oklahoma

Financial Disclosure Statement for 1988

Earned Income

Description	Type	Date	Amount
Bank of Taiwan	Honorarium	05-01-88	$1,000
Thompson & Company	Honorarium	05-18-88	2,000
Independent School Dist. #1	Honorarium	06-15-88	100
Chinese Cultural Services	Honorarium	12-23-88	1,000
Chicago Mercantile Exchange	Honorarium	08-28-88	500
Board of Trade - Chicago	Honorarium	08-28-88	500
Total			$5,100

Schedule II-B

James M. Inhofe

Tulsa, Oklahoma

Financial Disclosure Statement for 1988

Unearned Income

Description	Type	Category
Western National Bank - Tulsa	Interest	B
Congressoinal Fed. Credit Union	Interest	B
Real Estate - S. Padre, Texas	Distributive share of S Corporation	A
Utica Naional Bank - Tulsa	Interest	B
Taildraggers, Inc.	Interest	A
Dominion Bank	Interest	A

H9077290351

Schedule III

James M. Inhofe

Tulsa, Oklahoma

Financial Disclosure Statement for 1988

Holdings

Description	Type	Category
National Royalty Stock	Common Stock	A
Puesta Del Sol, Inc. South Padre Island, Tx S Corporation 75% jointly owned with spouse 25% owned by children Real Estate Development Assets Held - Condominiums	Common Stock	A
Friends of Jim Inhofe Campaign Committee - Tulsa, Oklahoma	Note Receivable	B
Unimproved Beachfront Property South Padre Island, Tx	Real Estate	F
Beach House South Padre Island, Tx Jointly owned with spouse	Real Estate	D
Taildraggers, Inc. Tulsa, Oklahoma S Corporation - 100% Owner Aircraft Services Assets held - Aircraft	Common Stock	A
Tulsa Tiger Tulsa, Oklahoma S Corporation 40% jointly owned with spouse 60% owned by children Aircraft services Assets held - Cash	Common Stock	E
Dominion Bank	Savings Account	A
Utica National Bank	Checking Account	C
Western National Bank and Congressional Fed. Cr. Union	Savings Accounts	C

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Schedule VII

James M. Inhofe

Tulsa, Oklahoma

Financial Disclosure Statement for 1988

Reimbursements

Source	Brief Description
Occidental Petroleum	Round trip travel to Tulsa for one day. American Diabetes Association roast for Senator Don Nickles.

UNITED STATES HOUSE OF REPRESENTATIVES

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Committee on Standards of Official Conduct

MAY 15 1989

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

JAMES M. INHOFE
(Full Name)

2139 East 32nd Street
(Mailing Address)

Tulsa, Oklahoma 74105

(Office Use Only)

1989 MAY 18 AM 8 43

1989 MAY 25 PM 3 35

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District First State Oklahoma

☐ Officer or Employee—Employing Office ____

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

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GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Schedule II-A			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Schedule II-B		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO X______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Schedule III	

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Paid loan from Ralph Abercrombie	10-19-1988	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Western National Bank; Tulsa; Oklahoma-Secured by real estate at South Padre Island, Texas	E
Ralph Abercrombie; Tulsa; Oklahoma-Personal Loan	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
University of Tulsa	Season tickets for football and basketball

B. The source, a brief description, and value of all other *gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Schedule VII	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
President	Tulsa Tiger Company; Tulsa, Oklahoma

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Schedule II-A

James M. Inhofe

Tulsa, Oklahoma

Financial Disclosure Statement for 1988

Earned Income

Description	Type	Date	Amount
Bank of Taiwan	Honorarium	05-01-88	$1,000
Thompson & Company	Honorarium	05-18-88	2,000
Independent School Dist. #1	Honorarium	06-16-88	100
Chinese Cultural Services	Honorarium	12-23-88	1,000
Chicago Mercantile Exchange	Honorarium	08-28-88	500
Board of Trade - Chicago	Honorarium	08-28-88	500
Total			$5,100

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Schedule II-B

James M. Inhofe

Tulsa, Oklahoma

Financial Disclosure Statement for 1988

Unearned Income

Description	Type	Category
Western National Bank - Tulsa	Interest	B
Congressoinal Fed. Credit Union	Interest	B
Real Estate - S. Padre, Texas	Distributive share of S Corporation	A
Utica Naional Bank - Tulsa	Interest	B
Taildraggers, Inc.	Interest	A
Dominion Bank	Interest	A

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Schedule III

James M. Inhofe

Tulsa, Oklahoma

Financial Disclosure Statement for 1988

Holdings

Description	Type	Category
National Royalty Stock	Common Stock	A
Puesta Del Sol, Inc. South Padre Island, Tx S Corporation 75% jointly owned with spouse 25% owned by children Real Estate Development Assets Held - Condominiums	Common Stock	A
Friends of Jim Inhofe Campaign Committee - Tulsa, Oklahoma	Note Receivable	B
Unimproved Beachfront Property South Padre Island, Tx	Real Estate	F
Beach House South Padre Island, Tx Jointly owned with spouse	Real Estate	D
Taildraggers, Inc. Tulsa, Oklahoma S Corporation - 100% Owner Aircraft Services Assets held - Aircraft	Common Stock	A
Tulsa Tiger Tulsa, Oklahoma S Corporation 40% jointly owned with spouse 60% owned by children Aircraft services Assets held - Cash	Common Stock	E
Dominion Bank	Savings Account	A
Utica National Bank	Checking Account	C
Western National Bank and Congressional Fed. Cr. Union	Savings Accounts	C

Schedule VII

James M. Inhofe

Tulsa, Oklahoma

Financial Disclosure Statement for 1988

Reimbursements

Source	Brief Description
Occidental Petroleum	Round trip travel to Tulsa for one day. American Diabetes Association roast for Senator Don Nickles.

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UNITED STATES HOUSE OF REPRESENTATIVES

JUN 5 1989

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

JAMES M. INHOFE
(Full Name)

2139 EAST 32nd
(Mailing Address)

TULSA, OKLAHOMA 74105

✓
MC
(Office Use Only)

RECEIVED
OFFICE OF RECORDS & REGISTRATION
1989 JUN 13 PM 3 54
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District first State Oklahoma

☐ Officer or Employee—Employing Office

☒ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,028, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "print-outs" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

AMENDED

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Schedule II-A			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Schedule II-B		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO X______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Schedule III	

AMENDED

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Paid loan from Ralph Abercrombie	10-19-1988	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Western National Bank; Tulsa, Oklahoma-Secured by real estate at South Padre Island, Texas	E
Ralph Abercrombie; Tulsa, Oklahoma-Personal loan	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
University of Tulsa	Season tickets for football and basketball
*Congressional Charity Tennis Tournament	Round trip bus fare, Washington, D.C.-Sulphur Springs, W.VA - 3 days food & lodging

*NOTE: This gift was inadvertently omitted from the 1988 Financial Disclosure Statement.

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

AMENDED

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Schedule VII	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 12.

POSITION	NAME OF ORGANIZATION
President	Tulsa Tiger Company; Tulsa, Oklahoma

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 12.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

AMENDED

Schedule II-A

James M. Inhofe

Tulsa, Oklahoma

Financial Disclosure Statement for 1988

Earned Income

Description	Type	Date	Amount
Bank of Taiwan	Honorarium	05-01-88	$1,000
Thompson & Company	Honorarium	05-18-88	2,000
Independent School Dist. #1	Honorarium	06-16-88	100
Chinese Cultural Services	Honorarium	12-23-88	1,000
Chicago Mercantile Exchange	Honorarium	08-28-88	500
Board of Trade - Chicago	Honorarium	08-28-88	500
Total			$5,100

Schedule II-B

James M. Inhofe

Tulsa, Oklahoma

Financial Disclosure Statement for 1988

Unearned Income

Description	Type	Category
Western National Bank - Tulsa	Interest	B
Congressoinal Fed. Credit Union	Interest	B
Real Estate - S. Padre, Texas	Distributive share of S Corporation	A
Utica Naional Bank - Tulsa	Interest	B
Taildraggers, Inc.	Interest	A
Dominion Bank	Interest	A

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Schedule III

James M. Inhofe

Tulsa, Oklahoma

Financial Disclosure Statement for 1988

Holdings

Description	Type	Category
National Royalty Stock	Common Stock	A
Puesta Del Sol, Inc. South Padre Island, Tx S Corporation 75% jointly owned with spouse 25% owned by children Real Estate Development Assets Held - Condominiums	Common Stock	A
Friends of Jim Inhofe Campaign Committee - Tulsa, Oklahoma	Note Receivable	B
Unimproved Beachfront Property South Padre Island, Tx	Real Estate	F
Beach House South Padre Island, Tx Jointly owned with spouse	Real Estate	D
Taildraggers, Inc. Tulsa, Oklahoma S Corporation - 100% Owner Aircraft Services Assets held - Aircraft	Common Stock	A
Tulsa Tiger Tulsa, Oklahoma S Corporation 40% jointly owned with spouse 60% owned by children Aircraft services Assets held - Cash	Common Stock	E
Dominion Bank	Savings Account	A
Utica National Bank	Checking Account	C
Western National Bank and Congressional Fed. Cr. Union	Savings Accounts	C

Schedule VII

James M. Inhofe

Tulsa, Oklahoma

Financial Disclosure Statement for 1988

Reimbursements

Source	Brief Description
Occidental Petroleum	Round trip travel to Tulsa for one day. American Diabetes Association roast for Senator Don Nickles.

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

'89 MAY -3 AM 9:40

FORM A—For use by Members, officers, and employees

ANDREW P. IRELAND
(Full Name)

2416 RAYBURN H.O.B.
(Mailing Address)

WASH DC 20515

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District 10 State FLORIDA

☐ Officer or Employee—Employing Office ______________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
National Society of Public Accountants 5/23/89	Honorarium	1000	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Barnett Bank Polk County - Fla	Interest	A
Paine Webber Etc (includes IRA fund)	Interest	C
Fifth Third Bank Great Lakes investment fund #1	Interest	A
U.S. Treas. Notes and Bonds	Interest	E
Aetna Life	Dividend	A
American Home Products	Dividend	A

[Continued P5]

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Barnett Bank Money Mkt Account	A
Paine Webber Cash Fund	B
Janney Montgomery Scott - IRA	C
Walter [illegible] - Note Receivable	B
U.S. Treas Notes and Bonds	E
Aetna Life	B

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION			DATE	CATEGORY
Aetna Life	Common Stock	Sold	12/88	B
[illegible] Insurance	Common Stock	Sold	4/88	C
GTE	Common Stock	Sold	4/88	A
GTE	Common Stock	Sold	12/88	B
[illegible]	Common Stock	Purchased	12/88	C
Mobil Oil	Common Stock	Purchased	12/88	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of gifts of transportation, lodging, food, or entertainment aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all other gifts aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature Andrew P. Ireland	Date May 7, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

ANDREW P. IRELAND
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-B	Bristol Meyers	Dividend	B
	Cincinnati Financial	Dividend	A
	Consolidated Edison	Dividend	B
	Dominion Resources	Dividend	A
	Exxon	Dividend	B
	GTE	Dividend	B
	Philip Morris	Dividend	B
	Southwestern Bell	Dividend	C
	Florida Progress	Dividend	A
	Mobil Oil	Dividend	A
III	American Home Products		C
	Cincinnati Financial		B
	Consolidated Edison		C
	Bristol Meyers		C
	Dominion Resources		B
	Exxon		C
	GTE		A
	Philip Morris		C
	Southwestern Bell		C
	Florida Progress		B
	Mobil Oil		B

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

ANDREW JACOBS, JR.
(Full Name)

1201 W. 64th Street
(Mailing Address)

Indianapolis, Indiana 46260

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 10th State INDIANA

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Spouse Salary: During 1988, wife was employed	Salary		
full time by Video Indiana at its facility in			
Indianapolis.			
I had no earned income except government salary			
for service in Congress.			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
First Indiana Federal Savings Bank - Indianapolis		
(My wife and I both had accounts)	Combined Interest	$ 5,579.96
U.S. Treasury Bill	Interest	1,835.00
Union Federal Savings Bank - Indianapolis	Interest	5,087.83
Indiana National Bank - Indianapolis	Interest	1,403.23
Liquid Green Trust - Indianapolis	Interest	117.95

(Continued on Page 5)

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO _X___ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
U.S. House Sergeant at Arms (Checking Account)	$1,864.17
Indiana National Bank (Savings Account)	6,130.26
(First Indiana Savings Bank - Money Market Certificate Account	
$104,496.13 - Closed Fall 1988)	
(Union Federal Savings Bank - Money Market Certificate Account	
$99,517.07 - Closed Fall 1988)	

(Continued on Page 5)

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Yorktown, Indiana Sewer Bond - Matured 6-1-88		10,000.00
Purchased two $100,000 U.S. Treasury Bills		
(Paid for from savings. Sept. and Oct. 1988 respectively)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Zero Dollars and Cents (Not counting "Annie" the not completely	
house trained Cocker Spaniel)	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Zero Things and Zero Money	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Zero Dollars and Cents		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a **SPEAKING ENGAGEMENT** or **FACT-FINDING EVENT** related to official duties, whether those expenses were **REIMBURSED** to the individual or **PAID DIRECTLY** by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Zero Dollars and Cents	

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VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/11/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

Page 5 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

ANDREW JACOBS, JR.
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
II-B	UNEARNED INCOME - Continued From Page 2.		
	Lawrenceburg, Indiana Bond	Interest	$ 850.00
	Anderson, Indiana Bond	Interest	6,475.00
	Fairmont, Indiana Bond	Interest	157.75
	New Albany, Indiana Bond	Interest	825.00
	Purdue University Bond	Interest	1,375.00
	Yorktown, Indiana Bond	Interest	218.75
III	HOLDINGS - Continued From Page 2.		
	U.S. Treasury Bill		100,000.00
	(Acquired Fall 1988)		
	U.S. Treasury Bill		100,000.00
	(Acquired Fall 1988)		
	Anderson, Indiana Bond 9.25% (2005 A.D.)	Face Value	70,000.00
	Lawrenceburg, Indiana Bond 8.5% (2006 A.D.)	Face Value	10,000.00
	Fairmont, Indiana Sewer Bond 5.25% (1991AD)	Face Value	3,000.00
	New Albany, Indiana		
	Building Authority Bond 4.125% (1989 AD)	Face Value	20,000.00
	Purdue University Bond 5.5% (1989 AD)	Face Value	25,000.00
	Liquid Green Trust (Indianapolis)		315.00
	(Yorktown, Indiana Sewer Bond		
	Face Value $10,000 - Matured 6-1-88)		
	First Indiana Federal Savings Bank		2,152.69
	Wife's Checking Account		
	First Indiana Federal Savings Bank		1,128.50
	Wife's Savings Account		

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Page 6 of 6

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

ANDREW JACOBS, JR.
(Name)

Continuation Sheet

Part OPTIONAL

Source, Type, Amount, Category, Description or Value (As Applicable)

OPTIONAL HOLDINGS NOT REQUIRED TO BE REPORTED:	
Great Dane "Friend" (Housebroken: Non-liquid asset)	Priceless
Cocker Spaniel "Annie" (Not completely housebroken)	Current Liability
A Lot of Kinds of Dog "Lady" (Housebroken: Non-liquid asset)	Priceless
1980 Omega (Red Rascal)	600.00
1978 Yellow Volkswagon Convertible (Donald Bug)	6,500.00
1985 Cadillac (Red Rustle)	9,500.00
1983 Honda (Henry Honda)	4,000.00
Home and Office Furnishings	18,000.00
Coins	285.00
Home (Fun Farm) 1201 W. 64th St. Indianapolis, IN. (No Mortgage) IndianaNational Bank Account plus Salaries & Yorktown Bond redemption paid for $90,000 improvements during 1988)	190,000.00
Condominium - Indianapolis, Indiana (Wife owned before our marriage)($94,000 mortgage)	135,000.00
Wife's 401-K Retirement Account	16,750.97
Wife's IRA Retirement Account	7,044.47
Present Value of my Civil Service Retirement Account	75,077.46

GPO: 1987 [illegible]

MYJ/7230155

CONGRESS
of the
United States of America

Andy Jacobs, Jr.

MC

May 11, 1989

HOLDINGS NOT REQUIRED TO BE REPORTED ARE LISTED NONETHELESS ON ADDENDUM PAGE ATTACHED.

Andrew Jacobs, Jr.
ANDREW JACOBS, JR.

THE ROAD TO PEACE IS PAVED WITH JUSTICE

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

MAY 15 PM 1:43

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Craig T. James
(Full Name)

1408 Longworth House Office Building
(Mailing Address)

Washington, DC 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4 State Florida

☐ Officer or Employee—Employing Office __________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- **Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.**
- **Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,028, as of May 15, 1989.**
- **Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 1" 1989, if he or she continues to be such an employee on May 15, 1989.**

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify **each sheet by showing your name and the section being continued.** For some categories of disclosure, a **filer may attach a computer (or other) printout** listing **assets, such as investments, transactions,** sales, etc. Such **information may be obtained from financial** investment (or other) **organizations. In cases** where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SCHEDULE ATTACHED			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
SCHEDULE ATTACHED		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SCHEDULE ATTACHED	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
SCHEDULE ATTACHED		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
SCHEDULE ATTACHED	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a **SPEAKING ENGAGEMENT** or **FACT-FINDING EVENT** related to official duties, whether those expenses were **REIMBURSED** to the individual or **PAID DIRECTLY** by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	SCHEDULE ATTACHED

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	SCHEDULE ATTACHED	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Craig T. James	5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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CRAIG T. JAMES
FINANCIAL DISCLOSURE STATEMENT
1988

II Income

	Source	Type	Amount	Disposition
A.	James, Zimmerman & Paul	Legal Fees	$ 164,024	N/A
	James, Zimmerman & Paul	Distributive Share Partnership	70,883	N/A

	Source	Type	Category
B.	American Tel. & Tel. (spouse)	Dividends	A
	Ameritech (spouse)	Dividends	A
	Bell Atlantic (spouse)	Dividends	A
	Bell South (spouse)	Dividends	A
	Nynex (spouse)	Dividends	A
	Pacific Telesis Group (spouse)	Dividends	A
	Southwestern Bell (spouse)	Dividends	A
	U. S. West (spouse)	Dividends	A
	Dean Witter Sears Liquid Assets/ Intercapital Fund	Dividends	A
	Florida National Bank	Interest	D
	Florida National Bank	Interest	A
	Florida National Bank - IRA	Interest	A
	Florida National Bank - IRA	Interest	A
	Empire of America - IRA	Interest	B
	Empire of America - IRA	Interest	A
	Municipal Investment Trust #163 Bond, including Tax-Free Accumulation Account	Interest	C
*	Patrick Lane/Marshall Lane (deceased)	Interest	A
*	Jeffrey Smith	Interest	A
*	Garland Sheats #1	Interest	A
*	Garland Sheats #2	Interest	D
	T. J. Lawrence and J. Shuman	Interest	C
	Jamaican Green, Ltd.	Interest	A
	James, Zimmerman & Paul	Interest	C
*	Jeffrey Smith	Capital Gains	D
*	Garland Sheats #1	Capital Gains	D
*	Garland Sheats #2	Capital Gains	F
	T. J. Lawrence and J. Shuman	Capital Gains	D
	Kooyman - Blue Lake	Capital Gains	E
	James, Zimmerman & Paul	Capital Gains	C
	Pierson Fernery	Agricultural Activity	Gross-C; Net-A
	James, Paul, Meyers & Monk	Distributive Share Partnership	A
	Jamaican Green, Ltd.	Distributive & Share Partnership	A

* This note receivable was paid in full during 1988. Since the value of the paid note is now zero, interest income is reported, but a holding is not listed.

CRAIG T. JAMES
FINANCIAL DISCLOSURE STATEMENT
1988

III Holdings

Identity	Category
American Tel. & Tel. Common Stock (spouse)	C
Ameritech Common Stock (spouse)	B
Bell Atlantic Common Stock (spouse)	B
Bell South Common Stock (spouse)	B
Nynex Common Stock (spouse)	B
Pacific Telesis Group Common Stock (spouse)	B
Southwestern Bell Common Stock (spouse)	B
U.S. West Common Stock (spouse)	B
1st Community Bank, Orange City, FL Common Stock	B
Central Florida Federal Land Bank, Orlando, FL Common Stock	A
Dean Witter Sears Liquid Assets/Intercapital Fund-Money Market Account	B
Florida National Bank, DeLand, FL Gold Account - Savings	E
Empire of America, DeLand, FL, IRA Accounts	C
Florida National Bank, DeLand, FL, IRA Accounts	B
Florida National Bank, DeLand, FL, Checking Account	B
Municipal Investment Trust #163 Bond, including Tax Free Accumulation Account	C
T. J. Lawrence and J. Shuman, DeLand, FL, Note Receivable Secured by Mortgage	C
James, Zimmerman & Paul, Attorneys at Law Employees Savings Trust - Retirement Plan Account	C
James, Paul, Meyers & Monk, DeLand, FL 1/4 interest - Commercial Rental Building	C
* Jamaican Green Limited Co. / Belvedere Corp., DeLand, FL, including advances to subsidiary, 15% interest farming investment holding company which owns 2200 acres of farmland in Jamaica	C
* Jamaican Green, Ltd., DeLand, FL 15% interest - Active Farming Partnership which operates the 2200 acre farm referred to above	D
Pierson Fernery, Pierson, FL - Contiguous Parcel I - Fernery, equipment - 25% interest	E
Contiguous Parcel II - Fernery, equipment - 135 unimproved acres - 33% interest	F
Note Receivable, James for Congress	E
Craig T. James, DeLand, FL - Office Furniture at book value	B
** James, Zimmerman & Paul, DeLand, FL - 1/3 interest in partnership consisting of law practice, equipment, and real estate related assets.	E

IV Transactions

Description	Date	Category
50 percent interest in 10 acres, Blue Lake Avenue, DeLand, Florida, sold on August 18, 1988	8-18-88	D
1/3 interest in .85 acres real estate owned by James, Zimmerman & Paul, sold April 4, 1988	4-04-88	D

* Note: These assets were sold 1/3/89

** Note: Sale of interest in law practice was closed 1/5/89

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CRAIG T. JAMES
FINANCIAL DISCLOSURE STATEMENT
1988

V Liabilities

Identity	Category
Central Florida Federal Land Bank, Orlando, Florida Fernery mortgage, Pierson, Florida	C
Gregory S. James - Balance owed for fernery improvements, Pierson, Florida	B

VIII Positions

Position	Name of Organization
General Partner	James, Zimmerman & Paul, DeLand, Florida
General Partner	James, Paul, Meyers & Monk, DeLand, Florida
General Partner	Jamaican Green Ltd., DeLand, Florida
Stockholder & Director	Jamaican Green Ltd. Co., DeLand, Florida
Owner	Pierson Fernery, Pierson, Florida

IX Agreements

Date	Parties to	Terms of Agreement
12-31-88	Craig T. James and Zimmerman & Paul	Provides for collection of fees earned in 1988 that will be received in late years-see attached
12-31-88	Craig T. James and Zimmerman & Paul	Due to termination of law firm interest, no further contributions to the retirement plan known as James, Zimmerman & Paul, Attorneys at Law, Employee Savings Trust are anticipated

Subsequent Liquidation of Interest

In January 1989, the following holdings were liquidated:

(1) James, Zimmerman & Paul - 1/3 interest in law firm equipment, advanced costs and goodwill sold. Terms of sale provided for cash payment at time of sale, with note receivable. (Interest in building and undeveloped real estate not liquidated).

(2) James, Paul, Meyers & Monk - 1/4 interest in commercial rental sold.

(3) Jamaican Green, Ltd. and Jamaican Green Ltd. Co. - 15% interest in farming partnership and farming investment holding company sold.

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

89 MAY 15 AM 11:50

FORM A—For use by Members, officers, and employees

Ed Jenkins
(Full Name)

2427 Rayburn Building
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 9 State Georgia

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO XX NA ____

For more information, see detailed instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
American Leasing Association (1/25/88)	Honorarium	$2000	NONE
National Venture Capital Association (2/9/88)	Honorarium	1000	NONE
National Assoc. of Bond Lawyers (2/11/88)	Honorarium	2000	NONE
Anheuser Busch (2/16/88)	Honorarium	2000	NONE
International Electronics Manufacturers & Consumers of America (2/24/88)	Honorarium	1000	NONE

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Citizens Bank, Ballground, Ga. (1st Citizens Bancorp)	Dividends	B
Jasper Banking Company, Jasper, Ga.	Interest	D
Pickens County Bank, Jasper, Ga.	Interest	A
Citizens Bank, Ballground, Ga. (1st Citizens Bancorp)	Interest	A
Farm, Office Building, & Rental House, Pickens Co.	Rents	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Savings Account, Jasper Banking Company, Jasper, Ga.	D
Savings Account, Pickens County Bank, Jasper, Ga.	B
Stock, Citizens Bank, Ballgound, Ga. (1st Citizens Bancrop)	C
1/3 interest - 120 acres timberland, Jasper, Ga.	C
5 acres Pineywood Subdivision (8 Lots), Jasper, Ga.	C
Lot 20, Cove Mountain Subdivision, Jasper, Ga.	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
American Assoc. of Equipment Lessors	Air fare from Atlanta, Ga., to Naples, Fla., & return to D.C. plus 1 nights' lodging for speech.
Mutual Life Insurance Company (for speech - expenses for self & spouse)	Round trip air fare from Atlanta, Ga. to Myrtle Beach, S.C., plus 2 nights lodging - accompanied by spouse

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Reinhardt College, Waleska, Georgia
Trustee	Young Harris College, Young Harris, Georgia

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Ed Jenkins	5-11-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page 3 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Ed Jenkins
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II A	Massachusetts Mutual Life Insurance Co. (3/7/88)	Honorarium	$2000	NONE
	American Assoc. of Equipment Lessors (3/25/88)	Honorarium	2000	NONE
	Sutherland, Asbill, & Brennan (3/18/88)	Honorarium	1000	NONE
	Mutual Life Insurance Co. (4/13/88)	Honorarium	2000	NONE
	International Council of Shopping Centers (5/10/88)	Honorarium	2000	NONE
	Securities Industry Association (6/28/88)	Honorarium	2000	NONE
	Life of Georgia Trade & Tax Seminar (6/10/88)	Honorarium	2000	NONE
	International Association For Financial Planners (7/28/88)	Honorarium	1000	NONE
	Burlington Industries	Honorarium	1000	NONE
	Fiber, Fabric, & Apparel Coalition For Trade (10/4/88)	Honorarium	2000	NONE
III	15 acres, Foxrun Subdivision, Jasper, Ga.	D		
	82 acres, Pickens Co., Ga., (includes rental house)	E		
	200 acres, Pickens Co., Ga., farm, Talking Rock, Ga.	E		
	Store Building, 201 N.W. Street, Jasper, Ga. (wife)	D		
	1/2 interest - 100 acre farm, Union Co., Ga. (wife)	D		
	Joint ownership of partnership land holdings:			
	(1) Sharpton Ridge, Jasper, Ga. (15%)	B		
	(2) WAF Limited, Gilmer Co., Ga. (20%) (dissolved, 1988)	B		
VII	U.S. Fidelity & Guaranty Company (for speech - expenses for self & wife)	Round trip air fare from Atlanta, Ga., to Palm Springs, Calif., plus lodging. accompanied by spouse		

GPO: [illegible]

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

MAY 15 [illegible] 5 44

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Nancy Lee Johnson, M.C.
(Full Name)

119 Cannon Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6 State CT

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Grove Hill Clinic, New Britain, CT	spouse	salary	
Brookings Institute	honorarium	300.	none
Corning Glass	honorarium	500.	none
Brookings Institute	"	300.	none
CT State Assoc. of Letter Carriers	"	250.	none

(see page 5 continuation sheet)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Rental Property 785-7 Corbin Ave. New Britain, CT	Rent	D
Bank Accounts, United Bank & Trust	interest	B
Stanley Works company	dividend	B
Fidelity Government Securities	interest	B
Investors Capitol Corporation	interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO X NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Stanley Works	
Nuveen Connecticut Tax-Exempt Bonds	
Bank Accounts, United Bank & Trust (interest)	
Rental Property 785-7 Corbin Avenue, New Britain, CT	
CT General Realty Investors	
Integrated Resources, real estate limited partnership	

(see page 5 continuation sheet)

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Lexington Gold Fund transferred to		
Lexington Tax-Free Money Market Fund	12/7/88	A
Financial Program Gold Fund transferred to		
Financial Program Money Market Fund	12/7/88	A
USAA Mutual Money Market Fund purchase	12/7/88	A

(see page 5 continuation sheet)

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage on principal residence	B
Mortgage on rental property	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Aspen Institute	Travel, Lodging & Food for conference on U.S.-Soviet relations in Jamaica, Jan 12-15
Northeast-Midwest Institute/ Dow Chemical	Travel, Lodging & Food for visit to state-of-art Waste Reduction facility in New Orleans, Jan29-30
Dartmouth Institute	Travel, Lodging & Food for forum on U.S.-Soviet Relations in Soviet Union, Feb 15-21

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Nancy L. Johnson	5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Nancy L. Johnson, M.C.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III.	HOLDINGS (cont.)	
	Investors Capitol Corporation, real estate ltd. partnership-C	
	Fidelity Overseas Fund	A
	Fidelity Over-the-Counter Fund	A
	Fidelity Real Estate Portfolio (IRA)	A
	Fidelity Tax Exempt Money Market Funds	A
	Fidelity Govt. Securities Fund Ltd.	C
	Fidelity Magellan Fund	B
	Fidelity Puritan Fund (IRA)	B
	Fidelity Equity Income Fund (IRA)	B
	Fidelity Overseas Fund (IRA)	A
	Fidelity Convertible Securities	A
	Mutual Shares Corp.	A
	Shadow Fund (Jones & Babson)	A
	Loomis-Sayles Fund	A
	Boston Capital Appreciation Fund	A
	Evergreen Fund (a.k.a. Total Return Fund)	A
	USAA Cornerstone Fund	A
	Ivy Fund	A
	Fidelity International Growth & Income Fund	B
	ICC-Georgia Investors, real estate ltd. prtnrshp	C
	ICC-Marbin Investors, real estate ltd. prtnrshp	B
	Lexington Tax-Free Money Market Fund	A
	Financial Program Money Market Fund	A
	USAA Mutual Money Market Fund	A
	Fidelity Connecticut Tax-Free Bond Fund	C
	First City Bank (1000 shares)	B

Part				
IV.	TRANSACTIONS (cont.)			
	Fidelity Connecticut Tax-Free Bond Fund			
		purchase	2/8/88	B
		purchase	9/6/88	B
		purchase	11/17/88	B
	Cheshire Management Corp., real estate limited partnership			
		bankrupt	8/5/88	D
	First City Bank (500 shares)	purchase		A

Part			
II.	INCOME	~~(honoraria)~~	
	HALO (Health & Life Organization)	500.	none
	Assoc. of Builders & Contractors	2000.	none
	Brookings Institute	400.	none
	Washington Campus	500.	none
	CT State Assoc. of Letter Carriers	500.	none
	Columbia Institute	1000.	none
	Blue Cross/Blue Shield	1000.	none
	Central CT State University	1000.	donated to CCSU foundation

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

OFFICE OF RECORDS & REGISTRATION
1989 JUN 13 PM 5:01
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

NANCY JOHNSON, M.C.
(Full Name)

119 CANNON BUILDING
(Mailing Address)

WASHINGTON, D.C. 20515

MC ✓
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 6 State CT

☐ Officer or Employee—Employing Office ____________________

☒ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of *January 1, 1988, for a period* in excess of 60 days in calendar year 1988 shall file a *Financial Disclosure Statement* on or before May 15, 1989, *if he or she continues* to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,858, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Grove Hill Clinic, New Britain, CT	spouse	salary	
1/26 Brookings Institute	honorarium	300.	none
5/18 Corning Glass	honorarium	500.	none
5/25 Brookings Institute	"	300.	none
6/8 CT State Assoc. of Letter Carriers	"	250.	none

(see page 5 continuation sheet)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Rental Property 785-7 Corbin Ave. New Britain, CT	Rent	D
Bank Accounts, United Bank & Trust	interest	B
Stanley Works company	dividend	B
Fidelity Government Securities	interest	B
Investors Capitol Corporation	interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Stanley Works	D
Nuveen Connecticut Tax-Exempt Bonds	C
Bank Accounts, United Bank & Trust (interest)	B
Rental Property 785-7 Corbin Avenue, New Britain, CT	D
CT General Realty Investors	B
Integrated Resources, real estate limited partnership	C

(see page 5 continuation sheet)

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Lexington Gold Fund transferred to Lexington Tax-Free Money Market Fund	12/7/88	A
Financial Program Gold Fund transferred to Financial Program Money Market Fund	12/7/88	A
USAA Mutual Money Market Fund transferred from USAA Gold Fund	12/7/88	A

(see page 5 continuation sheet)

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage on principal residence - American Savings Bank	B
Mortgage on rental property - United Bank & Trust (both of New Britain, CT)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Lexington Gold Fund transferred to Lexington Tax-Free Money Market Fund	12/7/88	A
Financial Program Gold Fund transferred to Financial Program Money Market Fund	12/7/88	A
USAA Mutual Money Market Fund transferred from USAA Gold Fund	12/7/88	A

(see page 5 continuation sheet)

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Mortgage on principal residence - American Savings Bank	B
Mortgage on rental property - United Bank & Trust (both of New Britain, CT)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a **SPEAKING ENGAGEMENT** or **FACT-FINDING EVENT** related to official duties, whether those expenses were **REIMBURSED** to the individual or **PAID DIRECTLY** by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Aspen Institute	Travel, Lodging & Food for conference on U.S.-Soviet relations in Jamaica, Jan 12-15
Northeast-Midwest Institute/ Dow Chemical	Travel, Lodging & Food for visit to state-of-art Waste Reduction facility in New Orleans, Jan29-30
Dartmouth Institute	Travel, Lodging & Food for forum on U.S.-Soviet Relations in Soviet Union, Feb 15-21

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature Nancy L. Johnson	Date June 13, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Nancy L. Johnson, M.C.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III.	HOLDINGS (cont.)	
	Investors Capitol Corporation, real estate ltd. partnership-C	
	Fidelity Overseas Fund	A
	Fidelity Over-the-Counter Fund	A
	Fidelity Real Estate Portfolio (IRA)	A
	Fidelity Tax Exempt Money Market Funds	A
	Fidelity Govt. Securities Fund Ltd.	C
	Fidelity Magellan Fund	B
	Fidelity Puritan Fund (IRA)	B
	Fidelity Equity Income Fund (IRA)	B
	Fidelity Overseas Fund (IRA)	A
	Fidelity Convertible Securities	A
	Mutual Shares Corp.	A
	Shadow Fund (Jones & Babson)	A
	Loomis-Sayles Fund	A
	Boston Capital Appreciation Fund	A
	Evergreen Fund (a.k.a. Total Return Fund)	A
	USAA Cornerstone Fund	A
	Ivy Fund	A
	Fidelity International Growth & Income Fund	B
	ICC-Georgia Investors, real estate ltd. prtnrshp	C
	ICC-Harbin Investors, real estate ltd. prtnrshp	B
	Lexington Tax-Free Money Market Fund	A
	Financial Program Money Market Fund	A
	USAA Mutual Money Market Fund	A
	Fidelity Connecticut Tax-Free Bond Fund	C
	First City Bank (1000 shares)	B

Part				
IV.	TRANSACTIONS (cont.)			
	Fidelity Connecticut Tax-Free Bond Fund			
		purchase	2/8/88	B
		purchase	9/6/88	B
		purchase	11/17/88	B
	Cheshire Management Corp., real estate limited partnership			
		bankrupt	8/5/88	D
	First City Bank (500 shares)	purchase		A

Part			
II.	INCOME	~~(honoraria)~~	
6/15	HALO (Health & Life Organization)	500.	none
6/5	Assoc. of Builders & Contractors	2000.	none
7/12	Brookings Institute	400.	none
9/14	Washington Campus	500.	none
10/2	CT State Assoc. of Letter Carriers	500.	none
11/18	Columbia Institute	1000.	none
10/25	Blue Cross/Blue Shield	1000.	none
12/20	Central CT State University	1000.	donated to CCSU foundation

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

[illegible] MAY 12 PM 4:41

FORM A—For use by Members, officers, and employees

Timothy Peter Johnson
(Full Name)

513 CANNON HOB.
(Mailing Address)

WAShington D.C. 20515

HC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District AL State SD

☐ Officer or Employee—Employing Office __________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT–2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES _____ [✓ NO] _____ NA _____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Spouse - Fairfax Co (VA) Pub Schools	SAL	—	None
" - UNIV SO DAKOTA	SAL	-	"
" CHILDRENS DEF. FUND	SAL	-	"
" MT MARTY College (SD)	HON	-	"

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Sale Law Practice Assets	Asset Sale	A
UNIV SO DAK. CREDIT UNION	INT	A
FED STAR BANK (Alex. VA)	INT	A
HOME TRUST S&L (Vermillion, SD)	INT	A
1st American Bank (VA)	INT	A
(RENTS - See Sec. III)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Rental property - 716 E. National St., Vermillion, SD. 57069	B
South Dakota Residence - 102 N. Plum St., Vermillion, SD (generated rental income)	D

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Home Trust S&L VERMILLION SD.	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Sole Proprietor	Timothy P. Johnson, Atty at Law
Spouse:	
Faculty Member	Univ. So. Dakota Vermillion SD.
Employee	Children's Defense Fund, Wash. DC.
Employee	Fairfax Co. (VA.) Public Schools

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

	Date
Timothy P. Johnson	4.24.89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

TIMOTHY PETER JOHNSON
(Full Name)

513 CANNON HOB
(Mailing Address)

WASHINGTON D.C. 20515

✓ MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District AL State S. Dak.

☐ Officer or Employee—Employing Office

☒ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SPOUSE - Fairfax Co. VA. Public Schools	SAL.	[redacted]	NONE
" - UNIV. SOUTH DAKOTA	"	[redacted]	"
" - CHILDRENS DEFENSE FUND	"	[redacted]	"
" - MT. MARTY (S.DAK.) College	HON.	[redacted]	"

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
SALE LAW PRACTICE ASSETS	ASSET SALE	A
UNIV SO DAKOTA CREDIT UNION	INT.	A
FED STAR BANK (ALEX. VA.)	INT.	A
HOME TRUST SAVINGS & LOAN (S.D.)	INT.	A
1st AMERICAN BANK (VA.)	INT.	A
(RENTS – [redacted]) 716 E NATIONAL VERMILLION SD	RENT	C gross / Net loss
102 N. PLUM, VERMILLION, SD	"	A D gross / Net loss

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
RENTAL PROPERTY - 716 E NATIONAL ST. VERMILLION, S.DAK. 57069	B
SOUTH DAKOTA RESIDENCE - 102 N PLUM ST. VERMILLION, S.DAK. (GENERATED RENTAL INCOME)	D

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE-		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Home Trust S&L	C
Vermillion, SD	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all other *gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Sole Proprietor	Timothy P. Johnson, ATTY AT LAW
SPOUSE –	
FACULTY MEMBER	UNIV. SO. DAKOTA, VERMILLION, SD.
EMPLOYEE	CHILDRENS DEFENSE FUND, WASH. DC.
EMPLOYEE	FAIRFAX CO. (VA.) Public SCHOOLS

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Timothy P Johnson	MAY 17 '89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

MAY 12 AM 10 53

FORM A—For use by Members, officers, and employees

HARRY A. JOHNSTON
(Full Name)

1501 Corporate Drive, Suite 250
(Mailing Address)

Boynton Beac , FL 33426

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 14 State Florida

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $61,296, as of *January* 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __x__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Jones, Foster, Johnston & Stubbs, P.A.	Salary	$261,691	NONE

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
(See attached Schedule IIB)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. **IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
(See attached Schedule III)	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any **PURCHASE, SALE, OR EXCHANGE** during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. **INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Cityfed Financial Corp.	2/24/88	Bought B
" " " "	8/8/88	Bought B
Imreg Inc CL A	4/18/88	Bought B
(See continuation Schedule IV)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor **AT ANY TIME** during 1988, whether secured or not, and regardless of the repayment terms or interest rates, **MUST** be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the **PERSONAL RESIDENCE** of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the **PRODUCTION OF INCOME**; any loan secured by a **PERSONAL MOTOR VEHICLE**, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Deposit Guaranty Mortgage Co. (Mortgage-242 Lake Ella Dr. Tallahassee)	C
Edward E. McGarity	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Jones, Foster, Johnston & Stubbs, P.A.	Automobile expense- Work related travel

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Chairman	Childrens Services Council of Palm Beach County
Shareholder	Jones, Foster, Johnston & Stubbs, P.A.
Pres. & Shareholder	Johnston, Sasser, Randolph & Weaver, P.A. (dissolved in 1988)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
12/88	Jones, Foster, Johnston & Stubbs and Harry Johnston	H.A. Johnston to continue to receive salary of percentage authorized by House ethics rules and be covered by Travelers Health Insurance policy.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/8/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Calendar Year 1988
Schedule IIB - UNEARNED INCOME

SOURCE	TYPE	CATEGORY
Ameritech	Div.	A
AT & T	"	A
Bell Atlantic	"	A
Bear Stearns	Int.	A
Bell South	Div.	A
Exxon Corp.	"	B
First Union Corp.	"	C
FPL Group, Inc.	"	B
General Electric Co.	"	A
General Motors Corp.	"	A
Greyhound Corp.	"	A
McKesson Corp.	"	A
Transamerica Income Shares	"	A
NYNEX	"	A
Pacific Telesis Group	"	A
Mellon Securities	"	A
Rinker Materials	"	D
RMC Holdings	"	C
Southwestern Bell Corp.	"	A
U.S. West	"	A
RJR Nabisco	"	B
Credit Union of Palm Beach County	Int.	A
Fidelity Federal Savings Bank	"	B
Credit Union of Palm Beach County	Div.	A
First Union Nat. Bank	Int.	B
Note: A.H. Rezzonico	"	A
Mortgage: A.H. Rezzonico	"	C
Shearson, Lehman, Hutton	Div.	D
" " "	Capital Gain	A
Silver Screen Partners II, L.P.	Capital Gain	B
Apt. 202, 1700 Embassy Dr. West Palm Beach, FL	Rental	B
242 Lake Ella Drive Apt. 3, Tallahassee, FL	"	A
BONDS		
City of Daytona Beach	Int.	A
City of Jacksonville Utility Bond	"	A
Duval County Housing	"	A
Hillsborough County School	"	A
Jacksonville Elec. Authority	"	A
Jacksonville Expressway	"	A
Orlando Utility Commiss.	"	A
State Board of Education of Florida	"	A
City of Jacksonville - Airport	"	A

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ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Page 1 of 2
Calendar Year 1988
Schedule III - HOLDINGS

IDENTITY	CATEGORY
America First Tax Ex. Mortgage Fund	C
AT & T	B
American Info. Tech	A
Bell Atlantic	A
Bell South	A
Browning Ferris Ind., Inc.	C
Carlyle Real Estate Limited Partnership XIV	B
City Federal Financial Corp.	C
Conquest Exploration Co.	A
Dallas Semiconductor	A
Exxon	C
First Australian Fund	B
First Union Corporation	E
Flagler National Bank	B
Florida National Bank	C
Florida Power and Light	C
Forest Labs, Inc.	B
General Electric	B
General Motors	B
Genetech, Inc.	B
Greyhound	B
Heritage Entertainment	A
Hewlett Packard Co.	B
Hutton Advantage Properties II	A
Hutton/Apache Partnership	A
Hutton/Energy Assets 2nd Oil & Gas	A
Imreg Inc.	A
International Tech. Corp.	A
Knight-Ridder	B
McKesson Corp.	C
NYNEX	A
Pacific Telesis	A
J.C. Penney	B
Ramapo Financial Corp.	B
Real Equity Partnership	B
RJR Nabisco	D
Shearson Mutual Funds	D
Silver Screen Partners II - LP	B
Silver Screen Partners III	B
Southeast Bank	C
Southeastern Public Service Co.	A
Southwest Bell Corp.	A
Trans Am Income	A
TW Service	B
UAL Corp.	A
U.S. Air Group	B
U.S. West, Inc.	A
Wheelabrator Tech	B
Whitman Corp.	B
Duval County Housing Bond	B
Jacksonville Expressway Bond	A
City of Daytona Beach Bond	A
City of Jacksonville Utility Bond	A
City of Jacksonville - Airport Bond	A
Hillsborough County School Bond	A
Orlando Utility Commiss. Bond	A
Jacksonville Elec. Authority Bond	A
The State Board of Education of Florida Bond	A
First Union National Bank Account	E
IRA- Shearson L. H.	B
Hutton So. Timber - LP	A
Jones, Foster, Johnston, Stubbs-Pension Plan	D

Calendar Year 1988
Schedule III - HOLDINGS
Page 2 of 2

IDENTITY	CATEGORY
Property	
1700 Embassy Drive, West Palm Beach, FL (Townhouse)	D
242 Lake Ella Drive, Apt. 3, Tallahassee, FL (Townhouse)	C
5.3 acres Loxahatchee River Rd., Jupiter, FL (Improved with Citrus grove), Gov. Lot 4, 26-40-42	F
Taliaferro County, Georgia, vacant land, 1000 acres, 1/3 ownership	E
Vacant lots, ½ ownership: Lot 5, Block 1, Greenview Shores I of Wellington and Sect. 30, Twp. 42S, RIE (AG-52) of Royal Palm Beach, Florida	C
13.5 acres in Henry County, Georgia	E

ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT

Calendar Year 1988
Schedule IV (Continued)

BRIEF DESCRIPTION	DATE	CATEGORY
Imreg Inc CL A	12/30/88	Sold A
International Technology Corp.	12/30/88	Sold A
Knight-Ridder, Inc.	7/29/88	Bought A
Knight-Ridder, Inc.	7/29/88	Bought A
Hewlett Packard Co.	7/29/88	Bought B
Gulf Bay Bank	1/16/88	Sold B
Rinker Materials	7/- /88	Sold C
Florida Commercial Bank	4/- /88	Sold C

HAND DELIVERED

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1989 MAY 18 PM 2:23

HARRY JOHNSTON
14TH DISTRICT, FLORIDA

COMMITTEE ON FOREIGN AFFAIRS

COMMITTEE ON SCIENCE, SPACE, AND TECHNOLOGY

CHIEF OF STAFF
SUZANNE STOLL

DISTRICT ADMINISTRATOR
DIANE BIRNBAUM

Congress of the United States
House of Representatives
Washington, DC 20515

✓

MC

May 17, 1989

Clerk
United States House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

Dear Donn:

In response to a letter I received from Chairman Dixon and Ranking Minority Member Myers of the Committee on Standards of Official Conduct, please let me clarify my Financial Disclosure Statement with respect to RMC Holdings listed on Schedule IIB. RMC represents a name change for the Rinker Materials Corporation. The reason that RMC does not appear under the category of Holdings is that it was sold during the reporting year and appears under Transactions under the name Rinker Materials.

I would note also that dividends were declared under both names during the reporting year.

Thank you for this opportunity to clarify my report.

With kind regards,

Sincerely,

HARRY JOHNSTON, M.C.

WASHINGTON OFFICE:
1517 Longworth House Office Building
Washington, DC 20515
(202) 225-3001

HOME OFFICE
1501 Corporate Drive, Suite 290
Boynton Beach, Florida 33426
Palm Beach County (407) 732-4000
Broward County (305) 428-4886

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Ben Lewis Jones
(Full Name)

P. O. Box 1661
(Mailing Address)

Covinton, Georgia

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4 State Georgia

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Warner Brothers Television, Burbank, CA	Salary	$11,172.20	
Universal Studios, Inc. Universal City,CA	Salary	$40.00	
Newton County Board of Education 2-12-88	Honorarium	$25.00	
Jayan House, Ltd., Atlanta, GA (spouse)			
David Chestnut Creative Resources, (spouse)			
Atlanta Talent Payments, Inc. (dependent child)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
4319 LeHaven Cir., Tucker, GA	Rents	D
1130 Pluma Dr., Atlanta, GA	Rents	C
952 Billings Ave., Atlanta, GA	Rents	C
1435 Vaughn St., Atlanta, GA	Rents	C
1439 Vaughn St., Atlanta, GA	Rents	C
1204 Foster Place, Atlanta, GA	Capital Gain	D
Richard Felker Company, Atlanta, GA	Dividend	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
4319 LeHaven Cir., Tucker, GA Townhouse/Rental	D
University Avenue, Lot 74, District 14, Atlanta, GA/Vacant Lot	C
1130 Pluma Dr., Atlanta, GA Single Family House/Rental	C
952 Billings Ave., Atlanta, GA Single Family House/Rental	C
1435 Vaughn St., Atlanta, GA Single Family House/Rental	C
1439 Vaughn St., Atlanta, GA Single Family House/Rental	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
1204 Foster Place, Atlanta, GA House/Sold	3-31-88	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Ryan Financial Services, Inc., Pittsburgh, PA - Mortgage	D
Alliance Mortgage Company, Jacksonville, FL - Mortgage	C
Goldome Mortgage Company, Buffalo, NY - Mortgage	C
Goldome Mortgage Company, Buffalo, NY - Mortgage	C
Newton Federal Savings and Loan, Covington, GA - Mortgage	C
Ryan Financial Services, Inc., Pittsburgh, PA - Mortgage	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
Various	Warner Brothers Television	Residual Income - Television
Various	Universal Studios	Residual Income - Television/Film
5/89-5/90	Screen Actors Guild	Employee Welfare and Benefit Plan

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989 [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Ben Lewis Jones
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II B.	Equus Investments I, Dallas, TX, Capital Gain B
II B.	Equus Investments I, Dallas, TX, Interests A
III.	1608 Cornish Mountain Road, Covington, GA Residence E
III.	4317 LeHaven Circle, Tucker, GA Residence D
III.	Pinewood Limited, Atlanta, GA Limited Partnership C
III.	Equus Investments I, Dallas, TX Limited Partnership B

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

WALTER BEAMAN JONES
(Full Name)

241 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

MAY 11 PM 4: 34

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1st State North Carolina

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Mrs. Elizabeth Jones (spouse)	Under contract to edit publications		
The Tobacco Institute Legislative Conference 1/13/88	Honorarium	$2,000.	
MEBA-AMO II School of Marine Engineering 2/5/88	Honorarium	$2,000.	
MEBA-AMO II 3/7/88	Honorarium	$1,000.	
Smokeless Tobacco Council 9/14/88	Honorarium	$1,000.	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
North Carolina National Bank	Stock	C
United Carolina Bancshares	Stock	B
United Utilities	Stock	C
First Federal Savings and Loan	Savings	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _____ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
North Carolina National Bank	E
United Utilities	B
First Federal Savings and Loan	E
United Carolina Bancshares	B
Wachovia Bank & Trust Company	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Not applicable		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Not applicable	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Not applicable	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE

00171275133

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Not applicable	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	Not applicable

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	Not applicable	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Walter B Jones	May 11, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

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WALTER B. JONES
1ST DISTRICT, NORTH CAROLINA

TELEPHONE: CODE 202 225-3101

FLOYD J. LUPTON
ADMINISTRATIVE ASSISTANT

COMMITTEES
AGRICULTURE
MERCHANT MARINE
AND FISHERIES

Congress of the United States
House of Representatives
Washington, DC 20515

May 16, 1989

HAND DEL

MC

1989 MAY 17 PM 3:24
CLERK
REPRESENTATIVES

The Honorable Donnald K. Anderson
Clerk
United States House of Representatives
Washington, D.C. 20515

Re: Amendment of Financial Disclosure Statement for 1988

Dear Mr. Anderson:

As per a letter from the Committee on Standars of Official Conduct, I would like to amend my 1988 Financial Disclosure Statement.

I inadvertedly failed to check under Category III - HOLDINGS, the following question:

> Do you, your spouse or dependent child receive income from or have a beneficial interest in a trust or other financial arrangement whose holdings were not reported because the trust is a "qualified blind trust" or other excepted trust?

My answer to this question is, NO.

I will be most appreciative if you will add this statement to my Financial Disclosure Statement, and apologize for the oversight.

With every good wish, I am

Sincerely,

Walter B Jones

WALTER B. JONES, Member of Congress
1st District, North Carolina

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

James P. Jontz
(Full Name)

Box 149, Monticello IN 47960
(Mailing Address)

MC (Office Use Only)

89 MAY 15 AM 10 57

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5th State Indiana

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
United Egg Association	Honoraria	$1,000.00	Contributed to 5th District Scholarship Fund

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part, Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Hometown Federal Savings Bank	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Hometown Federal Savings Bank	B

8 9 3 7 0 2 3 1 2 9 2

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None.	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None.		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Partnership for Economic Growth and the Environment	Travel to Columbia, MO, from Washington, returning to Indianapolis 11/16-11/18 $511

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Member Board of Directors	Indiana Wildlife Federation
Member Board of Directors	Citizens Action Coalition of Indiana

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None.	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
James Jontz	5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1989

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1988

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Paul E. Kanjorski
(Full Name)

103 S. Hanover St.
(Mailing Address)

Nanticoke, PA 18634

Mc (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 11 State PA

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
American Insurance Assn.	Honoraria	$500.00	Income
Long Island Aerospace Pol. Action Pac	"	2,000.00	Donated
Beneficial Management Corp.	"	2,000.00	Donated
Deloitte Haskins & Sells	"	250.00	Income
Nat'l Council of Savings Institutions	"	2,000.00	Donated

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
United Penn Bank	Interest	A
PA for Kanjorski	Interest	E

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Law Office Movable Assets	B
Real Property, Dallas Twp., 80+ Acres, ½ interest	D
Real Property, Wright Twp., 90+ acres, ⅓ interest	D
Aero Shelters, Inc. Stock	A
United Penn Bank	A
IRA Equitable Life	A

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Installment sales agreement, ½ interest, 126 S. Franklin St., Wilkes-Barre, PA 18701, mortgage, Hanover Nat'l Bank	D
United Penn Bank Loan ½ interest	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
Mr. Rainer Hampp	5 Books on Civil War	$225.00

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a **SPEAKING ENGAGEMENT** or **FACT-FINDING EVENT** related to official duties, whether those expenses were **REIMBURSED** to the individual or **PAID DIRECTLY** by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE		BRIEF DESCRIPTION
Long Island Aerospace Del. Action Pac.	2-1-88	Transportation; Speech + Tour of facility.
Beneficial Management Corp.	2-1-88	Transportation + Lodging: Speech to senior executives.
Northrop Corp.	4-5-88	½ Transportation / ½ Lodging Speech to executives + Tour of facility.

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Of Counsel: Attorney	Kanjorski and Kanjorski

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Paul E. Kanjorski	5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Paul E. Kanjorski
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
IIa	Patrick Media Group	Honoraria	2000.00	Donated
IIa	First Interstate Bancorp	"	500.00	Income
IIa	Drexel Burnham Lambert	"	1000.00	Income
IIa	Northrop Corporation	"	2000.00	Donated
IIa	Mc Donnell Douglas	"	1000.00	Income
IIa	TRW Information Systems Group Staff	"	1000.00	Income
IIa	Paul, Hastings, Janofsky & Walker	"	500.00	Income
IIa	Goldman, Sachs & Co.	"	2000.00	Donated
IIa	American Bankers Assn.	"	2000.00	Income
IIa	Emerson Electric Co.	"	1000.00	Income
IIa	Coalition for Regional Banks	"	500.00	Income
IIa	Securities Industry Assn.	"	2000.00	Income
IIa	Meat Importers Council of Am., Inc	"	1000.00	Income
IIa	Mellon Bank N.A.	"	1000.00	Income
IIa	Bethlehem Steel Corp	"	1000.00	Income
IIa	Merrill Lynch, Pierce, Fenner, & Smith, Inc	"	1000.00	Income
IIa	Morgan Stanley & Co	"	2000.00	Income
IV	IRA Prudential-Bache Inc			C
IV	½ Interest in Estate			C
IV	Debts owed Paul E. Kanjorski			E
IV	½ Int. Real Estate, 126 S. Franklin St., Wilkes-Barre, PA 18701			E
VII	McDonnell Douglas 4-5-88 / ½ Transportation & ½ Lodging: Speech to senior management.			
VII	Washington Financial Information Services 4-6-88 ½ Lodging: Speech to client executives.			
VII	American Bankers Assn. 7-25-88 Transportation, Lodging, Meals, & Car Rental: Convention Speech			
VII	Emerson Electric Co. 8-25-88 Transportation: Speech to senior executives			
VII	Meat Importers Council of America Inc. 10-3-88 Transportation & Lodging: Convention Speech			
VII	Mellon Bank of N.A. 10-21-88 Transportation & Lodging: Speech to senior executives.			
VII	Security Industry Assn. 12-12-88 Transportation & Lodging: Speech to executives of association's members.			
VII	Anthracite Industry Assn. 2-28-88 to 3-05-88 Transportation, Lodging & Meals: Fact finding on US Military procurement from PA for European Bases.			
VII	Offset Paperback 1-10-88 to 1-17-88 Transportation, Lodging & Meals; Fact-finding advanced European printing technologies' impact on US Trade — spouse accompanied			
VII	UAW 9-21-88 Transportation: Convention speech.			

GPO: 1987 79-463 (m)

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PAUL E. KANJORSKI
11th District, Pennsylvania

Committee on Banking, Finance
and Urban Affairs

Committee on Post Office
and Civil Service

Chairman
Subcommittee on Human Resources

Congress of the United States
Washington, DC 20515

WASHINGTON OFFICE:
424 Cannon House Office Building
Washington, DC 20515
(202) 225-6511

DISTRICT OFFICE:
10 E. South Street Building
Wilkes-Barre, PA 18701
(717) 825-2200

Toll Free Help-Line
(800) 222-2346

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U.S. HOUSE OF REPRESENTATIVES

MC

June 5, 1989

Honorable Donnald K. Anderson
Clerk
U.S. House of Representatives
Office of Records and Registration
Washington, D.C. 20515

Dear Donn:

I am writing you to provide additional information on several items included in my recently submitted Financial Disclosure Statement. I would appreciate your appending a copy of this letter to my Statement, and forwarding copies of this letter to the proper officials.

PART	IDENTITY	CATEGORY
III.	Real Property, Dallas Twp, Luzerne Co., PA, 20+ acres, 1/2 interest	D
III.	Real Property, Wright Twp., Luzerne Co., PA 90+ acres, 1/5 interest	D
III.	1/10 Interest in Father's Estate	C
III.	Debts owed Paul E. Kanjorski by campaign committee	E

Thank you for your assistance in this matter. If you have any questions, please do not hesitate to contact me.

Sincerely,

Paul E. Kanjorski

Paul E. Kanjorski
Member of Congress

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Marcia C. (Marcy) Kaptur
(Full Name)

1841 Dority Road
(Mailing Address)

Toledo, OH 43615

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 9th State Ohio

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
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WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
None			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
First Federal Savings & Loan Assn.	Interest	C
Prudential Life Insurance Co.	Interest	A
United Home Federal	Interest	B
Little Flower Credit Union	Interest	A
U.S. Savings Bonds - Series EE	Interest	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA __X__

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
First Federal Savings & Loan Assn.-Savings Accounts	C
United Home Federal-Savings Accounts	C
Trustcorp-Savings & Checking Accounts	A
Little Flower Credit Union-Savings Deposit	B
U.S. Savings Bonds-Series EE	C
Transamerica Life & Annuity-IRA	C

(See continuation sheet)

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Transamerica Life & Annuity-IRA-Contribution to plan	Various Dates	A
Federal Retirement Thrift Savings Plan-Deductions from Congressional Payroll for Deposit to Plan	Various Dates	A
U.S. Savings Bonds, Series EE Purchase	Various Dates	B

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
International Foundation of Employee Benefit Plans	Attending & speaking at conference Nov. 12 & 13, 1988 in New Orleans (hotel accommodations)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
None	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Marcia (Marcy) C. Kaptur	5-10-89

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Marcia C. (Marcy) Kaptur
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III	Aetna Life Insurance Co.-Life Insurance Dividend Left on Deposits	A
III	Prudential Insurance Co. of America - Life Insurance Dividends left on Deposit	A
III	Congressional Federal Credit Union - Savings Account January 1989 - Balance returned to U.S. Treasury and and distributed to community charities.	A
III	1841 Dority Road, Toledo, Ohio (Lot)	B
III	Federal Retirement Thrift Savings Plan	B
III	Federal Employees Retirement System - Defined Benefit Pension Plan	B

GPO: [illegible]

HAND DELIVERED

MAY 19

MARCY KAPTUR
MEMBER
9TH DISTRICT OHIO

COMMITTEES
BANKING, FINANCE AND URBAN AFFAIRS
BUDGET
STEERING AND POLICY

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE
1228 LONGWORTH BUILDING
WASHINGTON, DC 20515
(202) 225-4146

DISTRICT OFFICE
FEDERAL BUILDING
234 SUMMIT ST., ROOM 719
TOLEDO, OH 43604
(419) 259-7500

May 18, 1989

✓
MC

The Honorable Donnald K. Anderson
Clerk of the House
U.S. House of Representatives
H-105 The Capitol
Washington, D.C. 20515

Dear Mr. Anderson:

Please note that I have omitted the apartment at Beekman Place on the 1988 Financial Disclosure Statement.

I did so because this residence is restricted solely to those occasions when Congressional responsibilities require me to be in Washington. I have not used this apartment for rental income since 1983 and according to the general guidelines of Title III Holdings "A personal residence would not be reported unless any part of the residence produces rental income".

Thank you for bringing this matter to my attention.

Sincerely,

Marcy Kaptur

MARCIA C. (MARCY) KAPTUR
Member of Congress

MK:tmn

Enclosure

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

John R. Kasich
(Full Name)

63 North West Street
(Mailing Address)

Westerville, Ohio 43081

MC (Office Use Only)

89 MAY -9 PM 12:29

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 12 State Ohio

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ______ NA X

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
George Plimpton Classic	Honorarium	1,000.00	charity
Congressional Quarterly, Inc. (8-30-88)	Honorarium	300.00	
Armed Forces Marketing Council (4-26-88)	Honorarium	2,000.00	
Ohio Bell (5-19-88)	Honorarium	1,000.00	
Worthington Jaycees	Honorarium	300.00	charity

continued on page five

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001–$2,500; C—$2,501–$5,000; D—$5,001–$15,000; E—$15,001–$50,000; F—$50,001–$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
BankOne, Columbus, Ohio	Interest	A
Wright Patman Credit Union, Washington, D.C.	Interest	B
Northwestern Mutual Variable Annuity	Interest	A
Northwestern Mutual Variable Annuity	Interest	A
Northwestern Mutual IRA	Interest	A
Office of Personnel Management Civil Service Retirement Fund [FERS]	Refund	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001–$15,000; C—$15,001–$50,000; D—$50,001–$100,000; E—$100,001–$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO ______ NA __X__

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
BankOne, Columbus, Ohio	A
Wright Patman Credit Union, Washington, D.C.	A
Northwestern Mutual Variable Annuity	A
Northwestern Mutual Variable Annuity	B
Northwestern Mutual IRA	A

continued on page five

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Northwestern Mutual Variable Annuity - payments per month		A
Northwestern Mutual Variable Annuity - payments per month		A
Northwestern Mutual IRA - payments per month		A
Estate of Anne B. Kasich (1/3 interest), John R. Kasich, Executor		E
Estate of John Kasich (1/3 interest), John R. Kasich, Executor		C
(sale of real property, stocks, coins; no distribution to heirs in 1988)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether these expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
National Council on U.S.-Arab Relations Washington, D.C. (January 13-24, 1988)	Fact finding trip for twelve days to Bahrain, Saudia Arabia, Egypt food/transportation/lodging
United States Department of Defense Secretary of the Army, Washington, D.C. (March 3-4, 1988)	Fact finding trip for two days to Fort Bragg, North Carolina food/transportation/lodging

continued on page five

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Member, Board of Directors	Concord Counseling, Columbus, Ohio
Member, Board of Directors	Friends of the Mental Health Levy, Columbus, Ohio
Executor	Estate of Anne B. Kasich
Executor	Estate of John Kasich

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
*	May 8, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page five of five

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

John R. Kasich
(Name)
District 12, State of Ohio

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II.	Board of Trade of the	Honorarium	500.00	
Income	City of Chicago (8-28-88)			
A.	Chicago Merchantile Exchange	Honorarium	500.00	
Earned	(10-11-88)			
Income	The Issue, Channel 10	Honorarium	500.00	charity
	The Ohio State University	Honorarium	750.00	
	(11-16-88)			
	The OMA Service Corporation	Honorarium	300.00	
	(11-17-88)			
III.	Estate of Anne B. Kasich (1/3 interest)			E
Holdings	John R. Kasich, Executor			
	Estate of John Kasich (1/3 interest)			C
	John R. Kasich, Executor			
VII.	United States Department of Defense			Fact finding trip for three
Reimbursements	at the request of the President			to El Salvadore
	(March 19-21, 1988)			food/transportation/lodging
	Citizens Energy Corporation			Fact finding trip for nine
	Boston, Massachusetts			days to Nigeria, Angola,
	(November 13-21, 1988)			and The Congo
				food/transportation/lodging

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Robert W. Kastenmeier
(Full Name)

Suite 2328, Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

MAY 15 11:46

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 2nd State Wisconsin

☐ Officer or Employee—Employing Office ____

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO __X__ NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Electronic Industries Association Received 1/19188	Honorarium	$1,000.00	None
Washington Post Corporation Received 5/4/88	Honorarium	$ 200.00	None
Washington Caucus Received 5/16/88	Honorarium	$ 500.00	None
National Cable Television Association Received 5/26/88	Honorarium	$1,500.00	None
Twentieth Century Fund Received 12/18/88	Honorarium	$ 150.00	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

	B. SOURCE	TYPE	CATEGORY
(wife)	1st Virginia Bank (Kastenmeier Education Fund)	Interest	C
(wife)	2702 Center Street, Nacogdoches, Texas	Rent	Gross D - Net Loss
(wife)	413 Myrtle Street, Nacogdoches, Texas	Rent	Gross C - Net B
(wife)	106 Marilyn Street, Nacogdoches, Texas	Rent	Gross C - Net B
(wife)	Unimproved timber land, Nacogdoches, Texas	Timber Sale	E
	First Virginia Bank	Interest	C
	(see continuation sheet)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
700 shares of Air Wisconsin Common Stock	B
Home, Sun Prairie, Wisconsin at 745 Pony Lane	D
3,000 shares, High Yield Common Stock	C
First Virginia Bank, Arlington, Virginia DMMA Account	D
IRA Account, Wright Patman Credit Union	A
(see continuation sheet)	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of 700 shares of Air Wisconsin Stock (category B)	4/26/88	LOSS

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Valley Bank (formerly United Bank) of Sun Prairie, Wisconsin - Real Estate Mortgage	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	N.A.

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE	N.A.	N.A.

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
1/7/88 and 1/8/88 Electronic Industry Association	Round trip airfare - D.C. to Las Vegas and hotel for self (two days)
4/30/88 National Cable Television Association	Round trip airfare - D.C. to Los Angeles and hotel for self (one day)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	N.A.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE	NONE	NONE

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Robert W Kastenmeier	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Robert W. Kastenmeier
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II Income -	Part B - Unearned Income - Robert W. and Dorothy C. Kastenmeier
	House - 745 Pony Lane, Sun Prairie, Wisconsin Rent Gross C - Net A
	(wife) Fredonia State Bank, Nacogdoches, Texas Interest A
	(wife) 320 Zeno Street, Nacogdoches, Texas Rent (1/4) Gross B - Net A
	Internal Revenue Service Interest A
	High Yield Bonds Dividends and Capital Gains B
III Holdings -	Dorothy C. Kastenmeier (wife)
	The following interests are those of my wife, Dorothy C. Kastenmeier
	House, 413 Myrtle Street, Nacogdoches, Texas C
	House, 106 Marilyn Street, Nacogdoches, Texas C
	House, 2702 Center Street, Nacogdoches, Texas C
	House, 320 Zeno Street, Nacogdoches, Texas(1/4 interest) C
	Three Lots - San Augustine Highway, Nacogdoches, Texas B
	Unimproved Timber Land 129 Acres, Nacogdoches, Texas D
	UnimprovedTimber land 160 Acres, Nacogdoches, Texas D
	IMMA Account, Fredonia State Bank, Nacogdoches, Texas B
	IMMA Account, First Virginia Bank, Arlington, Virginia E

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UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

HAND DELIVERED

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

MAY 15 PM 4:58

FORM A—For use by Members, officers, and employees

Joseph P. Kennedy II
(Full Name)

73 Bigelow Street
(Mailing Address)

Brighton, Massachusetts 02135

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 8th State Massachusetts

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
City of Boston, MA (Spouse)	Fee		
The FAY Improvement Co.,San Francisco, CA rec'd 10/88	Honorarium	$1,000	Charity

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See Attached		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _X_ NO ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Attached	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Attached.		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Bank of New England, Boston, MA - Loan(repaid in full in 1988)	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a **SPEAKING ENGAGEMENT** or **FACT-FINDING EVENT** related to official duties, whether those expenses were **REIMBURSED** to the individual or **PAID DIRECTLY** by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
see attached	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
see attached	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

JOSEPH P. KENNEDY II

II-B INCOME
TOTAL 1988

JOSEPH P. AND SHEILA R. KENNEDY FAMILY INCOME

	TYPE	CATEGORY
Bank of New England	Interest	D
Citizens for Joe Kennedy	Interest	D
Goldman Sachs & Co.	Interest	B
IDA Maricopa County, Arizona	Interest	C
San Antonio, Texas	Interest	C
Shearson Lehman Hutton	Interest	E
Texas Housing Agency	Interest	D
Christine Cosser (mortgage interest - sale of residence)	Interest	E
Fidelity Equity Income Fund	Dividends	A
74 High Street, Norwell, MA	Rents	D
854 Union Street, Marshfield, MA	Rents	D
Computer equipment under rental agreement with the Merchandise Mart	Capital Gains	A
Angerman Gas Venture - 1981	Oil & Gas	C
January 27, 1926 Trust, Joseph P. Kennedy, grantor for the benefit of Robert F. Kennedy (excepted Trust)	Trust Distributions	F
August 31, 1959 Trust, Robert F. Kennedy, grantor, for the benefit of Joseph P. Kennedy II (excepted Trust)	Trust Distributions	D
August 31, 1959 Trust, Robert F. Kennedy, grantor, for the benefit of David A. Kennedy (excepted Trust)	Trust Distributions	A
Joseph P. Kennedy II Blind Trust, dated June 16, 1987 (qualified Blind Trust)	Trust Distributions	F

II-B INCOME (Continued)

RAUCH FAMILY TRUSTS INCOME	TYPE	CATEGORY
Mellon Bank Corp. (East), N.A.	Interest	C
Abbott Laboratories	Dividends	A
American Express Co.	Dividends	A
American International Group, Inc.	Dividends	A
American Home Products Corp.	Dividends	A
Amsouth Bancorp	Dividends	A
Anheuser-Busch Companies, Inc.	Dividends	A
Atlantic Richfield Co.	Dividends	A
Bell Atlantic Corp.	Dividends	A
Bristol Myers Co.	Dividends	A
Champion International	Dividends	A
Chevron Corp.	Dividends	B
Eastman Kodak Co.	Dividends	A
Exxon Corporation	Dividends	A
General Electric Co.	Dividends	C
General Motors	Dividends	A
H.J. Heinz Co.	Dividends	A
Hewlett Packard Co.	Dividends	A
IBM	Dividends	D
Knight Ridder Newspaper Inc.	Dividends	A
McGraw-Hill, Inc.	Dividends	A
Mellon Bank (East) N.A. D.F. Special Stock Fund	Dividends	A
Mellon Bank (East) N.A. International Fund	Dividends	A
Melville Corp. NY	Dividends	A
Merck & Co.	Dividends	B
NYNEX Corp.	Dividends	A
New York State Electric & Gas Corp.	Dividends	A
Pfizer Inc.	Dividends	A
Philip Morris Companies	Dividends	B
RPM Inc.	Dividends	A
Ryder System Inc.	Dividends	A
Scott Paper Co.	Dividends	A
Sovran Financial Corp.	Dividends	A
Tenneco, Inc.	Dividends	A
Travelers Corp.	Dividends	A
United Technologies Corp.	Dividends	A
Gulf & Western Inc.	Capital Gains	B
Intel Corp.	Capital Gains	D
McGraw - Hill, Inc.	Capital Gains	D

Joseph P. Kennedy, II

III-HOLDINGS

JOSEPH P. KENNEDY AND SHEILA R. KENNEDY FAMILY HOLDINGS

	CATEGORY
CORPORATE SECURITIES	
Fidelity Equity Income Fund, Inc.	B
MUNICIPAL BONDS AND FUNDS	
IDA Maricopa County, Arizona	C
San Antonio, Texas	C
Texas Housing Agency	D
Shearson Lehman Hutton Money Market Fund	F
SAVINGS INSTRUMENTS	
Bank of New England (various)	E
Lincoln Trust Co.	A
Merrill Lynch - Retirement Benefit Investment Prog.	D
U.S. House of Representatives - Pension Plan	B
RECEIVABLES	
Goldman Sachs - Brokerage Account	C
Christine Cosser - Note secured by mortgage on sale of principal residence	F
REAL ESTATE	
854 Union Street Marshfield, Mass.	F
74 High Street Norwell, Mass.	E
ESTATES	
Interests in estates of Robert F. Kennedy and David A. Kennedy as yet undistributed	C
OTHER (LESS THAN 2% INTERESTS)	
Angerman Gas Venture - 1981 (a limited partnership whose principal assets are oil and gas working interests with its principal place of business at 1000 RIDC Plaza, Pittsburgh, PA)	A
L.F. Rothschild Venture Capital Fund (limited partnership) - overall value	C
Assets of limited partnership in which the value of the family's undivided .3% interest exceeds $1,000	
Applied Energy Services, Arlington, VA	C
Performance Semiconductor, Sunnyvale, CA	A
Private Satellite Network, New York, NY	A
Silicon Compilers, Los Gatos, CA	A

III-HOLDINGS (Continued)

RAUCH FAMILY TRUSTS

CORPORATE SECURITIES	CATEGORY
Abbott Laboratories	B
American Express Co.	C
AmSouth Bancorp	B
American Home Products Corp.	B
American International Group, Inc.	C
Anhauser-Busch Companies	B
Atlantic Richfield Co.	A
Bell Atlantic Corp.	B
Bristol Myers Co.	C
Champion International	B
Chevron Corp.	C
Eastman Kodak Co.	B
Exxon Corp.	A
General Electric Co.	D
General Motors Corp.	B
Halliburton Co.	A
H. J. Heinz Co.	B
Hewlett Packard Co.	C
IBM	E
Knight Ridder Newspaper Inc.	C
Melville Corp.	B
Merck & Co., Inc.	D
NYNEX Corp.	A
New York State Electric and Gas Corp.	A
Pfizer Inc.	A
Philip Morris Companies	C
RPM Inc.	B
Ryder System Inc.	C
Scott Paper Co.	B
Sovran Financial Corp.	C
Tenneco, Inc.	B
Travelers Corp.	A
United Technologies Corp.	B
SECURITIES FUNDS	
Mellon Bank (East) N.A. - D.F. Special Stock Fund	C
Mellon Bank (East) N.A. - International Fund	B
SAVINGS INSTRUMENTS	
Mellon Bank (East) N.A.-various	D

Joseph P. Kennedy, II

III-HOLDINGS (Continued)

ADDITIONAL INFORMATION

In addition to the beneficial interests in the excepted trusts and qualified blind trust disclosed above, members of my family have beneficial interests in the excepted trusts listed below. No income was received from any of these trusts during 1988.

Trust under the will of George Skakel
Trust under the will of Ann B. Skakel
Trust under the will of George S. Brewster
Trust under the will of Anna H. Paton
Trust under indenture George S. Brewster
Trust under agreement Eleanor G. Brewster

Joseph P. Kennedy, II

IV-TRANSACATIONS

JOSEPH P. AND SHEILA R. KENNEDY FAMILY TRANSACTIONS

MONEY MARKET FUNDS

01/19	Shearson Lehman Hutton	Purchase	A
02/12	Shearson Lehman Hutton	Purchase	A
03/11	Shearson Lehman Hutton	Purchase	A
04/06	Shearson Lehman Hutton	Purchase	A
05/11	Shearson Lehman Hutton	Purchase	A
05/26	Shearson Lehman Hutton	Purchase	D
06/10	Shearson Lehman Hutton	Purchase	A
07/08	Shearson Lehman Hutton	Purchase	A
08/15	Shearson Lehman Hutton	Purchase	A
09/09	Shearson Lehman Hutton	Purchase	A
10/14	Shearson Lehman Hutton	Purchase	A
11/03	Shearson Lehman Hutton	Sale	C
11/11	Shearson Lehman Hutton	Purchase	A
11/21	Shearson Lehman Hutton	Purchase	C
12/02	Shearson Lehman Hutton	Purchase	A
12/05	Shearson Lehman Hutton	Sale	A
12/30	Shearson Lehman Hutton	Purchase	A
12/30	Shearson Lehman Hutton	Purchase	D

RECEIVABLES

03/04	Christine Cosser Note	Repayment	C
05/10	Christine Cosser Note	Repayment	D
12/16	Christine Cosser Note	Repayment	A
01/05	Citizens for Joe Kennedy	Repayment	C
05/31	Citizens for Joe Kennedy	Repayment	C

OTHER

	L.F. Rothschild Venture Capital Fund		*

RAUCH FAMILY TRUSTS

CORPORATE SECURITIES

03/01	Gulf & Western Inc.	Sale	C
06/16	RPM Inc.	Purchase	B
06/03	Intel Corp.	Sale	C
08/03	McGraw-Hill Inc.	Sale	C

* There were no transactions in which the value of the family's undivided .3% interest exceeded $1,000.

VII. REIMBURSEMENTS

SOURCE	BRIEF DESCRIPTION
Citizens Resources Corporation - Boston, Massachusetts (non-profit)	Round trip airfare between Boston, Massachusetts and Dublin, Ireland -- meeting with Irish National Petroleum Corporation
Broadcast Holding Co., Inc. Ft. Lauderdale, Florida	Airfare from Los Angeles to San Francisco, San Francisco to Boston. Fact finding event. Cable TV issues.

Joseph P. Kennedy, II

VIII-POSITIONS

POSITION	NAME OF ORGANIZATION
Honorary Chairman	Citizens Energy Corp.
Chairman, Vice President	The Canadian Robert F. Kennedy Memorial
Limited Partner	Angerman Gas Venture - 1981 L.F. Rothschild Venture Capital Fund
Member, Board of Directors	The Flax Trust - America

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

BARBARA BAILEY KENNELLY
(Full Name)

95 Scarborough Street
(Mailing Address)

Hartford, Connecticut 06105

MC (Office Use Only)

MAY 15 AM 11:22

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 1 State Connecticut

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Choate Rosemary Hall - Lecture Series	Honorarium	$2,000	
Received 1/7/88			
(Continued Page 5 of 6)			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
SPOUSE:		
South Street Associates	Distribution	A
502 South Street, Bristol, Connecticut		
(General Partnership)		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Civil Service Retirement	C
John Moran, Incorporated (Asset: Beachhouse)	
144 Middle Beach Road, Madison, Connecticut	D
(One Fifth Interest/Gift From Mother)	

(Continued Page 6 of 6)

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
- NONE -		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
- NONE -	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
- NONE -	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
- NONE -		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Public Securities Association, Inc. (Speech) - 4/15/88	Roundtrip Airfare: Washington, DC To White Sulphur Springs, West Virginia 1 Night Lodging

(Continued Page 5 of 6)

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Trinity College - Hartford, Connecticut

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
- NONE -		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Barbara B. Kennelly	May 11, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page 5 of 6

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

BARBARA BAILEY KENNELLY
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II. INCOME (A)	SOURCE (Continued)	TYPE	AMOUNT	DISPOSITION
	National Venture Capitol Association Received 2/2/88	Honorarium	$1,000	
	Coalition Against Regressive Taxation/ Distilled Spirits Council of United States Received 3/16/88	Honorarium	2,000	
	American International Group, Inc. Received 4/5/88	Honorarium	2,000	
	West Hartford Women's Club - Received 4/15/88	Honorarium	50.00	Charity ($50.0
	Public Securities Association, Inc. Received 4/14/88	Honorarium	2,000	
	American Bus Association Received 4/29/88	Honorarium	2,000	Charity ($2,000)
	Miss Porter's School Received 6/8/88	Honorarium	500	
	Wharton School of The University of Pennsylvania Received 7/16/88	Honorarium	200	
	American Association of Women Podiatrists Received 8/18/88	Honorarium	2,000	
	American Council of Life Insurance Received 9/8/88	Honorarium	2,000	
	National Structures Settlements Trade Association Received 9/29/88	Honorarium	1,000	
	Connecticut State Association of Letter Carriers Received 10/2/88	Honorarium	500	
	SPOUSE:			
	Rome, Case, Kennelly & Klebanoff, P.C. Hartford, Connecticut	Salary		

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Page 6 of 6

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

BARBARA BAILEY KENNELLY
(Name)

Continuation Sheet

Part *Source, Type, Amount, Category, Description or Value (As Applicable)*

VII. REIMBURSEMENTS (Continued)

SOURCE	BRIEF DESCRIPTION
American Association of Women Podiatrists (Speech) - 8/17-8/19/88	Roundtrip Airfare: Hartford, CT to Anaheim, California 2 Nights food/lodging
Danny Thompson Memorial Luekemia Fund Golf Tournament (Charity Golf Tournament) 8/25-8/27/88	Roundtrip Airfare: Hartford CT to Sun Valley, Idaho; (Self & Spouse)
American Council of Life Insurance (Speech) - 9/7-9/8/88	Roundtrip Airfare: Washington, DC To White Sulphur Springs, West Virginia 1 Night Lodging

III. HOLDINGS (Continued)

IDENTITY	CATEGORY
SPOUSE:	
Hartford Hospital Medical Office Building Seymour Street, Hartford, Connecticut (Limited Partnership)	C
Millbrook Associates West Tisbury, Massachusetts (General Partnership)	C
South Street Associates 502 South Street, Bristol, Connecticut (General Partnership)	C
RCK&K Realty 693 Bloomfield Avenue Bloomfield, Connecticut (General Partnership)	D
Farmdale Associates 745-747 Farmington Avenue 755 Farmington Avenue 3 Arnoldale Road West Hartford, Connecticut (General Partnership)	C

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

DALE E. KILDEE, M.C.
(Full Name)

2262 RAYBURN H.O.B.
(Mailing Address)

WASHINGTON, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 7th State MICHIGAN

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
NONE -- DALE E. KILDEE, M.C.			
SHANNON & LUCHS (REALTORS) -- Spouse	Commissions		

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
CITICORP SAVINGS	INTEREST	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
U.S. SAVINGS BONDS FOR DEPENDENT CHILDREN	B
AGGREGATE SAVINGS ACCOUNTS) CITICORP SAVINGS	B
) WRIGHT PATMAN CREDIT UNION	
) MICHIGAN STATE EMPLOYEES CREDIT UNION	
) EL-GA CREDIT UNION	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

RECEIVED

Committee on Standards of Official Conduct 1989 APR 25 AM 8 58

CLERK
U.S. HOUSE OF REPRESENTATIVES

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Congressman Gerald D. Kleczka
(Full Name)

3268 S. 9th Street
(Mailing Address)

Milwaukee, WI 53215

MC
(Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4 State Wisconsin

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,039, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
George Washington Hospital (spouse)	Salary		1988
Independent Bankers Assoc. of America	Honorarium	2,000	5/6/88
American Trucking Association	Honorarium	1,000	5/11/88
Morgan Stanley & Co.	Honorarium	2,000	6/3/88
Golembe Associates -Washington Seminar	Honorarium	1,000	6/9/88

(see attachment)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Security Savings and Loan	Interest	A
Lincoln Savings and Loan	Interest	A
Congressional Credit Union	Interest	A
Prudential Insurance	Interest	A
Rental, 3262 S. 9th St., Milwaukee, WI	Rental	D

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Duplex, 3262 S. 9th St., Milwaukee, WI	D
Security Savings and Loan	C
Lincoln Savings and Loan	A

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guaranter or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
3262 S. 9th Street, Milwaukee Rental unit -mortgage at Lincoln Savings and Loan	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Na. Assoc. of Realtors 11/10 to 11/13	meals,lodging,airfare San Fransisco
Securities Industry Assoc. 6/3-4	meals, airfare, lodging New York
Merchants Federation 8/24	meals,airfare,lodging Kohler, WI

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NA	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NA		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature Gerald D. Kleczka	Date April 20, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Congressman Gerald D. Kleczka
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II. Income	Earned			
A.	American Spirits Council of US	Honorarium	1,000.	6/3/88
	Wisconsin Merchants Federation	Honorarium	1,000.	8/24/88
	Brown and Williamson Tobacco	Honorarium	1,000.	6/8/88
	National Association of Realtors	Honorarium	2,000.	11/12/88
	National Restaurant Association	Honorarium	1,000.	5/6/88
	First Bank of Boston	Honorarium	1,000.	6/4/88

GPO: 1987 79-046 (m)

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

1989 MAY 11 PM 12: 14

FORM A—For use by Members, officers, and employees

James Thomas Kolbe
(Full Name)

410 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 5 State Arizona

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT–2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
University of Arizona (Wife)	salary	$62,000.00	none
The New York Times	fee	$100.00	none
National Association of Manufacturers (3/28/88)	honorarium	$1000.00	none
The Fertilizer Institute (4/7/88)	honorarium	$500.00	none
Chicago-Midwest Credit Service Corporation (4/7/88)	honorarium	$500.00	none

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Sonoita, Arizona land sale - ½ interest	income	B
Sonoita, Arizona land note and deed of trust	interest	B
Motorola Corporation	dividend	A
Wood Canyon Corporation note	interest	B
Valley National Bank - Savings Account	interest	A
Valley National Bank - Checking Account	interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO __X__ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Wood Canyon Corporation - 5% of outstanding stock	D
Esterline Corporation - stock shares	A
Motorola Corporation - stock shares	A
½ undivided interest in 500 acres, Sonoita, Arizona	E
IRA, Merrill Lynch	C
Money Market Account, Merrill Lynch	C

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. **IF NONE, SO STATE.**

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Alliance Money Market Account - purchase/sale of shares	various	B
Chase Money Market Account - purchase/sale of shares	various	B
½ interest in sale of approx. 34 acres of Sonoita land	May, 1988	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. **IF NONE, SO STATE.**

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Paseo Alegre Residence, Tucson; mortgage with Arizona Bank, Phoenix	A
(property sold 5/88)	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. **IF NONE, SO STATE.**

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Northwestern University (speech)	Lodging/food - 1 day
San Diego Economic Development Corporation (Speech; expenses for self & staff aide)	Airfare Tucson, AZ to Mexico City, Mexico/Mexico City to Wash., DC; lodging/food - 1 day
Congressional Institute, Inc. (seminar participant)	Airfare Wash., DC to Houston, Texas; lodging/food - 1 day

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Wood Canyon Corporation
Director	Casa De Los Ninos Crisis Nursery
Director	George Whittel Nature Conservancy Trust
Trustee	Embry-Riddle Aeronautical University
Director	Community Food Bank

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/10/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

James Thomas Kolbe
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
	type amount disposition
II. A.	First Assembly of God, Inc. - honorarium - $100.00 - charity
	(received 7/3/88)
II. B.	Merrill Lynch Cash Mgt. Account - interest - B
	Chase Money Market Account - interest - C
	Alliance Capital Reserves Money Market - interest - C
	Merrill Lynch IRA - interest - B
III.	Money Market Account, Chase C
	Money Market Account, Alliance D
	Southmark Equity Partnership II,
	Income Partnership Shares B
	Exeter Real Estate Investors XX,
	Ltd., Partnership, 1 share C
	Golder Ranch Assoc., Ltd. Part-
	nership, ½ share C
	Catalina Bonanza Ltd. Part-
	nership, ½ share B
	Family Life Insurance Co.,
	Single premium deferred annuity B
	Sonoita Properties, ½ interest
	in note & deed of trust C
	Valley National Bank - Checking
	Account A
	Valley National Bank - Savings
	Account A
	Chase Money Market Account C
	Alliance Capitol Reserves
	Money Market Account D
	Merrill Lynch Cash Mgt. Account B
VII.	National Association of Manufacturers (Speech): Airfare Houston,
	Texas to Tucson, AZ/Phoenix, AZ to Wash., DC - 3 days
	Council of the Americas (Speech): Trainfare Wash, DC to New York,
	NY/Airfare New York to Tucson, AZ - 1 day
	Financial Post (Speech): Airfare Tucson, AZ to Vancouver, Canada/
	Vancouver to Phoenix, AZ; lodging/food - 2 days
VIII.	Director - Arizona Advocates for Children

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JIM KOLBE
5TH DISTRICT, ARIZONA

COMMITTEE ON
APPROPRIATIONS

SUBCOMMITTEE ON
COMMERCE, JUSTICE,
STATE, AND JUDICIARY

SUBCOMMITTEE ON
MILITARY CONSTRUCTION

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE:
1222 LONGWORTH HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-2542

ARIZONA OFFICES:
1661 NORTH SWAN ROAD, SUITE 112
TUCSON, AZ 85712
(602) 322-3555

222 COTTONWOOD LANE, SUITE 113
CASA GRANDE, AZ 85222
(602) 836-6384

77 CALLE PORTAL, SUITE B-160
SIERRA VISTA, AZ 85635
(602) 459-3115

INSIDE MAIL

89 MAY 22 AM 10:39
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

May 18, 1989

The Honorable Donnald K. Anderson
Clerk of the House
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

MC ✓

Dear Mr. Anderson:

This is to amend my 1988 Financial Disclosure Statement under Section III., Holdings. A Wood Canyon Corporation note, listed under Income, should also be included under Holdings, category of value B.

In addition, under Section VI., Gifts, Part A., inclusion should be made of two basketball tickets, and two nights lodging in Kansas City, Kansas from the University of Arizona in Tucson, Arizona.

I trust you will let me know if you have any questions regarding my Financial Disclosure Statement.

Sincerely,

Jim Kolbe
Member of Congress

JTK:Hjh

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

JOSEPH P. KOLTER
(Full Name)

2185 MERCER ROAD
(Mailing Address)

NEW BRIGHTON, PA 15066

MC (Office Use Only)

1989 MAY -9 AM 11:45

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4 State PA

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
AMERICAN MEDICAL ASSOCIATION	3-30-88	HONOR-ARIUM	$2000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
SEE CONTINUATION SHEET PLEASE		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
SEE CONTINUATION SHEET PLEASE	

0 9 1 7 1 2 7 3 9 2 5

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
DWELLING 1009 WEST 37TH ST., BEAVER FALLS, PA PURCHASED	9/88	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
FIRST FEDERAL SAVINGS & LOAN ASSOCIATION - NEW CASTLE, PA MORTGAGE ON RENTAL PROPERTY	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of *reimbursements* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
NONE	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
12-1-82	COMMONWEALTH OF PA (PENSION)	MONTHLY PAYMENTS

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Joseph P. Kolter	May 9 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1989

8 9 1 7 1 2 7 3 7 2 8

Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

JOSEPH P. KOLTER
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
II-B	Dwelling, 1514 7th Avenue, New Brighton, PA	Rent	C
II-B	Dwelling, 1009 W. 37th St., Beaver Falls, PA	Rent	A
II-B	Automated Cash Management Trust	Div.	A
II-B	Bradford Trust (Bank of New York)	Int.	C
II-B	Capitol Credit Union	Div.	A
II-B	Capital Realty Investment III	Int.	A
II-B	Century National Bank	Int.	B
II-B	Fluor Corporation	Div.	A
II-B	Forum Group Inc. (Common)	Div.	A
II-B	Municipal Investment Trust Fund	Int.	C
II-B	Mylan Laboratories Inc.	Div.	A
II-B	Pittsburgh PA G O	Div.	B
II-B	Union National Corp.	Div.	A
II-B	Van Camp & Merit PA Ins. Mun. Trust	Int.	B
II-B	Franklin U. S. Gov. Secupity IRA	Div.	A
II-B	International Inv. Ins. IRA	Div.	A
II-B	Openheimer Fund IRA	Div.	A
II-B	Strategic Investment Fund IRA	Div.	A
III	Dwelling, 1514 7th Ave., New Brighton, PA		C
III	Dwelling, 1009 W. 37th St., Beaver Falls, PA		C
III	Automated Cash Management Trust		B
III	Bradford Trust (Bank of New York)		C
III	Capitol Credit Union		A
III	Capital Realty Investment III		B
III	Century National Bank		D
III	Capital Industries Inc.		A
III	Dynamics Science Intl. Inc.		A
III	Fluor Corp.		C
III	Forum Group Inc. (Common)		A
III	Municipal Investment Trust Fund		B
III	Mylan Laboratories Inc.		B
III	Pittsburgh PA G.O.		C
III	Tandon Corp.		A
III	Union National Corp.		B
III	Van Camp & Merit PA Ins. Mun. Trust		C
III	Franklin U. S. Gov. Security IRA		A
III	International Inv. Ins. IRA		A
III	Openheimer Fund IRA		B
III	Strategic Investment Fund IRA		A

GPO [illegible]

A 9 9 7 0 2 9 1 5 5 7

JOE KOLTER
4TH DISTRICT, PENNSYLVANIA

COMMITTEES
PUBLIC WORKS AND TRANSPORTATION
GOVERNMENT OPERATIONS
HOUSE ADMINISTRATION
STEEL CAUCUS MEMBER
COAL CAUCUS MEMBER

WASHINGTON OFFICE
212 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-2565

Congress of the United States
House of Representatives
Washington, DC 20515

DISTRICT OFFICES
1322 7TH AVE
BEAVER FALLS, PA 15010
(412) 846-2000
(412) 728-3333

20 S. MERCER ST
NEW CASTLE, PA 16101
(412) 658-4525

104 POST OFFICE BUILDING
BUTLER, PA 16001
(412) 282-0081

7 N. 6TH ST
INDIANA, PA 15701
(412) 349-3750

TOLL FREE NUMBER
1-800-662-5300

May 23, 1989

1989 MAY 24 PM 1:49

U.S. HOUSE OF REPRESENTATIVES

MC ✓

HAND DELIVERED

United States House of Representatives
Committee on Standards of Official Conduct
HT-2, United States Capitol
Washington, D.C. 20515
ATTN: Hon. Julian C. Dixon

Dear Chairman Dixon:

In response to your correspondence dated May 12, 1989, I am submitting this letter as an amendment to my Financial Disclosure Statement of 1988. Under Part IV of the Financial Disclosure Checklist, an explanation had been requested for four separate old holdings not listed as sales: Clayton Brown, Vacant Lot (Hopewell Twp., Beaver Co., Pa), National Pay Tele. Corp, Money Mkt Inv. Trust Arthurs Lestrange and Short. I trust that the following explanation will provide satisfactory clarification on the status of these holdings.

CLAYTON BROWN
Clayton Brown and Associates, Inc., is the sponsor of First Trust of Insured and Municipal Bonds. Fidata Trust Company, New York, had been the trustee of that Fund until its resignation in 1986. Following the resignation, Clayton Brown and Associates desinnated the Bank of New York, operating through its Wall Street Division, as the Fund's trustee. The appended notice, dated July 1, 1986, has been submitted for your further review.

NATIONAL PAY TELEPHONE CORPORATION
National Pay Telephone Corporation changed its name to Dynamics Science International Inc.

89070291558

Committee on Standards and Official Conduct
May 23, 1989
ATTN: Hon. Julian C. Dixon
Page Two

VACANT LOT (HOPEWELL TWP., BEAVER CO., PA)
This lot was given to my son, David. The property transfer was made to David and Carla Kolter, Scout Lane, Hummelstown, Pa. This transfer is recorded in the Beaver County, Pa. deed book, No. 1304, page 789, July 27, 1987.

MONEY MARKET INV. TRUST, ARTHURS, LESTRANGE AND SHORT
This trust underwent a name change. It is now known as Automated Cash Management Trust, Arthurs, Lestrange and Short.

I hope that the above information provides a thorough explanation of your inquiry. If, however, further clarification is still necessary, please do not hesitate to contact me at your earliest possible convenience.

Sincerely,

Joe Kolter

Joe Kolter
Member of Congress

cc: Clerk, U.S. House of Representatives

enc: (1)

8 9 2 7 2 2

A BANK OF NEW YORK DIVISION
WALL STREET TRUST

NOTICE

07/01/86

Dear Unitholder:

The Bank of New York has purchased certain assets and assumed certain liabilities of Fidata Trust Company New York, including those of the unit investment trust division of Fidata Trust Company New York. Fidata Trust Company New York has resigned as Trustee, and Clayton Brown & Associates, Inc., the Sponsor, has appointed The Bank of New York, operating through its Wall Street Trust division, as the Successor Trustee of the Fund(s) indicated on the attached check stub. The Bank of New York has accepted said appointment as of June 16, 1986.

This notice of change in Trustee is required by the Trust Indenture(s) creating the Fund(s). Although responsibility for the management of the Fund(s) has been assumed by The Bank of New York, the staff and system previously serving you will continue to do so. The change in Trustee will have no impact on the distributions to you. There will be no interruption of service to you. Your rights as a unitholder will not change. You need do nothing as a result of this notice.

If your wish to contact the Successor Trustee, the address is:

Wall Street Trust,
a Bank of New York Division
P.O. BOX 986
Wall Street Station
New York, New York 10268

Or you may contact us at 1-800-225-5145

The Bank of New York
Wall Street Trust division
Successor Trustee

Fidata Trust Company New York
Resigning Trustee

INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

PETER H. KOSTMAYER
(Full Name)

123 Cannon Bldg.
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

1989 MAY 12 PM 2:04
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District 8 State PA

☐ Officer or Employee—Employing Office ____________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO ✓ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Chicago Mercantile Exchange (2-8-88)	honorarium	500.	
CPC International (3-29-88)	"	1,500.	Deposited to
			PHK Charity Fund
[Spouse information not included in			
accordance with 2 U.S.C. 702(d)(2).]			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
IRA Washington Federal Savings + Loan	interest	B
Monarch Variable Life Insurance	interest accrued	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __✓__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
IRA Washington Federal Savings + Loan	C
Monarch Variable Life Insurance	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Monarch Variable Life Insurance	3/11/88	C
(purchased)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
none – other than those secured	
by personal residences.	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
none	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
none		

89170273142

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
none	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
none	

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	none	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	May 11, 1989

OFFICE OF RECORDS & REGISTRATION
1989 MAY 12 PM 2:03
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1989 90-727

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

MAY 15 9 33

FORM A—For use by Members, officers, and employees

Jon Llewellyn Kyl
(Full Name)

313 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 04 State AZ

☐ Officer or Employee—Employing Office ____

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

[illegible]

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Jennings, Strouse & Salmon (equity payments, see Pt. IX)		7,924	None
Public Affairs Study Group, 1/7/88	Honorarium	500	None
Conservative Digest, Inc., 2/16/88	Author's fee	100	None
United Technologies, 2/12/88	Honorarium	1,000	None
Food Marketing Institute, 4/23/88	Honorarium	1,000	None

SEE CONTINUATION SHEET

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Boettcher 1981-82 Drilling Program	Income	B
Northern Village Real Estated Ltd. Partnership	Income	A
MeraBank (Checking and Savings Accounts)	Interest	B
Jones Cable TV Fund 10-B Ltd. Partnership Final	Distribution	E
Carefree-60th St. Properties, Ltd. Partnership	Income	C
Sovran Bank (Checking Account)	Interest	A

SEE CONTINUATION SHEET

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _X_ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Washington St. Properties Real Estate Ltd. Partnership, 9/8/80	C
Flagstaff-Kachina Real Estate Ltd. Partnership, 12/8/80	C
Prescott Dells Real Estate Ltd. Partnership, 6/10/75	A
Carefree-60th St. Properties Real Estate Ltd. Partnership, 12/6/82	C
Pima Rd.-Pinnacle Peak Real Estate Ltd. Partnership, 12/6/82	C
Northern Village Real Estate Ltd. Partnership, 1-86	C

SEE CONTINUATION SHEET

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
NONE	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
United Technologies (Fact-finding)	Airfare DC to West Palm Beach, FL; Orlando, FL to DC; 1 day meals and lodging
Lockheed Missiles & Space Co. (Fact-finding)	Airfare DC to San Jose, California; 1 day meals and lodging

SEE CONTINUATION SHEET

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Crime Victim Foundation
Director	Arizona Academy

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
12-31-86	Jennings, Strouss & Salmon	Former law firm withdrawal rights, payable over 60 months, beginning 1987, at $7,924 per year

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Jon Kyl	May 10, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Jon Llewellyn Kyl
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
IIA	Lockheed Missiles & Space Co., 6/6/88	Honorarium	1,000	None
IIA	Northrup Corp., 6/7/88	Honorarium	2,000	None
IIA	Great American TV & Radio Co., 9/7/88	TV News Fee	750	None
IIA	Chicago Mercantile Exchange, 8/29/88	Honorarium	500	None
IIA	Christian Science Monitor, 9/8/88	Author's Fee	100	None
IIA	The Arizona Republic, 9/11/88	Author's Fee	250	None
IIB	PaineWebber Geodyne Energy Income Ltd. P'ship		Income	C
IIB	PaineWebber Equity Partners 2 Ltd. P'ship		Income	A
IIB	PaineWebber Master Global Fund (Mutual Fund)		Dividens/Capital Gains	B
IIB	PaineWebber Asset Allocation Fund (Mutual Fund)		Dividends	B
IIB	New York Life Insurance		Dividends	A
IIB	Northwestern Mutual Insurance		Dividends	A
IIB	Energy Partners Oil & Gas Ltd. Partnership		Income	A
III	Silver (Bag) [Current Market Value]			B
III	Unimproved 1-acre Lot, Greer, AZ (Full Cash Assessed Value)			C
III	MeraBank Working Capital Account (Savings Account)			B
III	PaineWebber Geodyne Energy Income Ltd. P'ship, 3/23/87			C
III	PaineWebber Equity Partners 2 Ltd. Partnership, 2/20/87			C
III	PaineWebber Master Global Fund (Mutual Fund), 4/6/87			B
III	PaineWebber Asset Allocation Fund (Mutual Fund), 4/29/87			C
III	Longmore & University Real Estate Ltd. P'ship, 4/29/87			C
III	*Energy Partners Oil & Gas Ltd. Partnership, 12/15/82			C
III	*Boettcher 1981-82 Drilling Program, 3/31/82			C
	*Natural Gas Limited Partnerships			
VIII	Northrup Corp. (Fact-finding)	Airfare San Jose, CA to Los Angeles, CA; Los Angeles to DC; 1 day lodging and meals		
VIII	Arizona Medical Association (Speech)	Roundtrip airfare DC to Phoenix, AZ; 1 day lodging and meals		
VIII	Great American TV & Radio Co. (TV appearances)	Airfare DC to New Orleans, LA; New Orleans, LA to Phoenix, AZ; 5 days lodging and meals		
VIII	Chicago Mercantile Exchange (Fact-finding)	One day lodging		
VIII	The Congressional Institute (Seminar participant)	Roundtrip bus trans. to Easton, MD; 2 days lodging and meals (self and spouse)		

GPO: 1987 [illegible]

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

John J. LaFalce, M.C.
(Full Name)

2367 Rayburn H.O.B.
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 32 State New York

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
NY League of Savings Institutions	honorarium	$1000.00	1/4/88
American Business Conference	honorarium	$1000.00	1/11/88
Association of Steel Distributors	honorarium	$1000.00	1/13/88
Institutional Investor	honorarium	$2000.00	1/12/88
Price Waterhouse	honorarium	$2000.00	1/14/88
See continuation sheet marked IIA			

- donated in part to charity
* - donated to charity

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Rent received on property	rent	C
Stocks in Prudential-Bache account	dividends	B
Stocks in Dean Witter accounts	dividends	B
Stocks in Merrill Lynch accounts	dividends	C
Joint account in Merrill Lynch	dividends	A
See continuation sheet marked IIB		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Section #4 which I incorporate by reference.	
Condominium in Western New York	C
Prudential-Bache account	D
Goldome accounts (w)	C
Merrill-Lynch accounts	E
See continuation sheet marked III	

H 9 1 1 1 2 8 1 3 1 4

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
The following are particularized holdings and transactions		
during 1988, and are all included in the various Prudential		
Bache, Merrill Lynch, and Dean Witter accounts listed in		
III. They should not be valued in addition to those accounts		
but as part of those accounts listed in III. See continuation		
sheet marked IV.		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Goldome, mortgage on personal residence	D
Town of Tonawanda, 35 Danbury Lane	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Business Council of NYS, Inc., Albany, NY	Travel & lodging re: speech
Bank Capital Markets Assn., Tucson, AZ	Travel & lodging re: speech
Executive Enterprises Bank Tax Inst., Orlando, FL	Travel & lodging re: speech
National Small Business United, Tampa, FL	Travel & lodging re: speech
See continuation sheet marked VII	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Trustee	Rev. A. Joseph Bissonette Memorial Foundation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
John J LaFalce	5/11/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

John J. LaFalce, M.C.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
IIA	National Assn. of Investment Companies	honorarium	$1000.00	1/25/88
IIA	American Bankers Association	honorarium	$2000.00	1/26/88*
IIA	International Management Dev. Institute	honorarium	$1000.00	1/12/88
IIA	New York State Bankers Association	honorarium	$500.00	1/18/88
IIA	Washington Campus	honorarium	$500.00	1/11/88
IIA	Executive Enterprises Bank Tax Institute	honorarium	$2000.00	1/15/88
IIA	National Small Business United	honorarium	$475.00	3/26/88
IIA	ADAPSO	honorarium	$1000.00	2/16/88
IIA	N.E. Regional Small Business Investment Co.	honorarium	$1000.00	2/16/88
IIA	Business Council of NY State, Inc.	honorarium	$232.00	5/4/88
IIA	National Association of Manufacturers	honorarium	$500.00	3/30/88
IIA	Bank Capital Markets	honorarium	$1500.00	4/8/88 #
IIA	Chicago Mercantile Exchange	honorarium	$500.00	3/22/88
IIA	Chicago Board of Trade	honorarium	$500.00	3/22/88
IIA	American Business Conference	honorarium	$1000.00	4/20/88
IIA	Federal Home Loan Bank of New York	honorarium	$2000.00	5/4/88
IIA	National Retail Manufacturers Assn.	honorarium	$1000.00	5/23/88
IIA	Nelson A. Rockefeller Institute of Govt.	honorarium	$500.00	6/29/88
IIA	Coalition for Regional Banks	honorarium	$500.00	5/4/88
IIA	National Council of Savings Institutions	honorarium	$2000.00	5/9/88*
IIA	Computer & Communications Industry Assn.	honorarium	$1000.00	6/2/88
IIA	J. P. Morgan	honorarium	$2000.00	5/16/88*
IIA	Exec. Enterprises	honorarium	$1000.00	7/6/88
IIA	New Jersey Council of Savings Institutes	honorarium	$2000.00	6/9/88*
IIA	American Bankers Assn. Small Bus. Conf.	honorarium	$2000.00	6/16/88*
IIA	New York State Bankers Assn.	honorarium	$1000.00	6/28/88
IIA	Jones, Day, Reavis & Pogue	honorarium	$2000.00	8/16/88#
IIA	New York Times	honorarium	$150.00	9/22/88
IIA	Gannett News Service	honorarium	$125.00	11/14/88
IIB	M & T accounts (w)	interest		A
IIB	Goldome accounts (w)	interest		C
IIB	MCI Communication	Capital gains		C
IIB	Jaco Electronics	Capital gains		A
IIB	RTE	Capital gains		D
IIB	Brown and Sharpe	Capital gains		A
III	Dean-Witter accounts	D		
III	M&T Accounts	B		
IV	Eastman Kodak	bought	02/03/88	B
IV	GMI Group, Inc.	bought	05/19/88	A
IV	Gannett	bought	08/25/88	A
IV	American Express	bought	09/01/88	A
IV	Sears Roebuck	bought	09/01/88	A
IV	Xerox	bought	09/01/88	B
IV	Barrister Info. Systems	bought	11/03/88	A
IV	Diasonics	held		A
IV	Seagate Technology	bought	07/29/88	A
IV	General Electric	bought	08/15/88	A
IV	Mark IV	bought	09/14/88	B
IV	First Federal Savings Bank CD	bought	09/07/88	B
IV	MIT Bond Series 73 NY	held		C
IV	Alza TTS RESH Partners	held		A
IV	ML Conv. Sec. Inc. Cap. Sh.	held		A
IV	NYC Bonds, Srs. A 8-1-01	held		C
IV	NYC Municipal Water Fin. Auth. Rev. Bonds	bought	03/23/88	A
IV	Texas Eastern Corp.	bought	04/12/88	C
IV	NYC Prams	bought	08/01/88	B

GPO: 1987 78-046

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Page 2 of 2

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

John J. LaFalce, M.C.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
IV	MIT Series 10 Bonds NY	bought	12/16/88	C
IV	Columbia S & L CD	held		B
IV	TIGR Sr. 9	held		B
IV	TIGR Sr. 23	held		B
IV	CATS Sr. S	bought	02/10/88	B
IV	Franklin NY Tax Free Bonds	held		B
IV	Cincinnati Milacron	sold	01/21/88	A
IV	Rogers Corp.	sold	01/21/88	A
IV	IBM	held		B
IV	AVX	sold	01/21/88	B
IV	Materials Research	sold	03/21/88	B
IV	Weis Markets, Inc.	sold	03/21/88	A
IV	Dow Jones & Co.	sold	03/21/88	A
IV	Mark IV	bought	09/22/88	B
IV	MIT Series 13	sold	12/16/88	C
IV	Diasonics	sold	12/20/88	A
IV	Seagate Technology	bought	08/02/88	B
VII	Shawmut Bank, Boston, Mass.	Travel & lodging re: speech		
VII	Peat Marwick, Orlando, FL	Travel & lodging re: speech		
VII	Institute of International Bankers West Berlin, Germany	Travel & lodging re: speech		
VII	ABA Natl. Small Business Conference Boston, MA	Travel & lodging re: speech		
VII	Chicago Mercantile & Chicago Bd. of Trade Chicago, IL	Travel & lodging re: speech		
VII	Nelson Rockefeller Instit. of Govt. Albany, NY	Travel & lodging re: speech		
VII	National Council of Savings Instit. Toronto, Canada	Travel & lodging re: speech		
VII	New Jersey Council of Savings Instit., Williamsburg, VA	Travel & lodging re: speech		

[redacted]

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JOHN J. LaFALCE
32D DISTRICT, NEW YORK

2367 RAYBURN BUILDING
WASHINGTON, DC 20515
(202) 225-3231

Congress of the United States
House of Representatives
Washington, DC 20515

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MAIN POST OFFICE BUILDING
NIAGARA FALLS, NY 14302
(716) 284-9976

FEDERAL BUILDING
ROCHESTER, NY 14614
(716) 263-6424

1989 MAY 18 AM 8 19

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MC

May 16, 1989

The Honorable Donnald K. Anderson
Clerk of the House
1036 Longworth House Office Building
Washington, DC 20515

Dear Mr. Anderson:

I would like to make several corrections to my Financial Disclosure Statement for 1988 filed on May 11, 1989. The corrections are all in Section VII REIMBURSEMENTS.

Business Council of NYS, Albany - should be travel only. No lodging was provided.

Executive Enterprises, Bank Tax Institute, Orlando, Florida - this conference took place in 1987 and was reported for 1987 as the Bank Tax Institute, Orlando, Florida.

Institute of International Bankers, West Berlin, Germany - should be lodging only. The Institute did not pay for travel.

New Jersey Council of Savings Institutes, Williamsburg, Va. - should be travel only. No lodging was provided.

Thank you for making these amendments to my Financial Disclosure Statement.

Sincerely,

John J LaFalce

JOHN J. LaFALCE
Member of Congress

L:jm

89070291560

JOHN J. LAFALCE
32D DISTRICT, NEW YORK

2367 RAYBURN BUILDING
WASHINGTON, DC 20515
(202) 225-3231

INSIDE MAIL

Congress of the United States
House of Representatives
Washington, DC 20515

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U.S. HOUSE OF REPRESENTATIVES

MC

May 24, 1989

The Honorable Donnald K. Anderson
Clerk of the House
1036 Longworth House Office Building
Washington, DC 20515

Dear Mr. Anderson:

In reponse to your Financial Disclosure Checklist - Form A requesting clarification of earned income, please be advised that of the $37,982.00 I received in honoraria in 1988, I kept less than the 1988 limit of $26,850.00 and donated the difference to charitable organizations.

Should the rules of the House call for, or should the Ethics Committee desire a specific listing of the charitable organizations to which I contributed and the amount of each contribution, I'd be pleased to submit such a list with copies of cancelled checks.

In addition, I would like to amend Section IV. - Transactions. Four securities were listed in Section II B. - Unearned Income, but were inadvertently omitted from Section IV. Please add the following transactions to those listed in Section IV:

MCI Communications	- bought	11/8/83	B
	- sold	6/9/88	B
	- bought	2/3/84	B
	- sold	6/10/88	B
Jaco Electronics	- bought	1/16/85	A
	- sold	3/21/88	A
	- bought	2/11/88	A
	- sold	3/24/88	A
RTE	- bought	2/23/88	B
	- bought	3/24/88	B
	- sold	4/5/88	C

Brown & Sharpe	- bought	2/11/87	A
	- bought	4/27/87	A
	- sold	3/21/88	B

Thank you for your attention to this matter.

Sincerely,

John J LaFalce

JOHN J. LaFALCE
Member of Congress

L:jm

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

ROBERT J. LAGOMARSINO
(Full Name)

2332 Rayburn H. O. B.
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 19th State California

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed: identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
CONTINUATION SHEET ATTACHED		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Bank of A. Levy, Ventura, California	D
Personal loans satisfied during 1988	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	
See: III HOLDINGS	
Footnote on continuation sheet	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

8 9 1 7 1 2 3 2 4 9 1

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Lagomarsino's, Inc.	Legal fees	15,000	
Lagomarsino's, Inc. (Spouse)	Director's fees	750	
Wine Institute	Honorarium	1,000	Assigned to Charities

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
CONTINUATION SHEET ATTACHED		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _X_ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
CONTINUATION SHEET ATTACHED	
*See Footnote on Continuation Sheet	

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Congressional Institute, Inc.	Round-trip air transporation
(Seminar Participant)	Washington, C. to Houston,
	Texas, 3 Days Food/Lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Lagomarsino's, Inc. (Spouse)
Partner	Lagomarsino's
Partner	Lagomarsino Citrus
Partner	L & L Citrus

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Robert J. Lagomarsino	5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

ROBERT J. LAGOMARSINO
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)

II B — UNEARNED INCOME

Source	Type	Category
Lagomarsino Citrus	Partnership-Rental R.E.	D
Lagomarsino Citrus	Partnership-Interest	B
Lagomarsino Citrus	Partnership-Dividends	A
Lagomarsino Citrus	Cap.Gains(Install Sale-1977)	D
Lagomarsino's	Partnership-Rental R.E.	C
Lagomarsino's	Partnership-Interest	B
Lagomarsino's	Partnership-Dividends	B
Solimar Beach Trust	Trust-R.E.&Bus. Activity	D
Solimar Beach Trust	Trust-Interest	A
L&L Citrus	Partnership-Interest	E
L&L Citrus	Cap.Gains(install.sale-1979)	D
First Interstate Bank	Dividends	A
Dean Witter	Dividends	A
So. Pacific Co.	Interest	A
Bank of America	Interest	A
Chevron	Oil Lease	A
Cal Western Life	Interest	A
Woodman Life	Interest	A
Social Security Admin.	Disability Benefits(step-son)	B
Calif.State Legislator's Retirement System	Pension	D

III — HOLDINGS

Identity	Type	Category
Ventura Realty	Stock (FMV)	E
Lagomarsino's	Partnership Int.(FMV)	E
Lagomarsino Citrus	Partnership Int.(FMV)	F
L&L Citrus	Partnership Int. (FMV)	E
Lagomarsino's Inc.	Stock (FMV)	F
Solimar Beach Trust	Trust Interest (FMV)	A
Dean Witter/Sears Liq.Assets	Stock (cost)	A
Civil Service Retirement System	Pension (FMV)	D
Calif.Legislator's Retire.Sytem	Calif. Pension Fund	A
Dean Witter/Sears Liq.Assets	Stock (IRA A/C)(cost)	C
Bank of America	Checking Account (cost)	C

Footnote: Undistributed share (1/3 of separate property) of estate of Emilio J. Lagomarsino who died November 3, 1985. Value unknown at this time: in all likelihood Category F

IV — TRANSACTIONS

Brief Description		Date	Category
So.Pacific Co. Debentures	Redemption	1988	A
Civil Service Retirement Fund	Contribution	1988	A
Solimar Beach Trust	Sale of Lots	1988	B

HAND DELIVERED 8 9 2 7 2 2 3 1 5 6 2

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Robert J. Lagomarsino
(Full Name)

2332 Rayburn H. O. B.
(Mailing Address)

Washington, D. C. 20515

✓ MC
(Office Use Only)

MAY 23 9 17

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 19th State California

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Lagomarsino's, Inc.	Legal fees	15,000	
Lagomarsino's, Inc. (Spouse)	Director fees	750	
Wine Institute .	Honorarium	1,000	Assigned to Charities

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Continuation Sheet Attached		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __XX__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
CONTINUATION SHEET ATTACHED	
*See Footnote on Continuation Sheet	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
CONTINUATION SHEET ATTACHED		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Bank of A. Levy, Ventura, California	D
Personal loans satisfied during 1988	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	
See: III HOLDINGS	
Footnote on continuation sheet	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Congressional Institute, Inc.	Round-trip air transporation
(Seminar Participant)	Washington, D. C. to Houston,
	Texas, 3 Days Food/Lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Director	Lagomarsino's, Inc. (Spouse)
Partner	Lagomarsino's
Partner	Lagomarsino Citrus
Partner	L & L Citrus

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Robert J. Lagomarsino	5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

ROBERT J. LAGOMARSINO
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II B	UNEARNED INCOME

Source	Type	Category
Lagomarsino Citrus	Partnership-Rental R.E.	D
Lagomarsino Citrus	Partnership-Interest	B
Lagomarsino Citrus	Partnership-Dividends	A
Lagomarsino Citrus	Cap.Gains(Install Sale-1977)	D
Lagomarsino's	Partnership-Rental R.E.	C
Lagomarsino's	Partnership-Interest	B
Lagomarsino's	Partnership-Dividends	B
Solimar Beach Trust	Trust-R.E.&Bus. Activity	D
Solimar Beach Trust	Trust-Interest	A
L&L Citrus	Partnership-Interest	E
L&L Citrus	Cap.Gains(install.sale-1979)	D
First Interstate Bank	Dividends	A
Dean Witter	Dividends	A
So. Pacific Co.	Interest	A
Bank of America	Interest	A
Chevron	Oil Lease	A
Cal Western Life	Interest	A
Woodman Life	Interest	A
Social Security Admin.	Disability Benefits(step-son)	B
Calif.State Legislator's Retirement System	Pension	D

III — HOLDINGS

Identity	Type	Category
Ventura Realty	Stock (FMV)	E
Lagomarsino's	Partnership Int.(FMV)	E
Lagomarsino Citrus	Partnership Int.(FMV)	F
L&L Citrus	Partnership Int. (FMV)	E
Lagomarsino's Inc.	Stock (FMV)	F
Solimar Beach Trust	Trust Interest (FMV)	A
Dean Witter/Sears Liq.Assets	Stock (cost)	A
Civil Service Retirement System	Pension (FMV)	D
Calif.Legislator's Retire.Sytem	Calif. Pension Fund	A
Dean Witter/Sears Liq.Assets	Stock (IRA A/C)(cost)	C
Bank of America	Checking Account (cost)	C

Footnote: Undistributed share (1/3 of separate property) of estate of Emilio J. Lagomarsino who died November 3, 1985. Value unknown at this time: in all likelihood Category F

IV — TRANSACTIONS

Brief Description		Date	Category
So.Pacific Co. Debentures	Redemption	1988	A
Civil Service Retirement Fund	Contribution	1988	A
Solimar Beach Trust	Sale of Lots	1988	B

III — HOLDINGS CONTINUED

Identity	Type	Category
Chevron	oil lease	A
Woodman Life	life insurance policy	B

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

H. Martin Lancaster
(Full Name)

1417 Longworth House Office Building
(Mailing Address)

Washington, D. C. 20515

MC (Office Use Only)

MAY 15 11:07

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 3 State North Carolina

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,098, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

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REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES X NO ______ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
(a) US House of Representatives	Salary	$89,000	
(b) Northern Virginia Community College (Spouse)	Salary	21,965	
(c) Baddour, Parker & Hine	Legal Fees	2,500	
(d) Montgomery Community College (Spouse)	Salary	7,869	
Honoraria — see continuation sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
(a) Briarwood Investments	Rent	A
(b) 208 S. Wm. St., Goldsboro, 1/4 undiv. int. sold	Capital Gain	D
(c) Matheny Motors (Spouse)	Dividend	B
(d) Exxon (Spouse)	Dividend	B
(e) Nationwide Insurance (Spouse)	Policy Dividend	A
(f) Branch Bank & Trust (Spouse)	Interest	A
(g) First Financial S & L	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES XX NO ____ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
(a) 1st Union National Bank (Checking)	B
(b) Southern National Bank (Checking)	A
(c) Branch Bank & Trust (Checking)	A
(d) Briarwood Investments (1/4 undiv. int. in 16 Apts & Land)	E
(e) Lawyers Mutual Liability Ins. Co. (Stock)	A
(f) Exxon (Stock)	B

— see continuation sheet 2

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Sale of 208 S. Williams St., Goldsboro, (1/4 undiv. int.)	9-30-88	D

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
1st American S & L - Holder of note and deed of trust on Briarwood Investment property	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Continuation Sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Briarwood Investments (Owner of rental apartment units)
Director	Wayne Implement Corp.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
H Martin Lancaster	May 11, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

H. Martin Lancaster
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II A	(e) The Tobacco Institute	Honorarium	$1,000	1/14/88
	(f) N.C. Soc. of Anesthesiologists, Inc.	"	1,000	7/31/88
	(g) RJR Nabisco	"	2,000	11/16/88
	(h) Northrop Corporation	"	2,000	12/12-15/88
	(i) Rockwell International	"	1,000	12/12-15/88
	(j) Bell Helicopter	"	1,000	12/12-15/88
	(k) LTV Aerospace & Defense Company	"	1,000	12/12-15/88
III	(g) 1st Financial S & L	B		
	(h) State Employees Credit Union (Savings)	B		
	(i) 1/2 Interest in lot at Bald Island, NC	C		
	(j) Matheny Motors, Inc. (Stock)	C		
I & III	Educational Trust Created by Wade Matheny for benefit of daughters		Unknown	
VII	(a) 1/12-14/88 -- Tobacco Institute -- Travel to Palm Springs, California, for Conference			
	(b) 3/12-13/88 -- Tobacco Association of U.S. -- Travel to Hilton Head, S.C. to speak			
	(c) 4/22-24/88 -- New York City Arts Host Committee (Congressional Arts Caucus trip to NYC -- Travel & theatre)			
	(d) 7/30-31/88 -- NC Society of Anesthesiologists, Inc. -- Travel to Smith Mountain Lake, VA, to speak			
	(e) 8/12-19/88 -- ROC-USA Economic Council -- Travel to visit Taipei, Taiwan, and meet with officials there.			
	(f) 12/12-15/88 - Northrop Corporation -- Travel to Los Angeles, California to speak and tour facilities			
	- Rockwell International -- Travel to Segundo, California to speak and tour facilities			
	- Bell Helicopter -- Travel to Fort Worth, Texas, to speak and tour facilities			
	- LTV Aerospace & Defense Co., - Travel to Dallas, Texas, to speak and tour facilities			
	12/27/87 - 1/3/88 -- Contributions from Jewish Constituents -- travel expenses to visit Israel (Reported on statement for 1987)			

GPO 1987 70-045

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INSIDE MA

Congress
of the
United States
House of Representatives

H. MARTIN LANCASTER
NORTH CAROLINA
THIRD DISTRICT

RECEIVED
OFFICE OF RECORDS & REGISTRATION
1989 MAY 19 PM 1:55
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

May 19, 1989

The Honorable Donnald Anderson
Clerk, House of Representatives
The Capitol
Washington, D. C. 20515

MC

Dear Donn:

I just received a checklist notice from Chairman Julian C. Dixon and Ranking Minority Member, John T. Myers, Committee on Standards of Official Conduct indicating I failed to furnish the location of real estate holdings on Part III, HOLDINGS, on my Financial Disclosure form recently filed.

By copy of this letter to you and two copies to the Office of Records and Registration I am amending my Financial Disclosure form which was mailed on May 11, 1989 to show the location of the real estate holdings requested.

Briarwood Investments is the real estate holdings not identified and this real estate property is located on Berkley Bldv., Goldsboro, North Carolina 27530.

With kindest regards, I am

Sincerely,

H. Martin Lancaster
H. Martin Lancaster
Member of Congress

HML:hrb

At-Large Majority Whip
Committees:
Agriculture
Armed Services
Small Business

Washington Office:
1417 Longworth House Office Building
Washington, D.C. 20515
(202) 225-3415

☐ District Office:
Room 103 Federal Building
134 N. John Street
Goldsboro, N.C. 27530
(919) 736-1844
(800) 443-6847

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED **Committee on Standards of Official Conduct**

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

TOM LANTOS
(Full Name)

P.O. Box 611
(Mailing Address)

Burlingame, CA 94011

MC (Office Use Only)

1989 MAY 11 PM 12: 37

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 11 State California

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Page 5, Part II, A			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
California Teachers Association	pension	D
State of California Public Systems	pension	D
Civil Service Retirement	refund int.	C
IRS	interest	B
Merrill Lynch Cash Management Acct.	dividends	E
Allegis Corp. (United Airlines)	gains, long.	E
Merrill Lynch & Co.	gains, long.	D

NOTE: For Parts III, IV, and V below, indic[illegible]tegory of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D[illegible]001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Merrill Lynch Cash Management Acct.	F
Keogh Retirement Account	E
IRA	B
IRA (Spouse)	B
Home, San Mateo County, Calif.	F

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Allegis Corp. (United Airlines) sale	3/29/88	D
Allegis Corp. (United Airlines) sale	4/20/88	C
Merrill Lynch & Company sale	10/21/88	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Home, San Mateo County, California mortgage	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
N/A	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
N/A		

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Page 5, Part VII	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Consultant	Carr-Gottstein Company
Chairman	Congressional Human Rights Foundation

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
N/A		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Tom Lantos	5/10/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT (WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Tom Lantos
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II,A	Office and Professional Employees, NW Conference, San Francisco; lecture; 5/14/88; $1500; none.
	Wine Institute, San Francisco; lecture; 6/3/88; $1000; charity.
	Aydin Corporation, San Mateo; lecture; 10/4/88; $2000; none.
	San Francisco Steering Committee of Seyfarth, Shaw, Fairweather & Geraldson; lecture; 4/18/88; $2000; charity.
	J.B. Gottstein and Company, Anchorage; consulting; 1988; $9000; none.
	American Friends of Hebrew University, Palm Beach; lecture; 2/22/88; $2000; none.
	Short Hills Congressional Dinner, New Jersey; lecture; 3/25/88; $2000; none.
	New Jersey Youth Forum; lecture; 3/26/88; $2000; none.
	Houston Bonds Dinner; lecture; 4/17/88; $2000; none.
	Vineland Israel Bonds Dinner, New Jersey; lecture; 4/24/88; $2000; none.
	Montreal Bonds Dinner; lecture; 5/31/88; $2000; none.
	San Diego Federation Dinner; lecture; 6/13/88; $2000; none.
VII.	Israel Forum, New York; conference; transportation from Washington, DC, to Israel, two days' food and lodging for self and spouse.
	American Friends of Hebrew University, Palm Beach; lecture; transportation from Washington, DC, to Florida and return, two days' food and lodging for self and spouse.
	Short Hills Congregation, New Jersey; transportation from Washington, DC, to New Jersey and return, one day's food and lodging for self and spouse.
	Houston Israel Bonds; lecture; transportation from Washington, DC, to Texas and return, one day's food and lodging for self and spouse.
	Montreal Israel Bonds; lecture; transportation from San Francisco to Montrealto D.C. , one day's food and lodging for self and spouse.
	San Diego United Jewish Federation; lecture; transportation from Washington, DC, to San Diego and return for self and spouse.
	Taiwan Business Council, Washington, DC; fact-finding event; transportation from San Francisco to Taiwan to Hong Kong to San Francisco, five days' food and lodging for self and spouse.
	Atari Corporation, Sunnyvale, CA; lecture; two days' food and lodging in Hong Kong for self and spouse.
	Congressional Human Rights Foundation, Washington, DC; fact-finding event; transportation from Hong Kong to Beijing to Hong Kong, two days' food and lodging for self and spouse.
	U.S. Association for the United Nations; fact-finding event; transportation from Washington, DC, to New York, NY, one day's food and lodging for self and spouse.

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—*For use by Members, officers, and employees*

Gregory Haines Laughlin
(Full Name)

P.O. Box 504
West Columbia, Texas 77486
(Mailing Address)

MC (Office Use Only)

U.S. HOUSE OF REPRESENTATIVES
89 MAY 15 PM 2 56

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 14 State Texas

☐ Officer or Employee—Employing Office __________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Griggs, Griggs & Laughlin	Legal Fee	$148,033	none
U.S. Army Reserves	Salary	$1,656	none
Sherwood Van Lines	Honoraria	$2,000	none

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Super 8 Motel Limited (Spouse)	Dividend	A
Brazoria Rent Houses (Spouse)	Rent	C
West Columbia National Bank	Interest	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Super 8 Motels	B
Unimproved 13.66 acres, Damon Highway, 35% interest	D
Unimproved 18 Acres, Bernard River, 33% Interest	C
Lots on Louisiana Street, Brazoria, Texas	C
Pipeline Partners	C
Partnership Interests	C
Plaza Partners	C
Delta Gamblers	C
Eugene Island Oil, Inc.	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
NONE		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME: any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Banc Main, Houston, Texas	C
West Columbia National Bank, West Columbia, Tx Personal note	D
D.T. Ottinger, Mortgage on Damon Highway Property	A
West Columbia National Bank, West Columbia, Tx, Mortgage on Bernard River Property	A

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE, AS NOT A MEMBER	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE, AS NOT A MEMBER	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION	
Board of Directors	West Columbia Chamber of Commerce	1986-1988
Partner	Griggs, Griggs & Laughlin	1987-present

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE PARTIES TO TERMS OF AGREEMENT

Oral agreement with my cousin, Wes Griggs, that I may return to the family law firm upon leaving Congress

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature Greg Laughlin

Date 11 May 89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

HAND DELIVERED

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GREG LAUGHLIN
14TH DISTRICT, TEXAS

COMMITTEE ON
PUBLIC WORKS AND TRANSPORTATION

SUBCOMMITTEES
SURFACE TRANSPORTATION
AVIATION
WATER RESOURCES

COMMITTEE ON
MERCHANT MARINE AND FISHERIES

SUBCOMMITTEES
MERCHANT MARINE
COAST GUARD

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE:
1622 LONGWORTH BLDG.
WASHINGTON, D.C. 20515
(202) 225-2831

DISTRICT OFFICES:
312 SOUTH MAIN STREET
VICTORIA, TX 77901
(512) 576-1231

CITY HALL
221 EAST MAIN
SUITE 200
ROUND ROCK, TX 78664
(512) 244-3765

MAY 22 PM 3:53

MC ✓

May 22, 1989

The Honorable Donnald K. Anderson
Clerk of the House of Representatives
1036 Longworth Building
Washington, D.C. 20515

Dear Mr. Anderson:

Please amend my 1988 Financial Disclosure report in Section III, designating "Holdings".

The entries indicated as Pipeline Partners, Partnership Interest, Plaza Partners, and Delta Gamblers are all oil and gas investments.

Sincerely,

Greg Laughlin

Greg Laughlin
Member of Congress

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

MAY 12 PM 4:24

FORM A—For use by Members, officers, and employees

Congressman Jim Leach and Family
(Full Name)

1514 Longworth HOB
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District First State Iowa

☐ Officer or Employee—Employing Office __________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
see continuation sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
see continuation sheet		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO XX______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
see continuation sheet	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See continuation sheet		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Loan Central Trust Bank	E
Loan Perpetual Savings Bank	D

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
N.A.	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
N.A.		

[illegible]

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See continuation sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Member of the Board	Woodrow Wilson School of Princeton University
Vice-Chairman of the Board	Twentieth Century Fund (A non-profit educational Foundation
Member of the Board	Iowa Wesleyan College
Chairman	Ripon Society (A non-profit organization)
Chairman	Republican Mainstream Committee
Member of the Board	Johns Hopkins University (SAIS) Advisory Board

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	N.A.	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	12 May, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE 1989 [illegible]

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Page 1 of 5

ETHICS IN GOVERNMENT ACT–FINANCIAL DISCLOSURE STATEMENT

Congressman Jim Leach and family
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II-A	I have a policy of accepting NO honoraria . At my request the United Nations Association U.S.A contributed $100.00 to the Ripon Society; the Insurance Information Institute contributed $500.00 to the Ripon Education Fund, and the Securities Industrial Association contributed $2000.00 to the Republican Mainstream Committee.

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Page 2 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Congressman Jim Leach and Family
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*		
II B	Adel Wholesalers, Inc.	Dividend	D
	Adel Furnace Wholesalers	Interest	A
	Equitable Life	Interest	A
	Leach Family Farms	Dividend	A
	Leach Realty	Interest	A
	Oppenheimer	Interest	A
	Merrill Lynch CMA	Interest	A

GPO 1977 79-068 (ed)

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Page 3 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Congressman Jim Leach and Family

(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
III	Adel Furnace Wholesalers	E
	Adel Wholesalers Inc	E
	Automation Systems Inc	E
	F.C.I Inc	A
	Leach Realty	C
	Mehta Tech. Inc.	F
	Riparian Realty	D
	Foxley Cattle Co.	F
	Foxley Real Estate Investments	C
	W.C. Foxley Trust	D
	IPM Technology	F
	Foxley Leach Gallery	F
	Oppenheimer	A
	Adams Express	A
	Wyoming Mineral Rights	B

Page 4 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Congressman Jim Leach and Family
(Name)

Continuation Sheet

Part	*Source, Type, Amount, Category, Description or Value (As Applicable)*	
IV	Wyoming mineral rights transferred from mother of Elisabeth Foxley Leach to Elisabeth Foxley Leach	B

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Congressman Jim Leach and Family
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	
VII	20th Century Fund Board Meetings -N.Y.	Travel-Lodging-Meals
	Lincoln Club United Nations Association-Calif.	Travel-Lodging-Meals
	Michigan Mainstream Dinner - Detroit	Travel-Lodging-Meals
	Iowa Independent Bankers - Spirit Lake, Iowa	Travel-Lodging-Meals
	Presidential Debates -Winston Salem, N.C. (paid for by 20th Century Fund)	Travel-Lodging-Meals
	Caribbean Central American Action- Florida	Travel-Lodging-Meals
	Forsman Association for Public Affairs, N.Y.	Travel-Lodging-Meals

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

James Marvin Leath
(Full Name)

336 Cannon Building
(Mailing Address)

Washington, D.C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District 11 State Texas

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, each interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE		TYPE	AMOUNT	DISPOSITION
Optic Electronic Corp	Recd 1/25/88	Honorarium	$2000	Charity
Oshkosh Truck Corp	Recd 1/25/88	Honorarium	$2000	Charity
General Electric	Recd 3/7/88	Honorarium	$1000	Charity
Avco Systems	Recd 3/11/88	Honorarium	$2000	Charity
American Retail Federation	Recd 3/14/88	Honorarium	$2000	Charity($1550)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Rental Property, Waco, TX	Rent	D
Oil & Gas Leases, Brown & Coke Counties,TX	Royalties	E
Resolve Drilling	Interest	B
Marlin Mineral Water Baths, Inc.	Interest	B
Lometa Minerals	Royalties	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO X______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
316-320 Mill Creek, Waco, TX	Rental Property	E
1009 Jefferson, Waco, TX	Rental Property	D
Altomar, Inc.	Stock	E
C & L #1, Copperas Cove, TX	Land(Partnership-50%)	E
C & L #2, Copperas Cove, TX	Apts.(Partnership-50%)	E
C & L #3, Copperas Cove, TX	Warehouses(Partnership-33 1/3%)*	E

*Incorrectly shown as 50% on previous reports

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Oil & Gas Leases:		
Purchased 2% WI Higgins Pilot Waterflood		
Project, Coke Co.	11/88	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY		CATEGORY
First State Bank, Marlin, TX	Personal/Business Demand Note	E
Gold Reserve Life Insurance Co.	Personal Demand Note	D
United Bank, Waco, TX	Business Demand Note	F
Ft.Worth State Bank,Ft.Worth,TX	Business Demand Note	D
Citizens State Bank,Lometa,TX	Business Demand Note	C
American Natl.Bank, Waco, TX	Mortgage on Rental Property	E

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
American Logistics (Speech)	Airfare Waco-San Antonio,TX
Avco System (Speech)	Airfare Wash.,DC-Boston,MA
General Electric (Speech)	Airfare Boston,MA-Wash,DC
Jackson Day Committee (Speech)	Airfare Wash.,DC-Springfield, MO-Wash.,DC;one day food/lodging

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Secretary-Director	Altomar, Inc
Executor	Estate of F. M. Neill (deceased)
Partner	C & L #1, #2, #3
Partner	Lometa Minerals, Ltd.
Partner	B M L Partnership
Partner	L P C Minerals

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
NONE		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Marvin Leath	May 5, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

James Marvin Leath
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II. A	American Logistics	Recd. 3/21/88 Honorarium	$2000
	Oklahoma Business Dev. Council	Recd. 3/25/88 Honorarium	$2000
	McDonnell-Douglas Helicopter Co.	Recd. 4/1/88 Honorarium	$2000
	General Dynamics		
	Pomona Division	Recd. 4/4/88 Honorarium	$1000
	Valley Systems Div.	Recd. 4/4/88 Honorarium	$1000
	Armed Forces Mktg.Council	Recd. 4/1/88 Honorarium	$2000
	FMC Corp.	Recd. 4/15/88 Honorarium	$2000
	Talley Defense Systems	Recd. 4/29/88 Honorarium	$1000
	Loral Corp.	Recd. 5/4/88 Honorarium	$1000
	Hughes Aircraft Co.	Recd. 5/6/88 Honorarium	$2000
	Kilgore College	Recd. 5/10/88 Honorarium	$ 400
	Institute for the Common Defense, Inc.	Recd. 5/17/88 Honorarium	$2000
	The Tobacco Institute	Recd. 5/20/88 Honorarium	$2000
	Assn. of Old Crows	Recd. 10/5/88 Honorarium	$2000
	Indept.Bankers Assn.,Texas	Recd.10/13/88 Honorarium	$2000
	Textron, Inc.	Recd.11/22/88 Honorarium	$2000
	The Altomar Collection (spouse)	Salary	
III.	Lometa Minerals,Ltd.,Lometa,TX	Ltd.Partnership-15%	C
	B M L	Bldgs.,Partnershp-33 1/3	D
	Cedar Hill Joint Venture	Land	D
	LPC Minerals	Ltd.Partnership-25%	C
	Oil & Gas Leases,Brown Co.,TX	5% Working Interest	E
	Oil & Gas Leases,Coke Co. TX	2% Working Interest	E
	Feather Bay, Brownwood, TX	Lot	B
	Resolve Drilling	Stock/Notes	D
	Marlin Mineral Water Baths,Inc.	Stock/Note	B
	Killeen Centre,Killeen,TX	Partnership dissolved	No Value
V.	1st Natl.Bank,Temple, TX	Business Demand Note	C
	First State Bank,Abilene,TX	Mortgage on Lot	B
VII.	Hughes Aircraft (Speech) FMC Corp. (Speech) General Dynamics (Speech Allied Signal Aerospace(Speech)	Airfare Dallas,TX-Phoenix,AZ-Los Angeles,CA-Ontario,CA-San Jose,CA-Wash.,DC; four days food/lodging (these four companies paid pro rata share of expenses)	
	Pace,Weil & Asso.Internatl. (Speech)	Airfare Wash,DC-New Orleans,LA-Wash,DC; one day food/lodging	
	Indep.Bankers Assn.of Texas (Speech)	Airfare Wash,DC-Houston,TX-Wash.,DC; one day food/lodging	
	Textron, Inc. (Speech	Airfare Wash,DC-Atlanta,GA-Wash-DC	
	Assn.of Old Crows (Speech)	Airfare Wash,DC-Los Angeles,CA-Wash,DC;one day food/lodging	

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Richard H. Lehman, M. C.
(Full Name)

2115 Kern Street, Suite 210
(Mailing Address)

Fresno, California 93710

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☑ Member of the U.S. House of Representatives—District 18th State California

☐ Officer or Employee—Employing Office ______

☐ Check if amended Statement.

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STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO X NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

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EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
SEE ATTACHED CONTINUATION SHEET			

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B. SOURCE	TYPE	CATEGORY
Charles Schwab Money Market Account	Interest	A
Educational Employees Credit Union Savings	Interest	A
T. Rowe Price California Tax Free Mutual Fund	Interest	A
Fidelity California Tax Free Mutual Fund	Interest	A
University Savings, Fresno, CA	Interest	B
Wright Patman Congressional Federal Credit Union	Interest	A
Mr. Roger West	Rental Income	C

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (*See*, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Fidelity Keogh Plan (Magellan, Capital Appreciation, Puritan, Freedom, Cash Reserves)	A
Charles Schwab Money Market Account	B
Three acres undeveloped property - North Fork, CA	C
University Savings, Fresno, CA	A
Condominium - Palm Springs, CA (2nd home, converted to rental property)	C

IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Purchased three acres of undeveloped property in North Fork, California	5/88	C
Fidelity Keogh Plan	4/88	C

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Fidelity Federal Savings and Loan	E
(mortgage on Palm Springs Condominium)	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
(SEE ATTACHED CONTINUATION SHEET)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
	NONE

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Richard H. Leh	5 - 10 - 89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Richard H. Lehman, M. C.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II	INCOME
1988	House of Representatives (Member's salary)
1988	Fresno Unified School District (Spouse's salary)
1/11/88	Great Western Financial Corp - HONORARIUM - $2000.00
1/26/88	Council for Rural Housing & Development - HONORARIUM - $2000.00
1/26/88	California Association of Thrift & Loan Companies - HONORARIUM - $2000.0
1/29/88	Nevada Resort Association - HONORARIUM - $1000.00
2/26/88	California Bankers Association - HONORARIUM - $1000.00
2/29/88	Connell Rice & Sugar Co., Inc. - HONORARIUM - $2000.00
3/2/88	The Secura Group - HONORARIUM - $1000.00
3/21/88	Securities Industry Association - HONORARIUM - $2000.00
4/19/88	American Financial Services Assn - HONORARIUM - $1000.00
4/18/88	Chicago Mercantile Exchange - HONORARIUM - $500.00
4/25/88	Morgan Stanley & Co. - HONORARIUM - $2000.00
4/18/88	Chicago Board of Trade - HONORARIUM - $500.00
4/21/88	Security Pacific Corp - HONORARIUM - $1000.00
5/3/88	National Coal Association - HONORARIUM - $500.00
5/4/88	Goldman, Sachs & Co. - HONORARIUM - $2000.00
5/16/88	John Hancock Mutual Life Insurance Co. - HONORARIUM - $2000.00
7/8/88	Pacific Enterprises - HONORARIUM - $2000.00
9/29/88	American Financial Services Assn - HONORARIUM - $1000.00
11/11/88	National Association of Realtors - HONORARIUM - $2000.00
11/1/88	Rural Builders Council of California - HONORARIUM - $1000.00
	TOTAL HONORARIA - $28500.0
	(Charitable contributions were made totaling $1650.00 to: Armenian Earthquake Relief $500; San Joaquin River Parkway and Conservation Trust $500; American Cancer Society, Fresno $250; Future Farmers of America (Yosemite High School) $200; Future Farmers of America (Sanger High School) $200.
VII	REIMBURSEMENTS
1/4/88	Securities Industry Association - AIRFARE, LODGING
2/2/88	California Association of Thrift and Loan Companies - AIRFARE
4/4/88	Chicago Board of Trade - AIRFARE
4/22-25/88	Goldman, Sachs & Co./Morgan Stanley & Co. - HOTEL
5/8/88	John Hancock Mutual Life Insurance Co. - AIRFARE
7/1-5/88	Yosemite Park & Curry Company, Yosemite National Park, CA LODGING, MEALS, GROUND TRANSPORTATION
9/20/88	American Financial Services Assn - HOTEL
12/6/88	Rural Builders Council of California - AIRFARE
12/22/88	National Association of Realtors - AIRFARE

GPO: [illegible]

HAND DELIVERED 8 9 0 7 0 2 9 1 5 6 7

RICHARD H. LEHMAN
18TH DISTRICT
CALIFORNIA

WHIP-AT-LARGE

COMMITTEE ON
INTERIOR AND INSULAR
AFFAIRS

COMMITTEE ON
BANKING, FINANCE AND
URBAN AFFAIRS

CHAIRMAN
SUBCOMMITTEE ON
CONSUMER AFFAIRS AND COINAGE

Congress of the United States
House of Representatives
Washington, D.C. 20515

☐ 1319 LONGWORTH BUILDING
WASHINGTON, D.C. [illegible]
[illegible]

☐ SUITE 210
2115 KERN STREET
FRESNO, CALIFORNIA [illegible]
[illegible]

☐ FEDERAL BUILDING, SUITE 216
401 NORTH SAN JOAQUIN STREET
STOCKTON, CALIFORNIA [illegible]
[illegible]

☐ 48 WEST YANEY AVENUE
ALBERT N. FRANCISCO BUILDING
SONORA, CALIFORNIA [illegible]
[illegible]

May 24, 1989

MAY 25 PM 1:16

U.S. [illegible] REPRESENTATIVES

✓

MC

Honorable Donnald Anderson
Clerk
House of Representatives
Office of Records & Registration
1036 Longworth Building
Washington, D.C. 20515

Dear Donn:

In answer to the letter from the Committee on Standards of Official Conduct dated May 17, 1989, I hereby amend my Financial Disclosure Statement for 1988 as follows:

PART III - HOLDINGS

Add:	Category
Fidelity California Tax Free Mutual Fund	A
T. Rowe Price California Tax Free Mutual Fund	A

PART IV - TRANSACTIONS

Previously reported property 433 East Cole Avenue is my personal residence.

PART VII - REIMBURSEMENTS

1/4/88 - Securities Industry Association - AIR FARE - WASHINGTON/WEST PALM BEACH, FLORIDA AND LODGING.

2/2/88 - California Association of Thrift and Loan Companies - AIR FARE - FRESNO, CA/ORANGE COUNTY, CA/FRESNO.

4/4/88 - Chicago Board of Trade - AIR FARE - FRESNO, CA/CHICAGO, ILL/WASHINGTON.

4/22-25/88 -Goldman, Sachs & Co./Morgan Stanley & Co. - LODGING.

5/8/88 - John Hancock Mutual Life Insurance Co. - AIRFARE - FRESNO, CA/BOSTON, MA/WASHINGTON.

7/1-5/88 - Yosemite Park & Curry Company, Yosemite National Park, CA - LODGING, MEALS AND GROUND TRANSPORTATION.

9/20/88 - American Financial Services Association - LODGING.

Honorable Donnald Anderson
Page 2
May 24, 1989

12/6/88 - Rural Builders Council of California - AIRFARE - FRESNO, CA/RENO, NV/FRESNO.
12/22/88 - National Association of Realtors - AIRFARE - FRESNO, CA/SAN FRANCISCO, CA/FRESNO.

If I can provide further information, please feel free to contact me.

Sincerely,

Richd Lehm

RICHARD H. LEHMAN
Member of Congress

RHL:vb

Enclosure

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HAND DELIVERED

89 MAY 15 PM 2 28

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

WILLIAM MARX LEHMAN
(Full Name)

2347 RAYBURN HOUSE OFFICE BUILDING
(Mailing Address)

WASHINGTON, D. C. 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 17 State FLORIDA

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

8 9 1 7 1 2 3 2 0 7 2

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
LEHMAN DEALERSHIP ENTERPRISES, INC.	SALARY	$ 6,000.00	NONE
SHIPBUILDERS COUNCIL OF AMERICA (2/8/88)	HONORAR.	$ 1,000.00	NONE
ILLINOIS AMBASSADORS (3/18/88)	HONORAR.	$ 1,000.00	NONE
AIR TRANSPORT ASSOCIATION (3/23/88)	HONORAR.	$ 1,000.00	NONE
AMERICAN PUBLIC TRANSIT ASSOCIATION (3/23/88)	HONORAR.	$ 1,000.00	NONE

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
CONGRESSMAN RICHARD DURBIN	INTEREST	A
DREXEL BURNHAM	INTEREST	C
CONGRESSIONAL FEDERAL CREDIT UNION	INTEREST	C
DODGE CITY PARTNERSHIP	INTEREST	D
417 NEW JERSEY AVENUE, S.E., WASHINGTON, D. C.	RENT	D
WILLIAM LEHMAN BUICK, INC.	INTEREST	E
WILLIAM LEHMAN, JR.	INTEREST	E

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 108(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
AUSTIN, TEXAS ELECTRIC POWER & LIGHT (BOND)	B
WILLIAM LEHMAN SUZUKI (REAL ESTATE)21000 N.W. 2nd AVE., MIAMI, FLORIDA	B
DOUGLAS & CHAMBERLAIN CORPORATION (SHAREHOLDER)	B
JOAN LEHMAN SCULPTURE STUDIO, 15033 MEMORIAL HIGHWAY, MIAMI, FLORIDA	C
DALLAS, TEXAS INDEPENDENT SCHOOL DISTRICT (BOND)	C
DODGE CITY PARTNERSHIP (REAL ESTATE)21151 N.E. 2nd AVE., MIAMI, FLORIDA	C
WILLIAM LEHMAN BUICK, INC. (NOTE RECEIVABLE)21400 NW 2nd AVE., MIAMI, FLA.	D
417 NEW JERSEY AVENUE, S.E., WASHINGTON, D. C. (REAL ESTATE)	D
CONGRESSIONAL FEDERAL CREDIT UNION (MONEY MARKET) WASHINGTON, D. C.	D
WILLIAM LEHMAN, JR., (NOTE RECEIVABLE)21400 NW 2nd AVE., MIAMI, FLORIDA	E

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
SOLD INTEREST IN DODGE CITY PARTNERSHIP (6.67%)	8/11/88	C
(REAL ESTATE)		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
McLACHLEN NATIONAL BANK (MORTGAGE)	D
MAURICE ROSENBLATT (TRUST NOTE)	C

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

9 3 3 7 1 2 3 2 0 7 1

VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII. includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. **IF NONE, SO STATE.**

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
GREATER ORLANDO CHAMBER OF COMMERCE (Orlando, Fla.) (Florida Congressional Partnership Weekend)	Provided round-trip airfare Miami/ Orlando/Miami (Congressional Forum)
KEEFE COMPANY, CRESCENT ASSOCIATES & MAYOR ANNETTE STRAUSS (City of Dallas)	Provided air travel between Houston and Dallas, Texas: 2 days food and lodging (Speaking engagements) - Accompanied by spouse

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. **IF NONE, SO STATE.**

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
PARTNERSHIP	DODGE CITY PARTNERSHIP
PARTNERSHIP	WILLIAM LEHMAN SUZUKI
CONSULTANT	LEHMAN DEALERSHIP ENTERPRISES, INC.
PARTNERSHIP	TOWNHOUSE - 417 NEW JERSEY AVENUE, S.E., WASHINGTON, D.C.

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. **IF NONE, SO STATE.**

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	MAY 10, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

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Page 5 of 5

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

WILLIAN LEHMAN, M.C.
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)			
II. INCOME	DANNENBAUM ENGINEERING CORP (6/23/88)	HONORAR.	$ 2,000.00	NONE
	SALT LAKE R/UDAT (8/23/88)	HONORAR.	$ 2,000.00	NONE
	REGIONAL PUBLIC TRANSPORTATION AUTHORITY (8/22/88)	HONORAR.	$ 2,000.00	NONE
	CUBAN AMERICAN NATIONAL FOUNDATION, Inc. (12/29/88)	HONORAR.	$ 2,000.00	NONE
	SOCIAL SECURITY	BENEFITS	$14,002.40	
	SOCIAL SECURITY (SPOUSE)	BENEFITS	-	
VII. REIMBURSEMENTS	FOREIGN POLICY INSTITUTE/TURKEY	Provided round-trip airfare Washington/ Ankara, Turkey/Washington; 7 days food and lodging - Fact-Finding Visit (Accompanied by spouse)		

A 9 0 7 7 2 9 2 7 0 5

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

George Thomas Leland
(Full Name)

2236 Rayburn HOB
(Mailing Address)

Washington D.C. 20515

Mc (Office Use Only)

[illegible] 15 PM 5:01

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 18 State TX

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO XX NA ______

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". **IF NONE, SO STATE.**

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Shearson Lehman Hutton (spouse)	Salary		
Please see continuation sheet			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Please see continuation sheet		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO XX NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Please see continuation sheet	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Please see continuation sheet		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Please see continuation sheet	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Please see continuation sheet	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
General Partner	LK - Stock Pool Investment
Member	Board of Directors, Texas First National Bank - Houston

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	None	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Mickey Leland	5/11/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988

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ETHICS IN GOVERNMENT ACT-FINANCIAL DISCLOSURE STATEMENT
George Thomas Leland
Continuation Sheet

II INCOME

A. Source	Type	Amount	Date
University of Arkansas	Hon.	2,000	4/5/88
National Association of Broadcasters	Hon.	1,500	4/11/88
Hillsdale College, Michigan	Hon.	2,000	4/25/88
National Cable Television Association	Hon.	1,500	4/30/88
Association of American Railroads	Hon.	500	5/5/88
American Postal Workers Union	Hon.	1,500	5/24/88
Vern Clark & Associates	Hon.	1,000	6/9/88
INTV	Hon.	1,000	6/21/88
Connell Rice & Sugar, Inc.	Hon.	2,000	6/27/88
CBS Records	Hon.	1,000	6/27/88
Los Angeles Time	Hon.	150	7/11/8
American Postal Workers	Hon.	2,000	7/31/88
Chicago Board of Trade	Hon.	500	8/1/88
Chicago Mercantile Exchange	Hon.	500	8/1/88
National Association of Letter Carriers	Hon.	1,500	8/8/88
American Income Life Insurance	Hon.	2,000	9/13/88
Smokeless Tobacco Council	Hon.	1,000	9/14/88
Taiwan Benevolent Assoc.	Hon.	1,000	9/20/88

B. SOURCE	Type	Category
LK-Stock Pool Investment, see also Attachment A	dividends/ interest	D
NCNB Investment Account (formerly InterFirst Investment Acct.)	interest	B
Montford S&L (formerly Vernon) - CD	"	D
Custom Savings - CD	"	C
See Attachment B	dividends	A
See Attachment C	"	A

III HOLDINGS

Identity	Category
LK-Stock Pool Investment, see also Attachment A	E
NCNB Investment Account (formerly InterFirst Investment Acct.)	C
Double 29 Partnership Ltd. - Ramada Inn Riviera, 2929 Southwest Freeway (interest sold 10/88;value did not exceed $1,000)	
Montford (formerly Vernon Savings) - CD	D
Custom Savings - CD	D
Texas First National Bank-Houston, 200 shares	A
Houston Can (dissolved;value did not exceed $1,000)	
Bistro on the Avenue (dissolved; did not exceed $1,000)	
Midtown Live, Inc., 5245 Buffalo Speedway jazz restaurant, general partner (spouse) (dissolved; did not exceed $1,000)	
Rage, Inc., 11383 Fondren Rd. entertainment center, general partner (spouse) (dissolved; did not exceed $1,000)	
See also Attachment B (undivided 1/2 interest)	
See also Attachment C	
See also Attachment D	

IV TRANSACTIONS

Brief description	Type	Date	Category
Custom Savings	cashed in	6/14/88	D
Montfort Savings	cashed in	8/2/88	D
See also Attachment A			
See also Attachment B (undivided 1/2 interest)			
See also Attachment C			
See also Attachment D			
Double 29 Partnership Ltd. (sold; did not exceed $1,000)			
Houston Can (dissolved; did not exceed $1,000)			
Bistro on the Avenue (dissolved; did not exceed $1,000)			
Midtown Live, Inc. (dissolved; did not exceed $1,000)			
Rage, Inc. (dissolved; did not exceed $1,000)			

V LIABILITIES

Identity	Category
NCNB-Houston (formerly InterFirst Bank)	E
Riggs Bank - Washington D.C. (repaid 6/88)	D
Bert Lee - New York	C
Unity Bank - Houston	B
Columbia Savings - Houston	E

Unity Bank - Houston	B
Columbia Savings - Houston	E
Fred Chang (Minority Enterprise, Inc.) - Houston (repaid 3/88)	D
Texas First National Bank - Houston	E
First RepublicBank (spouse)	C
See Attachment B (1/2 interest in margin debt)	
Dean Witter Reynolds, Inc.	D

VII REIMBURSEMENTS

Source	Brief Description
University of Arkansas (keynote speaker)	Roundtrip airfare to/from Little Rock and Houston;1 day food/lodging.
National Asso. of Broadcasters (seminar participant)	Airfare Houston/ Las Vegas/Wash.D.C.; food/lodging 1 day.
National Cable Television Assoc. (seminar participant;expenses for self and spouse)	Roundtrip airfare Houston/Los Angeles; airfare Dallas/Los Angeles/Houston;2 days food/lodging.
Connell Rice & Sugar (guest speaker)	Airfare Washington/ Newark;ground transportation to New York City.
CBS Records (guest speaker)	Airfare NYC/Wash D.C.
American Postal Workers Union (speech)	Airfare Houston/Chicago/Wash.; 1 day food/lodging
National Assoc. of Letter (speech)	Airfare Houston/Portland OR./Wash.D.C.

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TRADING ACTIVITY

QUANTITY	DESCRIPTION	TRADE DATE	SETTLEMENT DATE	NET PROCEEDS	NET COST	EXPLANATION		ACCRUED INTEREST
1,000	ENERGY SVCS INC CUSIP: [illegible]	04/27/88	05/04/88	2,690.46		SOLD	T	
100 100	CALL [illegible] 30 A2 CALL [illegible] 40 A2 CUSIP: [illegible]	08/04/88 08/16/88	08/05/88 08/16/88	5,746.31	16,433.23	BOUGHT SOLD		
1,000 200	SALOMON INC SALOMON INC CUSIP: [illegible]98107	[illegible] [illegible]	[illegible] [illegible]	3,981.41	23,626.75	BOUGHT SOLD	T	
1,000	SALOMON INC CUSIP: [illegible]98107	04/04/88	04/11/88	21,463.66		SOLD	T	
1,000	SALOMON INC CUSIP: [illegible]98107	06/16/88	06/23/88	23,844.88		SOLD	T	
10 10	CALL SLB [illegible] 30 B3 CALL SLB [illegible] 40 B3 CUSIP: [illegible]	07/07/88 08/16/88	07/08/88 08/16/88	218.72	843.09	BOUGHT SOLD		
1,000 1,300	TEXAS AIR CORP TEXAS AIR CORP CUSIP: 88[illegible]100	08/01/88 04/27/88	[illegible] 05/04/88	15,461.11	24,276.00	BOUGHT SOLD	T	
2,000	TEXAS AIR CORP CUSIP: 88[illegible]100	08/16/88	08/23/88	20,582.45		SOLD	T	
100 100	CALL [illegible] AIR [illegible] B3 CALL [illegible] AIR [illegible] B3 CUSIP: [illegible]959H7X	08/04/88 08/16/88	08/05/88 08/16/88	2,232.57	8,083.53	BOUGHT SOLD		

ATTACHMENT C

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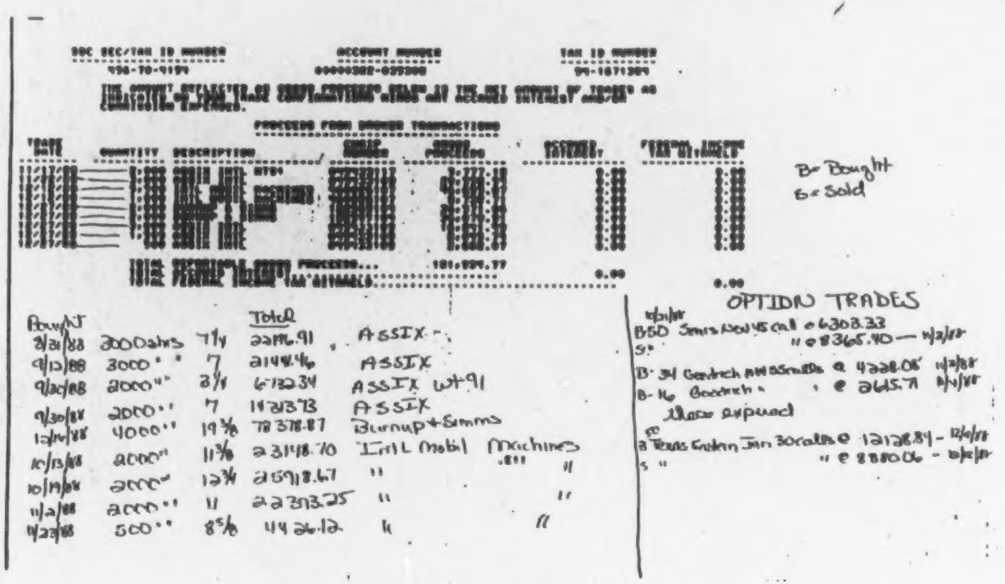

SOC SEC/TAX ID NUMBER　　ACCOUNT NUMBER　　TAX ID NUMBER

[illegible]

PROCEEDS FROM BROKER TRANSACTIONS

TRADE DATE　QUANTITY　DESCRIPTION　[illegible]　ACCRUED INTEREST　FEDERAL INCOME TAX WITHHELD

TOTAL [illegible] PROCEEDS... [illegible]　0.00　0.00

B= Bought
S= Sold

Bought			Total		
3/31/88	3000 shrs	7 1/4	22196.91	ASSIX	
9/12/88	3000 " "	7	21448.46	ASSIX	
9/20/88	2000 " "	3 1/4	6732.34	ASSIX wt 91	
9/30/88	2000 "	7	14313.73	ASSIX	
12/14/88	4000 "	19 3/8	78378.87	Burnup + Simms	
10/13/88	2000 "	11 3/8	23148.70	Int'l Mobil	Machines
10/19/88	2000 "	12 3/4	25918.67	"	"
11/2/88	2000 "	11	22313.25	"	"
11/23/88	500 "	8 5/8	4426.12	"	"

OPTION TRADES

10/21/88
B 50 Sears Nov 45 call @ 6308.33
S " " @ 8365.40 — 11/2/88

B- 34 Goodrich Nov 55 calls @ 4228.05 11/2/88
B- 16 Goodrich " " @ 2645.71 11/4/88
these expired

B 50 Texas Eastern Jan 30 calls @ 12128.84 - 12/6/88
S " " @ 8880.06 - 12/[illegible]/88

ATTACHMENT D

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MICKEY LELAND
18th District, Texas
CHAIRMAN
SELECT COMMITTEE ON HUNGER
COMMITTEE ON POST OFFICE AND
CIVIL SERVICE
CHAIRMAN
SUBCOMMITTEE ON POSTAL
OPERATIONS AND SERVICES
SUBCOMMITTEE ON COMPENSATION AND
EMPLOYEE BENEFITS
AT-LARGE WHIP

Congress of the United States
House of Representatives
Washington, DC 20515

COMMITTEE ON ENERGY
AND COMMERCE
SUBCOMMITTEE ON TELECOMMUNICATIONS
AND FINANCE
SUBCOMMITTEE ON HEALTH AND
THE ENVIRONMENT
SUBCOMMITTEE ON ENERGY AND POWER

HAND DELIVERED May 19, 1989

1989 MAY 19 PM 4:51

MC ✓

Honorable Donnald K. Anderson
Clerk of the House of Representatives
1036 Longworth H.O.B.
Washington, D.C. 20515

Dear Mr. Anderson:

Enclosed are three copies each of Attachments "A" and "B" to my 1988 Financial Disclosure Statement. Although these attachments were referenced in the Disclosure Statement I filed on May 15, 1989, they were inadvertently omitted from that filing.

Please contact me by telephone or in writing if you have any questions concerning these attachments.

Sincerely,

Mickey Leland
MICKEY LELAND
Member of Congress

WASHINGTON OFFICE—2236 RAYBURN HOUSE OFFICE BUILDING (202) 225-3816
DISTRICT OFFICE—1919 SMITH, SUITE 820 HOUSTON, TX 77002 (713) 739-7339

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01/19/88            8 9 0 7 0 2 9 1 2 8 2                              Page |
                      Year-to-date General Ledger
                       For 01/01/88 to 12/31/88

00 - STOCK POOL INVESTMENT GROUP

Acct/Number   Acct/Title                                               Accoun
     --Date-- Src Reference / Description           Curr. Amt.         Balanc

120.10          308M GREENWOOD TR CD 12/8-1/7                     308,000.00
     01/07/88 JE  00001  D 308M Greenwood          <308,000.00>
                                      Net Change:  <308,000.00>
                                           Ending Bal:                   .00

120.20          154M GREENWOOD TR CD 12/23-1/22                   154,000.00
     01/22/88 JE  00003  D 154M Greenwood CD       <154,000.00>
                                      Net Change:  <154,000.00>
                                           Ending Bal:                   .00

120.30          155M GREENWOOD TR CD 1/12-2/11                           .00
     01/12/88 JE  00002  B 155M Greenwood CD        155,000.00

     02/11/88 JE  00002  GSC D 291M Greenwood CD   <155,000.00>
                                      Net Change:          .00
                                           Ending Bal:                   .00

120.31          136M GREENWOOD TRUST CD 1/12-2/11                        .00
     02/02/88 JE  00001  GSC B 136M Greenwood CD    136,000.00
     02/11/88 JE  00002  GSC D 291M Greenwood CD   <136,000.00>
                                      Net Change:          .00
                                           Ending Bal:                   .00

120.32          STUD LN MKTG ASSN D/N  465,000  5/31/88                  .00
     05/06/88 JE  00002  GSC B 465 Stud Mktg D/N    462,878.44

     06/01/88 JE  00007  GSC D 465 Stud Ln Mktg    <462,878.44>
                                      Net Change:          .00
                                           Ending Bal:                   .00

120.33          200M MASS ST VAR RT SR-85C  7/1/05                       .00
     06/06/88 JE  00009  GSC B 200 Mass St Var      200,133.61
     06/30/88 JE  00002  DNM Reclass accr int pur      <133.61>
                                      Net Change:   200,000.00
                                           Ending Bal:            200,000.00

120.34          200M S/W HIGH ED ATH VAR-RT  10/15/15                    .00
     06/06/88 JE  00010  GSC B 200 S/W H Ed Ath     200,133.61
     06/30/88 JE  00002  DNM Reclass accr int pur      <133.61>
                                      Net Change:   200,000.00
                                           Ending Bal:            200,000.00

150.00             SECURITIES                                            .00

151.00          10,000 GRAPHIC SCANNING CORP                      100,000.00
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ATTACHMENT A
(9 pages)

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01/19/88            8 9 7 7 7 2 9 1 2 8 3                              Page 2
                           Year-to-date General Ledger
                            For 01/01/88 to 12/31/88

00 - STOCK POOL INVESTMENT GROUP

Acct/Number   Acct/Title                                                  Accoun
      --Date-- Src Reference / Description                 Curr. Amt.     Balanc

152.00          6.400 UNIVERSAL HEALTH REALTY                          103.522.90
      02/19/88 JE  00007  GSC S 700 Univ Hlth                 <7.245.00>
      02/22/88 JE  00008  GSC S 200 Univ Hlth                 <2.070.00>
      02/25/88 JE  00009  GSC S 2700 Univ Hlth               <27.945.00>

      07/15/88 JE  00011  GSC S 6400 Univ Hlth               <66.262.90>
                                         Net Change:        <103.522.90>
                                                  Ending Bal:            .00

153.00          100.000 NATIONAL LOAN BANK                             251.562.50
      06/30/88 JE  00001  DNM Reclass NLB Distr              <55.000.00>
                                         Net Change:         <55.000.00>
                                                  Ending Bal:     196.562.50

154.00          1.687 CAESARS WORLD                                     51.242.62
      01/19/88 JE  00009  S 1687 Caesars Corp                <51.242.62>
                                         Net Change:         <51.242.62>
                                                  Ending Bal:            .00

155.00          5.000 BOEING COMPANY                                   267.192.50
      01/19/88 JE  00008  S 5000 Boeing                     <267.192.50>
                                         Net Change:        <267.192.50>
                                                  Ending Bal:            .00

156.00          10.600 AMERITRUST CORP                                 250.425.00
      07/15/88 JE  00010  GSC S 2500 Ameritrst               <59.062.50>
      07/18/88 JE  00012  GSC S 8100 Ameritrust             <191.362.50>
                                         Net Change:        <250.425.00>
                                                  Ending Bal:            .00

157.00          25.000 HARCOURT, BRACE, JANOVICH                       248.887.50
      01/19/88 JE  00013  S 25000 HBJ                       <248.887.50>
                                         Net Change:        <248.887.50>
                                                  Ending Bal:            .00

158.00          16.000 PRINCEVILLE DEVELOP CORP                        136.000.00
      12/31/88 JE  00015  Princeville Tender                <136.000.00>
                                         Net Change:        <136.000.00>
                                                  Ending Bal:            .00

159.00          2.700 ALLEGIS CORP                                     192.375.00
      04/01/88 JE  00007  GSC S 2100 Allegis                <149.625.00>
      04/20/88 JE  00008  GSC S 600 Allegis                  <42.750.00>
                                         Net Change:        <192.375.00>
                                                  Ending Bal:            .00
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01/19/88              A 9 7 7 0 2 9 1 2 8 4                              Page 3
                        Year-to-date General Ledger
                         For 01/01/88 to 12/31/88

00 - STOCK POOL INVESTMENT GROUP

Acct/Number   Acct/Title                                                 Accoun
     --Date-- Src Reference / Description                 Curr. Amt.     Balanc

160.00          9,000 CNW CORP                                          196,050.00
     07/15/88 JE  00009  GSC S 9000 CNW Corp           <196,050.00>
                                        Net Change:    <196,050.00>
                                              Ending Bal:                    .00

161.00          38,000 NL INDUSTRIES INC                                201,730.00

162.00          6,000 GAF CORP                                               .00
     01/19/88 JE  00010  B 3,300 GAF                     144,606.00
     01/19/88 JE  00011  B 2,700 GAF                     117,639.00
                                        Net Change:      262,245.00
                                              Ending Bal:             262,245.00

163.00          3,400 STERLING DRUG                                          .00
     01/19/88 JE  00012  B 1,700 Sterling Drug           127,355.50
     01/29/88 JE  00014  B 1700 Sterling Drug            133,875.00

     03/01/88 JE  00004  GSC S 3400 Sterling Drug      <261,230.50>
                                        Net Change:            .00
                                              Ending Bal:                    .00

164.00          5,000 STALEY CONTL INC                                       .00
     04/20/88 JE  00009  GSC B 5000 Staley Contl         185,150.00

     06/03/88 JE  00006  GSC S 5000 Staley             <185,150.00>
                                        Net Change:            .00
                                              Ending Bal:                    .00

165.00          5,000 TEXACO INC                                             .00
     06/03/88 JE  00008  GSC B 5000 Texaco               254,250.00
                                        Net Change:      254,250.00
                                              Ending Bal:             254,250.00

166.00          10,000 DEP/UTS FREEPORT MCMORAN RES                          .00
     07/18/88 JE  00013  GSC B 9500 Dep UTS/Freep        256,310.00
     07/18/88 JE  00014  GSC B 500 Dep UTS/Freept         13,490.00
                                        Net Change:      269,800.00
                                              Ending Bal:             269,800.00

167.00          100,000 BEKER INDS CORP BONDS                                .00
     09/01/88 JE  00005  Purchased 100,000 Beker         128,125.00
                                        Net Change:      128,125.00
                                              Ending Bal:             128,125.00

167.01          NU WEST PREF/BEKKER BONDS  400 SHARES                        .00
     12/31/88 JE  00003  Sold 400 NuWest                <27,800.00>
                                        Net Change:     <27,800.00>
                                              Ending Bal:            <27,800.00>
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                        [illegible]
                    For 01/01/88 to 12/31/88

00 - STOCK POOL INVESTMENT GROUP

Acct/Number    Acct/Title                                          Accoun
      --Date-- Src Reference / Description            Curr. Amt.    Balanc

167.02         NU WEST COMMON/BEKKER IND. BONDS                         .00
      12/31/88 JE  00005  Purchase 2155 NUWEST Com     28.284.38
                                      Net Change:      28.284.38
                                             Ending Bal:      28.284.38

168.00         10.000 PILLSBURY                                         .00
      10/01/88 JE  00003  10.000 Pillsbury            582.500.00
                                      Net Change:     582.500.00
                                             Ending Bal:     582.500.00

169.00         10.000 RJR NABISCO                                       .00
      10/01/88 JE  00009  10.000 RJR Nabisco          861.425.00
                                      Net Change:     861.425.00
                                             Ending Bal:     861.425.00

201.00         MARGIN ACCOUNT - [redacted]                           <6.46>
      01/04/88 JE  00004  Univ Health Dividend          3.400.00
      01/04/88 JE  00005  NL Ind Dividend               1.900.00
      01/07/88 JE  00001  D 308M Greenwood            308.000.00
      01/07/88 JE  00006  Int on 308M Greenwood         1.989.17
      01/12/88 JE  00002  B 155M Greenwood CD        <155.000.00>
      01/19/88 JE  00008  S 5000 Boeing               197.143.37
      01/19/88 JE  00009  S 1687 Caesars Corp          32.566.43
      01/19/88 JE  00010  B 3.300 GAF                <144.606.00>
      01/19/88 JE  00011  B 2.700 GAF                <117.639.00>
      01/19/88 JE  00012  B 1.700 Sterling Drug      <127.355.50>
      01/19/88 JE  00013  S 25000 HBJ                  31.448.93
      01/19/88 JE  00013  S 25000 HBJ                 123.295.83
      01/22/88 JE  00003  D 154M Greenwood CD         154.000.00
      01/22/88 JE  00007  Int on 154M Greenwood         1.039.50
      01/29/88 JE  00014  B 1700 Sterling Drug       <133.875.00>

      02/02/88 JE  00001  GSC B 136M Greenwood CD    <136.552.12>
      02/11/88 JE  00002  GSC D 291M Greenwood CD     291.000.00
      02/11/88 JE  00004  GSC Interest on 291M CD       1.685.38
      02/16/88 JE  00003  GSC Transfer to GSA MM     <293.000.00>
      02/16/88 JE  00005  GSC Liq Dist-Natl Ln Ban     30.000.00
      02/19/88 JE  00006  GSC Dividend on GAF             150.00
      02/19/88 JE  00007  GSC S 700 Univ Hlth           7.612.24
      02/22/88 JE  00008  GSC S 200 Univ Hlth           2.174.92
      02/25/88 JE  00009  GSC S 2700 Univ Hlth         29.024.02

      03/01/88 JE  00001  GSC Dividend on Sterling      1.292.00
      03/01/88 JE  00004  GSC S 3400 Sterling Drug    304.300.00
      03/11/88 JE  00002  GSC Dividend on Ameritru      2.650.00
      03/25/88 JE  00003  GSC Interest on Account       1.495.91

      04/01/88 JE  00002  Int on GSC Feb-Mar            9.823.47
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01/19/88

STOCK POOL INVESTMENT GROUP
Year-to-date General Ledger
For 01/01/88 to 12/31/88

00 - STOCK POOL INVESTMENT GROUP

Acct/Number Acct/Title

--Date--	Src	Reference / Description		Curr. Amt.	Accoun Balanc
04/01/88	JE	00005	Ptr Dist on Univ Hlth Rl	2,176.00	
04/01/88	JE	00006	GSC Rev Int Chs Aus/Sep	465.21	
04/01/88	JE	00007	GSC S 2100 Allesis	168,000.00	
04/04/88	JE	00004	Dividend on ML Ind	1,900.00	
04/20/88	JE	00008	GSC S 600 Allesis	50,398.31	
04/20/88	JE	00009	GSC B 5000 Staley Contl	<185,150.00>	
04/29/88	JE	00003	Int on GSC	2,787.08	
05/01/88	JE	00003	GSC Interest 1/86-2/87	11,951.14	
05/06/88	JE	00002	GSC B 465 Stud Mkts D/N	<462,878.44>	
05/20/88	JE	00004	GSC Dividend GAF Corp	150.00	
05/27/88	JE	00005	GSC Interest Earned	521.12	
06/01/88	JE	00007	GSC D 465 Stud Ln Mkts	465,000.00	
06/03/88	JE	00006	GSC S 5000 Staley	182,500.00	
06/03/88	JE	00008	GSC B 5000 Texaco	<254,250.00>	
06/06/88	JE	00003	Dividend on Staley GSC	1,000.00	
06/06/88	JE	00009	GSC B 200 Mass St Var	<200,133.61>	
06/06/88	JE	00010	GSC B 200 S/W H Ed Ath	<200,133.61>	
06/10/88	JE	00004	Dividend on Ameritrust	2,650.00	
06/17/88	JE	00005	Liq Distr Natl Loan Bank	25,000.00	
06/24/88	JE	00002	Interest on GSC Margin	725.12	
07/01/88	JE	00004	Univ Hlth Ptr Distr	2,176.00	
07/01/88	JE	00005	ML Ind Dividend	1,900.00	
07/01/88	JE	00006	Coupon on Mass St Var	828.16	
07/01/88	JE	00007	Coupon on SW High Ed ATh	825.68	
07/13/88	JE	00003	Transfer GSC to Al Rosen	<200,000.00>	
07/15/88	JE	00009	GSC S 9000 CNW Corp	250,011.60	
07/15/88	JE	00010	GSC S 2500 Ameritrst	53,750.00	
07/15/88	JE	00011	GSC S 6400 Univ Hlth	72,573.54	
07/18/88	JE	00012	GSC S 8100 Ameritrust	173,137.50	
07/18/88	JE	00013	GSC B 9500 Dep UTS/Freep	<256,310.00>	
07/18/88	JE	00014	GSC B 500 Dep UTS/Freept	<13,490.00>	
07/26/88	JE	00001	Transfer GSC to Cks	<17,000.00>	
07/29/88	JE	00008	Interest on GSC Margin	296.93	
08/15/88	JE	00001	Partnership Dist. Freepo	8,000.00	
08/15/88	JE	00002	Liq. Dist. Nat. Loan Ban	35,000.00	
08/15/88	JE	00003	Dividend on FAG	150.00	
08/15/88	JE	00004	Interest Earned on Acct	624.58	
08/15/88	JE	00005	Coupon on Mass Var	901.64	
08/15/88	JE	00006	Coupon on SW Higher	898.64	
09/01/88	JE	00003	Coupon on Mass Var	955.46	
09/01/88	JE	00004	Coupon on SW Higher	952.46	
09/01/88	JE	00005	Purchased 100,000 Beker	<128,125.00>	
09/01/88	JE	00006	Dividend on Texaco	3,750.00	

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01/19/88                  STOCK POOL INVESTMENT GROUP                   Page 6
                          Year-to-date General Ledger
                           For 01/01/88 to 12/31/88

00 - STOCK POOL INVESTMENT GROUP

Acct/Number   Acct/Title                                                    Account
     --Date-- Src Reference / Description                   Curr. Amt.     Balance

     09/01/88 JE  00007  Interest Earned on Acct                  317.05

     10/01/88 JE  00003  10,000 Pillsbury                   <582,500.00>
     10/01/88 JE  00004  Dividend on NL Ind.                    1,900.00
     10/01/88 JE  00005  Int. on Mass Var                         985.52
     10/01/88 JE  00006  Int on SW Higher                         989.36
     10/01/88 JE  00007  Litigation Settl Beatric                 913.80
     10/01/88 JE  00008  Interest Charged                     <2,127.20>
     10/01/88 JE  00009  10,000 RJR Nabisco                 <861,425.00>

     11/01/88 JE  00010  Interest charged on Acct             <9,947.60>
     11/30/88 JE  00003  Interest on Mass                         898.64
     11/30/88 JE  00004  Interest on SW Higher                    903.00
     11/30/88 JE  00005  Dist on Freeport                       8,100.00

     12/31/88 JE  00003  Sold 400 NuWest                       27,800.00
     12/31/88 JE  00004  Litigation Settlement                    970.99
     12/31/88 JE  00005  Purchase 2155 NUWEST Com            <28,284.38>
     12/31/88 JE  00006  Pillsbury Dividend                     3,000.00
     12/31/88 JE  00007  Interest on Mass                         943.98
     12/31/88 JE  00008  Intrest on SW Higher                     949.18
     12/31/88 JE  00009  Dividend on RJR Nabisco                5,500.00
     12/31/88 JE  00010  Dividend on Texaco                     3,750.00
     12/31/88 JE  00011  Dividend on Natl Loan Bk              35,000.00
     12/31/88 JE  00012  Dividend on GAF                          150.00
     12/31/88 JE  00013  Interest charged on acct            <12,821.55>
     12/31/88 JE  00014  Cash in lieu of NuWEst                     1.00
     12/31/88 JE  00015  Princeville Tender                   248,000.00
                                                 [redacted]
                                                          [redacted]
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00 - STOCK POOL INVESTMENT GROUP

Acct/Number   Acct/Title                                             Accoun
     --Date-- Src Reference / Description           Curr. Amt.       Balanc

400.00             INCOME                                               .00

401.00          INTEREST INCOME                                         .00
     01/07/88 JE  00006  Int on 308M Greenwood       <1,989.17> ✓
     01/22/88 JE  00007  Int on 154M Greenwood       <1,039.50> ✓

     02/01/88 JE  00010  Interst on GSA Cks          • <46.29> ✓
     02/02/88 JE  00001  GSC B 136M Greenvood CD         551.37 ✓
     02/11/88 JE  00004  GSC Interest on 291M CD     <1,685.38> ✓
     02/24/88 JE  00011  Interest on GSA Cks        • <233.13> ✓

     03/23/88 JE  00005  Interest on GSA MM          • <82.17> ✓
     03/25/88 JE  00003  GSC Interest on Account     <1,495.81> ✓
     03/31/88 JE  00006  Corr 11/19/87 Int Entry         600.00

     04/01/88 JE  00002  Int on GSC Feb-Mar          <9,823.47> ✓
     04/01/88 JE  00006  GSC Rev Int Chs Aus/Sep       <465.21> ✓
     04/23/88 JE  00001  Interest on GSA MM'         • <62.92> ✓
     04/29/88 JE  00003  Int on GSC                  <2,787.08> ✓

     05/01/88 JE  00003  GSC Interest 1/86-2/87     <11,951.14> ✓
     05/24/88 JE  00001  Interest on GSA MM          • <63.27> ✓
     05/27/88 JE  00005  GSC Interest Earned           <521.12> ✓

     06/01/88 JE  00007  GSC D 465 Stud Ln Mkts      <2,121.56> ✓
     06/23/88 JE  00001  Interest on GSA MM          • <61.56> ✓
     06/24/88 JE  00002  Interest on GSC Marsin        <725.12> ✓

     07/01/88 JE  00006  Coupon on Mass St Var         <694.55> ✓
     07/01/88 JE  00007  Coupon on SW Hish Ed ATh      <692.07> ✓
     07/25/88 JE  00002  Interest on Cks             • <66.01> ✓
     07/29/88 JE  00008  Interest on GSC Marsin        <296.93> ✓

     08/15/88 JE  00004  Interest Earned on Acct       <624.58> ✓
     08/15/88 JE  00005  Coupon on Mass Var            <901.64> ✓
     08/15/88 JE  00006  Coupon on SW Hisher           <898.64> ✓
     08/15/88 JE  00179  Interest Earned             • <45.51> ✓

     09/01/88 JE  00002  Interest on Account          • <3.16> ✓
     09/01/88 JE  00003  Coupon on Mass Var            <955.46> ✓
     09/01/88 JE  00004  Coupon on SW Hisher           <952.46> ✓
     09/01/88 JE  00007  Interest Earned on Acct       <317.05> ✓

     10/01/88 JE  00002  Interest on Account          • <3.06> ✓
     10/01/88 JE  00005  Int. on Mass Var              <985.52> ✓
     10/01/88 JE  00006  Int on SW Hisher              <989.36> ✓

     11/30/88 JE  00001  Interest on Account          • <3.14> ✓
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01/19/88

STOCK POOL INVESTMENT GROUP
Year-to-date General Ledger
For 01/01/88 to 12/31/88

00 - STOCK POOL INVESTMENT GROUP

Acct/Number	--Date--	Src	Reference	Acct/Title / Description	Curr. Amt.	Accoun Balanc
	11/30/88	JE	00003	Interest on Mass	<898.64> ✓	
	11/30/88	JE	00004	Interest on SW Higher	<903.00> ✓	
	12/31/88	JE	00001	Interest on Account	<2.68> ✓	
	12/31/88	JE	00007	Interest on Mass	<943.98> ✓	
	12/31/88	JE	00008	Intrest on SW Higher	<949.18> ✓	
	12/31/88	JE	00012	Dividend on GAF	<150.00> Receivd	
					[redacted]	[redacted]
402.00				MISCELLANEOUS INCOME		.00
	10/01/88	JE	00007	Litigation Settl Beatric	<913.80>	
	12/31/88	JE	00014	Cash in lieu of NuWest	<1.00>	
					[redacted]	[redacted]
403.00				DIVIDEND INCOME		.00
	01/04/88	JE	00004	Univ Health Dividend	<3,400.00>	
	01/04/88	JE	00005	NL Ind Dividend	<1,900.00>	
	02/19/88	JE	00006	GSC Dividend on GAF	<150.00>	
	03/01/88	JE	00001	GSC Dividend on Sterling	<1,292.00>	
	03/11/88	JE	00002	GSC Dividend on Ameritru	<2,650.00>	
	04/01/88	JE	00005	Ptr Dist on Univ Hlth Rl	<2,176.00>	
	04/04/88	JE	00004	Dividend on NL Ind	<1,900.00>	
	05/20/88	JE	00004	GSC Dividend GAF Corp	<150.00>	
	06/06/88	JE	00003	Dividend on Staley GSC	<1,000.00>	
	06/10/88	JE	00004	Dividend on Ameritrust	<2,650.00>	
	07/01/88	JE	00004	Univ Hlth Ptr Distr	<2,176.00>	
	07/01/88	JE	00005	NL Ind Dividend	<1,900.00>	
	08/15/88	JE	00003	Dividend on FAG	<150.00>	
	09/01/88	JE	00006	Dividend on Texaco	<3,750.00>	
	10/01/88	JE	00004	Dividend on NL Ind.	<1,900.00>	
	12/31/88	JE	00006	Pillsbury Dividend	<3,000.00>	
	12/31/88	JE	00009	Dividend on RJR Nabisco	<5,500.00>	
	12/31/88	JE	00010	Dividend on Texaco	<3,750.00>	
	12/31/88	JE	00011	Dividend on Natl Loan Bk	<35,000.00>	
					[redacted]	[redacted]

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01/19/88
                              Year-to-date General Ledger                 9
                               For 01/01/88 to 12/31/88

00 - STOCK POOL INVESTMENT GROUP

Acct/Number   Acct/Title                                                Accoun
     --Date-- Src Reference / Description                 Curr. Amt.    Balanc

450.00          GAIN ON SALE OF ASSETS                                    .00
     02/19/88 JE  00007  GSC S 700 Univ Hlth                   <367.24>
     02/22/88 JE  00008  GSC S 200 Univ Hlth                   <104.92>
     02/25/88 JE  00009  GSC S 2700 Univ Hlth                <1,079.02>

     03/01/88 JE  00004  GSC S 3400 Sterling Drug           <43,069.50>

     04/01/88 JE  00007  GSC S 2100 Allegis                 <18,375.00>
     04/20/88 JE  00008  GSC S 600 Allegis                   <7,648.31>

     07/15/88 JE  00009  GSC S 9000 CNW Corp                <53,961.60>
     07/15/88 JE  00011  GSC S 6400 Univ Hlth                <6,310.64>

     12/31/88 JE  00015  Princeville Tender                <112,000.00>
                                              [redacted]
                                                          [redacted]

450.01          GAIN ON EXPIRED OPTIONS                                   .00

452.00          GAIN ON LIQUIDATION DISTRIBUTIONS                         .00

650.00          LOSS ON SALE OF ASSETS                                    .00
     01/19/88 JE  00008  S 5000 Boeing                        70,049.13
     01/19/88 JE  00009  S 1687 Caesars Corp                  18,676.19
     01/19/88 JE  00013  S 25000 HBJ                          94,142.74

     02/02/88 JE  00001  GSC B 136M Greenwood CD                    .75

     06/03/88 JE  00006  GSC S 5000 Staley                     2,650.00

     07/15/88 JE  00010  GSC S 2500 Ameritrst                  5,312.50
     07/18/88 JE  00012  GSC S 8100 Ameritrust                18,225.00
                                              [redacted]
                                                          [redacted]
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Investment activity 1988

This section shows your purchases and sales of securities during the year, and any accrued interest received or paid. Only those transactions with a trade date in 1988 are shown. Commissions are included in purchases and deducted from sales. The quantity of options traded is shown in numbers of contracts.

Trade date	Settlement date	Activity	Quantity	Description	Price	Amount
08/13/88	08/22/88	Bought	1,000	[illegible] INTL INC	$ 7.375	$ -7,[illegible].21
08/[illegible]/88	10/[illegible]/88	Bought	1,000	[illegible] INTL INC	7.00	-14,[illegible]
11/[illegible]/88	12/[illegible]/88	Sold	1,000	[illegible] INTL INC	[illegible]	[illegible]
07/05/88	07/12/88	Sold	2,000	DALLAS SEMICONDUCTOR CORP	[illegible]	[illegible]
11/16/88	11/17/88	Bought	15	CALL EK DEC 45.00 CBOE EASTMAN KODAK CO EXP 12/17/1988 UNSOLICITED OPEN CUSTOMER PART OF [illegible] OPT	1.00	-1,[illegible]
11/16/88	11/17/88	Bought	17	CALL EK DEC 45.00 CBOE EASTMAN KODAK CO EXP 12/17/1988 UNSOLICITED OPEN CUSTOMER PART OF [illegible] OPT	1.00	-1,[illegible]
11/16/88	11/17/88	Bought	18	CALL EK DEC 45.00 CBOE EASTMAN KODAK CO EXP 12/17/1988 UNSOLICITED OPEN CUSTOMER PART OF [illegible] OPT	1.00	-1,[illegible].22
11/16/88	11/21/88	Bought	2	CALL EK DEC 45.00 CBOE EASTMAN KODAK CO EXP 12/17/1988 UNSOLICITED OPEN CUSTOMER [illegible] TO S/O 11-21-88	1.25	-272.40
11/16/88	11/21/88	Bought	8	CALL EK DEC 45.00 CBOE EASTMAN KODAK CO EXP 12/17/1988 UNSOLICITED OPEN CUSTOMER [illegible] TO S/O 11-21-88	1.25	-1,0[illegible]

Investment activity 1988 - continued

Trade date	Settlement date	Activity	Quantity	Description	Price	Amount
11/29/88	11/30/88	Sold	15	CALL EK DEC 45.00 CBOE EASTMAN KODAK CO EXP 12/17/1988 UNSOLICITED CLOSE CUSTOMER [illegible]	$ 1.00	$ 1,[illegible]
11/29/88	11/30/88	Sold	12	CALL EK DEC 45.00 CBOE EASTMAN KODAK CO EXP 12/17/1988 UNSOLICITED CLOSE CUSTOMER [illegible]	1.00	1,184.34
12/07/88	12/08/88	Sold	15	CALL EK DEC 45.00 CBOE EASTMAN KODAK CO EXP 12/17/1988 UNSOLICITED CLOSE CUSTOMER PART OF [illegible] OPT	1.25	1,751.40
12/07/88	12/08/88	Sold	15	CALL EK DEC 45.00 CBOE EASTMAN KODAK CO EXP 12/17/1988 UNSOLICITED CLOSE CUSTOMER PART OF [illegible] OPT	1.25	1,751.36
11/04/88	11/07/88	Bought	20	CALL B F GOODRICH NOV 055 CBOE EXP 11/19/1988 UNSOLICITED OPEN CUSTOMER PART OF [illegible] OPT	1.25	-2,[illegible].00
11/04/88	11/07/88	Bought	15	CALL B F GOODRICH NOV 055 CBOE EXP 11/19/1988 UNSOLICITED OPEN CUSTOMER PART OF [illegible] OPT	2.00	-3,128.30
11/18/88	11/18/88	Sold	35	CALL B F GOODRICH NOV 055 CBOE EXP 11/19/1988 UNSOLICITED CLOSE CUSTOMER	.125	346.96

ATTACHMENT B
(6 pages)

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Investment activity 1988 - continued

Trade date	Settlement date	Activity	Quantity	Description	Price	Amount
11/04/88	11/07/88	Bought	2	CALL GR DEC 35.00 CBOE B F GOODRICH CO EXP 12/17/1988 UNSOLICITED OPEN CUSTOMER PART OF [illegible] OPT	$ 2.75	$ -[illegible]
11/04/88	11/07/88	Bought	8	CALL GR DEC 35.00 CBOE B F GOODRICH CO EXP 12/17/1988 UNSOLICITED OPEN CUSTOMER PART OF [illegible] OPT	2.75	-2,238.00
11/04/88	11/07/88	Bought	10	CALL GR DEC 35.00 CBOE B F GOODRICH CO EXP 12/17/1988 UNSOLICITED OPEN CUSTOMER PART OF [illegible] OPT	3.75	-3,790.00
11/07/88	11/08/88	Bought	3	CALL GR DEC 35.00 CBOE B F GOODRICH CO EXP 12/17/1988 UNSOLICITED OPEN CUSTOMER ~ACCOV~	3.75	-1,167.90
11/07/88	11/08/88	Bought	7	CALL GR DEC 35.00 CBOE B F GOODRICH CO EXP 12/17/1988 UNSOLICITED OPEN CUSTOMER ~ACCOV~	3.75	-2,725.10
11/11/88	11/14/88	Bought	3	CALL GR DEC [illegible] CBOE B F GOODRICH CO EXP 12/17/1988 UNSOLICITED OPEN CUSTOMER PART OF 12 OPT	1.062	-346.52

Investment activity 1988 - continued

Trade date	Settlement date	Activity	Quantity	Description	Price	Amount
11/11/88	11/14/88	Bought	9	CALL GR DEC [illegible] CBOE B F GOODRICH CO EXP 12/17/1988 UNSOLICITED OPEN CUSTOMER PART OF 12 OPT	$ 1.062	$ -1,[illegible]
11/18/88	11/21/88	Bought	10	CALL HCA DEC 45.00 PSE HOSPITAL CORP OF AMERICA EXP 12/17/1988 UNSOLICITED OPEN CUSTOMER	4.125	-4,272.00
07/14/88	07/21/88	Bought	1,000	[illegible]	[illegible]	[illegible]
[illegible]	[illegible]	Sold	[illegible]	[illegible]	[illegible]	[illegible]
[illegible]	[illegible]	Bought	[illegible]	[illegible]	[illegible]	[illegible]
[illegible]	[illegible]	Bought	[illegible]	[illegible]	[illegible]	[illegible]
[illegible]	[illegible]	Sold	[illegible]	[illegible]	[illegible]	[illegible]
11/07/88	11/1[illegible]	Sold	[illegible]	[illegible]	[illegible]	[illegible]
11/1[illegible]	11/22/88	Sold	[illegible]	[illegible]	[illegible]	[illegible]
07/01/88	07/11/88	Bought	[illegible]	[illegible]	[illegible]	[illegible]
[illegible]	[illegible]	Sold	[illegible]	[illegible]	[illegible]	[illegible]
[illegible]	[illegible]	Sold	[illegible]	[illegible]	[illegible]	[illegible]
[illegible]	[illegible]	Sold	[illegible]	[illegible]	[illegible]	4,[illegible]
[illegible]	[illegible]	Sold	1,[illegible]	[illegible]	11.[illegible]	10,[illegible]
11/23/88	12/01/88	Bought	200	INTERNATIONAL MOBILE MACHINES CORP	8.75	-1,7[illegible]
10/14/88	10/17/88	Bought	10	CALL MINNESOTA MI JAN 80 CBOE EXP 01/21/1989 UNSOLICITED OPEN CUSTOMER	3.75	-3,[illegible]
10/25/88	10/31/88	Sold	10	CALL MINNESOTA MI JAN 80 CBOE EXP 01/21/1989 UNSOLICITED CLOSE CUSTOMER	4.75	4,[illegible]

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Investment activity 1988 - continued

Trade date	Settlement date	Activity	Quantity	Description	Price	Amount
08/04/88	08/05/88	Bought	40	CALL PILLSBURY CO SEP 040 AMEX EXP 09/17/1988 UNSOLICITED OPEN CUSTOMER PART OF 100 CPT	$ 1.625	$ -6,803.30
08/04/88	08/05/88	Bought	60	CALL PILLSBURY CO SEP 040 AMEX EXP 09/17/1988 UNSOLICITED OPEN CUSTOMER PART OF 100 CPT	1.625	-10,205.30
08/11/88	08/12/88	Bought	10	CALL PILLSBURY CO SEP 040 AMEX EXP 09/17/1988 UNSOLICITED OPEN CUSTOMER	1.062	-1,171.88
08/12/88	08/13/88	Sold	100	CALL PILLSBURY CO SEP 040 AMEX EXP 09/17/1988 UNSOLICITED CLOSE CUSTOMER PART OF 110 CPT	.062	374.87
08/12/88	08/13/88	Sold	10	CALL PILLSBURY CO SEP 040 AMEX EXP 09/17/1988 UNSOLICITED CLOSE CUSTOMER PART OF 110 CPT	.062	37.40
10/14/88	10/17/88	Bought	10	CALL SEARS ROEBUC JAN 40 CBOE EXP 01/21/1989 UNSOLICITED OPEN CUSTOMER	3.00	-3,134.80
11/02/88	11/04/88	Sold	10	CALL SEARS ROEBUC JAN 40 CBOE EXP 01/21/1989 UNSOLICITED CLOSE CUSTOMER	7.00	6,816.28
10/21/88	10/24/88	Bought	9	CALL S NOV 45.00 CBOE SEARS ROEBUCK & CO EXP 11/19/1988 UNSOLICITED OPEN CUSTOMER PART OF 30 CPT	1.25	-1,190.17

Investment activity 1988 - continued

Trade date	Settlement date	Activity	Quantity	Description	Price	Amount
10/21/88	10/24/88	Bought	21	CALL S NOV 45.00 CBOE SEARS ROEBUCK & CO EXP 11/19/1988 UNSOLICITED OPEN CUSTOMER PART OF 30 CPT	$ 1.25	$ -2,757.83
10/28/88	10/31/88	Bought	10	CALL S NOV 45.00 CBOE SEARS ROEBUCK & CO EXP 11/19/1988 UNSOLICITED OPEN CUSTOMER	1.625	-1,742.25
11/01/88	11/02/88	Bought	15	CALL S NOV 45.00 CBOE SEARS ROEBUCK & CO EXP 11/19/1988 UNSOLICITED OPEN CUSTOMER AND 8 15 S NOV 45-	.625	-1,031.25
11/02/88	11/03/88	Bought	7	CALL S NOV 45.00 CBOE SEARS ROEBUCK & CO EXP 11/19/1988 UNSOLICITED OPEN AS OF 11/02/88	.375	-305.53
11/02/88	11/04/88	Bought	48	CALL S NOV 45.00 CBOE SEARS ROEBUCK & CO EXP 11/19/1988 UNSOLICITED OPEN CUSTOMER ORDER RECEIVED	.427	-2,094.18
11/04/88	11/07/88	Bought	10	CALL S NOV 45.00 CBOE SEARS ROEBUCK & CO EXP 11/19/1988 UNSOLICITED OPEN CUSTOMER PART OF 60 CPT	1.875	-1,988.10

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Investment activity 1988 - continued

Trade date	Settlement date	Activity	Quantity	Description	Price	Amount
11/04/88	11/07/88	Bought	50	CALL S NOV 45.00 CBOE SEARS ROEBUCK & CO EXP 11/19/1988 UNSOLICITED OPEN CUSTOMER PART OF 45 OPT	$ 2.30	$ -10,415.30
11/04/88	11/07/88	Sold	90	CALL S NOV 45.00 CBOE SEARS ROEBUCK & CO EXP 11/19/1988 UNSOLICITED CLOSE CUSTOMER	2.125	20,003.80
11/16/88	11/16/88	Sold	30	CALL S NOV 45.00 CBOE SEARS ROEBUCK & CO EXP 11/19/1988 UNSOLICITED CLOSE CUSTOMER PART OF 67 OPT	.062	187.40
11/16/88	11/16/88	Sold	10	CALL S NOV 45.00 CBOE SEARS ROEBUCK & CO EXP 11/19/1988 UNSOLICITED CLOSE CUSTOMER PART OF 67 OPT	.062	57.40
11/16/88	11/16/88	Sold	7	CALL S NOV 45.00 CBOE SEARS ROEBUCK & CO EXP 11/19/1988 UNSOLICITED CLOSE CUSTOMER PART OF 67 OPT	.062	38.30
08/04/88	08/11/88	Sold	1,000	SOUTHWEST AIRLINES CO	17.875	[illegible]
08/04/88	08/11/88	Sold	1,000	SOUTHWEST AIRLINES CO	[illegible]	[illegible]
10/13/88	10/20/88	Sold	1,000	SOUTHWEST AIRLINES CO	[illegible]	[illegible]
11/25/88	11/25/88	Bought	5	CALL SOUTHWEST AI JAN 20 CBOE EXP 01/21/1989 UNSOLICITED OPEN CUSTOMER PART OF 55 OPT	.75	-405.00

Investment activity 1988 - continued

Trade date	Settlement date	Activity	Quantity	Description	Price	Amount
11/25/88	11/25/88	Bought	5	CALL SOUTHWEST AI JAN 20 CBOE EXP 01/21/1989 UNSOLICITED OPEN CUSTOMER PART OF 15 OPT	$ .75	$ -405.00
11/28/88	11/30/88	Sold	10	CALL SOUTHWEST AI JAN 20 CBOE EXP 01/21/1989 UNSOLICITED CLOSE CUSTOMER	.75	628.97
10/27/88	10/28/88	Bought	30	CALL SOUTHWEST AI DEC 20 CBOE EXP 12/17/1988 UNSOLICITED OPEN CUSTOMER	1.062	-3,301.25
10/28/88	10/31/88	Sold	16	CALL SOUTHWEST AI DEC 20 CBOE EXP 12/17/1988 UNSOLICITED CLOSE CUSTOMER PART OF 20 OPT	1.25	1,894.81
10/28/88	10/31/88	Sold	4	CALL SOUTHWEST AI DEC 20 CBOE EXP 12/17/1988 UNSOLICITED CLOSE CUSTOMER PART OF 20 OPT	1.25	468.80
11/03/88	11/04/88	Bought	10	CALL SOUTHWEST AI DEC 20 CBOE EXP 12/17/1988 UNSOLICITED OPEN CUSTOMER	1.75	-1,838.00
11/04/88	11/07/88	Bought	14	CALL SOUTHWEST AI DEC 20 CBOE EXP 12/17/1988 UNSOLICITED OPEN CUSTOMER PART OF 45 OPT	1.75	-2,597.84

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Investment activity 1988 - continued

Trade date	Settlement date	Activity	Quantity	Description	Price	Amount
11/04/88	11/07/88	Bought	28	CALL SOUTHWEST AI DEC 20 CBOE EXP 12/17/1988 UNSOLICITED OPEN CUSTOMER PART OF [illegible] CPT	$ 1.75	$ -4,786.86
12/19/88	12/19/88	Sold	50	CALL SOUTHWEST AI DEC 20 CBOE EXP 12/17/1988 UNSOLICITED CLOSE CUSTOMER	.187	812.46
08/31/88	09/05/88	Sold	2,000	TEXAS AIR CORP	11.[illegible]	21,[illegible]
[illegible]	[illegible]	Sold	[illegible]	TEXAS AIR CORP	[illegible]	[illegible]
[illegible]	[illegible]	Sold	1,[illegible]	TEXAS AIR CORP	[illegible]	[illegible]
[illegible]	10/06/88	Sold	[illegible]	TEXAS AIR CORP	[illegible]	[illegible]
11/04/88	11/14/88	Sold	1,[illegible]	TEXAS AIR CORP	14.[illegible]	[illegible]
11/29/88	11/30/88	Bought	75	CALL TEXAS EASTERN DEC 30 PHW EXP 12/17/1988 UNSOLICITED OPEN CUSTOMER PART OF 175 CPT	.30	-3,[illegible]
11/29/88	11/30/88	Bought	100	CALL TEXAS EASTERN DEC 30 PHW EXP 12/17/1988 UNSOLICITED OPEN CUSTOMER -ACCP- PART OF 175 CPT	.50	-5,322.50
12/01/88	12/02/88	Bought	75	CALL TEXAS EASTERN DEC 30 PHW EXP 12/17/1988 UNSOLICITED OPEN CUSTOMER	1.50	-11,818.50
12/01/88	12/02/88	Sold	175	CALL TEXAS EASTERN DEC 30 PHW EXP 12/17/1988 UNSOLICITED CLOSE CUSTOMER	1.187	19,370.30

Investment activity 1988 - continued

Trade date	Settlement date	Activity	Quantity	Description	Price	Amount
12/15/88	12/16/88	Sold	25	CALL TEXAS EASTERN DEC 30 PHW EXP 12/17/1988 UNSOLICITED CLOSE CUSTOMER PART OF [illegible] CPT	$ .062	$ 142.46
12/15/88	12/16/88	Sold	15	CALL TEXAS EASTERN DEC 30 PHW EXP 12/17/1988 UNSOLICITED CLOSE CUSTOMER PART OF [illegible] CPT	.062	88.24
08/23/88	08/24/88	Bought	20	CALL SOUTHWEST AI AUG 020 CBOE EXP 08/20/1988 UNSOLICITED OPEN CUSTOMER	.437	-882.50
07/14/88	07/18/88	Bought	10	CALL [illegible] EXCHANGE AUG 30 CBOE EXP 08/20/1988 UNSOLICITED AS OF 07/14/88	.937	-1,031.25
07/22/88	07/25/88	Bought	25	CALL PHILLIPS PET AUG17.5 AMEX EXP 08/20/1988 UNSOLICITED OPEN CUSTOMER [illegible] PART OF [illegible] CPT	.375	-1,304.88
07/22/88	07/25/88	Bought	67	CALL PHILLIPS PET AUG17.5 AMEX EXP 08/20/1988 UNSOLICITED OPEN CUSTOMER [illegible] PART OF [illegible] CPT	.375	-2,[illegible]
08/02/88	08/03/88	Sold	100	CALL PHILLIPS PET AUG17.5 AMEX EXP 08/20/1988 UNSOLICITED CLOSE CUSTOMER -CPS OUT-	.437	4,072.25

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Investment activity 1988 - continued

Trade date	Settlement date	Activity	Quantity	Description	Price	Amount
08/04/88	08/05/88	Bought	21	CALL [illegible] AUG 22.50XX EXP 08/20/1988 [illegible]	$ .75	$ -1,[illegible]
08/04/88	08/05/88	Bought	20	CALL [illegible] AUG 22.50XX EXP 08/20/1988 [illegible]	.75	-[illegible]
08/11/88	08/12/88	Bought	[illegible]	CALL [illegible] AUG [illegible] EXP 08/20/1988 [illegible]	[illegible]	-[illegible]
08/10/88	08/11/88	Sold	[illegible]	CALL [illegible] AUG [illegible] EXP 08/20/1988 [illegible]	[illegible]	[illegible]
08/10/88	08/11/88	Sold	[illegible]	CALL [illegible] AUG [illegible] EXP 08/20/1988 [illegible]	[illegible]	[illegible]

* Reported to the IRS on Form 1099B.

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

NORMAN FREDERICK LENT
(Full Name)

POST OFFICE BOX M
(Mailing Address)

EAST ROCKAWAY, NEW YORK 11518

MC (Office Use Only)

U.S. House of Representatives
MAY 15 PM 1:28

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 4th State New York

☐ Officer or Employee—Employing Office ____________________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. Complete all parts. (If NONE, so indicate.) Please type or print.

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See attached continuation sheet, Part II-A			
Nynex	Spouse's salary	-	None

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
See attached continuation sheet, Part II-B		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See attached continuation sheet, Part III	

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See attached continuation sheet, Part IV		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See attached continuation sheet, Part V	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See attached continuation sheet, Part VII	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 12.

POSITION	NAME OF ORGANIZATION
Partner	Hill, Lent & Troescher, Esqs. - Law (terminated 12/31/88)
Partner	Lent & Troescher - realty
Partner	Patlov Associates - realty

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 12.

DATE	PARTIES TO	TERMS OF AGREEMENT
12/31/88	Norman F. Lent and Robert H. Troescher	Dissolution of law partnership

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Norman F. Lent	May 15, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 5 of 9

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

NORMAN FREDERICK LENT
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II-A	EARNED INCOME OVER $100: (1988)

SOURCE	TYPE	AMOUNT	DISPOSITION
(1) RBC Associates	Honorarium (1/4/88)	$1,000	None
(2) BellSouth, Inc.	Honorarium (1/5/88)	1,500	None
(3) Celotex Corp.	Honorarium (1/11/88)	1,000	None
(4) HSN Pharmacy Services	Honorarium (1/12/88)	1,000	None
(5) Edison Electric	Honorarium (1/17/88)	500	None
(6) Florida Power & Light	Honorarium (1/21/88)	2,000	None
(7) IBM	Honorarium (1/25/88)	2,000	None
(8) Browning-Ferris	Honorarium (1/29/88)	1,500	None
(9) Cosmetic, Toiletry & Fragrance Assn.	Honorarium (2/5/88)	2,000	None
(10) American Assn. Railroad Conf.	Honorarium (2/5/88)	1,00[illegible]	None
(11) Corning Glass Works	Honorarium (2/6/88)	500	None
(12) Nat. Assn. of Manufacturers	Honorarium (2/22/88)	700	None
(13) American Industrial Health Council	Honorarium (2/25/88)	2,000	None
(14) American Nuclear Energy Council	Honorarium (3/4/88)	1,000	None
(15) Pharmaceutical Manufacturers Assn.	Honorarium (3/8/88)	1,000	None
(16) Nat. Assn. of Small Bus. Investment Co.	Honorarium (3/9/88)	1,000	None
(17) Aetna	Honorarium (3/10/88)	2,000	None
(18) Pharmaceutical Manufacturers Assn.	Honorarium (3/10/88)	1,000	None
(19) Winston Network	Honorarium (3/10/88)	1,000	None
(20) Chicago Board Options Exchange	Honorarium (3/10/88)	1,000	None
(21) Air Pollution Control Assn.	Honorarium (3/15/88)	500	None
(22) Texaco	Honorarium (3/21/88)	500	None
(23) Lamar Corporation	Honorarium (3/24/88)	500	None
(24) Chemical Specialties Manufacturing Assn.	Honorarium (3/30/88)	500	Donated*
(25) Nat. Assn. of Broadcasters	Honorarium (4/9/88)	1,500	Donated*
(26) SMACNA	Honorarium (4/25/88)	500	Donated*
(27) American Bakers Assn.	Honorarium (5/18/88)	1,000	Donated*
(28) Allied Outdoor Advertising	Honorarium (5/27/88)	500	None
(29) New York Times	OpEd article (6/8/88)	100	None
(30) Nat. Assn. of Temp. Services	Honorarium (9/8/88)	1,000	Donated*

*Charitable Contributions

(17) Long Island Comm for Soviet Jewry	$2,000
(18) Long Island Comm for Soviet Jewry	500
(24) HRCCC (House/Reps Child Care Center)	500
(25) HRCCC	500
(30) Memorial Sloane-Kettering Cancer Center	1,000

SUMMARY:

Gross honoraria	$31,300
Less charitable contributions	4,500
NET HONORARIA	$26,800

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

NORMAN FREDERICK LENT
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II-B	UNEARNED INCOME FROM INVESTMENTS, ETC., OVER $100: (1988)		
	SOURCE	TYPE	CATEGORY
	(1) Hill, Lent & Troescher, Esqs., law partner	Return on equity	A
	(2) Congressional Federal Credit Union	Interest	A
	(3) Lent & Troescher, Realty partnership	Net Rent (net loss)	A
	(4) Patloy Associates, Realty partnership	Net rent Capital gain	B E
	(5) Atlantech Assoc., Realty partnership	Net rent (net loss)	A
	(6) Dreyfus Liquid Assets, Inc.	Dividend	A
	(7) Shearson, Lehman, Hutton, cash fund	Dividend	C
	(8) Fidelity Funds	Dividends	B
	(9) NYSERS	Pension	E
	(10) Sale/Florida homesite lot on 5/13/88	Capital gain	D
	(11) Sale/Florida homesite lot on 12/1/88	Capital gain	A
	SPOUSE'S UNEARNED INCOME FROM INVESTMENTS, ETC., OVER $100: (1988)		
	(12) Dreyfus Fund, mutual fund	Dividends	A
	(13) Fidelity Fund, mutual fund	Dividends	A
	(14) Vanguard Fund, mutual fund	Dividends	A
	(15) Wright Patman Federal Credit Union	Interest	C

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Page 7 of 9

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

NORMAN FREDERICK LENT
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
III	HOLDINGS OVER $1,000: (1988)

IDENTITY	CATEGORY
Government Bonds - 1988	
(1) State of Israel	A
Savings Funds & Accounts - 1988	
(2) Dreyfus Liquid Assets, Inc.	A
(3) Shearson, Lehman, Hutton Cash Reserve Accounts (formerly E.F. Hutton & Co. Cash Reserve)	D
Common Stocks & Partnership Interests - 1988	
(4) Fidelity Funds, c.s. mutual funds	D
(5) Atlantech Associates - realty	C
(6) Hill, Lent & Troescher, Esqs. - law capital acct (law partnership terminated 12/31/88)	B
(7) Lent & Troescher - realty	C
(8) Burr. Welcome, c.s.	C
(9) Whitman Corp., c.s. (purchased 12/27/88)	C
Real Property Interests - 1988	
(10) Homesite lot at North Haven, Sag Harbor, New York	E
(11) Homesite lot at Port Charlotte, Florida (sold 12/1/88)	A
(12) Homesite lot at Punta Gorda Isles, Florida (lot #1)	C
(13) Homesite lot at Punta Gorda Isles, Florida (lot #2) (sold 5/13/88)	C
Spouse's Holdings Over $1,000 - 1988	
(14) Wright Patman Congressional Federal Credit Union account	D
(15) Dreyfus Fund - mutual fund	A
(16) Fidelity Funds - mutual funds	B
(17) Vanguard Fund - mutual fund	B
(18) U.S. Savings Bonds	B

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

NORMAN FREDERICK LENT
(Name)

Continuation Sheet

Part — *Source, Type, Amount, Category, Description or Value (As Applicable)*

IV TRANSACTIONS OVER $1,000: (1988)

BRIEF DESCRIPTION	DATE	CATEGORY
(1) Sold - Homesite lot at Port Charlotte, Florida	12/1/88	A
(2) Sold - Homesite lot at Punta Gorda Isles, Florida	5/13/88	C
(3) Purchased - Whitman Corp., c.s.	12/27/88	C
Spouse's Transactions Over $1,000: (1988)		
(4) Purchased - U.S. Savings Bonds	monthly	A

V LIABILITIES OVER $10,000: (1988)

IDENTITY	CATEGORY
(1) Sag Harbor Savings Bank - Mortgage on homesite lot at North Haven, Sag Harbor, New York	D

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ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

NORMAN FREDERICK LENT
(Name)

Continuation Sheet

Part — Source, Type, Amount, Category, Description or Value (As Applicable)

VII. REIMBURSEMENTS AGGREGATING $250 OR MORE: (1988)

SOURCE	BRIEF DESCRIPTION
(1) Celotex Corp.	Air travel to Florida and return in connection with speaking engagement, and lodging
(2) Edison Electric	Air travel to Florida and return in connection with speaking engagement, and lodging
(3) Florida Power & Light	Air travel to Florida and return in connection with speaking engagement, and lodging
(4) Corning Glass Works	Air travel to Georgia and return in connection with speaking engagement, and lodging
(5) Chicago Board Options Exchange	Air travel to Florida and return in connection with speaking engagement, and lodging
(6) Nat. Assn. of Broadcasters	Air travel to Nevada and return in connection with speaking engagement, and lodging
(7) Railroad Conference	Air travel to Virginia and return in connection with speaking engagement, and lodging
(8) Seabrook	Air travel to Massachusetts and return in connection with speaking engagement, and lodging
(9) Mutual Broadcasting	Air travel to St. Maartens and return in connection with speaking engagement, and lodging
(10) Congressional Institute, Inc.	Air travel to Houston and return, and lodging

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1989 MAY 24 AM 11:10
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MAY 14 1989

HOUSE OF REPRESENTATIVES
WASHINGTON, D. C. 20515

NORMAN F. LENT
FOURTH DISTRICT
NEW YORK

May 19, 1989

1989 MAY 24 AM 11:35

MC

Clerk
U.S. House of Representatives
Office of Records and Registration
1036 Longworth
Washington, D.C. 20515

Re: Financial Disclosure Statement for 1988

Dear Sir:

I would like to add the enclosed Continuation Sheet to my original filing of my 1988 Financial Disclosure Statement.

This Continuation Sheet will replace page 9 of my original filing entitled "VII. Reimbursements Aggregating $250 or More: (1988)".

If you have any questions in this regard, please contact me at (202) 225-7896.

Thank you for your courtesy and cooperation.

Yours very truly,

NORMAN F. LENT
Member of Congress

NFL/cr

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Page 9 of 9

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

NORMAN FREDERICK LENT
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)

VII. REIMBURSEMENTS AGGREGATING $250 OR MORE: (1988)

SOURCE	BRIEF DESCRIPTION
(1) Celotex Corp.	Air travel to Florida and return in connection with speaking engagement, and 1 night's lodging
(2) Edison Electric	Air travel to Florida and return in connection with speaking engagement, and 3 nights' lodging for self & spouse
(3) Florida Power & Light	Air travel to Florida and return in connection with speaking engagement, and 2 nights' lodging for self & spouse
(4) Corning Glass Works	Air travel to Georgia and return in connection with speaking engagement, and 1 night's lodging
(5) Chicago Board Options Exchange	Air travel to Florida and return in connection with speaking engagement, and 1 night's lodging
(6) Nat. Assn. of Broadcasters	Air travel to Nevada and return in connection with speaking engagement, and 3 nights' lodging for self & spouse
(7) Railroad Conference	Air travel to Virginia and return in connection with speaking engagement, and 1 night's lodging for self & spouse
(8) Seabrook	Air travel to Massachusetts and return in connection with speaking engagement, and 1 night's lodging
(9) Mutual Broadcasting	Air travel to St. Maartens and return in connection with speaking engagement, and 2 nights' lodging for self & spouse
(10) Congressional Institute, Inc.	Air travel to Houston and return in connection with a Republican conference, and 2 night: lodging for self & spouse

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INSIDE MAIL

RECEIVED
OFFICE OF RECORDS & REGISTRATION
1989 MAY 15 AM 8:01
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Sander Martin Levin
(Full Name)

323 Cannon HOB
(Mailing Address)
Washington DC 20515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 17 State Michigan

☐ Officer or Employee—Employing Office ____________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS–16, $67,088, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT–2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225–7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.)** *Please type or print.*

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Victoria Levin (spouse) National Institute of Mental Health	Salary	$43,312.25	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Bank Account-Comerica, Detroit; Dominion Bank of MD	Interest	A
Commercial property, West Maple, Birmingham, MI	Rent and interest	D ($5217)
Estate of Bess Levin	Rent and interest	D ($6527)
Affiliated Fund	Dividend	A
LMS Company	Royalty	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from: (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES _____ NO _X_ NA _____

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
Half interest in 78 acres, and one-sixth interest in 18 acres, Tyrone Township, Michigan	D
One-sixteenth interest in oil well in Michigan, Total Petroleum, P.O. Box 500, Denver CO 80201	A
One-quarter interest in unimproved land, 13 acres, West Tisbury, MA	E
One-ninth undivided interest in commercial real estate, W. Maple, Birmingham, MI (received from estate of Bess Levin, Mother, in 1988)	D

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
None		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
Land contract balance - acreage, Tyrone Township, MI, owed to	
Stanton A. Miner, 107 S. Walnut Street, Fenton, MI 48430	B

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
None		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Electronics Industries Association, Washington DC 4/3 - 4/7/88	transportation, food and lodging to participate in tax issues panel
American Association of Retired Persons, Washington DC 5/10 - 5/11/88	transportation, food and lodging to participate in health care panel
World Jewish Congress, Washington DC 1/1 - 1/8/88	transportation, food and lodging to meet with Israel Knesset members and other parliamentarians from other nations in North and South America and Africa

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Board of Directors	Save Orchestra Hall, Detroit Michigan

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Sander M. Levin	May 12, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 1

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Sander Martin Levin
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)	CATEGORY
III - Holdings	5.3% interest in LRS Company, a general partnership owning mineral rights and accounts receivable in Michigan (received from estate of Bess Levin, Mother, in 1988)	B
	5.3% interest in LRS Company, a general partnership owning real estate in Romulus and Pontiac Township, Michigan, and accounts receivable (received from estate of Bess Levin, Mother, in 1988)	B
	4.2% interest in Pleasantdale, a general partnership, owning real estate in Pontiac Township, Michigan (received from estate of Bess Levin, Mother, in 1988)	A
	Balance due from Joseph Levin, Esq. (Principal of $14,189) pursuant to letter agreement dated January 14, 1985 (as described in 1986 statement)	

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UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED

Committee on Standards of Official Conduct

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OFFICE ... U.S. HOUSE OF REPRESENTATIVES

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

Honorable Meldon Edises Levine
(Full Name)

132 Cannon HOB
(Mailing Address)

Washington, D.C. 21515

MC (Office Use Only)

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 27 State California

☐ Officer or Employee—Employing Office

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO _X_ NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
Strategic Directions in Physical Security Conference (GSA) 12-10-87 Check issued 1-27-88	Honorarium	$ 500	
Goldman, Sachs 2-12-88	"	2000	
Casden Company 2-29-88	"	2000	
Coast Fed Properties 3-7-88	"	2000	

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
American Electric Power	Dividend	A
American Tel. & Tel	"	A
City National Bank	"	C
FDA Paramount Fund, Inc.	"	B
Ameritech	"	A
Bell Atlantic	"	A

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) **In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.**

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY		CATEGORY
Strata Exploration, Inc. Partnership		A
FDA Paramount Fund, Inc.	Common Stock	B
City National Bank	"	E
American Electric Power	"	B
Selected American Mutual Fund	"	B
American Tel. & Tel.	"	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
Matured Israel Bonds	Jan. 1988	A
Nuveen Fund Redemption	April 1988	F
Norwalk Redev. Bonds - Matured	Dec.1, 1988	C
Ogden Corp - Debentures Sold	Feb.1, 1988	B
Coca Cola Enterprises Sold	Jan.7, 1988	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
None	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
None	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
United Jewish Fund, Chicago 5-15-88	Transportation and lodging
UJA Reading, Pennsylvania 11-15-88	Transportation
Connell Rice & Sugar Co., Inc. 12-14-88	Transportation

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Treasurer and Director	Hyman Levine Foundation (non profit charitable foundation)
National Chair	Rebuild America (non profit educational foundation)

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
None		

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
	5/15/89

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]

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Page 1 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Honorable Meldon E. Levine
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
II. Income			
A.	Jewish Community Forum 3-13-88	Honorarium	$1500
	National Partnership Investment Inc. 3-14-88	"	2000
	Troy Casden 4-11-88	"	2000
	United Jewish Fund, Chicago	"	2000
	Wine Institute 5-25-88	"	1000
	Metrobank 6-27-88	"	2000
	Jewish Federation, Las Vegas 8-22-88	"	2000
	Coast Savings & Loan 8-29-88	"	2000
	Pacific Mutual Life Insurance Co. 8-31-88	"	2000
	Irvine Company 8-31-88	"	2000
	Continental Development Corp. El Segundo		
	Employees Association 9-1-88	"	2000
	National Medical Enterprises, Inc. 10-17-88	"	2000
	5-Towns PAC 10-20-88	"	1500
	UJA Reading, Pennsylvania 11-15-88	"	2000
	Connell Rice & Sugar Co., Inc. 12-14-88		
	(honorarium contributed to Sidwell Friends		
	School Scholarship Fund)	"	2000
	Los Angeles Times 2-9-88	Op-Ed	250
	Los Angeles Times 6-30-88	"	250
	New York Times 10-88	"	150
	Los Angeles Times 11-15-88	"	250
	Total		$35,400
	Less Charitable Contributions		9,120
	Net Honoraria		$26,280
B.	Bell South	Dividend	A
	Nynex	"	A
	Pacific Telesis	"	A
	Southwestern Bell	"	A
	Zweig Fund, Inc.	"	A
	Strata Exploration	Partnership	A
	Selected Amer Shares, Inc.	Dividend & Capital Gain	A
	City National Bank	Interest	B
	Equitable Assurance	"	A
	Drexel Burnham Lambert Money Market Fund	"	A
	Coast Savings & Loan	"	C
	Levine #1-6 Partnership	Rent, Interest and Dividends	F
	Emma Levine Partnership	Rent, Interest and Dividends	D
	Candlewood Park	Rent, Interest and Dividends	D
	Blum Family Company	Rent, Interest and Dividends, Cap. Gain	G
	Sid B. Levine Trust u/w Emma Levine Trust		E
	Signet Bank	Interest	A
	State of Israel Bonds	"	A
	Rayonier Timberland	Partnership	A
	Cousins Four	Rent, Interest and Capital Gain	F
	United Siblings	Rent, Interest and Dividends	D
	Strata Exploration	Partnership	A
	California Municipal Bonds	Interest	D
	Strata Exploration Inc.	Royalties	A
III. Holdings	Sid B. Levine Trust u/w Emma Levine (no discretionary interest)		F
	Ameritech	Common Stock	B
	Bell Atlantic	"	B
	Bell South	"	B
	Nynex	"	B
	Pacific Telesis	"	B

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Page 2 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Honorable Meldon E. Levine
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)		
III. Holdings (continued)	Southwestern Bell	Common Stock	B
	Philadelphia Savings Society	"	A
	Seagram Company	"	A
	Bank of Los Angeles	"	A
	Palmdale, California Bonds		A
	Carson Redevelopment Bonds		B
	Newark School Bonds		C
	Palm Springs Angels	Partnership	A
	Rayonier Timberland	"	A
	Bellevue Hotel (Blum Family Company)	"	C
	City National Bank Money Market Accounts		D
	Coast Savings and Loan Money Market Accounts		A
	Drexel Burnham Lambert Money Market Account		A
	Signet Bank Money Market Account		C
	Franklin California Tax Exempt Fund		E
	California Rev. Bonds		D
	Port of Oakland, California Bonds		D
	Ontario City Bonds		C
	California State Dept Vets Bonds		C
	California State Dept Vets Bonds		C
	Rialto California Community Bonds		C
	California State Dept Vets Bonds		C
	Clovis Unified School District Bonds		C
	Zweig Fund Inc.		A
	United Siblings		D
	Interest in: Wilshire-Hobart Apt. L.A.		B
	7400 Hollywood Blvd. Apt. L.A.		A
	2730 Wilshire Blvd Apt. S.M.		A
	1232 Harvard St. S.M.		A
	Cucamonga Water District Bond		B
	Levine #1-6 Partnership		C
	Interest in: Cash (City National Bank Account)		B
	156-62 South Mission St. L.A.		B
	141-49 South Anderson St. L.A.		B
	136-40 South Mission St. L.A.		B
	Emma Levine Partnership		C
	Interests in land at: Soto & 54th, L.A.		B
	5401 South Boyle, L.A.		B.
	Candlewood Park Partnership		C
	Interest in apt. at: 4051 East Candlewood St. Lakewood, CA		
	(less liability on the project)		
	Canyon Terrace Partnership		A
	Interest in apt. at: 2400 San Dimas Canyon Rd. LaVerne, CA		
	(less liability on the project)		
	Cousins Four Partnership		D
	Interest in apt. at 2615 La Canada, Glendale, CA		B
	Interest in apt. at 2100 Beachwood Dr., L.A.		
	(less liability on the project)		D
IV Transactions	Wickes Company	Sold 12-16-88	A
	Meritor Savings Bank	Sold 2-1-88	A
	Franklin California Tax Exempt Fund Purchase 4-88		E
	Cousins Four Partnership asset (property at 817 South Andrews Place, LA, CA) held by the partnership was sold at a gain which was included in income as capital gain.		D
	Blum Family Company asset (Bellevue Hotel, San Francisco) held by partnership was sold at a gain which was included in income as capital gain		F

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Page 3 of 3

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

Honorable Meldon E. Levine
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
IV. Transactions (continued)	Levine Trust #1-6 asset (property at 104555 Mills Ave, Montclair, CA) held by partnership was sold at a gain which was included in income as capital gain. C

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MEL LEVINE
27TH DISTRICT, CALIFORNIA

COMMITTEE ON FOREIGN AFFAIRS

COMMITTEE ON INTERIOR
AND INSULAR AFFAIRS

SELECT COMMITTEE ON NARCOTICS
ABUSE AND CONTROL

CO-CHAIR
HOUSE EXPORT TASK FORCE

Congreſs of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE
132 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
TELEPHONE 202-225-6451

DISTRICT OFFICE
5250 WEST CENTURY BOULEVARD
SUITE 447
LOS ANGELES, CA 90045
TELEPHONE 213-410-9415
213-393-4139 (SANTA MONICA)

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U.S. HOUSE OF REPRESENTATIVES

MC ✓

May 25, 1989

Honorable Donnald K. Anderson
Office of the Clerk
Records and Registration
1036 Longworth HOB
Washington, D.C. 20515

Dear Mr. Anderson:

I would like to amend my 1988 Financial Disclosure Statement to reflect the following transactions:

J&S Davidson	Paid off 2-87
Mike George	Paid off 4-88

I would also like my 1988 Financial Disclosure Statement to reflect under All Other Gifts (Part VI, B.):

None

I would also like my 1988 Financial Disclosure Statement to reflect under Income (Part II, A.):

Spouse's Income Source: People for the American Way Type: Salary

Thank you for your assistance.

Sincerely,

Mel Levine
Member of Congress

ML:aj

00070281535

UNITED STATES HOUSE OF REPRESENTATIVES

HAND DELIVERED Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

JERRY LEWIS
(Full Name)
2312 Rayburn House Office Building
(Mailing Address)
Washington, D. C. 20515

MC (Office Use Only)

MAY 15 11: 32

Check the appropriate box and fill in the blanks.

☒ Member of the U.S. House of Representatives—District 35 State CA.

☐ Officer or Employee—Employing Office ________

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item.

Note: A copy of the Financial Disclosure Statement for 1988 of spouse, Arlene M. Willis, is attached hereto and by this reference made a part hereof.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ____ NO X NA ____

For more information, see detailed Instruction Booklet at page 7.

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II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II–A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
General Dynamics, Space Systems Division/ 1/13/88	Honorarium	$1,000	None
Marketing & Training Institute-2/4/88	Honorarium	$2,000	None
Rockwell International-1/19/88	Honorarium	$1,000	None
Interstate Natural Gas Ass'n.-3/19/88	Honorarium	$2,000	None
Capitol Forum-3/2/88	Honorarium	$ 500	None

(continued on continuation sheet)

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II–B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
T. Rowe Price Prime Reserve Funds	Interest	B
Constitution Avenue property	Rents	E
Fidelity Investments (IRA)	Interest	B
Estate of Ruth Lewis	Inheritance	B

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO X______ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
631 Constitution Ave., Washington, D. C. 20002	F
Fidelity Cash Reserves - (IRA)	B
T. Rowe Price Prime Reserve Funds	D
Mill & D Streets, San Bernardino, Ca., 54,000 sq. ft., Title held by virtue of unrecorded partnership agreement, Plaza Investments-1/4th beneficial interest, Acquired--1964	D

(continued on continuation sheet) ³

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
T. Rowe Price Prime Reserve Funds-Redemption	3/7/88	A
~~T. Rowe Price Prime Reserve Funds-Redemption~~	4/13/88	B
~~T. Rowe Price Prime Reserve Funds-Redemption~~	5/25/88	B
~~T. Rowe Price Prime Reserve Funds-Redemption~~	6/3/88	C
~~T. Rowe Price Prime Reserve Funds-Redemption~~	6/20/88	A
T. Rowe Price Prime Reserve Funds-Redemption	11/18/88	A
T. Rowe Price Prime Reserve Funds-Redemption	12/20/88	A

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10

IDENTITY	CATEGORY
Perpetual Savings Bank-Mortgate on Constitution Avenue rental property	F

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of *all other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
Congressional Institute, Inc.	Transportation, food & Lodging Houston, Texas 3/24/88-3/26/88 Seminar participant
Harvard University, Institute of Politics	Transportation, Cambridge, Mass. 2/29/88-Study group participant
(continued on continuation sheet)	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
Partner	Plaza Investments (Mill & D St. property referred to under "Holdings, III).
Insurance agent	Penn Mutual Life Insurance Co.
Trustee	Lewis Family Trust

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment: leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
July 1959	Penn Mutual Life Insurance Co.,	Agent retirement plan--monthly life annuity beginning at age 65. A standard agents insurance contract. Commissions are paid in conformance with N. Y. state law and ongoing fees paid for services to policyholder for life of contract.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Jerry Lewis	May 12, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: 1988 [illegible]

Page ____ of ____

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT

JERRY LEWIS
(Name)

Continuation Sheet

Part	Source, Type, Amount, Category, Description or Value (As Applicable)
II INCOME	Mexican-American Grocers Ass'n. 3/21/88-Honorarium-$1,000
	CBS, Inc. 3/15/88-Honorarium-$1,000
	Pirelli Cable 4/1/88-Honorarium-$1,000
	National Medical Enterprises 4/8/88-Honorarium-$1,000
	Connell Rice & Sugar Co. 4/22/88-Honorarium-$2,000
	Claremont Colleges 4/20/88-Honorarium-$ 400
	Sheet Metal and Air Conditioning Contractors Nat'l. Ass'n. 4/25/88-Honorarium-$1,500
	American Dental Education Fund 6/18/88-Honorarium-$2,000
	Wine Institute 6/20/88-Honorarium-$1,000
	Edison Electric 9/15/88-Honorarium-$ 250
	Shipbuilders Council of America 9/7/88-Honorarium-$1,000
	Pacific Enterprises 11/21/88-Honorarium-$1,500
	Keith Engineering, Inc. 12/12/88-Honorarium-$1,000
	Robert Bein, Wm. Frost & Assoc. 12/13/88-Honorarium-$1,000
	Dan Piliero 12/17/88-Honorarium-$2,000
	Penn Mutual Life Insurance Co. Commissions-1988-Note: No new business earned during 1988-Income reflects service of business established preceding calendar year 1979) $599
III HOLDINGS	Life insurance underwriting business-Penn Mutual Life Insurance Co., Philadelphia, Pa. Estimated value of vested interested in commissions, earned and unearned in clientele account - Category C
	Lewis Family Trust -- See attached
VII REIMBURSEMENTS	Republican National Commitees, Arrangements Committee for the 1988 Republican Convention in New Orleans-Reimbursement as official Co-Chairman of the Platform Committee-Transportation, food and lodging-1 week beginning August 7, 1988.
	Marketing & Training Institute, Tarpan Springs, Florida, Transportation, food & lodging--Participant in dairy forum, 2/4/88-2/6/88.
	Interstate Natural Gas Ass'n., Key Largo, Florida, Transportation, food & lodging, legislative conference participant, 3/18/88-3/19/88.
	Mexican-American Grocers Ass'n., Palm Springs, Ca., Food and lodging, food management panel participant, 3/20/88.
	Connell Rice & Sugar Co., Newark, New Jersey and New York-Transportation, food & lodging, participant in The Congress Seminar, 4/22/88-4/24/88.
	Shipbuilders Council of America, White Sulphur Springs, West Va., Transportation, food & lodging, Congressional workshop-panel participant, 9/9/88-9/11/88.
	Pirelli Cable Corp., Kansas City, Mo., Transportation, food & lodging, participant in Congressional forum 4/1/88-4/5/88.

ETHICS IN GOVERNMENT ACT--FINANCIAL DISCLOSURE STATEMENT

JERRY LEWIS

Continuation Sheet

III HOLDINGS

LEWIS FAMILY TRUST

One-fourth interest in assets of trust created during parents lifetime. Elaine L. Lewis died on November 15, 1983. Edward R. Lewis died on May 13, 1988. No assets were distributed during 1988 except for a specific bequest by Elaine L. Lewis to an unrelated individual. A savings account was opened and the proceeds of a checking account were transferred to that savings account at Bank of San Bernardino during 1988. A copy of the relevant portions of the Federal estate tax return is attached. Assets that were not a part of the trust but were the separate property of Edward R. Lewis are also set forth in the Federal estate tax return. In accordance with the provisions of the Trust Agreement, the trust was divided into three trusts; therefore, the same assets are listed more than once with different values applicable to each trust.

INFORMATION AS TO QUESTION 12 OF PART 4:

Decedent and his predeceased wife, Elaine Lewis, established the Edward R. Lewis and Elaine L. Lewis Trust ("Lewis Trust") on January 15, 1983, a copy of which Trust Agreement is attached hereto. Pursuant to Article II of the Lewis Trust, it was divided into Trusts A-1, A-2, and B following Elaine's death on November 15, 1983, and these were funded with the assets as reflected in the Schedules of Assets, November 13, 1983, for each of said trusts prepared by Steve White, C.P.A., copies of said schedules are attached. The assets in Lewis Trust A-1 at the date of Decedent's death are reflected on Schedule G. The assets in Lewis Trust A-2 are Section 2044 property, and their values at the date of Decedent's death are reflected on Schedule F. The assets in each of the Lewis Trusts are reflected in the Schedules of Assets, May 13, 1988, prepared by Steve White, C.P.A., copies of these schedules are also attached. Trust B of the Lewis Trust held assets as to which Decedent held only a right to income (or principal if necessary according to an ascertainable standard--see paragraph B of Article 3). Therefore, said assets are not included in Decedent's taxable estate; however, their values are reflected in the schedules of assets prepared by Steve White.

INFORMATION AS TO QUESTION 13 OF PART 4:

Pursuant to paragraph A of Article 4, Edward E. Lewis and Elaine L. Lewis Trust dated January 15, 1983, Decedent held a general power of appointment over Trust A-1 and over accrued and undistributed income of Trust A-2. All assets of Trust A-1 are reported on Schedule G. All Assets of Trust A-2 are reported on Schedule F.

EDWARD R. & ELAINE L. LEWIS TRUST A-1
SCHEDULE OF ASSETS

November 15, 1983

Real Property Subject to Contracts of Sale (Schedule A)	$131,481.18
Notes and Deeds of Trust (Schedule B)	80,595.80
Real and Personal Property (Schedule C)	189,630.00
Total	$401,706.98

SCHEDULE A

EDWARD R. & ELAINE L. LEWIS TRUST A-1
SCHEDULE OF REAL PROPERTY SUBJECT TO CONTRACTS OF SALE

November 15, 1983

Payor	
Kahn & Bennison	12,973.83
Earl Burdett	15,021.59
Earl Burdett	15,021.59
Robert & David Bresnahan	13,990.92
Knauff, Roach & Jaurigue	13,913.94
Harold Petty	14,780.63
Jack Bryant	2,000.00
Marilynn Mahan	14,059.56
Harold Koenig	6,075.19
Magnus & Valerie Finstad	1,627.37
Albert Barker	237.44
Darrell & Mary Ann Finstad	2,905.39
Dennis, Gary & Gaylen Stephenson	5,766.49
Randy & Georgette Hopkinson	3,074.48
Michael & Sheila Oliver	10,032.76
	131,481.18

All of the above reflects a 50% interest.

SCHEDULE B

EDWARD R. & ELAINE L. LEWIS TRUST A-1
SCHEDULE OF NOTES AND DEEDS OF TRUST

November 15, 1983

Payor	
Walton & Skinner	13,882.33
Weakland & Haywood	3,109.53
Brandlin & Loper	5,555.75
Brandlin & Loper	5,172.88
Hughes & Haywood	2,973.66
Alan & Margaret DeWeese	4,425.20
Melvin & Marilyn Smith	4,005.67
Albert Lara	5,450.41
Lawrence Schlickenmayer	3,632.16
James & Constance Sink	3,331.89
Richard Sink	3,361.04
Edward & Judith Heber	5,854.52
James & Earleen Helm	5,557.85
Gerald & Phyllis Bromley	10,157.12
Mark Brandlin	3,867.14
Edwin Armstrong	258.65
	80,595.80

All of the above reflects a 50% interest.

SCHEDULE C

EDWARD R. & ELAINE L. LEWIS TRUST A-1
SCHEDULE OF REAL AND PERSONAL PROPERTY

November 15, 1983

Hawaii Lot (50% interest)	2,250
Personal Property Miscellaneous	20,000
Land - San Bernardino County (50% interest) Parcel Map Bk 47 Sec 2 TWP 13N	
Parcel 1	3,074
Parcel 3	3,074
Parcel 7	3,074
Parcel 12	3,074
Parcel 13	3,075
Land - 40 acres - San Bernardino County (50% interest)	4,000
Land - 40 acres - San Bernardino County (50% interest)	4,000
Personal residence - 5328 Park Lane, San Bernardino, CA (50% interest)	68,750
Improved real property - 5634 Argyle St., San Bernardino, CA (50% interest)	37,000
Improved real property - Los Angeles County (50% interest)	3,750
Improved real property - Kings County - subject to agreement of sale and escrow instructions (50% interest)	34,509
Total	189,630

EDWARD R. & ELAINE L. LEWIS TRUST A-2
SCHEDULE OF ASSETS

November 15, 1983

Real Property Subject to Contracts of Sale (Schedule A)	$18,381.06
Notes and Deeds of Trust (Schedule B)	11,267.30
Real and Personal Property (Schedule C)	25,666.00
Total	$55,314.36

89070231548 SCHEDULE A

EDWARD R. & ELAINE L. LEWIS TRUST A-2
SCHEDULE OF REAL PROPERTY SUBJECT TO CONTRACTS OF SALE

November 15, 1983

Payor	
Kahn & Bennison	1,813.74
Earl Burdett	2,100.02
Earl Burdett	2,100.02
Robert & David Bresnahan	1,955.93
Knauff, Roach & Jaurigue	1,945.17
Harold Petty	2,066.33
Jack Bryant	279.60
Marilynn Mahan	1,965.53
Harold Koenig	849.31
Magnus & Valerie Finstad	227.51
Albert Barker	33.19
Darrell & Mary Ann Finstad	406.17
Dennis, Gary & Gaylen Stephenson	806.15
Randy & Georgette Hopkinson	429.81
Michael & Sheila Oliver	1,402.58
	18,381.06

All of the above reflects a 7% interest.

89070281549

SCHEDULE B

EDWARD R. & ELAINE L. LEWIS TRUST A-2
SCHEDULE OF NOTES AND DEEDS OF TRUST

November 15, 1983

Payor	
Walton & Skinner	1,940.75
Weakland & Haywood	434.71
Brandlin & Loper	776.69
Brandlin & Loper	723.17
Hughes & Haywood	415.72
Alan & Margaret DeWeese	618.64
Melvin & Marilyn Smith	559.99
Albert Lara	761.97
Lawrence Schlickenmayer	507.78
James & Constance Sink	465.80
Richard Sink	469.87
Edward & Judith Weber	818.46
James & Earleen Helm	776.99
Gerald & Phyllis Bromley	1,419.97
Mark Brandlin	540.63
Edwin Armstrong	36.16
	11,267.30

All of the above reflects a 7% interest.

SCHEDULE C

EDWARD R. & ELAINE L. LEWIS TRUST A-2
SCHEDULE OF REAL AND PERSONAL PROPERTY

November 15, 1983

Hawaii Lot (50% interest)	2,250
Land - San Bernardino County (7% interest) Parcel Map Bk 47 Sec 2 TWP 13N	
Parcel 1	430
Parcel 3	430
Parcel 7	430
Parcel 12	430
Parcel 13	429
Land - 40 acres - San Bernardino County (7% interest)	560
Land - 40 acres - San Bernardino County (7% interest)	560
Personal residence - 5328 Park Lane, San Bernardino, CA (7% interest)	9,618
Improved real property - 5634 Argyle St., San Bernardino, CA (7% interest)	5,176
Improved real property - Los Angeles County (7% interest)	525
Improved real property - Kings County - subject to agreement of sale and escrow instructions (7% interest)	4,828
Total	$25,666

EDWARD R. & ELAINE L. LEWIS TRUST B
SCHEDULE OF ASSETS

November 15, 1983

Real Property Subject to Contracts of Sale (Schedule A)	$113,100.03
Notes and Deeds of Trust (Schedule B)	69,328.45
Real and Personal Property (Schedule C)	143,963.00
Total	$326,391.48

SCHEDULE A

EDWARD R. & ELAINE L. LEWIS TRUST B
SCHEDULE OF REAL PROPERTY SUBJECT TO CONTRACTS OF SALE

November 15, 1983

Payor	
Kahn & Bennison	11,160.08
Earl Burdett	12,921.56
Earl Burdett	12,921.56
Robert & David Bresnahan	12,034.98
Knauff, Roach & Jaurigue	11,968.77
Harold Petty	12,714.29
Jack Bryant	1,720.40
Marilynn Mahan	12,094.03
Harold Koenig	5,225.87
Magnus & Valerie Finstad	1,399.85
Albert Barker	204.25
Darrell & Mary Ann Finstad	2,499.21
Dennis, Gary & Gaylen Stephenson	4,960.33
Randy & Georgette Hopkinson	2,644.67
Michael & Sheila Oliver	8,630.18
	113,100.03

All of the above reflects a 43% interest.

SCHEDULE B

EDWARD R. & ELAINE L. LEWIS TRUST B
SCHEDULE OF NOTES AND DEEDS OF TRUST

November 15, 1983

Payor	
Walton & Skinner	11,941.57
Weakland & Haywood	2,674.82
Brandlin & Loper	4,779.06
Brandlin & Loper	4,449.71
Hughes & Haywood	2,557.94
Alan & Margaret DeWeese	3,806.56
Melvin & Marilyn Smith	3,445.67
Albert Lara	4,688.44
Lawrence Schlickenmayer	3,124.38
James & Constance Sink	2,866.08
Richard Sink	2,891.16
Edward & Judith Heber	5,036.06
James & Earleen Helm	4,780.86
Gerald & Phyllis Bromley	8,737.15
Mark Brandlin	3,326.51
Edwin Armstrong	222.48
	69,328.45

All of the above reflects a 43% interest.

SCHEDULE C

EDWARD R. & ELAINE L. LEWIS TRUST B
SCHEDULE OF REAL AND PERSONAL PROPERTY

November 15, 1983

Land - San Bernardino County (43% interest) Parcel Map Bk 47 Sec 2 TWP 13W	
Parcel 1	2,644
Parcel 3	2,644
Parcel 7	2,644
Parcel 12	2,644
Parcel 13	2,645
Land - 40 acres - San Bernardino County (43% interest)	3,440
Land - 40 acres - San Bernardino County (43% interest)	3,440
Personal residence - 5328 Park Lane, San Bernardino, CA (43% interest)	59,132
Improved real property - 5634 Argyle St., San Bernardino, CA (43% interest)	31,824
Improved real property - Los Angeles County (43% interest)	3,225
Improved real property - Kings County - subject to agreement of sale and escrow instructions (43% interest)	29,681
Total	$143,963

EDWARD R. & ELAINE L. LEWIS TRUST A-1
SCHEDULE OF ASSETS

May 13, 1988

Cash on Hand and in Bank (Schedule A)	$ 52,260.13
Real Property Subject to Contracts of Sale (Schedule B)	106,614.91
Notes and Deeds of Trust (Schedule C)	94,664.58
Real and Personal Property' (Schedule D)	172,250.00
	425,789.62
Less miscellaneous personal property	20,000.00
Revised total	405,789.62

SCHEDULE A

EDWARD R. & ELAINE L. LEWIS TRUST A-1
SCHEDULE OF CASH ON HAND AND IN BANK

May 13, 1988

Franklin California Tax-free Income Fund Account number 11204361724 (30% interest)	$35,342.72
American National Bank Checking Account Account number 34-44637-2 (50% interest)	16,917.41
	$52,260.13

SCHEDULE B

EDWARD R. & ELAINE L. LEWIS TRUST A-1
SCHEDULE OF REAL PROPERTY SUBJECT TO CONTRACTS OF SALE

May 13, 1988

Payor	
Earl Burdett	12,488.04
Earl Burdett	12,488.04
Knauff, Roach & Jaurigue	11,195.94
Marilynn Mahan	11,440.15
Dennis, Gary & Gaylen Stephenson	5,350.73
Randy & Georgette Hopkinson	1,043.01
Glasscamp, Inc.	28,068.50
Glasscamp, Inc.	14,794.50
Richard & Donna Lewis	5,063.50
R. Agee	4,682.50
	106,614.91

All of the above reflects a 50% interest.

89070281558

SCHEDULE C

EDWARD R. & ELAINE L. LEWIS TRUST A-1
SCHEDULE OF NOTES AND DEEDS OF TRUST

May 13, 1988

Payor	
Walton & Skinner	11,159.21
Weakland & Haywood	1,094.04
Brandlin & Loper	4,046.67
Brandlin & Loper	1,286.07
Hughes & Haywood	539.17
Alan & Margaret DeWeese	2,104.47
Melvin & Marilyn Smith	1,563.30
Albert Lara	3,508.62
James & Constance Sink	705.93
Richard Sink	1,440.06
Edward & Judith Heber	4,061.06
James & Earleen Helm	3,622.92
Gerald & Phyllis Bromley	8,370.05
Mark Brandlin	3,611.00
Kahn & Bennison	9,902.46
Robert & David Bresnahan	11,287.45
Harold Petty	13,052.68
Harold Koenig	4,373.43
Darrell & Mary Ann Finstad	858.74
Michael & Sheila Oliver	8,077.25
	94,664.58

All of the above reflects a 50% interest.

SCHEDULE D

EDWARD R. & ELAINE L. LEWIS TRUST A-1
SCHEDULE OF REAL AND PERSONAL PROPERTY

May 13, 1988

Hawaii Lot (50% interest)	2,250
Personal Property Miscellaneous	20,000
Land - San Bernardino County (50% interest)	
Parcel Map 4416	
Parcel 7	11,000
Parcel 12	10,500
Parcel 13	9,500
Personal residence - 5328 Park Lane, San Bernardino, CA (50% interest)	65,000
Improved real property - 5634 Argyle St., San Bernardino, CA (50% interest)	54,000
Total	172,250
**Less value of miscellaneous personal property	-20,000
Adjusted Total	152,250

NOTE: Decedent's interest in the two 40-acre parcels in San Bernardino County shown as a part of Trusts A-2 and B had been given away during his lifetime.

** Personal property reflected on Schedule F.

EDWARD R. & ELAINE L. LEWIS TRUST A-2
SCHEDULE OF ASSETS

May 13, 1988

Cash on Hand and in Bank (Schedule A)	$14,022.86
Real Property Subject to Contracts of Sale (Schedule B)	14,904.76
Notes and Deeds of Trust (Schedule C)	13,234.10
Real and Personal Property (Schedule D)	26,299.00
	68,460.72

SCHEDULE A

EDWARD R. & ELAINE L. LEWIS TRUST A-2
SCHEDULE OF CASH ON HAND AND IN BANK

May 13, 1988

Franklin California Tax-free Income Fund Account number 11204361724 (10% interest)	$11,657.81
American National Bank Checking Account Account number 34-44637-2 (7% interest)	2,365.05
	$14,022.86

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SCHEDULE B

EDWARD R. & ELAINE L. LEWIS TRUST A-2
SCHEDULE OF REAL PROPERTY SUBJECT TO CONTRACTS OF SALE

May 13, 1988

Payor	
Earl Burdett	1,745.83
Earl Burdett	1,745.83
Knauff, Roach & Jaurigue	1,565.19
Marilynn Mahan	1,599.33
Dennis, Gary & Gaylen Stephenson	748.03
Randy & Georgetta Hopkinson	145.81
Glasscamp, Inc.	3,923.98
Glasscamp, Inc.	2,068.27
Richard & Donna Lewis	707.88
R. Agee	654.61
	14,904.76

All of the above reflects a 7% interest.

SCHEDULE C

EDWARD R. & ELAINE L. LEWIS TRUST A-2
SCHEDULE OF NOTES AND DEEDS OF TRUST

May 13, 1988

Payor	
Walton & Skinner	1,560.06
Weakland & Haywood	152.95
Brandlin & Loper	565.72
Brandlin & Loper	179.79
Hughes & Haywood	75.38
Alan & Margaret DeWeese	294.20
Melvin & Marilyn Smith	218.55
Albert Lara	490.51
James & Constance Sink	98.69
Richard Sink	201.32
Edward & Judith Weber	567.74
James & Earleen Helm	506.48
Gerald & Phyllis Bromley	1,170.13
Mark Brandlin	504.92
Kahn & Bennison	1,384.36
Robert & David Bresnahan	1,577.98
Harold Petty	1,824.76
Harold Koenig	611.41
Darrell & Mary Ann Finstad	120.05
Michael & Sheila Oliver	1,129.20
	13,234.10

All of the above reflects a 7% interest.

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SCHEDULE D

EDWARD R. & ELAINE L. LEWIS TRUST A-2
SCHEDULE OF REAL AND PERSONAL PROPERTY

May 13, 1988

Hawaii Lot (50% interest)	2,250
Land - San Bernardino County (7% interest)	
Parcel Map 4416	
Parcel 7	1,540
Parcel 12	1,470
Parcel 13	1,330
Land - 40 acres - San Bernardino County - APN 567-121-44 (7% interest)	1,568
Land - 40 acres - San Bernardino County - Parcel 10 Parcel Map 4416 (7% interest)	1,505
Personal residence - 5328 Park Lane, San Bernardino, CA (7% interest)	9,087
Improved real property - 5634 Argyle St., San Bernardino, CA (7% interest)	7,549
Total	26,299

EDWARD R. & ELAINE L. LEWIS TRUST B
SCHEDULE OF ASSETS

May 13, 1988

Cash on Hand and in Bank (Schedule A)	$ 86,283.70
Real Property Subject to Contracts of Sale (Schedule B)	91,710.11
Notes and Deeds of Trust (Schedule C)	81,430.38
Real and Personal Property' (Schedule D)	147,901.00
	407,325.19

8 9 0 7 0 2 8 1 5 6 6

SCHEDULE A

EDWARD R. & ELAINE L. LEWIS TRUST B
SCHEDULE OF CASH ON HAND AND IN BANK

May 13, 1988

Franklin California Tax-free Income Fund Account number 11204361724 (60% interest)	$71,731.35
American National Bank Checking Account Account number 94444837-2 (43% interest)	14,552.35
	$86,283.70

8 9 3 7 3 2 3 1 5 6 7 SCHEDULE 8

EDWARD R. & ELAINE L. LEWIS TRUST B
SCHEDULE OF REAL PROPERTY SUBJECT TO CONTRACTS OF SALE

May 13, 1988

Payor	
Earl Burdett	10,742.20
Earl Burdett	10,742.20
Knauff, Roach & Jaurigue	9,630.75
Marilynn Mahan	9,840.82
Dennis, Gary & Gaylen Stephenson	4,602.69
Randy & Georgette Hopkinson	897.19
Glasscamp, Inc.	24,144.52
Glasscamp, Inc.	12,726.23
Richard & Donna Lewis	4,355.62
R. Agee	4,027.89
	91,710.11

All of the above reflects a 43% interest.

SCHEDULE C

EDWARD R. & ELAINE L. LEWIS TRUST B
SCHEDULE OF NOTES AND DEEDS OF TRUST

May 13, 1988

Payor	
Walton & Skinner	9,599.14
Weakland & Haywood	941.08
Brandlin & Loper	3,480.94
Brandlin & Loper	1,106.28
Hughes & Haywood	463.79
Alan & Margaret DeWeese	1,810.26
Melvin & Marilyn Smith	1,344.75
Albert Lara	3,018.11
James & Constance Sink	607.23
Richard Sink	1,238.73
Edward & Judith Weber	3,493.31
James & Earleen Helm	3,116.44
Gerald & Phyllis Bromley	7,199.91
Mark Brandlin	3,106.18
Kahn & Bennison	8,518.10
Robert & David Bresnahan	9,709.46
Harold Petty	11,227.91
Harold Koenig	3,762.02
Darrell & Mary Ann Finstad	738.69
Michael & Sheila Oliver	6,948.05
	81,430.38

All of the above reflects a 43% interest.

SCHEDULE B

EDWARD R. & ELAINE L. LEWIS TRUST B
SCHEDULE OF REAL AND PERSONAL PROPERTY

May 13, 1988

Land - San Bernardino County (43% interest)	
Parcel Map 4416	
Parcel 7	9,460
Parcel 12	9,030
Parcel 13	8,170
Land - 40 acres - San Bernardino County - APN 567-121-44 (43% interest)	9,632
Land - 40 acres - San Bernardino County Parcel 10 Parcel Map 4416 (43% interest)	9,245
Personal residence - 5328 Park Lane, San Bernardino, CA (43% interest)	55,913
Improved real property - 5634 Argyle St., San Bernardino, CA (43% interest)	46,451
Total	147,901

Form 706 (Rev. 11-8[illegible]) A 9 3 7 3 2 9 1 5 7 0

Estate of: EDWARD R. LEWIS, deceased 547-22-3641

SCHEDULE C—Mortgages, Notes, and Cash

(For jointly owned property that must be disclosed on Schedule E, see the instructions for Schedule E.)

Item number	Description	Alternate valuation date	Alternate value	Value at date of death
1	Pacific Savings Bank 185 East 40th San Bernardino, CA 92404 Acct. #05-35262-0, checking account Decedent's sole and separate property. D.O.D. Balance			7,784.00
2	Ten (10) $20.00 traveler's checks			200.00
3	Personal loan to Ray Lewis at no interest, balance due at date of death:			6,800.00
	Total from continuation schedule(s) (or additional sheet(s)) attached to this schedule . . .			
	TOTAL. (Also enter on Part 5, Recapitulation, page 3, at item 3.)			$14,784.00

(If more space is needed, attach the continuation schedule from the end of this package or additional sheets of the same size.)

Form 706 (Rev 11-87) A 9 0 7 0 2 3 1 5 7 1

Estate of: EDWARD R. LEWIS, deceased 547-22-3641

SCHEDULE F—Other Miscellaneous Property Not Reportable Under Any Other Schedule

(For jointly owned property that must be disclosed on Schedule E, see the instructions for Schedule E.)
(If you elect section 2032A valuation, you must complete Schedule F and Schedule A-1.)

		Yes	No
1	Did the decedent at the time of death own any articles of artistic or collectible value in excess of $3,000 or any collections whose artistic or collectible value combined at date of death exceeded $10,000? . . . . . If "Yes," full details must be submitted on this schedule.		X
2	Has the decedent's estate, spouse, or any other person, received (or will receive) any bonus or award as a result of the decedent's employment or death? . . . . . If "Yes," full details must be submitted on this schedule.		X
3	Did the decedent at the time of death have, or have access to, a safe deposit box? . . . . . If "Yes," state location, and if held in joint names of decedent and another, state name and relationship of joint depositor. Pacific Federal S & L, 40th Street, San Bernardino, CA If any of the contents of the safe deposit box are omitted from the schedules in this return, explain fully why omitted.	X	

Item number	Description For securities, give CUSIP number, if available.	Alternate valuation date	Alternate value	Value at date of death
1	Personal property as follows: Furniture and fixtures in residence Oriental rugs in residence Chinese screens (2) Chinese chair Two carved Chinese chests Jewelry Total personal property $20,000.00 Decedent's 100% interest			20,000.00
2	Edward R. and Elaine L. Lewis Trust dated January 15, 1983, Trust A-2 (see attached Schedules F-A through F-D) Page F-A = $14,022.86 Page F-B1= $10,846.40 Page F-B2= $ 4,058.36 Page F-C1= $ 5,398.51 Page F-C2= $ 2,962.26 Page F-C3= $ 1,333.64 Page F-C4= $ 1,358.26 Page F-C5= $ 2,181.43 Page F-D1= $ 9,663.00 Page F-D2= $16,636.00 Schedules Total $68,460.72			68,460.72
	Total from continuation schedule(s) (or additional sheet(s)) attached to this schedule . . .			
	TOTAL. (Also enter on Part 5, Recapitulation, page 3, at item 6.)			$88,460.72

(If more space is needed, attach the continuation schedule from the end of this package or additional sheets of the same size.)

Form 706 (Rev. 11-87) 8 9 0 7 0 2 8 1 5 7 2 before completing it if you will need more than one schedule.)

Estate of: EDWARD R. LEWIS, deceased 547-22-3641

CONTINUATION SCHEDULE

Continuation of Schedule F - A
(Enter letter of schedule you are continuing.)

Item number	Description For securities, give CUSIP number, if available.	Unit value (Sch B, E, or G only)	Alternate valuation date	Alternate value	Value at date of death or amount deductible
1	Franklin California Tax-free Income Fund (10% interest) Account Number 11204361724 FULL VALUE: $118,731.88				11,657.81
2	American National Bank Checking Account (7% interest) Account Number 34-44637-2 FULL VALUE: $33,834.81				2,365.05
	TOTAL. (Carry forward to main schedule.)				$14,022.86

See instructions on back. Continuation Schedule—Page F-A

Form 706 (Rev. 11-87) R 9 0 7 0 2 8 1 5 7 3 (Use before completing it if you will need more than one schedule.)

Estate of: EDWARD R. LEWIS, deceased 547-22-3641

CONTINUATION SCHEDULE

Continuation of Schedule F - B
(Enter letter of schedule you are continuing.)

Item number	Description For securities, give CUSIP number, if available.	Unit value (Sch B, E or G only)	Alternate valuation date	Alternate value	Value at date of death or amount deducted
1	Real property in San Bernardino County, CA, subject to contract of sale dated 9-16-85 for $60,000.00 by Glasscamp, Inc. Balance owing at D.O.D.: $56,137.00 7% interest				3,923.98
2	Real property in San Bernardino County, CA, subject to contract of sale dated 9-16-85 for $32,000.00 by Glasscamp, Inc. Balance owing at D.O.D.: $29,589.00 7% interest				2,068.27
3	Real property in San Bernardino County, CA, subject to contract of sale dated 2-4-84 for $12,000.00 by Richard J. and Donna F. Lewis. Balance owing at D.O.D.: $10,127.00 7% interest				707.88
4	Real property in Los Angeles County, CA, subject to contract of sale dated 1-20-88 for $10,000.00 by Rommel Agee. Balance owing at D.O.D.: $9,365.00 7% interest				654.61
5	Real property in San Bernardino County, CA, subject to contract of sale dated 10-26-81 for $32,000.00 by Earl D. Burdett. Balance owing at D.O.D.: $24,976.08 7% interest				1,745.83
6	Real property in San Bernardino County, CA, subject to contract of sale dated 10-26-81 for $32,000.00 by Earl D. Burdett. Balance owing at D.O.D.: $24,976.08 7% interest				1,745.83
	TOTAL. (Carry forward to main schedule.)				$10,846.40

See instructions on back.

Form 706 (Rev. 11-87) A 9 0 7 0 2 8 1 5 7 4 (make copies of this schedule before completing it if you will need more than one schedule.)

Estate of: EDWARD R. LEWIS, deceased 547-22-3641

CONTINUATION SCHEDULE

Continuation of Schedule F - B
(Enter letter of schedule you are continuing.)

Item number	Description For securities, give CUSIP number, if available.	Unit value (Sch B, E, or G only)	Alternate valuation date	Alternate value	Value at date of death or amount deductible
7	Real property in San Bernardino County, CA, subject to contract of sale dated 6-13-79 for $32,000.00 by Carl E. Knauff, Peggy Knauff, Randy W. Roach, Barbara Roach, Stephen F. Jaurigue, Kathleen S. Jaurigue, Philippe J. Tatton, and Susan E. Tatton. Balance owing at D.O.D.: $22,391.88 7% interest				1,565.19
8	Real property in San Bernardino County, CA, subject to contract of sale dated 5-2-85 for $12,102.28 by Frances M. Stephenson and Austin Shrack. Balance owing at D.O.D.: $10,701.46 7% interest				748.03
9	Real property in San Bernardino County, CA, subject to contract of sale dated 4-20-73 for $12,000.00 by Randy Don Hopkinson & Georgette M. Hopkinson. Balance owing at D.O.D.: $2,086.02 7% interest				145.81
10	Real property in San Bernardino County, CA, subject to contract of sale dated 10-16-79 for $32,000.00 by Marilyn L. Mahan. Balance owing at D.O.D.: $22,880.30 7% interest				1,599.33
	TOTAL. (Carry forward to main schedule.)				$4,058.36

See instructions on back.

Form 706 (Rev. 11-87) 8 9 0 7 0 2 3 1 5 7 5 (make copies of this schedule before completing it if you will need more than one schedule.)

Estate of: EDWARD R. LEWIS, deceased 547-22-3641

CONTINUATION SCHEDULE

Continuation of Schedule F - C
(Enter letter of schedule you are continuing.)

Item number	Description For securities, give CUSIP number, if available.	Unit value (Sch. B, E, or G only)	Alternate valuation date	Alternate value	Value at date of death or amount deductible
1	Note dated 12-24-84 in the amount of $31,750.00 by Sam J. Kahn and Betty L. Kahn as joint tenants, and Robert Craig Bennion and La Ree Bennion as joint tenants, at 7% per annum, payments of $250.00 payable on the 30th day of each calendar month, commencing on 6-30-77, secured by deed of trust recorded 1-2-85 as Instrument 85-000662. Balance owing at D.O.D.: $19,804.92 7% interest				1,384.36
2	Note dated 7-18-84 in the amount of $31,750.00 by Robert Bresnahan and Carolyn Bresnahan as joint tenants, and Rob D. Bresnahan, at 7% per annum, payments of $250.00 payable on the 18th day of each calendar month, commencing on 7-18-79, secured by deed of trust recorded 8-27-84 as Instrument 84-204415. Balance owing at D.O.D.: $22,574.90 7% interest				1,577.98
3	Note by Harold J. Petty and Netty L. Petty at 7% per annum secured by deed of trust recorded as Instrument No. 913 on Dec. 19, 1979 in Book 9583, Page 1403. Balance owing at D.O.D.: $26,105.86 7% interest				1,824.76
4	Note dated 4-2-84 in the amount of $15,775.00 by Harold E. Koenig, at 7% per annum, payments of $125.00 payable on the 15th day of each calendar month commencing on 9-25-76, secured by deed of trust recorded 5-17-84 as Instrument 84-116000. Balance owing at D.O.D.: $8,746.86 7% interest				611.41
	TOTAL. (Carry forward to main schedule.)				$5,398.51

See instructions on back.

Continuation Schedule—Page F-C

Form 706 (Rev. 11-87) 89370281575 [illegible]

Estate of: EDWARD R. LEWIS, deceased 547-22-3641

CONTINUATION SCHEDULE

Continuation of Schedule F - C
(Enter letter of schedule you are continuing.)

Item number	Description For securities, give CUSIP number, if available.	Unit value (Sch B and E only)	Alternate valuation date	Alternate value	Value at date of death or amount deducted
5	Note dated 3-27-84 in the amount of $11,925.00 by Darrell L. Finstad and Mary Ann Finstad, joint tenants, at 7% per annum, payments of $100.00 payable on the 27th day of each calendar month, commencing on 4-27-73, secured by deed of trust recorded 5-17-84 as Instrument 84-116-058. Balance owing at D.O.D.: $1,717.88 7% interest				120.05
6	Note dated 4-1-84 in the amount of $23,600.00 by Michael B. Oliver and Sheila R. Oliver, joint tenants, at 7% per annum, payments of $175.00 payable on the 15th day of each calendar month, commencing on 7-15-77, secured by deed of trust recorded 5-17-84 as Instrument 84-116062. Balance owing at D.O.D.: $16,154.50 7% interest				1,129.20
7	Note dated 4-7-83 in the amount of $28,450.85 by Pauline R. Walton and Bobbie R. Skinner, at 7% per annum, payments of $250.00 payable on the 15th day of each calendar month, commencing 4-15-83, secured by deed of trust recorded 4-19-83 as Instrument 83-082026. Balance owing at D.O.D.: $22,318.42 7% interest				1,560.06
8	Note dated 1-12-77 in the amount of $10,362.76 by Gordon J. Weakland, Sr., and Irma B. Haywood, joint tenants, at 7% per annum, payments of $100.00 payable on the 15th day of each calendar month, commencing on 2-15-77, secured by deed of trust recorded 9-4-79 as Instrument 1033, in Book 9763, Page 1570. Balance owing at D.O.D.: $2,188.08 7% interest				152.95
	TOTAL. (Carry forward to main schedule.)				$2,962.26

See instructions on back.

Form 706 (Rev.) 8 9 0 7 0 2 8 1 5 7 7 (Make copies of this page if you will need more than one schedule.)

Estate of: EDWARD R. LEWIS, deceased 547-22-3641

CONTINUATION SCHEDULE

Continuation of Schedule F - C
(Enter letter of schedule you are continuing.)

Item number	Description For securities, give CUSIP number, if available.	Unit value (Sch B, E or G only)	Alternate valuation date	Alternate value	Value at date of death or amount deductible
9	Note dated 11-1-79 in the amount of $13,500.00 by Charles L. Loper and Mark E. Brandlin, at 7% per annum, payments of $125.00 payable on the 1st day of each calendar month, commencing on 12-1-79, recorded 6-11-80 as Instrument 80-134076. Balance owing at D.O.D.: $10,665.48 7% interest				745.51
10	Note dated 12-30-76 in the amount of $10,450.16 by Helen H. Hughes and Irma B. Haywood, joint tenants, at 7% per annum, payments of $100.00 payable on the 20th day of each calendar month, commencing on 1-20-77, secured by deed of trust recorded 9-4-79 as Instrument 1036, in Book 9763, Page 1573. Balance owing at D.O.D.: $1,078.34 7% interest				75.38
11	Note dated 7-22-75 in the amount of $14,400.00 by Alan G. DeWeese and Margaret M. DeWeese, at 7% per annum, payments of $125.00 payable on the 23rd day of each calendar month, commencing 8-23-75, secured by deed of trust recorded 9-4-79 as Instrument 1031, in Book 9763, Page 1568. Balance owing at D.O.D.: $4,208.94 7% interest				294.20
12	Note dated 9-28-76 in the amount of $14,011.86 by Melvin L. Smith, Jr., and Marilyn A. Smith, joint tenants, at 7% per annum, payments of $125.00 payable on the 21st day of each calendar month, commencing on 10-21-76. Balance owing at D.O.D.: $3,126.60 7% interest				218.55
TOTAL. (Carry forward to main schedule.)					$1,333.64

See instructions on back.

Form 706 (Rev. 11-8 R 9 0 7 0 2 8 1 5 7 8 (Make copies of this schedule before completing it if you will need more than one schedule.)

Estate of: EDWARD R. LEWIS, deceased 547-22-3641

CONTINUATION SCHEDULE

Continuation of Schedule F - C
(Enter letter of schedule you are continuing.)

Item number	Description For securities, give CUSIP number, if available.	Unit value (Sch B, E or G only)	Alternate valuation date	Alternate value	Value at date of death or amount deductible
13	Note dated 8-31-78 in the amount of $14,000.00 by Albert Lara, at 7% per annum, payments of $125.00 payable on the 30th day of each calendar month, commencing 9-30-78, secured by deed of trust recorded 9-20-79 as Instrument 1137, in Book 9775, Page 1620. Balance owing at D.O.D.: $7,017.24 7% interest				490.51
14	Note dated 6-17-77 in the amount of $10,413.32 by James E. and Constance Sink, at 7% per annum, payments of $100.00 payable on the 15th day of each calendar month, commencing 7-15-77, secured by deed of trust recorded 9-4-79 as Instrument 1039, in Book 9763, Page 1576. Balance owing at D.O.D.: $1,411.86 7% interest				98.69
15	Note dated 6-17-77 in the amount of $13,952.57 by Richard E. Sink, at 7% per annum, payments of $125 payable each calendar month, secured by deed of trust recorded as Inst. 827 on 8-26-77 in Book 9250, Page 1303. Balance owing at D.O.D.: $2,880.12 7% interest				201.32
16	Note dated 9-24-80 in the amount of $12,575.00 by Edward W. Heber, Jr., and Judith A. Heber, at 7% per annum, payments of $125.00 payable on the 1st day of each calendar month, commencing on 10-1-80, secured by deed of trust recorded 1-19-81 as Instrument 81-012555. Balance owing at D.O.D.: $8,122.12 7% interest				567.74
	TOTAL. (Carry forward to main schedule.)				$1,358.26

See instructions on back.

Form 706 (Rev. A 9 0 7 0 2 8 1 5 7 9 before completing it if you will need more than one schedule.)

Estate of: EDWARD R. LEWIS, deceased 547-22-3641

CONTINUATION SCHEDULE

Continuation of Schedule F - C
(Enter letter of schedule you are continuing.)

Item number	Description For securities, give CUSIP number, if available.	Unit value (Sch B and E only)	Alternate valuation date	Alternate value	Value at date of death or amount deductible
17	Note dated 5-8-78 in the amount of $14,404.22 by James A. and Earleen K. Helm, at 7% per annum, payments of $125.00 payable on the 5th day of each calendar month, commencing 6-5-78, secured by deed of trust recorded 9-4-79 as Instrument 1038, in Book 9763, Page 1573. Balance owing at D.O.D.: $7,245.84 7% interest				506.48
18	Note dated 9-1-78 in the amount of $23,245.00 by Gerald W. Bromley and Phyllis Bromley, joint tenants, at 7% per annum, payments of $175.00 payable on the 1st day of each calendar month, commencing 10-1-78, secured by deed of trust recorded 9-4-79 as Instrument 1035, in Book 9763, Page 1572. Balance owing at D.O.D.: $16,740.10 7% interest				1,170.13
19	Note dated 5-18-78 in the amount of $11,933.14 by Mark E. Brandlin, at 7% per annum, payments of $125.00 payable on the 1st day of each calendar month, commencing on 5-1-78, secured by deed of trust recorded 9-4-79 as Instrument 1034, in Book 9763, Page 1571. Balance owing at D.O.D.: $7,222.00 7% interest				504.82
	TOTAL. (Carry forward to main schedule.)				$2,181.43

See instructions on back.

Form 706 (Rev. 11-87) 8 9 0 7 0 2 8 1 5 9 0 (make copies of this schedule before completing it if you will need more than one schedule.)

Estate of: EDWARD R. LEWIS, deceased 547-22-3641

CONTINUATION SCHEDULE

Continuation of Schedule F - D
(Enter letter of schedule you are continuing.)

Item number	Description For securities, give CUSIP number, if available.	Unit value (Sch B & E only)	Alternate valuation date	Alternate value	Value at date of death or amount deductible
1	Lot 32 Area 43,608 sq. ft. in Block 265 as delineated on the map entitled Hawaiian Ocean View Estates which said map was filed in the Bureau of Conveyances of the State of Hawaii 4-3-62 as File Plan 787 50% interest FULL VALUE: $4,500.00				2,250.00
2	Parcels 7, 12 & 13 of the NW 1/4 of the SW 1/4 of Sec. 2, Twnshp 13 N, R 15 E, San Bernardino Meridian, County of San Bernardino, California. A portion of A.P.N. 567-101-34 7% interest FULL VALUE: $62,000.00				4,340.00
3	Parcel #10 as shown on Parcel Map #4416 recorded on 5/23/79 in Parcel Map book #47, pages 65 and 66 in San Bernardino County, California. Together with a 1/20 interest in a wellsite, well, tank and pump located in the NE 1/4 of the SW 1/4 of Section 2, Twp 13 North, Range 15 East, San Bernardino Meridian, San Bernardino County, California. Unimproved property. 7% interest FULL VALUE: $21,500.00				1,505.00
4	The east 1/2 of the East 1/2 of the West 1/2 of the South 1/2 of Sec. 35, TWP 13 N, R15E, SBBM. 40 acres, unimproved. A.P.N. 567-121-44 7% interest FULL VALUE: $22,400.00				1,568.00
	TOTAL. (Carry forward to main schedule.)				$9,663.00

See instructions on back.

Continuation Schedule—Page F-D

Form 706 (Rev. 11-87) 8 9 7 7 3 2 8 (Make copies of this schedule before completing it if you will need more than one schedule.)

Estate of: EDWARD R. LEWIS, deceased 547-22-3641

CONTINUATION SCHEDULE

Continuation of Schedule F - D
(Enter letter of schedule you are continuing.)

Item number	Description For securities, give CUSIP number, if available.	Unit value (Sch B or E only)	Alternate valuation date	Alternate value	Value at date of death or amount deductible
5'	Improved real property situated in San Bernardino, California, at 5328 Park Lane, described as: Lot 2, Tract 5123 as per plat recorded in Book 62 of maps, page 23, records of said County, Assessor's Parcel 154-051-37 7% interest FULL VALUE: $130,000.00				9,087.00
6	Improved real property situated in San Bernardino, California, at 5634 Argyle Street, described as: Lot 29, Tract 5095 as per map recorded in Book 75 of Maps, pages 23-24 in the office of the County Recorder of said County 7% interest FULL VALUE: $108,000.00				7,549.00
TOTAL. (Carry forward to main schedule.)					$16,636.00

See instructions on back.

Form 706 (Rev. 11-87) 8 9 0 7 0 2 8 1 5 8 2

Estate of: EDWARD R. LEWIS, deceased 547-22-3641

SCHEDULE G—Transfers During Decedent's Life

(If you elect section 2032A valuation, you must complete Schedule G and Schedule A-1.)

Item number	Description For securities, give CUSIP number, if available.	Alternate valuation date	Alternate value	Value at date of death
A.	Gift tax paid by the decedent or the estate for all gifts made by the decedent or his or her spouse within 3 years before the decedent's death (section 2035(c))	X X X X X		
B.	Transfers includible under sections 2035(a), 2036, 2037, or 2038:			
1	Edward R. and Elaine L. Lewis Trust dated January 15, 1983, Trust A-1 (see attached Schedules G-A through G-D) Page G-A = $52,260.13 Page G-B1= $77,585.08 Page G-B2= $29,029.83 Page G-C1= $38,616.03 Page G-C2= $21,189.24 Page G-C3= $ 9,539.68 Page G-C4= $ 9,715.67 Page G-C5= $15,603.97 Page G-D =$152,250.00 Schedules Total $405,789.63			405,789.63
	Total from continuation schedule(s) (or additional sheet(s)) attached to this schedule			
	TOTAL. (Also enter on Part 5, Recapitulation, page 3, at item 7.)			$405,789.63

SCHEDULE H—Powers of Appointment

(If you elect section 2032A valuation, you must complete Schedule H and Schedule A-1.)

Item number	Description	Alternate valuation date	Alternate value	Value at date of death
1	Only general power of attorney is as to Trust A-1 referenced on Schedule G above.			
	Total from continuation schedule(s) (or additional sheet(s)) attached to this schedule			
	TOTAL. (Also enter on Part 5, Recapitulation, page 3, at item 8.)			

(If more space is needed, attach the continuation schedule from the end of this package or additional sheets of the same size.)
(The instructions to Schedule H are in the separate instructions.)

Form 706 (Rev. 11-8   8 9 0 7 0 2 8 1 5 8 3 (Make copies of this schedule before completing it if you will need more than one schedule.)

Estate of: EDWARD R. LEWIS, deceased   547-22-3641

CONTINUATION SCHEDULE

Continuation of Schedule G - A
(Enter letter of schedule you are continuing.)

Item number	Description For securities, give CUSIP number, if available.	Unit value (Sch. B, E, or G only)	Alternate valuation date	Alternate value	Value at date of death or amount deductible
1	Franklin California Tax-free Income Fund (30% interest) Account Number 11204361724 FULL VALUE: $118,731.88				35,342.72
2	American National Bank Checking Account (50% interest) Account Number 34-44637-2 FULL VALUE: $33,834.81				16,917.41
TOTAL. (Carry forward to main schedule.)					$52,260.13

See instructions on back.

Continuation Schedule—Page G-A

Form 706 (Rev. 11-87) (Make copies of this schedule before completing it if you will need more than one schedule.)

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Estate of: EDWARD R. LEWIS, deceased 547-22-3641

CONTINUATION SCHEDULE

Continuation of Schedule G - B
(Enter letter of schedule you are continuing.)

Item number	Description For securities, give CUSIP number, if available.	Unit value (Sch B, E, or G only)	Alternate valuation date	Alternate value	Value at date of death or amount deductible
1	Real property in San Bernardino County, CA, subject to contract of sale dated 9-16-85 for $60,000.00 by Glasscamp, Inc. Balance owing at D.O.D.: $56,137.00 50% interest				28,068.50
2	Real property in San Bernardino County, CA, subject to contract of sale dated 9-16-85 for $32,000.00 by Glasscamp, Inc. Balance owing at D.O.D.: $29,589.00 50% interest				14,794.50
3	Real property in San Bernardino County, CA, subject to contract of sale dated 2-4-84 for $12,000.00 by Richard J. and Donna F. Lewis. Balance owing at D.O.D.: $10,127.00 50% interest				5,063.50
4	Real property in Los Angeles County, CA, subject to contract of sale dated 1-20-88 for $10,000.00 by Rommel Agee. Balance owing at D.O.D.: $9,365.00 50% interest				4,682.50
5	Real property in San Bernardino County, CA, subject to contract of sale dated 10-26-81 for $32,000.00 by Earl D. Burdett. Balance owing at D.O.D.: $24,976.08 50% interest				12,488.04
6	Real property in San Bernardino County, CA, subject to contract of sale dated 10-26-81 for $32,000.00 by Earl D. Burdett. Balance owing at D.O.D.: $24,976.08 50% interest				12,488.04
TOTAL. (Carry forward to main schedule.)					$77,585.08

See instructions on back.

Form 706 (Rev. 11-87) 8 9 9 7 7 2 3 (Make copies of this schedule before completing it if you will need more than one schedule.)

Estate of: EDWARD R. LEWIS, deceased 547-22-3641

CONTINUATION SCHEDULE

Continuation of Schedule G - B
(Enter letter of schedule you are continuing.)

Item number	Description For securities, give CUSIP number, if available.	Unit value (Sch B, E, or G only)	Alternate valuation date	Alternate value	Value at date of death or amount deduction
7	Real property in San Bernardino County, CA, subject to contract of sale dated 6-13-79 for $32,000.00 by Carl E. Knauff, Peggy Knauff, Randy W. Roach, Barbara Roach, Stephen F. Jaurigue, Kathleen S. Jaurigue, Philippe J. Tatton, and Susan E. Tatton. Balance owing at D.O.D.: $22,391.88 50% interest				11,195.94
8	Real property in San Bernardino County, CA, subject to contract of sale dated 5-2-85 for $12,102.28 by Frances M. Stephenson and Austin Shrack. Balance owing at D.O.D.: $10,701.46 50% interest				5,350.73
9	Real property in San Bernardino County, CA, subject to contract of sale dated 4-20-73 for $12,000.00 by Randy Don Hopkinson & Georgette M. Hopkinson. Balance owing at D.O.D.: $2,086.02 50% interest				1,043.01
10	Real property in San Bernardino County, CA, subject to contract of sale dated 10-16-79 for $32,000.00 by Marilyn L. Mahan. Balance owing at D.O.D.: $22,880.30 50% interest				11,440.15
	TOTAL. (Carry forward to main schedule.)				$29,029.83

See instructions on back.

Form 706 (Rev. 11-87) 8 9 3 7 0 2 8 (Make copies of this schedule before completing it if you will need more than one schedule.)

Estate of: EDWARD R. LEWIS, deceased 547-22-3641

CONTINUATION SCHEDULE

Continuation of Schedule G - C
(Enter letter of schedule you are continuing.)

Item number	Description For securities, give CUSIP number, if available.	Unit value (Sch B or E only)	Alternate valuation date	Alternate value	Value at date of death or amount deductible
1	Note dated 12-24-84 in the amount of $31,750.00 by Sam J. Kahn and Betty L. Kahn as joint tenants, and Robert Craig Bennion and La Ree Bennion as joint tenants, at 7% per annum, payments of $250.00 payable on the 30th day of each calendar month, commencing on 6-30-77, secured by deed of trust recorded 1-2-85 as Instrument 85-000662. Balance owing at D.O.D.: $19,804.92 50% interest				9,902.46
2	Note dated 7-18-84 in the amount of $31,750.00 by Robert Bresnahan and Carolyn Bresnahan as joint tenants, and Rob D. Bresnahan, at 7% per annum, payments of $250.00 payable on the 18th day of each calendar month, commencing on 7-18-79, secured by deed of trust recorded 8-27-84 as Instrument 84-204415. Balance owing at D.O.D.: $22,574.90 50% interest				11,287.46
3	Note by Harold J. Petty and Netty L. Petty at 7% per annum secured by deed of trust recorded as Instrument No. 913 on Dec. 19, 1979 in Book 9583, Page 1403. Balance owing at D.O.D.: $26,105.36 50% interest				13,052.68
4	Note dated 4-2-84 in the amount of $15,775.00 by Harold E. Koenig, at 7% per annum, payments of $125.00 payable on the 15th day of each calendar month commencing on 9-25-76, secured by deed of trust recorded 5-17-84 as Instrument 84-116000. Balance owing at D.O.D.: $8,746.86 50% interest				4,373.43
TOTAL. (Carry forward to main schedule.)					$38,616.03

See instructions on back.

Form 706 (Rev. 11-87) 8 3 9 7 9 2 3 (Make copies of the schedule before completing it if you will need more than one schedule.)

Estate of: EDWARD R. LEWIS, deceased 547-22-3641

CONTINUATION SCHEDULE

Continuation of Schedule G - C
(Enter letter of schedule you are continuing.)

Item number	Description For securities, give CUSIP number, if available.	Unit value (Sch B or E only)	Alternate valuation date	Alternate value	Value at date of death or amount deductible
5	Note dated 3-27-84 in the amount of $11,925.00 by Darrell L. Finstad and Mary Ann Finstad, joint tenants, at 7% per annum, payments of $100.00 payable on the 27th day of each calendar month, commencing on 4-27-73, secured by deed of trust recorded 5-17-84 as Instrument 84-116-058. Balance owing at D.O.D.: $1,717.48 50% interest				858.74
6	Note dated 4-1-84 in the amount of $23,600.00 by Michael B. Oliver and Sheila R. Oliver, joint tenants, at 7% per annum, payments of $175.00 payable on the 15th day of each calendar month, commencing on 7-15-77, secured by deed of trust recorded 5-17-84 as Instrument 84-116062. Balance owing at D.O.D.: $16,154.50 50% interest				8,077.25
7	Note dated 4-7-83 in the amount of $28,450.85 by Pauline R. Walton and Bobbie R. Skinner, at 7% per annum, payments of $250.00 payable on the 15th day of each calendar month, commencing 4-15-83, secured by deed of trust recorded 4-19-83 as Instrument 83-082026. Balance owing at D.O.D.: $22,318.42 50% interest				11,159.21
8	Note dated 1-12-77 in the amount of $10,362.76 by Gordon J. Weakland, Sr., and Irma B. Haywood, joint tenants, at 7% per annum, payments of $100.00 payable on the 15th day of each calendar month, commencing on 2-15-77, secured by deed of trust recorded 9-4-79 as Instrument 1033, in Book 9763, Page 1570. Balance owing at D.O.D.: $2,188.08 50% interest				1,094.04
	TOTAL. (Carry forward to main schedule.)				$21,189.24

See instructions on back.

Continuation Schedule—Page G-C

Form 706 (Rev. 11-87) 8 7 3 7 7 2 8 1 (Make copies of this schedule before completing it if you will need more than one schedule.)

Estate of: EDWARD R. LEWIS, deceased 547-22-3641

CONTINUATION SCHEDULE

Continuation of Schedule G - C
(Enter letter of schedule you are continuing.)

Item number	Description For securities, give CUSIP number, if available.	Unit value (Sch B or E only)	Alternate valuation date	Alternate value	Value at date of death or amount deductible
9	Note dated 11-1-79 in the amount of $13,500.00 by Charles L. Loper and Mark E. Brandlin, at 7% per annum, payments of $125.00 payable on the 1st day of each calendar month, commencing on 12-1-79, recorded 6-11-80 as Instrument 80-134076. Balance owing at D.O.D.: $10,665.48 50% interest				5,332.74
10	Note dated 12-30-76 in the amount of $10,450.16 by Helen H. Hughes and Irma B. Haywood, joint tenants, at 7% per annum, payments of $100.00 payable on the 20th day of each calendar month, commencing on 1-20-77, secured by deed of trust recorded 9-4-79 as Instrument 1036, in Book 9763, Page 1573. Balance owing at D.O.D.: $1,078.34 50% interest				539.17
11	Note dated 7-22-75 in the amount of $14,400.00 by Alan G. DeWeese and Margaret M. DeWeese, at 7% per annum, payments of $125.00 payable on the 23rd day of each calendar month, commencing 8-23-75, secured by deed of trust recorded 9-4-79 as Instrument 1031, in Book 9763, Page 1568. Balance owing at D.O.D.: $4,208.94 50% interest				2,104.47
12	Note dated 9-28-76 in the amount of $14,011.86 by Melvin L. Smith, Jr., and Marilyn A. Smith, joint tenants, at 7% per annum, payments of $125.00 payable on the 21st day of each calendar month, commencing on 10-21-76. Balance owing at D.O.D.: $3,126.60 50% interest				1,563.30
	TOTAL. (Carry forward to main schedule.)				$9,539.68

Form 706 (Rev. 11-87) 8 9 3 7 3 3 8 (Make copies of this schedule before completing it if you will need more than one schedule.)

Estate of: EDWARD R. LEWIS, deceased 547-22-3641

CONTINUATION SCHEDULE

Continuation of Schedule G - C
(Enter letter of schedule you are continuing.)

Item number	Description For securities, give CUSIP number, if available.	Unit value (Sch B, E, or G only)	Alternate valuation date	Alternate value	Value at date of death or amount deductible
13	Note dated 8-31-78 in the amount of $14,000.00 by Albert Lara, at 7% per annum, payments of $125.00 payable on the 30th day of each calendar month, commencing 9-30-78, secured by deed of trust recorded 9-20-79 as Instrument 1137, in Book 9775, Page 1620. Balance owing at D.O.D.: $7,017.24 50% interest				3,508.62
14	Note dated 6-17-77 in the amount of $10,413.32 by James E. and Constance Sink, at 7% per annum, payments of $100.00 payable on the 15th day of each calendar month, commencing 7-15-77, secured by deed of trust recorded 9-4-79 as Instrument 1039, in Book 9763, Page 1576. Balance owing at D.O.D.: $1,411.86 50% interest				705.93
15	Note dated 6-17-77 in the amount of $13,952.57 by Richard E. Sink, at 7% per annum, payments of $125 payable each calendar month, secured by deed of trust recorded as Inst. 827 on 8-26-77 in Book 9250, Page 1303. Balance owing at D.O.D.: $2,880.12 50% interest				1,440.06
16	Note dated 9-24-80 in the amount of $12,575.00 by Edward W. Heber, Jr., and Judith A. Heber, at 7% per annum, payments of $125.00 payable on the 1st day of each calendar month, commencing on 10-1-80, secured by deed of trust recorded 1-19-81 as Instrument 81-012555. Balance owing at D.O.D.: $8,122.12 50% interest				4,061.06
	TOTAL. (Carry forward to main schedule.)				$9,715.67

See instructions on back.

Form 706 (Rev. 11-87) 0 9 3 7 3 2 8 (Make copies of this schedule before completing it if you will need more than one schedule.)

Estate of: EDWARD R. LEWIS, deceased 547-22-3641

CONTINUATION SCHEDULE

Continuation of Schedule G - C
(Enter letter of schedule you are continuing.)

Item number	Description For securities, give CUSIP number, if available.	Unit value (Sch B and E only)	Alternate valuation date	Alternate value	Value at date of death or amount deductible
17	Note dated 5-8-78 in the amount of $14,404.22 by James A. and Earleen K. Helm, at 7% per annum, payments of $125.00 payable on the 5th day of each calendar month, commencing 6-5-78, secured by deed of trust recorded 9-4-79 as Instrument 1038, in Book 9763, Page 1573. Balance owing at D.O.D.: $7,245.84 50% interest				3,622.92
18	Note dated 9-1-78 in the amount of $23,245.00 by Gerald W. Bromley and Phyllis Bromley, joint tenants, at 7% per annum, payments of $175.00 payable on the 1st day of each calendar month, commencing 10-1-78, secured by deed of trust recorded 9-4-79 as Instrument 1035, in Book 9763, Page 1572. Balance owing at D.O.D.: $16,740.10 50% interest				8,370.05
19	Note dated 5-18-78 in the amount of $11,933.14 by Mark E. Brandlin, at 7% per annum, payments of $125.00 payable on the 1st day of each calendar month, commencing on 5-1-78, secured by deed of trust recorded 9-4-79 as Instrument 1034, in Book 9763, Page 1571. Balance owing at D.O.D.: $7,222.00 50% interest				3,611.00
	TOTAL. (Carry forward to main schedule.)				$15,603.97

See instructions on back.

Form 706 (Rev. 11-87) 8 9 3 7 1 2 9 1 (Make copies of this schedule before completing it if you will need more than one schedule.)

Estate of: EDWARD R. LEWIS, deceased 547-22-3641

CONTINUATION SCHEDULE

Continuation of Schedule G - D
(Enter letter of schedule you are continuing.)

Item number	Description For securities, give CUSIP number, if available.	Unit value (Sch B, E, or G only)	Alternate valuation date	Alternate value	Value at date of death or amount deductible
1	Lot 32 Area 43,608 sq. ft. in Block 265 as delineated on the map entitled Hawaiian Ocean View Estates which said map was filed in the Bureau of Conveyances of the State of Hawaii 4-5-62 as File Plan 787 50% interest FULL VALUE: $4,500.00				2,250.00
2	Parcels 7, 12 & 13 of the NW 1/4 of the SW 1/4 of Sec. 2, Twnshp 13 N, R 15 E, San Bernardino Meridian, County of San Bernardino, California. A portion of A.P.N. 567-101-34 50% interest FULL VALUE: $62,000.00				31,000.00
3	Improved real property situated in San Bernardino, California, at 5328 Park Lane, described as: Lot 2, Tract 5123 as per plat recorded in Book 62 of maps, page 23, records of said County, Assessor's Parcel 154-051-37 50% interest FULL VALUE: $130,000.00				65,000.00
4	Improved real property situated in San Bernardino, California, at 5634 Argyle Street, described as: Lot 29, Tract 5095 as per map recorded in Book 75 of Maps, pages 23-24 in the office of the County Recorder of said County 50% interest FULL VALUE: $108,000.00				54,000.00
	TOTAL. (Carry forward to main schedule.)				$152,250.00

See instructions on back.

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STANDARD FORM

Agreement for the Purchase and Sale of Real Estate

THIS AGREEMENT, made this 16th day of September 19 85 Edward R. Lewis, Elaine L. Lewis and [illegible] Lewis, Trustees of the
between Edward R. Lewis and Elaine L. Lewis Trust dated June 13, 1985 having its principal place of business at
5328 Park Lane, San Bernardino California, as "SELLER," and

Glasscamp Inc.
of 3080 East La Jolla Street, Anaheim, California 92806 hereinafter designated as "BUYER,"

WITNESSETH:

That the Seller, in consideration of the covenants and agreements hereinafter contained, to be first performed as a condition precedent by the Buyer, agrees to sell and convey unto the said Buyer, and the said Buyer agrees to buy, that certain real property described as follows, to-wit:

Parcel No. 3 as shown on Parcel Map No. 4416 recorded May 23, 1979 in Parcel Map Book No. 47, pages 65 and 66 in the office of the County Recorder of the County of San Bernardino, State of California.

When the Buyer has paid ten percent (10%) on the principal, Seller will issue a deed and take a deed of trust and installment note for the balance.

Subject to: all of taxes for the fiscal year 19 85-19 86, and thereafter coming due, and also subject to all conditions, restrictions, reservations, easements and/or rights of way of record affecting said property.

THE PURCHASE PRICE for which the Seller agrees to sell and the Buyer agrees to buy said property is the sum of Sixty Thousand and No/100-------------------------- Dollars ($ 60,000.00), lawful money of the United States, which sum Buyer agrees to pay to Seller at its main office, at P. O. Box 2932 San Bernardino, California, or at such other place or places as Seller may hereafter from time to time designate, as follows:

Four Hundred Fifty and No/100-------------------------- Dollars ($ 450.00), in cash upon the execution and delivery of this Agreement, the receipt thereof being hereby duly acknowledged, and the balance of said sum in installments as follows:

Four Hundred Fifty and No/1000------------- Dollars ($ 450.00), or more on or before October 16, 19 85, and Four Hundred Fifty and No/100 Dollars ($ 450.00), or more on or before the 16th day of each and every calendar month thereafter, including ~~(together with)~~ interest on all deferred payments from September 16 19 85, at the rate of seven per cent per annum payable monthly thereafter and continuing until the total purchase price is paid

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It is further agreed that time is of the essence of this agreement, and full performance by the Buyer of all his obligations hereunder is and shall be a condition precedent to his right to a conveyance hereunder, and should default be made in the payment of any of said installments of principal or interest when the same become due or in the performance of any other obligation hereunder, then the whole unpaid balance of said purchase price shall immediately become due and payable at the option of the Seller, which may thereupon, at its option enforce its rights hereunder, either by forfeiture of all the Buyer's rights under this agreement and all interest in the lands described herein and the appurtenances as hereinafter provided, or by an action in equity for specific performance hereof, with or without damages, or at law for the recovery of the purchase price, together with interest thereon to date of such recovery and nothing herein contained shall be construed as depriving the Seller of any legal or equitable right or remedy it may have in the premises. The Buyer agrees to pay all costs and expenses of any action commenced by the Seller to enforce this agreement, including attorney's fees, whether such action progress to judgment or not. In case of the election by the Seller to enforce its rights of forfeiture hereunder, it may declare said forfeiture, by service upon the Buyer of its written declaration of default, forfeiture and cancellation, or by its depositing in the United States mail, postage prepaid, such written declaration, addressed to the Buyer at the post office address which he shall have caused to be filed with the Seller, or if no such post office address be so filed, then by depositing in the United States mail, postage prepaid, such written declaration, addressed to the Buyer at 3080 E. La Jolla, Anaheim, Ca 92806 California. Upon such declaration being made by the Seller, all right, estate and interest hereby created or then existing in favor of the Buyer, or anyone claiming under him, shall terminate, and become null and void, and the right of possession and all equitable and legal interests and estates in the premises herein described, with all improvements and all other appurtenances, shall revert to, revest in and become the sole property of the Seller in fee, and the Buyer hereby waives his right to reclaim or recover any sums of money theretofore paid by the Buyer hereunder or to claim any compensation for moneys paid, or improvements placed upon said land, and the Seller shall have the right immediately upon any default in performance on the part of the Buyer to enter upon such said premises, and every part thereof, exclude all persons therefrom and take exclusive possession thereof, with or without process of law.

And it is further agreed that no tender or offer of performance by the said Seller shall be necessary as a condition precedent to its rights to exercise any right, option or privilege hereunder, and that the same may be exercised upon any default of the Buyer without such or any tender or offer of performance and without notice of any kind to said Buyer. No waiver of the breach of any of the covenants, agreements, restrictions and/or conditions of this agreement by the Seller shall be construed to be a waiver of any succeeding breach of the same or other covenants, agreements, restrictions, and/or conditions of this agreement. No delay or omission of the Seller in exercising any right, power or remedy herein provided in the event of default shall be construed as a waiver thereof, or acquiescence therein, nor shall the acceptance of any payments made in a manner or at a time other than as herein provided be construed as a waiver of, or variation in any of the terms of this agreement.

IT IS UNDERSTOOD AND AGREED that the Buyer is of legal age, and said Buyer hereby covenants and agrees that he is of legal age. It is further agreed that the Seller shall not be responsible or liable for any inducement, promise, representation, agreement, condition or stipulation not set forth herein, unless the same is in writing and signed by an officer of the Seller. No agent has any authority to make any representation or agreement not set forth herein.

The Buyer agrees not to transfer or assign this contract or any interest herein without the written consent of the Seller first having been secured to such proposed agreement.

This agreement is executed by the Seller and accepted by the Buyer subject to the following covenants and conditions, restrictions, privileges, rights and exceptions of records, which shall apply to said land, both before and after said deed has been executed and delivered, and which said conditions, restrictions and reservations, privileges, rights and exceptions of record shall be binding upon the Buyer, his heirs, devisees, executors, administrators, representatives, successors in interest, and assigns, and shall also be written in and become a part of the deed to said land as a servitude in favor of each and every lot in said tract as a dominant tenement or tenements and shall be a mutual contract between the various purchasers and buyers in said tract.

The Seller hereby reserves a right of way, with right of entry upon, over, under, along, across, and through the said land for the purpose of erecting, constructing, operating, repairing and maintaining pole lines with cross arms for the transmission of electrical energy, and for telephone lines, and/or for laying, repairing, operating and renewing, any pipe line or lines for water, gas or sewage, and any conduits for electric or telephone wires, and reserving to the Seller herein the sole right to convey the rights hereby reserved.

Buyer agrees that any buildings or improvements now on the above described land, or hereafter erected on same, shall become a part of the real property, and Buyer agrees that he will not move or cause to be moved, any improvements on said land, without the written consent of the Seller.

Buyer agrees to keep the buildings now or hereafter erected on premises insured against loss by fire during the life of this agreement for an amount agreeable to the Seller.

Buyer agrees to pay before delinquency all State, County and/or municipal assessments, such as taxes, etc., after date of this contract.

It is mutually agreed that this agreement shall not be at any time or in any manner recorded in the office of the County Recorder of any County, and that in the event either party hereto shall record this agreement or cause the same to be recorded in the office of any county recorder, whether said recordation is regular and valid in all respects or not, then the other party hereto shall have the right to treat this agreement as wholly terminated and at an end.

The Seller hereby agrees, whenever the Buyer shall have complied with all the terms and conditions hereof, and shall have surrendered this agreement to the Seller, that the said Seller will convey or have conveyed, the said realty to said Buyer and to execute and deliver a good and sufficient grant deed, conveying said premises substantially in accordance with this agreement, subject to the provisions herein set forth.

A 9 7 7 0 2 9 1 5 9 4

IN WITNESS WHEREOF, the Seller has caused its name to be hereunto affixed by its authorized agent and the Buyer has executed the same, in duplicate, the day and year first above written.

Edward R. Lewis, Elaine L. Lewis
and C. Jeremy Lewis, Trustees of the
Edward R. Lewis and Elaine L. Lewis
Trust dated June 15, 1983

Edward R. Lewis

By Edward R. Lewis
Trustee
Authorized Agent

Earl D. Burdett
Buyer Earl D. Burdett
President

Buyer James V. Morris
Secretary/Treasurer

Address 3080 E. La Jolla St. Anaheim, Ca. 92806

Telephone (714) 630-0321

Make all checks payable to

Edward R. Lewis
Post Office Box 2932
San Bernardino, California 92406

Under the terms of the above contract, no assignment can be made without consent of

Edward R. Lewis and payment of $25.00 Transfer Fee

ASSIGNMENT

For value received, I...

....

do hereby grant and assign to...

....

all my right, title and interest in and to the foregoing Agreement and in and to the property therein described,

this day..............day of.............................., 19......

(Signature) ....

....

ASSIGNEE'S ACCEPTANCE

The undersigned assignee named in the Assignment of the foregoing Agreement hereby approves and accepts the same subject to all the terms, covenants and conditions thereof, and promises to pay the balance of said purchase price and assume all of the obligations of the Buyer as therein provided, this

this day..............day of.............................., 19......

(Signature)...

....

(Address)...

OWNER'S CONSENT

....hereby consents to the foregoing assignment this.................

day of.............................., 19......

....

By...
Authorized Agent.

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STANDARD FORM

Agreement for the Purchase and Sale of Real Estate

THIS AGREEMENT, made and entered into this 16th day of September 19 85 between Edward R. Lewis, Elaine L. Lewis and C. Jeremy Lewis, Trustees of the Edward R. Lewis and Elaine L. Lewis Trust dated June 13, 1983, having its principal place of business at 5328 Park Lane, San Bernardino California, as "SELLER," and

Glasscamp Inc.

of 3080 East La Jolla Street, Anaheim, California 92806 hereinafter designated as "BUYER,"

WITNESSETH:

That the Seller, in consideration of the covenants and agreements hereinafter contained, to be first performed as a condition precedent by the Buyer, agrees to sell and convey unto the said Buyer, and the said Buyer agrees to buy, that certain real property described as follows, to-wit:

Parcel No. 1 as shown on Parcel Map No. 4416 recorded May 23, 1979 in Parcel Map Book No. 47, pages 65 and 66 in the office of the County Recorder of the County of San Bernardino, State of California.

When the Buyer has paid ten percent (10%) on the principal, Seller will issue a deed and take a deed of trust and installment note for the balance.

Subject to: all of taxes for the fiscal year 19 85 -19 86, and thereafter coming due, and also subject to all conditions, restrictions, reservations, easements and/or rights of way of record affecting said property.

THE PURCHASE PRICE for which the Seller agrees to sell and the Buyer agrees to buy said property is the sum of Thirty Two Thousand and No/100---------------------- Dollars ($ 32,000.00), lawful money of the United States, which sum Buyer agrees to pay to Seller at its main office, at P. O. Box 2932 San Bernardino California, or at such other place or places as Seller may hereafter from time to time designate, as follows: Two Hundred Fifty and No/100 ---------------------- Dollars ($ 250.00), in cash upon the execution and delivery of this Agreement, the receipt thereof being hereby duly acknowledged, and the balance of said sum in installments as follows: Two Hundred Fiftyand No/100-------------- Dollars ($ 250.00), or more on or before October 16, 19 85, and Two Hundred Fifty and No/100 Dollars ($ 250.00), or more on or before the 16th day of each and every calendar month thereafter, including ([illegible]) interest on all deferred payments from September 16, 19 85, at the rate of seven per cent per annum payable monthly thereafter and continuing until the total purchase price is paid

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It is further agreed that time is of the essence of this agreement, and full performance by the Buyer of all his obligations hereunder is and shall be a condition precedent to his right to a conveyance hereunder, and should default be made in the payment of any of said installments of principal or interest when the same become due or in the performance of any other obligation hereunder, then the whole unpaid balance of said purchase price shall immediately become due and payable at the option of the Seller, which may thereupon, at its option enforce its rights hereunder, either by forfeiture of all the Buyer's rights under this agreement and all interest in the lands described herein and the appurtenances as hereinafter provided, or by an action in equity for specific performance hereof, with or without damages, or at law for the recovery of the purchase price, together with interest thereon to date of such recovery and nothing herein contained shall be construed as depriving the Seller of any legal or equitable right or remedy it may have in the premises. The Buyer agrees to pay all costs and expenses of any action commenced by the Seller to enforce this agreement, including attorney's fees, whether such action progress to judgment or not. In case of the election by the Seller to enforce its rights of forfeiture hereunder, it may declare said forfeiture, by service upon the Buyer of its written declaration of default, forfeiture and cancellation, or by its depositing in the United States mail, postage prepaid, such written declaration, addressed to the Buyer at the post office address which he shall have caused to be filed with the Seller, or if no such post office address be so filed, then by depositing in the United States mail, postage prepaid, such

written declaration, addressed to the Buyer at 3080 E. La-Jolla St.,Anaheim,Ca.92806 California. Upon such declaration being made by the Seller, all rights, estate and interest hereby created or then existing in favor of the Buyer, or anyone claiming under him, shall terminate, and become null and void, and the right of possession and all equitable and legal interests and estates in the premises herein described, with all improvements and all other appurtenances, shall revert to, revest in and become the sole property of the Seller in fee, and the Buyer hereby waives his right to reclaim or recover any sums of money theretofore paid by the Buyer hereunder or to claim any compensation for moneys paid, or improvements placed upon said land, and the Seller shall have the right immediately upon any default in performance on the part of the Buyer to enter upon such said premises, and every part thereof, exclude all persons therefrom and take exclusive possession thereof, with or without process of law.

And it is further agreed that no tender or offer of performance by the said Seller shall be necessary as a condition precedent to its rights to exercise any right, option or privilege hereunder, and that the same may be exercised upon any default of the Buyer without such or any tender or offer of performance and without notice of any kind to said Buyer. No waiver of the breach of any of the covenants, agreements, restrictions and/or conditions of this agreement by the Seller shall be construed to be a waiver of any succeeding breach of the same or other covenants, agreements, restrictions, and/or conditions of this agreement. No delay or omission of the Seller in exercising any right, power or remedy herein provided in the event of default shall be construed as a waiver thereof, or acquiescence therein, nor shall the acceptance of any payments made in a manner or at a time other than as herein provided be construed as a waiver of, or variation in any of the terms of this agreement.

IT IS UNDERSTOOD AND AGREED that the Buyer is of legal age, and said Buyer hereby covenants and agrees that he is of legal age. It is further agreed that the Seller shall not be responsible or liable for any inducement, promise, representation, agreement, condition or stipulation not set forth herein, unless the same is in writing and signed by an officer of the Seller. No agent has any authority to make any representation or agreement not set forth herein.

The Buyer agrees not to transfer or assign this contract or any interest herein without the written consent of the Seller first having been secured to such proposed agreement.

This agreement is executed by the Seller and accepted by the Buyer subject to the following covenants and conditions, restrictions, privileges, rights and exception of records, which shall apply to said land, both before and after said deed has been executed and delivered, and which said conditions, restrictions and reservations, privileges, rights and exceptions of record shall be binding upon the Buyer, his heirs, devisees, executors, administrators, representatives, successors in interest, and assigns, and shall also be written in and become a part of the deed to said land as a servitude in favor of each and every lot in said tract as a dominant tenement or tenements and shall be a mutual contract between the various purchasers and buyers in said tract.

The Seller hereby reserves a right of way, with right of entry upon, over, under, along, across, and through the said land for the purpose of erecting, constructing, operating, repairing and maintaining pole lines with cross arms for the transmission of electrical energy, and for telephone lines, and/or for laying, repairing, operating and removing, any pipe line or lines for water, gas or sewage, and any conduits for electric or telephone wires, and reserving to the Seller herein the sole right to convey the rights hereby reserved.

Buyer agrees that any buildings or improvements now on the above described land, or hereafter erected on same, shall become a part of the real property, and Buyer agrees that he will not move or cause to be moved, any improvements on said land, without the written consent of the Seller.

Buyer agrees to keep the buildings now or hereafter erected on premises insured against loss by fire during the life of this agreement for an amount agreeable to the Seller.

Buyer agrees to pay before delinquency all State, County and/or municipal assessments, such as taxes, etc., after date of this contract.

It is mutually agreed that this agreement shall not be at any time or in any manner recorded in the office of the County Recorder of any County, and that in the event either party hereto shall record this agreement or cause the same to be recorded in the office of any county recorder, whether said recordation is regular and valid in all respects or not, then the other party hereto shall have the right to treat this agreement as wholly terminated and at an end.

The Seller hereby agrees, whenever the Buyer shall have complied with all the terms and conditions hereof, and shall have surrendered this agreement to the Seller, that the said Seller will convey or have conveyed, the said realty to said Buyer and to execute and deliver a good and sufficient grant deed, conveying said premises substantially in accordance with this agreement, subject to the provisions herein set forth.

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IN WITNESS WHEREOF, the Seller has caused its name to be hereunto affixed by its authorized agent and the Buyer has executed the same, in duplicate, the day and year first above written.

Edward R. Lewis, Elaine L. Lewis
and C. Jeremy Lewis, Trustees of
the Edward R. Lewis and Elaine L.
Lewis Trust dated June 13, 1983

By Edward R. Lewis
Edward R. Lewis, Trustee
Authorized Agent

Earl D. Burdett
Buyer Earl D. Burdett
President

Buyer James V. Morris
Secretary/Treasurer

Address 3080 E. La Jolla St., Anaheim, CA. 92306

Telephone (714) 630-0321

Make all checks payable to

Edward R. Lewis
Post Office Box 2932
San Bernardino, California 92406

Under the terms of the above contract, no assignment can be made without consent of

Edward R. Lewis and payment of $25.00 Transfer Fee

ASSIGNMENT

For value received, I...

....

do hereby grant and assign to...

....

all my right, title and interest in and to the foregoing Agreement and in and to the property therein described,

this day.............day of............................., 19......

(Signature) ....

....

ASSIGNEE'S ACCEPTANCE

The undersigned assignee named in the Assignment of the foregoing Agreement hereby approves and accepts the same subject to all the terms, covenants and conditions thereof, and promises to pay the balance of said purchase price and assume all of the obligations of the Buyer as therein provided, this

this day.............day of............................., 19......

(Signature)...

....

(Address)...

OWNER'S CONSENT

....hereby consents to the foregoing assignment this.........................

day of............................., 19......

....

By...
Authorized Agent.

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STANDARD FORM

Agreement for the Purchase and Sale of Real Estate

THIS AGREEMENT, made and entered into this 4th day of February 1984

between The Lewis Family Trust, having its principal place of business at 5328 Park Lane, San Bernardino, 92404 California, as "SELLER," and

Richard J and Donna F. Lewis

5097 Sepulveda, San Bernardino, California 92404

of , hereinafter designated as "BUYER,"

WITNESSETH:

That the Seller, in consideration of the covenants and agreements hereinafter contained, to be first performed as a condition precedent by the Buyer, agrees to sell and convey unto the said Buyer, and the said Buyer agrees to buy, that certain real property described as follows, to-wit:

The Southwest one quarter of the Southwest one quarter of Section 11, Township 13 North, Range 15 East, San Bernardino Meridian, San Bernardino County, California.

Reserving unto the Lewis Family Trust one half of the coal, oil, gas and all other mineral rights that are inherent to this parcel.

This parcel has a 1/28 interest in the wellsite, well tank and pump located in the NE ¼ of the SW¼ of section 2 inthe above mentioned township and range..

Access to this parcel from the existing road is granted along the East 20 feet of the NW ¼ of the SW ¼of said Section 11 and alon the South 20 feet of the SE ¼ of the NW ¼ of said Section 11.

Subject to: Taxes beginning with of taxes for the fiscal year 19 84-85 and thereafter coming due, and also subject to all conditions, restrictions, reservations, easements and/or rights of way of record affecting said property.

THE PURCHASE PRICE for which the Seller agrees to sell and the Buyer agrees to buy said property is the sum of Twelve thousand - - - - - - - - - - - - - - - - - - Dollars ($12,000.00), lawful money of the United States, which sum Buyer agrees to pay to Seller at its main office, at 5328 Park Lane San Bernardino, California, or at such other place or places as Seller may hereafter from time to time designate, as follows:

One hundred Dollars ($100.00), in cash upon the execution and delivery of this Agreement, the receipt thereof being hereby duly acknowledged, and the balance of said sum in installments as follows:

One hundred Dollars ($100.00), or more on or before

4th : March 4th 1984 and One hundred Dollars

($100.00), or more on or before the 4th day of each and every calendar month thereafter, including (together with) interest on all deferred payments from February 4th, 1984 at the rate of seven per cent per annum payable monthly thereafter and continuing until the total purchase price is paid

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It is further agreed that time is of the essence of this agreement, and full performance by the Buyer of all his obligations hereunder is and shall be a condition precedent to his right to a conveyance hereunder, and should default be made in the payment of any of said installments of principal or interest when the same become due or in the performance of any other obligation hereunder, then the whole unpaid balance of said purchase price shall immediately become due and payable at the option of the Seller, which may thereupon, at its option enforce its rights hereunder, either by forfeiture of all the Buyer's rights under this agreement and all interest in the lands described herein and the appurtenances as hereinafter provided, or by an action in equity for specific performance hereof, with or without damages, or at law for the recovery of the purchase price, together with interest thereon to date of such recovery and nothing herein contained shall be construed as depriving the Seller of any legal or equitable right or remedy it may have in the premises. The Buyer agrees to pay all costs and expenses of any action commenced by the Seller to enforce this agreement, including attorney's fees, whether such action progress to judgment or not. In case of the election by the Seller to enforce its rights of forfeiture hereunder, it may declare said forfeiture, by service upon the Buyer of its written declaration of default, forfeiture and cancellation, or by its depositing in the United States mail, postage prepaid, such written declaration, addressed to the Buyer at the post office address which he shall have caused to be filed with the Seller, or if no such post office address be so filed, then by depositing in the United States mail, postage prepaid, such written declaration, addressed to the Buyer at 5097 Sepulveda , San Bernardino, California. Upon such declaration being made by the Seller, all right, estate and interest hereby created or then existing in favor of the Buyer, or anyone claiming under him, shall terminate, and become null and void, and the right of possession and all equitable and legal interests and estates in the premises herein described, with all improvements and all other appurtenances, shall revert to, revest in and become the sole property of the Seller in fee, and the Buyer hereby waives his right to reclaim or recover any sums of money theretofore paid by the Buyer hereunder or to claim any compensation for moneys paid, or improvements placed upon said land, and the Seller shall have the right immediately upon any default in performance on the part of the Buyer to enter upon such said premises, and every part thereof, exclude all persons therefrom and take exclusive possession thereof, with or without process of law.

And it is further agreed that no tender or offer of performance by the said Seller shall be necessary as a condition precedent to its rights to exercise any right, option or privilege hereunder, and that the same may be exercised upon any default of the Buyer without such or any tender or offer of performance and without notice of any kind to said Buyer. No waiver of the breach of any of the covenants, agreements, restrictions and/or conditions of this agreement by the Seller shall be construed to be a waiver of any succeeding breach of the same or other covenants, agreements, restrictions, and/or conditions of this agreement. No delay or omission of the Seller in exercising any right, power or remedy herein provided in the event of default shall be construed as a waiver thereof, or acquiescence therein, nor shall the acceptance of any payments made in a manner or at a time other than as herein provided be construed as a waiver of, or variation in any of the terms of this agreement.

IT IS UNDERSTOOD AND AGREED that the Buyer is of legal age, and said Buyer hereby covenants and agrees that he is of legal age. It is further agreed that the Seller shall not be responsible or liable for any inducement, promise, representation, agreement, condition or stipulation not set forth herein, unless the same is in writing and signed by an officer of the Seller. No agent has any authority to make any representation or agreement not set forth herein.

The Buyer agrees not to transfer or assign this contract or any interest herein without the written consent of the Seller first having been secured to such proposed agreement.

This agreement is executed by the Seller and accepted by the Buyer subject to the following covenants and conditions, restrictions, privileges, rights and exceptions of records, which shall apply to said land, both before and after said deed has been executed and delivered, and which said conditions, restrictions and reservations, privileges, rights and exceptions of record shall be binding upon the Buyer, his heirs, devisees, executors, administrators, representatives, successors in interest, and assigns, and shall also be written in and become a part of the deed to said land as a servitude in favor of each and every lot in said tract as a dominant tenement or tenements and shall be a mutual contract between the various purchasers and buyers in said tract.

The Seller hereby reserves a right of way, with right of entry upon, over, under, along, across, and through the said land for the purpose of erecting, constructing, operating, repairing and maintaining pole lines with cross-arms for the transmission of electrical energy, and for telephone lines, and/or for laying, repairing, operating and renewing, any pipe line or lines for water, gas or sewage, and any conduits for electric or telephone wires, and reserving to the Seller herein the sole right to convey the rights hereby reserved.

Buyer agrees that any buildings or improvements now on the above described land, or hereafter erected on same, shall become a part of the real property, and Buyer agrees that he will not move or cause to be moved, any improvements on said land, without the written consent of the Seller.

Buyer agrees to keep the buildings now or hereafter erected on premises insured against loss by fire during the life of this agreement for an amount agreeable to the Seller.

Buyer agrees to pay before delinquency all State, County and/or municipal assessments, such as taxes, etc., after date of this contract.

It is mutually agreed that this agreement shall not be at any time or in any manner recorded in the office of the County Recorder of any County, and that in the event either party hereto shall record this agreement or cause the same to be recorded in the office of any county recorder, whether said recordation is regular and valid in all respects or not, then the other party hereto shall have the right to treat this agreement as wholly terminated and at an end.

The Seller hereby agrees, whenever the Buyer shall have complied with all the terms and conditions hereof, and shall have surrendered this agreement to the Seller, that the said Seller will convey or have conveyed, the said realty to said Buyer and to execute and deliver a good and sufficient grant deed, conveying said premises substantially in accordance, with this agreement, subject to the provisions herein set forth.

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IN WITNESS WHEREOF, the Seller has caused its name to be hereunto affixed by its authorized agent and the Buyer has executed the same, in duplicate, the day and year first above written.

The Lewis Family Trust

By..
Authorized Agent
Edward R. Lewis

Buyer
Richard J. Lewis

Donna F. Lewis
Buyer
Donna F. Lewis

Address 5097 Sepulveda, San Bernardino, CA.

Telephone 714-882-8148

Make all checks payable to

Edward R. Lewis,
P.O. Box 2932,
San Bernardino, CA 92406

Under the terms of the above contract, no assignment can be made without consent of

Edward R. Lewis and payment of a $35.00 transfer fee.

ASSIGNMENT

For value received, I..

....

do hereby grant and assign to..

....

all my right, title and interest in and to the foregoing Agreement and in and to the property therein described,

this day.................day of.............................., 19......

(Signature) ....

....

ASSIGNEE'S ACCEPTANCE

The undersigned assignee named in the Assignment of the foregoing Agreement hereby approves and accepts the same subject to all the terms, covenants and conditions thereof, and promises to pay the balance of said purchase price and assume all of the obligations of the Buyer as therein provided, this

this day.................day of.............................., 19......

(Signature)..

....

(Address)..

OWNER'S CONSENT

....hereby consents to the foregoing assignment this..................

day of.............................., 19......

....

By..
Authorized Agent.

89070231701

STANDARD FORM

Agreement for the Purchase and Sale of Real Estate

THIS AGREEMENT, made and entered into this 20th day of January 1988

between The Lewis Intervivos Trust, having its principal place of business at

5328 Park Lane, San Bernardino, 92404 California, as "SELLER," and

Rommel Agee

of 19029 Amber Valley Drive, Walnut, CA 91789, hereinafter designated as "BUYER."

WITNESSETH:

That the Seller, in consideration of the covenants and agreements hereinafter contained, to be first performed as a condition precedent by the Buyer, agrees to sell and convey unto the said Buyer, and the said Buyer agrees to buy, that certain real property described as follows, to-wit:

Lot 6, Block 9, Tract 5920, Book 5222, Page 013, Parcel 006, in the City of Los Angeles, County of Los Angeles, State of California.

Grant Deed will be issued after $2,000.00 has been paid in principal.

Subject to: all of of taxes for the fiscal year 19 88/89, and thereafter coming due, and also subject to all conditions, restrictions, reservations, easements and/or rights of way of record affecting said property.

THE PURCHASE PRICE for which the Seller agrees to sell and the Buyer agrees to buy said property is the sum of

Ten Thousand and No/100------------------------------ Dollars ($10,000.00),

lawful money of the United States, which sum Buyer agrees to pay to Seller at its main office, at 5328 Park Lane

San Bernardino, California, or at such other place or places as Seller may hereafter from time to time designate, as follows:

Five Hundred and No/100 ------------------------------ Dollars ($500.00),

in cash upon the execution and delivery of this Agreement, the receipt thereof being hereby duly acknowledged, and the balance of said sum in installments as follows:

One Hundred and No/100------------------- Dollars ($100.00), or more on or before

February 20, 19 88 and One Hundred and No/100------- Dollars

($100.00), or more on or before the 20th day of each and every calendar month thereafter, including

(~~together with~~) interest on all deferred payments from January 20, 19 88, at the rate of Seven

per cent per annum payable monthly thereafter and continuing until the total purchase price is paid

89070281702

It is further agreed that time is of the essence of this agreement, and full performance by the Buyer of all his obligations hereunder is and shall be a condition precedent to his right to a conveyance hereunder, and should default be made in the payment of any of said installments of principal or interest when the same become due or in the performance of any other obligation hereunder, then the whole unpaid balance of said purchase price shall immediately become due and payable at the option of the Seller, which may thereupon, at its option enforce its rights hereunder, either by forfeiture of all the Buyer's rights under this agreement and all interest in the lands described herein and the appurtenances as hereinafter provided, or by an action in equity for specific performance hereof, with or without damages, or at law for the recovery of the purchase price, together with interest thereon to date of such recovery and nothing herein contained shall be construed as depriving the Seller of any legal or equitable right or remedy it may have in the premises. The Buyer agrees to pay all costs and expenses of any action commenced by the Seller to enforce this agreement, including attorney's fees, whether such action progress to judgment or not. In case of the election by the Seller to enforce its rights of forfeiture hereunder, it may declare said forfeiture, by service upon the Buyer of its written declaration of default, forfeiture and cancellation, or by its depositing in the United States mail, postage prepaid, such written declaration, addressed to the Buyer at the post office address which he shall have caused to be filed with the Seller, or if no such post office address be so filed, then by depositing in the United States mail, postage prepaid, such written declaration, addressed to the Buyer at 19029 Amber Valley Dr. Walnut 91789 California. Upon such declaration being made by the Seller, all right, estate and interest hereby created or then existing in favor of the Buyer, or anyone claiming under him, shall terminate, and become null and void, and the right of possession and all equitable and legal interests and estates in the premises herein described, with all improvements and all other appurtenances, shall revert to, revest in and become the sole property of the Seller in fee, and the Buyer hereby waives his right to reclaim or recover any sums of money theretofore paid by the Buyer hereunder or to claim any compensation for moneys paid, or improvements placed upon said land, and the Seller shall have the right immediately upon any default in performance on the part of the Buyer to enter upon such said premises, and every part thereof, exclude all persons therefrom and take exclusive possession thereof, with or without process of law.

And it is further agreed that no tender or offer of performance by the said Seller shall be necessary as a condition precedent to its rights to exercise any right, option or privilege hereunder, and that the same may be exercised upon any default of the Buyer without such or any tender or offer of performance and without notice of any kind to said Buyer. No waiver of the breach of any of the covenants, agreements, restrictions and/or conditions of this agreement by the Seller shall be construed to be a waiver of any succeeding breach of the same or other covenants, agreements, restrictions, and/or conditions of this agreement. No delay or omission of the Seller in exercising any right, power or remedy herein provided in the event of default shall be construed as a waiver thereof, or acquiescence therein, nor shall the acceptance of any payment made in a manner or at a time other than as herein provided be construed as a waiver of, or variation in any of the terms of this agreement.

IT IS UNDERSTOOD AND AGREED that the Buyer is of legal age, and said Buyer hereby covenants and agrees that he is of legal age. It is further agreed that the Seller shall not be responsible or liable for any inducement, promise, representation, agreement, condition or stipulation not set forth herein, unless the same is in writing and signed by an officer of the Seller. No agent has any authority to make any representation or agreement not set forth herein.

The Buyer agrees not to transfer or assign this contract or any interest herein without the written consent of the Seller first having been secured to such proposed agreement.

This agreement is executed by the Seller and accepted by the Buyer subject to the following covenants and conditions, restrictions, privileges, rights and exceptions of records, which shall apply to said land, both before and after said deed has been executed and delivered, and which said conditions, restrictions and reservations, privileges, rights and exceptions of record shall be binding upon the Buyer, his heirs, devisees, executors, administrators, representatives, successors in interest, and assigns, and shall also be written in and become a part of the deed to said land as a servitude in favor of each and every lot in said tract as a dominant tenement or tenements and shall be a mutual contract between the various purchasers and buyers in said tract.

The Seller hereby reserves a right of way, with right of entry upon, over, under, along, across, and through the said land for the purpose of erecting, constructing, operating, repairing and maintaining pole lines with cross arms for the transmission of electrical energy, and for telephone lines, and/or for laying, repairing, operating and removing, any pipe line or lines for water, gas or sewage, and any conduits for electric or telephone wires, and reserving to the Seller herein the sole right to convey the rights hereby reserved.

Buyer agrees that any buildings or improvements now on the above described land, or hereafter erected on same, shall become a part of the real property, and Buyer agrees that he will not move or cause to be moved, any improvements on said land, without the written consent of the Seller.

Buyer agrees to keep the buildings now or hereafter erected on premises insured against loss by fire during the life of this agreement for an amount agreeable to the Seller.

Buyer agrees to pay before delinquency all State, County and/or municipal assessments, such as taxes, etc., after date of this contract.

It is mutually agreed that this agreement shall not be at any time or in any manner recorded in the office of the County Recorder of any County, and that in the event either party hereto shall record this agreement or cause the same to be recorded in the office of any county recorder, whether said recordation is regular and valid in all respects or not, then the other party hereto shall have the right to treat this agreement as wholly terminated and at an end.

The Seller hereby agrees, whenever the Buyer shall have complied with all the terms and conditions hereof, and shall have surrendered this agreement to the Seller, that the said Seller will convey or have conveyed, the said realty to said Buyer and to execute and deliver a good and sufficient grant deed, conveying said premises substantially in accordance with this agreement, subject to the provisions herein set forth.

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IN WITNESS WHEREOF, the Seller has caused its name to be hereunto affixed by its authorized agent and the Buyer has executed the same, in duplicate, the day and year first above written.

LEWIS INTERVIVOS TRUST

By Edward R. Lewis — Authorized Agent
Trustee

Rommel Agee
Buyer

Rommel Agee
Buyer

Address 19029 Amber Valley Drive
Walnut, California 91789
Telephone (818) 912-6157

Make all checks payable to

Edward R. Lewis
5328 Park Lane
San Bernardino, CA 92404

Under the terms of the above contract, no assignment can be made without consent of Edward R. Lewis

ASSIGNMENT

For value received, I ....

do hereby grant and assign to ....

all my right, title and interest in and to the foregoing Agreement and in and to the property therein described,

this day .... day of ...., 19......

(Signature) ....

ASSIGNEE'S ACCEPTANCE

The undersigned assignee named in the Assignment of the foregoing Agreement hereby approves and accepts the same subject to all the terms, covenants and conditions thereof, and promises to pay the balance of said purchase price and assume all of the obligations of the Buyer as therein provided, this

this day .... day of ...., 19......

(Signature) ....

(Address) ....

OWNER'S CONSENT

.... hereby consents to the foregoing assignment this ....

day of ...., 19......

....

By ....
Authorized Agent.

890702 STANDARD FORM

Agreement for the Purchase and Sale of Real Estate

THIS AGREEMENT, made and entered into this 26th day of October 19 81 between Edward R. Lewis and Elaine L. Lewis, having its principal place of business at 5328 Park Lane, San Bernardino (92404) California, as "SELLER," and Earl D. Burdett or Claudette J. Burdett of 605 E. Elm St., Brea, California. 92621, hereinafter designated as "BUYER,"

WITNESSETH:

That the Seller, in consideration of the covenants and agreements hereinafter contained, to be first performed as a condition precedent by the Buyer, agrees to sell and convey unto the said Buyer, and the said Buyer agrees to buy, that certain real property described as follows, to-wit:

Parcel Number Four as shown on Parcel Map #4416 recorded 5/23/79 in Parcel Map Book number 47, pages 65 and 66 in the office of the County Recorder of the County of San Bernardino, State of California.

After 10% has been paid on the principal the purchaser will be issued a deed if he so desires.

Subject to: all of taxes for the fiscal year 1981-1982 and thereafter coming due, and also subject to all conditions, restrictions, reservations, easements and/or rights of way of record affecting said property.

THE PURCHASE PRICE for which the Seller agrees to sell and the Buyer agrees to buy said property is the sum of Thirty two thousand - - - - - - - - - - - - - - - - Dollars ($ 32,000.00) lawful money of the United States, which sum Buyer agrees to pay to Seller at its main office, at P.O.Box 2932 San Bernardino California, or at such other place or places as Seller may hereafter from time to time designate, as follows: Two hundred fifty - - - - - - - - - - - - - - - - - Dollars ($ 250.00), in cash upon the execution and delivery of this Agreement, the receipt thereof being hereby duly acknowledged, and the balance of said sum in installments as follows:

Two hundred fifty - - - - - - - - - - - - - Dollars ($ 250.00), or more on or before November 15, 1981 19___ and two hundred fifty Dollars ($ 250.00), or more on or before the 15th day of each and every calendar month thereafter, including (together with) interest on all deferred payments from October 26 19 81 at the rate of seven per cent per annum payable monthly thereafter and continuing until the total purchase price is paid

8 9 0 7 0 2 8 1 7 0 5

It is further agreed that time is of the essence of this agreement, and full performance by the Buyer of all his obligations hereunder is and shall be a condition precedent to his right to a conveyance hereunder, and should default be made in the payment of any of said installments of principal or interest when the same become due or in the performance of any other obligation hereunder, then the whole unpaid balance of said purchase price shall immediately become due and payable at the option of the Seller, which may thereupon, at its option enforce its rights hereunder, either by forfeiture of all the Buyer's rights under this agreement and all interest in the lands described herein and the appurtenances as hereinafter provided, or by an action in equity for specific performance hereof, with or without damages, or at law for the recovery of the purchase price, together with interest thereon to date of such recovery and nothing herein contained shall be construed as depriving the Seller of any legal or equitable right or remedy it may have in the premises. The Buyer agrees to pay all costs and expenses of any action commenced by the Seller to enforce this agreement, including attorney's fees, whether such action progress to judgment or not. In case of the election by the Seller to enforce its rights of forfeiture hereunder, it may declare said forfeiture, by service upon the Buyer of its written declaration of default, forfeiture and cancellation, or by its depositing in the United States mail, postage prepaid, such written declaration, addressed to the Buyer at the post office address which he shall have caused to be filed with the Seller, or if no such post office address be so filed, then by depositing in the United States mail, postage prepaid, such written declaration, addressed to the Buyer at 605 E. Elm St. Brea, California California. Upon such declaration being made by the Seller, all rights, estate and interest hereby created or then existing in favor of the Buyer, or anyone claiming under him, shall terminate, and become null and void, and the right of possession and all equitable and legal interests and estates in the premises herein described, with all improvements and all other appurtenances, shall revert to, revest in and become the sole property of the Seller in fee, and the Buyer hereby waives his right to reclaim or recover any sums of money theretofore paid by the Buyer hereunder or to claim any compensation for moneys paid, or improvements placed upon said land, and the Seller shall have the right immediately upon any default in performance on the part of the Buyer to enter upon such said premises, and every part thereof, exclude all persons therefrom and take exclusive possession thereof, with or without process of law.

And it is further agreed that no tender or offer of performance by the said Seller shall be necessary as a condition precedent to its rights to exercise any right, option or privilege hereunder, and that the same may be exercised upon any default of the Buyer without such or any tender or offer of performance and without notice of any kind to said Buyer. No waiver of the breach of any of the covenants, agreements, restrictions and/or conditions of this agreement by the Seller shall be construed to be a waiver of any succeeding breach of the same or other covenants, agreements, restrictions, and/or conditions of this agreement. No delay or omission of the Seller in exercising any right, power or remedy herein provided in the event of default shall be construed as a waiver thereof, or acquiescence therein, nor shall the acceptance of any payment made in a manner or at a time other than as herein provided be construed as a waiver of, or variation in any of the terms of this agreement.

IT IS UNDERSTOOD AND AGREED that the Buyer is of legal age, and said Buyer hereby covenants and agrees that he is of legal age. It is further agreed that the Seller shall not be responsible or liable for any inducement, promise, representation, agreement, condition or stipulation not set forth herein, unless the same is in writing and signed by an officer of the Seller. No agent has any authority to make any representation or agreement not set forth herein.

The Buyer agrees not to transfer or assign this contract or any interest herein without the written consent of the Seller first having been secured to such proposed agreement.

This agreement is executed by the Seller and accepted by the Buyer subject to the following covenants and conditions, restrictions, privileges, rights and exception of records, which shall apply to said land, both before and after said deed has been executed and delivered, and which said conditions, restrictions and reservations, privileges, rights and exceptions of record shall be binding upon the Buyer, his heirs, devisees, executors, administrators, representatives, successors in interest, and assigns, and shall also be written in and become a part of the deed to said land as a servitude in favor of each and every lot in said tract as a dominant tenement or tenements and shall be a mutual contract between the various purchasers and buyers in said tract.

The Seller hereby reserves a right of way, with right of entry upon, over, under, along, across, and through the said land for the purpose of erecting, constructing, operating, repairing and maintaining pole lines with cross arms for the transmission of electrical energy, and for telephone lines, and/or for laying, repairing, operating and renewing, any pipe line or lines for water, gas or sewage, and any conduits for electric or telephone wires, and reserving to the Seller herein the sole right to convey the rights hereby reserved.

Buyer agrees that any buildings or improvements now on the above described land, or hereafter erected on same, shall become a part of the real property, and Buyer agrees that he will not move or cause to be moved, any improvements on said land, without the written consent of the Seller.

Buyer agrees to keep the buildings now or hereafter erected on premises insured against loss by fire during the life of this agreement for an amount agreeable to the Seller.

Buyer agrees to pay before delinquency all State, County and/or municipal assessments, such as taxes, etc., after date of this contract.

It is mutually agreed that this agreement shall not be at any time or in any manner recorded in the office of the County Recorder of any County, and that in the event either party hereto shall record this agreement or cause the same to be recorded in the office of any county recorder, whether said recordation is regular and valid in all respects or not, then the other party hereto shall have the right to treat this agreement as wholly terminated and at an end.

The Seller hereby agrees, whenever the Buyer shall have complied with all the terms and conditions hereof, and shall have surrendered this agreement to the Seller, that the said Seller will convey or have conveyed, the said realty to said Buyer and to execute and deliver a good and sufficient grant deed, conveying said premises substantially in accordance with this agreement, subject to the provisions herein set forth.

8 9 3 7 0 2 8 1 7 0 5

IN WITNESS WHEREOF, the Seller has caused its name to be hereunto affixed by its authorized agent and the Buyer has execute the same, in duplicate, the day and year first above written.

Edward R Lewis
Edward R. Lewis
By Elaine L. Lewis
Elaine L. Lewis
Authorized Agent

Earl D. Burdett
Earl D. Burdett
Buyer

Buyer

Address 605 E Elm St., Brea Ca. 92621

Telephone 714-529-2916

Make all checks payable to

Edward R. Lewis
P.O. Box 2932,
San Bernardino, Ca. 92406.

Under the terms of the above contract, no assignment can be made without consent of

Edward R. or Elaine L. Lewis and payment of a $25 transfer charge.

ASSIGNMENT

For value received, I

do hereby grant and assign to

all my right, title and interest in and to the foregoing Agreement and in and to the property therein described,

this day ______ day of ______, 19____

(Signature)

ASSIGNEE'S ACCEPTANCE

The undersigned assignee named in the Assignment of the foregoing Agreement hereby approves and accepts the same subject to all the terms, covenants and conditions thereof, and promises to pay the balance of said purchase price and assume all of the obligations of the Buyer as therein provided, this

this day ______ day of ______, 19____

(Signature)

(Address)

OWNER'S CONSENT

______ hereby consents to the foregoing assignment this ______

day of ______, 19____

By ______
Authorized Agent.

BURDETT 8 9 0 7 0 2 8 1 7 0 7

STANDARD FORM

Agreement for the Purchase and Sale of Real Estate

THIS AGREEMENT, made and entered into this 26th day of October 1981

between Edward R. Lewis and Elaine L. Lewis, having its principal place of business at

5328 Park Lane, San Bernardino (92404) California, as "SELLER," and

Earl D. Burdett or Claudette J. Burdett

of 605 E. Elm St., Brea, California 92621, hereinafter designated as "BUYER,"

WITNESSETH:

That the Seller, in consideration of the covenants and agreements hereinafter contained, to be first performed as a condition precedent by the Buyer, agrees to sell and convey unto the said Buyer, and the said Buyer agrees to buy, that certain real property described as follows, to-wit:

Parcel Number 3 as shown on Parcel Map #4416 recorded 5/23/79 in Parcel Map Book #47, pages 65 and 66 in the office of the County Recorder of the County of San Bernardino, State of Californi

After 10% has been paid on the principal the purchaser will be issued a deed if he so desires.

Subject to: all of taxes for the fiscal year 1981-1982 and thereafter coming due, and also subject to all conditions, restrictions, reservations, easements and/or rights of way of record affecting said property.

THE PURCHASE PRICE for which the Seller agrees to sell and the Buyer agrees to buy said property is the sum of

Thirty two thousand - - - - - - - - - - - - - - - - - - Dollars ($32,000.00),

lawful money of the United States, which sum Buyer agrees to pay to Seller at its main office, at P.O. Box 2932

Sab Bernardino California, or at such other place or places as Seller may hereafter from time to time designate, as follows:

Two hundred fifty - - - - - - - - - - - - - - - - - Dollars ($250.00),

in cash upon the execution and delivery of this Agreement, the receipt thereof being hereby duly acknowledged, and the balance of said sum in installments as follows:

Two hundred fifty - - - - - - - - - - - - - Dollars ($250.00), or more on or before

November 15, 1981 19__, and Two hundred fifty Dollars

($250.00), or more on or before the 15th day of each and every calendar month thereafter, including

(together with) interest on all deferred payments from October 26 1981, at the rate of seven

per cent per annum payable monthly thereafter and continuing until the total purchase price is paid

8 9 0 7 0 2 3 1 7 0 8

It is further agreed that time is of the essence of this agreement, and full performance by the Buyer of all his obligations hereunc is and shall be a condition precedent to his right to a conveyance hereunder, and should default be made in the payment of any of ei installments of principal or interest when the same become due or in the performance of any other obligation hereunder, then the wh unpaid balance of said purchase price shall immediately become due and payable at the option of the Seller, which may thereupon, at option enforce its rights hereunder, either by forfeiture of all the Buyer's rights under this agreement and all interest in the lands describ herein and the appurtenances as hereinafter provided, or by an action in equity for specific performance hereof, with or without damages, at law for the recovery of the purchase price, together with interest thereon to date of such recovery and nothing herein contained sh be construed as depriving the Seller of any legal or equitable right or remedy it may have in the premises. The Buyer agrees to pay costs and expenses of any action commenced by the Seller to enforce this agreement, including attorney's fees, whether such acti progress to judgment or not. In case of the election by the Seller to enforce its rights of forfeiture hereunder, it may declare said fo feiture, by service upon the Buyer of its written declaration of default, forfeiture and cancellation, or by its depositing in the Unit States mail, postage prepaid, such written declaration, addressed to the Buyer at the post office address which he shall have caused be filed with the Seller, or if no such post office address be so filed, then by depositing in the United States mail, postage prepaid, su written declaration, addressed to the Buyer at 605 E. Elm St., Brea. Ca. 92621, California. Upon su declaration being made by the Seller, all right, estate and interest hereby created or then existing in favor of the Buyer, or anyone clair ing under him, shall terminate, and become null and void, and the right of possession and all equitable and legal interests and estates the premises herein described, with all improvements and all other appurtenances, shall revert to, revest in and become the sole proper of the Seller in fee, and the Buyer hereby waives his right to reclaim or recover any sums of money theretofore paid by the Buyer her under or to claim any compensation for moneys paid, or improvements placed upon said land, and the Seller shall have the right imm diately upon any default in performance on the part of the Buyer to enter upon such said premises, and every part thereof, exclude a persons therefrom and take exclusive possession thereof, with or without process of law.

And it is further agreed that no tender or offer of performance by the said Seller shall be necessary as a condition precedent to i rights to exercise any right, option or privilege hereunder, and that the same may be exercised upon any default of the Buyer witho such or any tender or offer of performance and without notice of any kind to said Buyer. No waiver of the breach of any of the covenant agreements, restrictions and/or conditions of this agreement by the Seller shall be construed to be a waiver of any succeeding breac of the same or other covenants, agreements, restrictions, and/or conditions of this agreement. No delay or omission of the Seller in exerci ing any right, power or remedy herein provided in the event of default shall be construed as a waiver thereof, or acquiescence therein, no shall the acceptance of any payments made in a manner or at a time other than as herein provided be construed as a waiver of, c variation in any of the terms of this agreement.

IT IS UNDERSTOOD AND AGREED that the Buyer is of legal age, and said Buyer hereby covenants and agrees that he is of leg age. It is further agreed that the Seller shall not be responsible or liable for any inducement, promise, representation, agreement, cond tion or stipulation not set forth herein, unless the same is in writing and signed by an officer of the Seller. No agent has any authori to make any representation or agreement not set forth herein.

The Buyer agrees not to transfer or assign this contract or any interest herein without the written consent of the Seller first havin been secured to such proposed agreement.

This agreement is executed by the Seller and accepted by the Buyer subject to the following covenants and conditions, restriction privileges, rights and exception of records, which shall apply to said land, both before and after said deed has been executed and de livered, and which said conditions, restrictions and reservations, privileges, rights and exceptions of record shall be binding upon th Buyer, his heirs, devisees, executors, administrators, representatives, successors in interest, and assigns, and shall also be written in an become a part of the deed to said land as a servitude in favor of each and every lot in said tract as a dominant tenement or tenemen and shall be a mutual contract between the various purchasers and buyers in said tract.

The Seller hereby reserves a right of way, with right of entry upon, over, under, along, across, and through the said land for th purpose of erecting, constructing, operating, repairing and maintaining pole lines with cross arms for the transmission of electrical energy and for telephone lines, and/or for laying, repairing, operating and removing, any pipe line or lines for water, gas or sewage, and any conduits for electric or telephone wires, and reserving to the Seller herein the sole right to convey the rights hereby reserved.

Buyer agrees that any buildings or improvements now on the above described land, or hereafter erected on same, shall become a part of the real property, and Buyer agrees that he will not move or cause to be moved, any improvements on said land, without the written consent of the Seller.

Buyer agrees to keep the buildings now or hereafter erected on premises insured against loss by fire during the life of this agreemen for an amount agreeable to the Seller.

Buyer agrees to pay before delinquency all State, County and/or municipal assessments, such as taxes, etc., after date of this contract

It is mutually agreed that this agreement shall not be at any time or in any manner recorded in the office of the County Recorder of any County, and that in the event either party hereto shall record this agreement or cause the same to be recorded in the office of any county recorder, whether said recordation is regular and valid in all respects or not, then the other party hereto shall have the right to treat this agreement as wholly terminated and at an end.

The Seller hereby agrees, whenever the Buyer shall have complied with all the terms and conditions hereof, and shall have surrendered this agreement to the Seller, that the said Seller will convey or have conveyed, the said realty, to said Buyer and to execute and deliver a good and sufficient grant deed, conveying said premises substantially in accordance with this agreement, subject to the provisions herein set forth.

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• IN WITNESS WHEREOF, the Seller has caused its name to be hereunto affixed by its authorized agent and the Buyer has executed the same, in duplicate, the day and year first above written.

Edward R Lewis
Edward R. Lewis

By Elaine L. Lewis
Elaine L. Lewis Authorized Agent

Earl D. Burdett
Earl D. Burdett Buyer

____
Buyer

Address 605 E. Elm St., Brea, Ca. 92621

Telephone 714-529-2916

Make all checks payable to

Edward R. Lewis,
P.O. Box 2932,
San Bernardino, Ca., 92406

Under the terms of the above contract, no assignment can be made without consent of

Edward R. or Elaine L. Lewis and payment of a $25 transfer fee.

ASSIGNMENT

For value received, I______________________
____
do hereby grant and assign to______________________
____
all my right, title and interest in and to the foregoing Agreement and in and to the property therein described,
this day__________day of______________, 19_____
(Signature) ______________________
____

ASSIGNEE'S ACCEPTANCE

The undersigned assignee named in the Assignment of the foregoing Agreement hereby approves and accepts the same subject to all the terms, covenants and conditions thereof, and promises to pay the balance of said purchase price and assume all of the obligations of the Buyer as therein provided, this

this day__________day of______________, 19_____
(Signature) ______________________
____
(Address) ______________________

OWNER'S CONSENT

______________________hereby consents to the foregoing assignment this______________
day of______________, 19_____

____
By______________________
Authorized Agent.

KNAUF [illegible] 8 9 0 7 0 2 3 1 7 1 0

STANDARD FORM

[redacted]

Agreement for the Purchase and Sale of Real Estate

THIS AGREEMENT, made and entered into this Thirteenth day of June 1979 between Edward R. Lewis and Elaine L. Lewis having its principal place of business at 5328 Park Lane, San Bernardino 92404 California, as "SELLER," and

Carl E. Knauff et al.

of 420 Greenfield Lane, Las Vegas, Nevada 89107 designated as "BUYER,"

WITNESSETH:

That the Seller, in consideration of the covenants and agreements hereinafter contained, to be first performed as a condition precedent by the Buyer, agrees to sell and convey unto the said Buyer, and the said Buyer agrees to buy, that certain real property described as follows, to-wit: Parcel #8 as shown on parcel map #8416 as recorded 5/23/79 in parcel map book #47 pages 65 and 66 in the office of the County Recorder, County of San Bernardino, State of California.

Together with a wellsite, well, tank and pump located in Section 2, Township 13 North, Range 15 East, San Bernardino Meridian, County of San Bernardino, State of California. A 1/28 interest in the well is gi

Reserving unto Edward R. Lewis and Elaine L. Lewis one-half of the coal, oil, gas and mineral rights that are inherent to said parcel.

The sale of this parcel is to a group of four couples whose names appear on the signatory page of this document. Upon the completion of the terms of this document an undivided one fourth interest will be deeded to each couple.

Monthly payments are to be made with one check and Carl E. Knauff is to be responsible for seeing that the payment is made.

After 10% has been paid on the principal deeds will be issued and notes secured by trust deeds will be executed for the balance of principal due.

Subject to: all of taxes for the fiscal year 1979-1980, and thereafter coming due, and also subject to all conditions, restrictions, reservations, easements and/or rights of way of record affecting said property.

THE PURCHASE PRICE for which the Seller agrees to sell and the Buyer agrees to buy said property is the sum of

Thirty two thousand - - - - - - - - - - - - - - - - - - Dollars ($32,000.00),

lawful money of the United States, which sum Buyer agrees to pay to Seller at its main office, at P.O. Box 2932, San Bernardino, 92406 California, or at such other place or places as Seller may hereafter from time to time designate, as follows:

Two hundred fifty - - - - - - - - - - - - - - - - - - - Dollars ($250.00), in cash upon the execution and delivery of this Agreement, the receipt thereof being hereby duly acknowledged, and the balance of said sum in installments as follows:

Two hundred fifty - - - - - - - - - - - - - - Dollars ($250.00), or more on or before July 22, 1979, and Two hundred fifty - - - - - - Dollars ($250.00), or more on or before the 22nd day of each and every calendar month thereafter, including (together with) interest on all deferred payments from June 22, 1979 at the rate of seven per cent per annum payable monthly thereafter and continuing until the total purchase price is paid

89070281711

It is further agreed that time is of the essence of this agreement, and full performance by the Buyer of all his obligations hereunder is and shall be a condition precedent to his right to a conveyance hereunder, and should default be made in the payment of any of said installments of principal or interest when the same become due or in the performance of any other obligation hereunder, then the whole unpaid balance of said purchase price shall immediately become due and payable at the option of the Seller, which may thereupon, at its option enforce its rights hereunder, either by forfeiture of all the Buyer's rights under this agreement and all interest in the lands described herein and the appurtenances as hereinafter provided, or by an action in equity for specific performance hereof, with or without damages, or at law for the recovery of the purchase price, together with interest thereon to date of such recovery and nothing herein contained shall be construed as depriving the Seller of any legal or equitable right or remedy it may have in the premises. The Buyer agrees to pay all costs and expenses of any action commenced by the Seller to enforce this agreement, including attorney's fees, whether such action progress to judgment or not. In case of the election by the Seller to enforce its rights of forfeiture hereunder, it may declare said forfeiture, by service upon the Buyer of its written declaration of default, forfeiture and cancellation, or by its depositing in the United States mail, postage prepaid, such written declaration, addressed to the Buyer at the post office address which he shall have caused to be filed with the Seller, or if no such post office address be so filed, then by depositing in the United States mail, postage prepaid, such 89107 written declaration, addressed to the Buyer at 820 Greenfield Ln. Las Vegas,Nev. XXXXX. Upon such declaration being made by the Seller, all rights, estate and interest hereby created or then existing in favor of the Buyer, or anyone claiming under him, shall terminate, and become null and void, and the right of possession and all equitable and legal interests and estates in the premises herein described, with all improvements and all other appurtenances, shall revert to, revest in and become the sole property of the Seller in fee, and the Buyer hereby waives his right to reclaim or recover any sums of money theretofore paid by the Buyer hereunder or to claim any compensation for moneys paid, or improvements placed upon said land, and the Seller shall have the right immediately upon any default in performance on the part of the Buyer to enter upon such said premises, and every part thereof, exclude all persons therefrom and take exclusive possession thereof, with or without process of law.

And it is further agreed that no tender or offer of performance by the said Seller shall be necessary as a condition precedent to its rights to exercise any right, option or privilege hereunder, and that the same may be exercised upon any default of the Buyer without such or any tender or offer of performance and without notice of any kind to said Buyer. No waiver of the breach of any of the covenants, agreements, restrictions and/or conditions of this agreement by the Seller shall be construed to be a waiver of any succeeding breach of the same or other covenants, agreements, restrictions, and/or conditions of this agreement. No delay or omission of the Seller in exercising any right, power or remedy herein provided in the event of default shall be construed as a waiver thereof, or acquiescence therein, nor shall the acceptance of any payments made in a manner or at a time other than as herein provided be construed as a waiver of, or variation in any of the terms of this agreement.

IT IS UNDERSTOOD AND AGREED that the Buyer is of legal age, and said Buyer hereby covenants and agrees that he is of legal age. It is further agreed that the Seller shall not be responsible or liable for any inducement, promise, representation, agreement, condition or stipulation not set forth herein, unless the same is in writing and signed by an officer of the Seller. No agent has any authority to make any representation or agreement not set forth herein.

The Buyer agrees not to transfer or assign this contract or any interest herein without the written consent of the Seller first having been secured to such proposed agreement.

This agreement is executed by the Seller and accepted by the Buyer subject to the following covenants and conditions, restrictions, privileges, rights and exceptions of records, which shall apply to said land, both before and after said deed has been executed and delivered, and which said conditions, restrictions and reservations, privileges, rights and exceptions of record shall be binding upon the Buyer, his heirs, devisees, executors, administrators, representatives, successors in interest, and assigns, and shall also be written in and become a part of the deed to said land as a servitude in favor of each and every lot in said tract as a dominant tenement or tenements and shall be a mutual contract between the various purchasers and buyers in said tract.

The Seller hereby reserves a right of way with right of entry upon, over, under, along, across, and through the said land for the purpose of erecting, constructing, operating, repairing and maintaining pole lines with cross arms for the transmission of electrical energy, and for telephone lines, and/or for laying, repairing, operating and renewing, any pipe line or lines for water, gas or sewage, and any conduits for electric or telephone wires, and reserving to the Seller herein the sole right to convey the rights hereby reserved.

Buyer agrees that any buildings or improvements now or hereafter erected on said land, shall become a part of the real property, and Buyer agrees that he will not move or cause to be moved, any improvements on said land, without the written consent of the Seller.

Buyer agrees to keep the buildings now or hereafter erected on premises insured against loss by fire during the life of this agreement for an amount agreeable to the Seller.

Buyer agrees to pay before delinquency all State, County and/or municipal assessments, such as taxes, etc., after date of this contract.

It is mutually agreed that this agreement shall not be at any time or in any manner recorded in the office of the County Recorder of any County, and that in the event either party hereto shall record this agreement or cause the same to be recorded in the office of any county recorder, whether said recordation is regular and valid in all respects or not, then the other party hereto shall have the right to treat this agreement as wholly terminated and at an end.

The Seller hereby agrees, whenever the Buyer shall have complied with all the terms and conditions hereof, and shall have surrendered this agreement to the Seller, that the said Seller will convey or have conveyed, the said realty to said Buyer and to execute and deliver a good and sufficient grant deed, conveying said premises substantially in accordance with this agreement, subject to the provisions herein set forth.

[illegible] 9070281712

IN WITNESS WHEREOF, the Seller has caused its name to be hereunto affixed by its authorized agent and the Buyer has executed the same, in duplicate, the day and year first above written.

Randy [illegible] Barbara Roach
Randy [illegible]. Roach - Barbara Roach - Buyers
Stephen F. J[illegible] Kathleen S. Jaurigue
Stephen F. J[illegible]-Kathleen S. J[illegible]gue-Buyers
[illegible] Susan E. Tatton
Phillippe J. Tatton-Susan E. Tatton - Buyers

Edward R. Lewis
Edward R. Lewis
By Elaine L. Lewis
Elaine L. Lewis as Authorized Agent

Carl E. Krauff
Carl E. Krauff Buyer
Peggy J. Krauff
Peggy J. Krauff Buyer

Address 420 greenfield LaNe, Las Vegas, Nevada, 89107
Telephone 702-878-3741

Make all checks payable to

Edward R. Lewis
P.O. Box 2932,
San Bernardino, California 92406.

Under the terms of the above contract, no assignment can be made without consent of

Edward R. Lewis and Elaine L. Lewis [illegible] payment of a [illegible] transfer fee
be responsible for seeing that the payment is made

ASSIGNMENT

For value received, I ____________

do hereby grant and assign to ____________

all my right, title and interest in and to the foregoing Agreement and in and to the property therein described,

this day ________ day of ____________, 19____

(Signature) ____________

ASSIGNEE'S ACCEPTANCE

The undersigned assignee named in the Assignment of the foregoing Agreement hereby approves and accepts the same subject to all the terms, covenants and conditions thereof, and promises to pay the balance of said purchase price and assume all of the obligations of the Buyer as therein provided, this

this day ________ day of ____________, 19____

(Signature) ____________

(Address) ____________

OWNER'S CONSENT

____________ hereby consents to the foregoing assignment this ________

day of ____________, 19____

By ____________ Authorized Agent.

A9070231713

STANDARD FORM

Agreement for the Purchase and Sale of Real Estate

THIS AGREEMENT, made and entered into this 2nd day of May 1985

between Edward R. Lewis, Elaine L. Lewis and C Jeremy Lewis, Trustees of the Edward R. Lewis and Elaine L. Lewis Trust dated June 13, 1983 having its principal place of business at

5328 Park Lane, California 92404 California, as "SELLER," and

Frances M. Stephenson, an unmarried woman, and

Austin E. Schrack, an unmarried man, as joint tenants

of Post Office Box 1292, Garden Grove, CA 92642 hereinafter designated as "BUYER,"

WITNESSETH:

That the Seller, in consideration of the covenants and agreements hereinafter contained, to be first performed as a condition precedent by the Buyer, agrees to sell and convey unto the said Buyer, and the said Buyer agrees to buy, that certain real property described as follows, to-wit:

The Northwest 1/4 of the Southwest 1/4 of Section 2, Township 13 North, Range 15 East, San Bernardino Meridian, in the County of San Bernardino, State of California, according to the official plat of said land filed in the District Land Office March 15, 1923. Oil gas, coal and mineral rights are reserved by the United States Government.

Together with a 1/28 interest in a wellsite, well, tank and pump, the location of which is described as follows: Beginning at the South 1/4 corner of Section 2; thence North 1624 feet; thence West 236 feet to the true point of beginning; thence North 17 degrees 21 minutes West, 100 feet; thence South 72 degrees 39 minutes West, 50 feet; thence South 17 degrees 21 minutes East, 100 feet; thence North 72 degrees 39 minutes East, 50 feet to the true point of beginning.

Subject to the provisions of the County of San Bernardino Land Division Code and those of the San Bernardino County Road Department.

Subject to: all of taxes for the fiscal year 1985-1986, and thereafter coming due, and also subject to all conditions, restrictions, reservations, easements and/or rights of way of record affecting said property.

THE PURCHASE PRICE for which the Seller agrees to sell and the Buyer agrees to buy said property is the sum of

Twelve Thousand One Hundred Two and 28/100-------- Dollars ($12,102.28),

lawful money of the United States, which sum Buyer agrees to pay to Seller at its main office, at 5328 Park Lane

San Bernardino California, or at such other place or places as Seller may hereafter from time to time designate, as follows:

none Dollars ($ - - -),

in cash upon the execution and delivery of this Agreement, the receipt thereof being hereby duly acknowledged, and the balance of said sum in installments as follows:

One Hundred Twenty Five and No/100-- Dollars ($125.00), or more on or before

July 23, 1985 19XX, and One Hundred Twenty Five and No/100 Dollars

($125.00), or more on or before the 23rd day of each and every calendar month thereafter, including

([illegible]) interest on all deferred payments from May 2, 1985, at the rate of seven

per cent per annum payable monthly thereafter and continuing until the total purchase price is paid

2 9 3 7 3 2 8 1 7 1 4

It is further agreed that time is of the essence of this agreement and full performance by the Buyer of all his obligations hereunder is and shall be a condition precedent to his right to a conveyance hereunder, and should default be made in the payment of any of said installments of principal or interest when the same become due or in the performance of any other obligation hereunder, then the whole unpaid balance of said purchase price shall immediately become due and payable at the option of the Seller, which may thereupon, at its option enforce its rights hereunder, either by forfeiture of all the Buyer's rights under this agreement and all interest in the lands described herein and the appurtenances as hereinafter provided, or by an action in equity for specific performance hereof, with or without damages, or at law for the recovery of the purchase price, together with interest thereon to date of such recovery and nothing herein contained shall be construed as depriving the Seller of any legal or equitable right or remedy it may have in the premises. The Buyer agrees to pay all costs and expenses of any action commenced by the Seller to enforce this agreement, including attorney's fees, whether such action progress to judgment or not. In case of the election by the Seller to enforce its rights of forfeiture hereunder, it may declare said forfeiture, by service upon the Buyer of its written declaration of default, forfeiture and cancellation, or by its depositing in the United States mail, postage prepaid, such written declaration, addressed to the Buyer at the post office address which he shall have caused to be filed with the Seller, or if no such post office address be so filed, then by depositing in the United States mail, postage prepaid, such written declaration, addressed to the Buyer at P. O. Box 1292, Garden Grove California. Upon such declaration being made by the Seller, all right, estate and interest hereby created or then existing in favor of the Buyer, or anyone claiming under him, shall terminate, and become null and void, and the right of possession and all equitable and legal interests and estates in the premises herein described, with all improvements and all other appurtenances, shall revert to, revest in and become the sole property of the Seller in fee, and the Buyer hereby waives his right to reclaim or recover any sums of money theretofore paid by the Buyer hereunder or to claim any compensation for moneys paid, or improvements placed upon said land, and the Seller shall have the right immediately upon any default in performance on the part of the Buyer to enter upon such said premises, and every part thereof, exclude all persons therefrom and take exclusive possession thereof, with or without process of law.

And it is further agreed that no tender or offer of performance by the said Seller shall be necessary as a condition precedent to its rights to exercise any right, option or privilege hereunder, and that the same may be exercised upon any default of the Buyer without such or any tender or offer of performance and without notice of any kind to said Buyer. No waiver of the breach of any of the covenants, agreements, restrictions and/or conditions of this agreement by the Seller shall be construed to be a waiver of any succeeding breach of the same or other covenants, agreements, restrictions, and/or conditions of this agreement. No delay or omission of the Seller in exercising any right, power or remedy herein provided in the event of default shall be construed as a waiver thereof, or acquiescence therein, nor shall the acceptance of any payments made in a manner or at a time other than as herein provided be construed as a waiver of, or variation in any of the terms of this agreement.

IT IS UNDERSTOOD AND AGREED that the Buyer is of legal age, and said Buyer hereby covenants and agrees that he is of legal age. It is further agreed that the Seller shall not be responsible or liable for any inducement, promise, representation, agreement, condition or stipulation not set forth herein, unless the same is in writing and signed by an officer of the Seller. No agent has any authority to make any representation or agreement not set forth herein.

The Buyer agrees not to transfer or assign this contract or any interest herein without the written consent of the Seller first having been secured to such proposed agreement.

This agreement is executed by the Seller and accepted by the Buyer subject to the following covenants and conditions, restrictions, privileges, rights and exceptions of records, which shall apply to said land, both before and after said deed has been executed and delivered, and which said conditions, restrictions and reservations, privileges, rights and exceptions of record shall be binding upon the Buyer, his heirs, devisees, executors, administrators, representatives, successors in interest, and assigns, and shall also be written in and become a part of the deed to said land as a servitude in favor of each and every lot in said tract as a dominant tenement or tenements and shall be a mutual contract between the various purchasers and buyers in said tract.

The Seller hereby reserves a right of way, with right of entry upon, over, under, along, across, and through the said land for the purpose of erecting, constructing, operating, repairing and maintaining pole lines with cross arms for the transmission of electrical energy, and for telephone lines, and/or for laying, repairing, operating and removing, any pipe line or lines for water, gas or sewage, and any conduits for electric or telephone wires, and reserving to the Seller herein the sole right to convey the rights hereby reserved.

Buyer agrees that any buildings or improvements now on the above described land, or hereafter erected on same, shall become a part of the real property, and Buyer agrees that he will not move or cause to be moved, any improvements on said land, without the written consent of the Seller.

Buyer agrees to keep the buildings now or hereafter erected on premises insured against loss by fire during the life of this agreement for an amount agreeable to the Seller.

Buyer agrees to pay before delinquency all State, County and/or municipal assessments, such as taxes, etc., after date of this contract.

It is mutually agreed that this agreement shall not be at any time or in any manner recorded in the office of the County Recorder of any County, and that in the event either party hereto shall record this agreement or cause the same to be recorded in the office of any county recorder, whether said recordation is regular and valid in all respects or not, then the other party hereto shall have the right to treat this agreement as wholly terminated and at an end.

The Seller hereby agrees, whenever the Buyer shall have complied with all the terms and conditions hereof, and shall have surrendered this agreement to the Seller, that the said Seller will convey or have conveyed, the said realty to said Buyer and to execute and deliver a good and sufficient grant deed, conveying said premises substantially in accordance with this agreement, subject to the provisions herein set forth.

R9370281715

IN WITNESS WHEREOF, the Seller has caused its name to be hereunto affixed by its authorized agent and the Buyer has executed the same, in duplicate, the day and year first above written.

Edward R. Lewis, Elaine L. Lewis and
C. Jeremy Lewis, Trustees for the
Edward R. Lewis and Elaine L. Lewis
Trust dated June 15, 1983

By Edward R. Lewis Authorized Agent

X Frances M Stephenson
Buyer Frances M. Stephenson

X Austin E Schrack
Buyer Austin E. Schrack

Address Post Office Box 1292
Garden Grove, CA 92642

Telephone

Make all checks payable to

Edward R. Lewis
Post Office Box 2932
San Bernardino, CA 92406

Under the terms of the above contract, no assignment can be made without consent of

Edward R. Lewis and payment of $25 transfer fee

ASSIGNMENT

For value received, I

do hereby grant and assign to

all my right, title and interest in and to the foregoing Agreement and in and to the property therein described,

this day day of , 19

(Signature)

ASSIGNEE'S ACCEPTANCE

The undersigned assignee named in the Assignment of the foregoing Agreement hereby approves and accepts the same subject to all the terms, covenants and conditions thereof, and promises to pay the balance of said purchase price and assume all of the obligations of the Buyer as therein provided, this

this day day of , 19

(Signature)

(Address)

OWNER'S CONSENT

hereby consents to the foregoing assignment this

day of , 19

By Authorized Agent.

STANDARD FORM 6

Sheet 1.

Agreement for the Purchase and Sale of Real Estate

THIS AGREEMENT, made and entered into this 23rd day of December, 19 74 between Edward E. Lewis and Elaine L. Lewis, having its principal place of business at 5328 Park Lane, San Bernardino, California 92404 California, as "SELLER," and Dennis C. Stephenson, Gary Stephenson and Gaylon Stephenson [illegible] 122 Sirius, Anaheim, CA. 92802 and [illegible] Ivanhoe, Anaheim, California, 92804, hereinafter designated as "BUYER,"

[illegible]

[illegible] the Seller, in consideration of the covenants and agreements [illegible] to be first performed as a condition precedent by the Buyer, agrees to sell and convey unto the said Buyer, and the said Buyer agrees to buy, the certain real property described [illegible] Southeast 1/4 of the Southwest 1/4 of Section 2, Township 13 North, [illegible] Meridian, in the County of San Bernardino, State of California, according to the official plat of said land filed in the District Land Office [illegible] 1923. Oil, gas, coal and mineral rights are reserved by the US Govt.

Together with a 1/28 interest in a wellsite, well, tank and pump the location of which is described as follows: beginning at the South 1/4 corner of Section 2; thence North 1624 feet; thence West 236 feet to the true point of beginning; thence North 17 degrees 21 minutes West, 100 feet; thence South 72 degrees 39 minutes West, 50 feet; thence South 17 degrees 21 minutes East: 100 feet; thence North 72 degrees 39 minutes East, 50 feet to the true point of beginning.

Subject to the provisions of the County of San Bernardino land division code and those of the San Bernardino County Road Department.

Subject to: no taxes for the fiscal year 19 73-19 74 and thereafter coming due, and also subject to all conditions, restrictions, reservations, easements and/or rights of way of record affecting said property.

THE PURCHASE PRICE for which the Seller agrees to sell and the Buyer agrees to buy said property is the sum of Sixteen thousand - Dollars ($ 16,000.00), lawful money of the United States, which sum Buyer agrees to pay to Seller at its main office, at 5328 Park Lane, San Bernardino, (92404) California, or at such other place or places as Seller may hereafter from time to time designate, as follows: one hundred twenty five - Dollars ($125.00), in cash upon the execution and delivery of this Agreement, the receipt thereof being hereby duly acknowledged, and the balance of said sum in installments as follows:

one hundred twenty five - - - - - - - - - - - - - - Dollars ($ 125.00), or more on or before January 23rd 19 75, and one hundred twenty five - - - - - - - - Dollars ($ 125.00), or more on or before the 23rd day of each and every calendar month thereafter, including (together with) interest on all deferred payments from December 23, 19 74, at the rate of seven per cent per annum payable monthly thereafter and continuing until the total purchase price is paid

89370281-717 Sheet 2

[illegible] agreed that time is of the essence of this agreement, and full performance by the Buyer of all his obligations hereunder shall be a condition precedent to his right to a conveyance hereunder, and should default be made in the payment of any of said installments of principal or interest when the same become due or in the performance of any other obligation hereunder, then the whole unpaid balance of said purchase price shall immediately become due and payable at the option of the Seller, which may, however, at its option enforce its rights hereunder, either by forfeiture of all the Buyer's rights under this agreement and all interest in the lands described herein and the appurtenances as hereinafter provided, or by an action in equity for specific performance hereof, with or without damages, or at law for the recovery of the purchase price, together with interest thereon to date of such recovery and nothing herein contained shall be construed as depriving the Seller of any legal or equitable right or remedy it may have in the premises. The Buyer agrees to pay all costs and expenses of any action commenced by the Seller to enforce this agreement, including attorney's fees, whether such action progress to judgment or not. In case of the election by the Seller to enforce its rights of forfeiture hereunder, it may declare said forfeiture, by service upon the Buyer of its written declaration of default, forfeiture and cancellation, or by its depositing in the United States mail, postage prepaid, such written declaration, addressed to the Buyer at the post office address which he shall have caused to be filed with the Seller, or if no such post office address be so filed, then by depositing in the United States mail, postage prepaid, such written declaration, addressed to the Buyer at 1653 Ivanhoe, Anaheim (92804) [illegible] California. Upon such declaration being made by the Seller, all right, estate and interest hereby created or then existing in favor of the Buyer, or anyone claiming under him, shall terminate, and become null and void and the right of possession and all equitable and legal interests and estate in the premises herein described, with all improvements and all other appurtenances shall revert to, revest in and become the sole property of the Seller in fee, and the Buyer hereby waives his right to reclaim or recover any sums of money theretofore paid by the Buyer hereunder or to claim any compensation for moneys paid, or improvements placed upon said land, and the Seller shall have the right immediately upon any default in performance on the part of the Buyer to enter upon such said premises, and every part thereof, and remove all persons therefrom and take exclusive possession thereof, with or without process of law.

And it is further agreed that the tender of deed or other performance by the said Seller shall be unnecessary as a condition precedent to its rights to exercise any right, option or privilege hereunder, and that the same may be exercised upon any default of the Buyer without such or any tender or offer of performance and without notice of any kind to said Buyer. No waiver of the breach of any of the covenants, agreements, restrictions and/or conditions of this agreement by the Seller shall be construed to be a waiver of any succeeding breach of the same or other covenants, agreements, restrictions, and/or conditions of this agreement. No delay or omission of the Seller in exercising any right, power or remedy herein provided in the event of default shall be construed as a waiver thereof, or acquiescence therein, nor shall the acceptance of any payments made in a manner or at a time other than as herein provided be construed as a waiver of or variation in any of the terms of this agreement.

IT IS UNDERSTOOD AND AGREED that the Buyer is of legal age, and said Buyer hereby covenants and agrees that he is of legal age. It is further agreed that the Seller shall not be responsible or liable for any inducement, promise, representation, agreement, condition or stipulation not set forth herein, unless the same is in writing and signed by an officer of the Seller. No agent has any authority to make any representation or agreement not set forth herein.

The Buyer agrees not to transfer or assign this contract or any interest herein without the written consent of the Seller first having been secured to such proposed agreement.

This agreement is executed by the Seller and accepted by the Buyer subject to the following covenants and conditions, restrictions, privileges, rights and exception of records, which shall apply to said land, both before and after said deed has been executed and delivered, and which said conditions, restrictions and reservations, privileges, rights and exceptions of record shall be binding upon the Buyer, his heirs, devisees, executors, administrators, representatives, successors in interest, and assigns, and shall also be written in and become a part of the deed to said land as a servitude in favor of each and every lot in said tract as a dominant tenement or tenements and shall be a mutual contract between the various purchasers and buyers in said tract.

The Seller hereby reserves a right of way, with right of entry upon, over, under, along, across, and through the said land for the purpose of erecting, constructing, operating, repairing and maintaining pole lines with cross arms for the transmission of electrical energy, and for telephone lines, and/or for laying, repairing, operating and renewing, any pipe line or lines for water, gas or sewage, and any conduits for electric or telephone wires, and reserving to the Seller herein the sole right to convey the rights hereby reserved.

Buyer agrees that any buildings or improvements now on the above described land, or hereafter erected on same, shall become a part of the real property, and Buyer agrees that he will not move or cause to be moved, any improvements on said land, without the written consent of the Seller.

Buyer agrees to keep the buildings now or hereafter erected on premises insured against loss by fire during the life of this agreement for an amount agreeable to the Seller.

Buyer agrees to pay before delinquency all State, County and/or municipal assessments, such as taxes, etc., after date of this contract.

It is mutually agreed that this agreement shall not be at any time or in any manner recorded in the office of the County Recorder of any County, and that in the event either party hereto shall record this agreement or cause the same to be recorded in the office of any county recorder, whether said recordation is regular and valid in all respects or not, then the other party hereto shall have the right to treat this agreement as wholly terminated and at an end.

The Seller hereby agrees, whenever the Buyer shall have complied with all the terms and conditions hereof, and shall have surrendered this agreement to the Seller, that the said Seller will convey or have conveyed, the said realty to said Buyer and to execute and deliver a good and sufficient grant deed, conveying said premises substantially in accordance with this agreement, subject to the provisions herein set forth.

N 907.023.1718

Sheet 3.

SS WHEREOF, the Seller has caused its name to be hereunto affixed by its authorized agent and the Buyer has executed duplicate, the day and year first above written.

Edward R. Lewis
Edward R. Lewis
By Elaine L. Lewis
Elaine L. Lewis
Authorized Agent

Dennis C. Stephenson
Dennis C. Stephenson Buyer
[illegible] Sirius, Anaheim, CA. 92802, Phone 750-0501
[illegible] Stephenson
Gary Stephenson Buyer
[illegible], Anaheim, CA. 92804
[illegible]
Gaylon Stephenson Buyer
1635 [illegible], Anaheim, CA. 92804
Telephone 772-6387

Make all checks payable to

Edward R. Lewis
P.O. Box 2932,
San Bernardino, Calif. 92406

Under the terms of the above contract, no assignment can be made without consent of

Edward R. and Elaine L. Lewis and payment of a $25.00 transfer fee.

ASSIGNMENT

For value received, I..

....

do hereby grant and assign to..

....

all my right, title and interest in and to the foregoing Agreement and in and to the property therein described,

this day................day of..................................19......

(Signature)..

....

ASSIGNEE'S ACCEPTANCE

The undersigned assignee named in the Assignment of the foregoing Agreement hereby approves and accepts the same subject to all the terms, covenants and conditions thereof, and promises to pay the balance of said purchase price and assume all of the obligations of the Buyer as therein provided, this

this day................day of..................................19......

(Signature)..

....

(Address)..

OWNER'S CONSENT

....hereby consents to the foregoing assignment this..................

day of..................................19......

....

By..
Authorized Agent

8 9 0 7 0 2 3 1 7 1 9 Sheet one of t

STANDARD FORM

Agreement for the Purchase and Sale of Real Estate

THIS AGREEMENT, made and entered into this 30th day of April 19 73 between Edward R. and Elaine L. Lewis their having principal place of business at 5328 Park Lane, San Bernardino, California 92404 XXXXXX, as "SELLER," and Randy Don Hopkinson and Georgette M. Hopkinson, husband and wife as joint tenants with the right of survivorship, of 3752 Viking Road, Las Vegas, Nevada 89121, hereinafter designated as "BUYER,"

WITNESSETH:

That the Seller, in consideration of the covenants and agreements hereinafter contained, to be first performed as a condition precedent by the Buyer, agrees to sell and convey unto the said Buyer, and the said Buyer agrees to buy, that certain real property described as follows, to-wit: The Southwest 1/4 of the Southwest 1/4 of Section 2, Township 13 North, Range 15 East, San Bernardino Base and Meridian, in the County of San Bernardino, State of California. Subject to all easements, reservations and restrictions of record including land division requirements of the of the County of San Bernardino and the State of California.

Excepting therefrom an easement for road purposes and public utilities said easement described as a twenty foot wide strip around the entire perimeter of said parcel.

Together with an easement for access road purposes described as the North 20 feet of the Southeast 1/4 of the Southwest 1/4 of said Section 2. Such easement to be the use of the buyer and for all persons who subsequently may become the owners of the herein described parcel.

Together with a 1/28 interest in a wellsite, well, tank and pump the location of which is described as follows; Beginning at the South 1/4 corner of the said Section 2; thence North 1624 feet; thence West 236 feet to the true point of beginning; thence North 17 degrees 21 minutes West, 100 feet; thence South 72 degrees 39 minutes West, 50 feet; thence South 17 degrees 21 minutes East, 100 feet; thence North 72 degrees 39 minutes East, 50 feet to the true point of beginning.

Subject to: np propration of taxes for the fiscal year 19 72 19 73, and thereafter coming due, and also subject to all conditions, restrictions, reservations, easements and/or rights of way of record affecting said property.

THE PURCHASE PRICE for which the Seller agrees to sell and the Buyer agrees to buy said property is the sum of Twelve thousand and no/100 - - - - - - - - - - - - - - - - - Dollars ($ 12,000.00), lawful money of the United States, which sum Buyer agrees to pay to Seller at his main office, at 5328 Park Lane San Bernardino, California, or at such other place or places as Seller may hereafter from time to time designate, as follows: One hundred and no/100 - Dollars ($ 100.00), in cash upon the execution and delivery of this Agreement, the receipt thereof being hereby duly acknowledged, and the balance of said sum in installments as follows:

One hundred and no/100 - - - - - - - - - - - - - - Dollars ($100.00), or more on or before May 15 19 73, and one hundred Dollars ($ 100.00), or more on or before the 15th day of each and every calendar month thereafter, including (together with) interest on all deferred payments from May 15 19 73, at the rate of seven per cent per annum payable monthly thereafter and continuing until the total purchase price is paid

It is further agreed that time is of the essence of this agreement, and full performance by the Buyer of all his obligations hereunder is and shall be a condition precedent to his right to a conveyance hereunder, and should default be made in the payment of any of said installments of principal or interest when the same become due or in the performance of any other obligation hereunder, then the whole unpaid balance of said purchase price shall immediately become due and payable at the option of the Seller, which may thereupon, at its option enforce its rights hereunder, either by forfeiture of all the Buyer's rights under this agreement and all interest in the lands described herein and the appurtenances as hereinafter provided, or by an action in equity for specific performance hereof, with or without damages, or at law for the recovery of the purchase price, together with interest thereon to date of such recovery and nothing herein contained shall be construed as depriving the Seller of any legal or equitable right or remedy it may have in the premises. The Buyer agrees to pay all costs and expenses of any action commenced by the Seller to enforce this agreement, including attorney's fees, whether such action progress to judgment or not. In case of the election by the Seller to enforce its rights of forfeiture hereunder, it may declare said forfeiture, by service upon the Buyer of its written declaration of default, forfeiture and cancellation, or by its depositing in the United States mail, postage prepaid, such written declaration, addressed to the Buyer at the post office address which he shall have caused to be filed with the Seller, or if no such post office address be so filed, then by depositing in the United States mail, postage prepaid, such

written declaration, addressed to the Buyer at 3752 Viking Road, Las Vegas, Nev. 89121 XXXXXX. Upon such declaration being made by the Seller, all right, estate and interest hereby created or then existing in favor of the Buyer, or anyone claiming under him, shall terminate, and become null and void, and the right of possession and all equitable and legal interests and estates in the premises herein described, with all improvements and all other appurtenances, shall revert to, revest in and become the sole property of the Seller in fee, and the Buyer hereby waives his right to reclaim or recover any sums of money theretofore paid by the Buyer hereunder or to claim any compensation for moneys paid, or improvements placed upon said land, and the Seller shall have the right immediately upon any default in performance on the part of the Buyer to enter upon such said premises, and every part thereof, exclude all persons therefrom and take exclusive possession thereof, with or without process of law.

And it is further agreed that no tender or offer of performance by the said Seller shall be necessary as a condition precedent to its rights to exercise any right, option or privilege hereunder, and that the same may be exercised upon any default of the Buyer without such or any tender or offer of performance and without notice of any kind to said Buyer. No waiver of the breach of any of the covenants, agreements, restrictions and/or conditions of this agreement by the Seller shall be construed to be a waiver of any succeeding breach of the same or other covenants, agreements, restrictions, and/or conditions of this agreement. No delay or omission of the Seller in exercising any right, power or remedy herein provided in the event of default shall be construed as a waiver thereof, or acquiescence therein, nor shall the acceptance of any payments made in a manner or at a time other than as herein provided be construed as a waiver of, or variation in any of the terms of this agreement.

IT IS UNDERSTOOD AND AGREED that the Buyer is of legal age, and said Buyer hereby covenants and agrees that he is of legal age. It is further agreed that the Seller shall not be responsible or liable for any inducement, promise, representation, agreement, condition or stipulation not set forth herein, unless the same is in writing and signed by an officer of the Seller. No agent has any authority to make any representation or agreement not set forth herein.

The Buyer agrees not to transfer or assign this contract or any interest herein without the written consent of the Seller first having been secured to such proposed agreement.

This agreement is executed by the Seller and accepted by the Buyer subject to the following covenants and conditions, restrictions, privileges, rights and exception of records, which shall apply to said land, both before and after said deed has been executed and delivered, and which said conditions, restrictions and reservations, privileges, rights and exceptions of record shall be binding upon the Buyer, his heirs, devisees, executors, administrators, representatives, successors in interest, and assigns, and shall also be written in and become a part of the deed to said land as a servitude in favor of each and every lot in said tract as a dominant tenement or tenements and shall be a mutual contract between the various purchasers and buyers in said tract.

The Seller hereby reserves a right of way, with right of entry upon, over, under, along, across, and through the said land for the purpose of erecting, constructing, operating, repairing and maintaining pole lines with cross arms for the transmission of electrical energy, and for telephone lines, and/or for laying, repairing, operating and renewing, any pipe line or lines for water, gas or sewage, and any conduits for electric or telephone wires, and reserving to the Seller herein the sole right to convey the rights hereby reserved.

Buyer agrees that any buildings or improvements now on the above described land, or hereafter erected on same, shall become a part of the real property, and Buyer agrees that he will not move or cause to be moved, any improvements on said land, without the written consent of the Seller.

Buyer agrees to keep the buildings now or hereafter erected on premises insured against loss by fire during the life of this agreement for an amount agreeable to the Seller.

Buyer agrees to pay before delinquency all State, County and/or municipal assessments, such as taxes, etc., after date of this contract.

It is mutually agreed that this agreement shall not be at any time or in any manner recorded in the office of the County Recorder of any County, and that in the event either party hereto shall record this agreement or cause the same to be recorded in the office of any county recorder, whether said recordation is regular and valid in all respects or not, then the other party hereto shall have the right to treat this agreement as wholly terminated and at an end.

The Seller hereby agrees, whenever the Buyer shall have complied with all the terms and conditions hereof, and shall have surrendered this agreement to the Seller, that the said Seller will convey or have conveyed, the said realty to said Buyer and to execute and deliver a good and sufficient grant deed, conveying said premises substantially in accordance with this agreement, subject to the provisions herein set forth.

Sheet three of three.

IN WITNESS WHEREOF, the Seller has caused its name to be hereunto affixed by its authorized agent and the Buyer has executed the same, in duplicate, the day and year first above written.

Edward R. Lewis

Edward R. Lewis

By Elaine L. Lewis

Elaine L. Lewis Authorized Agent

Randy Don Hopkinson

Randy Don Hopkinson Buyer

Georgette M. Hopkinson

Georgette M. Hopkinson Buyer

Address 3752 Viking Road, Las Vegas, Nevada 89121

Telephone 702-451-7275

Make all checks payable to

Edward R. Lewis
5328 Park Lane
San Bernardino, California 92404

Under the terms of the above contract, no assignment can be made without consent of

Edward R. Lewis and Elaine L. Lewis and payment of a $25.00 transfer fee.

ASSIGNMENT

For value received, I..

....

do hereby grant and assign to....................................

....

all my right, title and interest in and to the foregoing Agreement and in and to the property therein described,

this day..............day of.............................., 19......

(Signature) ....

....

ASSIGNEE'S ACCEPTANCE

The undersigned assignee named in the Assignment of the foregoing Agreement hereby approves and accepts the same subject to all the terms, covenants and conditions thereof, and promises to pay the balance of said purchase price and assume all of the obligations of the Buyer as therein provided, this

this day..............day of.............................., 19......

(Signature)..

....

(Address)..

OWNER'S CONSENT

....hereby consents to the foregoing assignment this..................

day of.. 19........

....

By...
Authorized Agent.

A 9 0 7 0 2 3 1 7 2 2

STANDARD FORM

Agreement for the Purchase and Sale of Real Estate

THIS AGREEMENT, made and entered into this 16th day of October, 19 79

between The Edward & Elaine Lewis Intervives Trust, having its principal place of business at 5328 Park Lane, San Bernardino 92404 California, as "SELLER," and Marilynn L. Mahan of 12021 Bayport #2-208, Garden Grove, California 92640.

XX hereinafter designated as "BUYER,"

WITNESSETH:

That the Seller, in consideration of the covenants and agreements hereinafter contained, to be first performed as a condition precedent by the Buyer, agrees to sell and convey unto the said Buyer, and the said Buyer agrees to buy, that certain real property described as follows, to-wit: Parcel #11, as shown on Parcel Map #4416 recorded 5/23/79 in Parcel Map Book #47, pages 65 and 66 in the office of the Recorder of the County of San Bernardino, State of California. Said map shows a minor subdivision of portions of Sections 2 and 11, Township 13 North, Range 15 East, San Bernardino Meridian, County of San Bernardino, State of California.

Together with a 1/28 interest in a wellsite, well, tank and pump located in the NE¼ of the SW¼ of section 2 in the above mentioned township and range.

Excepting therefrom an easement twenty feet in width along the entire south line of said parcel.

Also reserving unto the Lewis intervives Trust 50% of the oil, gas, coal and mineral rights that are inherent to said parcel.

Subject to: all of taxes for the fiscal year 19 79-19 80, and thereafter coming due, and also subject to all conditions, restrictions, reservations, easements and/or rights of way of record affecting said property.

THE PURCHASE PRICE for which the Seller agrees to sell and the Buyer agrees to buy said property is the sum of Thirty two thousand - - - - - - - - - - - - - - - Dollars ($32,000.00), lawful money of the United States, which sum Buyer agrees to pay to Seller at its main office, at P.O. Box 2932 San Bernardino 92406 California, or at such other place or places as Seller may hereafter from time to time designate, as follows:

Two hundred fifty - - - - - - - - - - - - - - - - - - Dollars ($250.00), in cash upon the execution and delivery of this Agreement, the receipt thereof being hereby duly acknowledged, and the balance of said sum in installments as follows:

Two Hundred fifty - - - - - - - - - - - - Dollars ($250.00), or more on or before November 16, 19 79 and Two hundred fifty - - - - - Dollars ($250.00), or more on or before the sixteenth day of each and every calendar month thereafter, including (together with) interest on all deferred payments from October 16, 19 79, at the rate of seven per cent per annum payable monthly thereafter and continuing until the total purchase price is paid

It is further agreed that time is of the essence of this agreement, and full performance by the Buyer of all his obligations hereunder is and shall be a condition precedent to his right to a conveyance hereunder, and should default be made in the payment of any of said installments of principal or interest when the same become due or in the performance of any other obligation hereunder, then the whole unpaid balance of said purchase price shall immediately become due and payable at the option of the Seller, which may thereupon, at its option enforce its rights hereunder, either by forfeiture of all the Buyer's rights under this agreement and all interest in the lands described herein and the appurtenances as hereinafter provided, or by an action in equity for specific performance hereof, with or without damages, or at law for the recovery of the purchase price, together with interest thereon to date of such recovery and nothing herein contained shall be construed as depriving the Seller of any legal or equitable right or remedy it may have in the premises. The Buyer agrees to pay all costs and expenses of any action commenced by the Seller to enforce this agreement, including attorney's fees, whether such action progress to judgment or not. In case of the election by the Seller to enforce its rights of forfeiture hereunder, it may declare said forfeiture, by service upon the Buyer of its written declaration of defaults, forfeiture and cancellation, or by its depositing in the United States mail, postage prepaid, such written declaration, addressed to the Buyer at the post office address which he shall have caused to be filed with the Seller, or if no such post office address be so filed, then by depositing in the United States mail, postage prepaid, such written declaration, addressed to the Buyer at 12021 Bayport #2-208, Garden Grove, CA 92640 California. Upon such declaration being made by the Seller, all right, estate and interest hereby created or then existing in favor of the Buyer, or anyone claiming under him, shall terminate, and become null and void, and the right of possession and all equitable and legal interests and estates in the premises herein described, with all improvements and all other appurtenances, shall revert to, revest in and become the sole property of the Seller in fee, and the Buyer hereby waives his right to reclaim or recover any sums of money theretofore paid by the Buyer hereunder or to claim any compensation for moneys paid, or improvements placed upon said land, and the Seller shall have the right immediately upon any default in performance on the part of the Buyer to enter upon such said premises, and every part thereof, exclude all persons therefrom and take exclusive possession thereof, with or without process of law.

And it is further agreed that no tender or offer of performance by the said Seller shall be necessary as a condition precedent to its rights to exercise any right, option or privilege hereunder, and that the same may be exercised upon any default of the Buyer without such or any tender or offer of performance and without notice of any kind to said Buyer. No waiver of the breach of any of the covenants, agreements, restrictions and/or conditions of this agreement by the Seller shall be construed to be a waiver of any succeeding breach of the same or other covenants, agreements, restrictions, and/or conditions of this agreement. No delay or omission of the Seller in exercising any right, power or remedy herein provided in the event of default shall be construed as a waiver thereof, or acquiescence therein, nor shall the acceptance of any payments made in a manner or at a time other than as herein provided be construed as a waiver of, or variation in any of the terms of this agreement.

IT IS UNDERSTOOD AND AGREED that the Buyer is of legal age, and said Buyer hereby covenants and agrees that he is of legal age. It is further agreed that the Seller shall not be responsible or liable for any inducement, promise, representation, agreement, condition or stipulation not set forth herein, unless the same is in writing and signed by an officer of the Seller. No agent has any authority to make any representation or agreement not set forth herein.

The Buyer agrees not to transfer or assign this contract or any interest herein without the written consent of the Seller first having been secured to such proposed agreement.

This agreement is executed by the Seller and accepted by the Buyer subject to the following covenants and conditions, restrictions, privileges, rights and exception of records, which shall apply to said land, both before and after said deed has been executed and delivered, and which said conditions, restrictions and reservations, privileges, rights and exceptions of record shall be binding upon the Buyer, his heirs, devisees, executors, administrators, representatives, successors in interest, and assigns, and shall also be written in and become a part of the deed to said land as a servitude in favor of each and every lot in said tract as a dominant tenement or tenements and shall be a mutual contract between the various purchasers and buyers in said tract.

The Seller hereby reserves a right of way, with right of entry upon, over, under, along, across, and through the said land for the purpose of erecting, constructing, operating, repairing and maintaining pole lines with cross arms for the transmission of electrical energy, and for telephone lines, and/or for laying, repairing, operating and renewing, any pipe line or lines for water, gas or sewage, and any conduits for electric or telephone wires, and reserving to the Seller herein the sole right to convey the rights hereby reserved.

Buyer agrees that any buildings or improvements now on the above described land, or hereafter erected on same, shall become a part of the real property, and Buyer agrees that he will not move or cause to be moved, any improvements on said land, without the written consent of the Seller.

Buyer agrees to keep the buildings now or hereafter erected on premises insured against loss by fire during the life of this agreement for an amount agreeable to the Seller.

Buyer agrees to pay before delinquency all State, County and/or municipal assessments, such as taxes, etc., after date of this contract.

It is mutually agreed that this agreement shall not be at any time or in any manner recorded in the office of the County Recorder of any County, and that in the event either party hereto shall record this agreement or cause the same to be recorded in the office of any county recorder, whether said recordation is regular and valid in all respects or not, then the other party hereto shall have the right to treat this agreement as wholly terminated and at an end.

The Seller hereby agrees, whenever the Buyer shall have complied with all the terms and conditions hereof, and shall have surrendered this agreement to the Seller, that the said Seller will convey or have conveyed, the said realty to said Buyer and to execute and deliver a good and sufficient grant deed, conveying said premises substantially in accordance with this agreement, subject to the provisions herein set forth.

89070281721

IN WITNESS WHEREOF, the Seller has caused its name to be hereunto affixed by its authorized agent and the Buyer has executed the same, in duplicate, the day and year first above written.

Edward R Lewis

Edward R. Lewis, Trustee.

[illegible] L. Lewis

[illegible]ine L. Lewis, Trustee Authorized Agent

Marilynn L. Mahan Buyer

Marilynn L. Mahan

Buyer

Address 12021 Bayport #2-208, Garden Grove, CA. 92640

Telephone 714-750-7523

Make all checks payable to

Lewis Trust,
P.O. Box 2932,
San Bernardino, California 92406.

Under the terms of the above contract, no assignment can be made without consent of

The trustees and payment of a $25.00 tran sfer fee.

ASSIGNMENT

For value received, I

do hereby grant and assign to

all my right, title and interest in and to the foregoing Agreement and in and to the property therein described,

this day __________ day of __________, 19____

(Signature) __________

ASSIGNEE'S ACCEPTANCE

The undersigned assignee named in the Assignment of the foregoing Agreement hereby approves and accepts the same subject to all the terms, covenants and conditions thereof, and promises to pay the balance of said purchase price and assume all of the obligations of the Buyer as therein provided, this

this day __________ day of __________, 19____

(Signature) __________

(Address) __________

OWNER'S CONSENT

__________ hereby consents to the foregoing assignment this __________

day of __________, 19____

By __________
Authorized Agent.

A9077291725

NAME AND ADDRESS OF ATTORNEY OR APPLICANT: TELEPHONE NO.:

GRESHAM, VARNER, SAVAGE, NOLAN & TILDEN
600 N. Arrowhead Avenue, #300
San Bernardino, CA 92401
(714)884-2171

ATTORNEY FOR: C. Jeremy Lewis

APPRAISAL REPORT OF CALIFORNIA PROBATE REFEREE

IN THE MATTER OF:

Edward R. Lewis

☒ DECEDENT ☐ DISSOLUTION ☐ OTHER (SPECIFY):

DATE OF DEATH: 5-13-88

DESIGNATED DATE:

LIST OF ASSETS AND APPRAISEMENT* ☐ FINAL ☐ PARTIAL NO.:

APPRAISALS

1. Real Property (Schedule 1)	$ 105,900.00
2. Stocks, Bonds, Notes (Schedule 2)	$ XXXXXXXXXXXXXXXXXXX
3. Personal Property and Miscellaneous (Schedule 3)	$ XXXXXXXXXXXXXXXXXXX
TOTAL APPRAISAL BY REFEREE (Schedules 1, 2 and 3)	$ 105,900.00

STATEMENT OF APPLICANT

Assets listed in Schedules 1, 2 and 3 are a correct description of the assets requested to be appraised as of date of death and/or designated date

I request an appraisal of the assets listed on the:

☒ Date of Death
☐ Designate Date
☐ Both Dates

DATE: 2/10/89

C Jeremy Lewis
(Signature of Applicant)

C. Jeremy Lewis
(Type or print name of Applicant)

The total number of pages attached are:

DECLARATION OF CALIFORNIA PROBATE REFEREE

I have truly, honestly, and impartially appraised to the best of my ability each item set forth in the Statement of Applicant. A true account of my fee and expenses actually and necessarily incurred pursuant to applicant's request is:

Appraisal Fee:	$ 75.00
Expenses (Specify):	$
TOTAL	$ 75.00

I declare under penalty of perjury that the foregoing is true and correct and that this declaration was executed on (Date): 2/6/89, (Place): 2/6/89

LUCRETIA M. IRVING
(Type or print name of Referee)

Lucretia M Irving
(Signature of Referee)

*See reverse side of instructions before completing

8 9 3 7 0 2 8 1 7 2 6 PAGE 1 OF 1 .

IN THE MATTER OF Edward R. Lewis

SCHEDULE 1 - Real Property

ITEM NUMBER	DESCRIPTION	VALUE AT DATE OF DEATH	VALUE AT DESIGNATED DATE
1	Parcels 7, 12 & 13 of the NW 1/4 of the SW 1/4 of Sec. 2, Township 13 N, Range 15 E, San Bernardino Meridian, County of San Bernardino, California. A portion of A.P.N. 567-101-34. Unimproved real property. A portion of A.P.N. 567-101-34 100% interest		
	39.22 ACRES AT $ 560. ea. Parcel 7	22,000.	
	37.47 ACRES AT $ 560. ea. Parcel 12	21,000.	
	34.47 ACRES AT $ ea. Parcel 13	19,000.	
2	Parcel #10 as shown on Parcel Map #4416 recorded on 5/23/79 in Parcel Map book 47, pages 65 and 66 in San Bernardino County, California. Together with a 1/28 interest in a wellsite, well, tank and pump located in the NE 1/4 of the SW 1/4 of Section 2, Twp 13 North, Range 15 East, San Bernardino Meridian, San Bernardino County, California. Unimproved property. 100% interest		
	38.40 ACRES AT $ 560. ea.	21,500.	
3	The East 1/2 of the East 1/2 of the West 1/2 of the South 1/2 of Sec. 35, TWP 13 N, R15E, SBBM. 40 acres, unimproved. 100% interest A.P.N. 567-121-44		
	40 ACRES AT $ 560 ea.	22,400.00	
	TOTALS	105,900.	

SCHEDULE 1

ATTACH ADDITIONAL SHEETS IF NECESSARY

89070281727

NAME AND ADDRESS OF ATTORNEY OR APPLICANT
TELEPHONE NO.
GRESHAM, VARNER, SAVAGE, NOLAN & TILDEN
600 N. Arrowhead Avenue, #300
San Bernardino, CA 92401
(714)884-2171
ATTORNEY FOR: C. Jeremy Lewis

APPRAISAL REPORT OF CALIFORNIA PROBATE REFEREE

IN THE MATTER OF:
EDWARD R. LEWIS

☐ Decedent ☐ DISSOLUTION ☐ OTHER (SPECIFY):

DATE OF DEATH: 5/13/88
DESIGNATED DATE:

LIST OF ASSETS AND APPRAISEMENT* ☒ FINAL ☐ PARTIAL NO.:

APPRAISALS

1.	Real Property (Schedule 1)	$ 238,000.00
2.	Stocks, Bonds, Notes (Schedule 2)	$
3.	Personal Property and Miscellaneous (Schedule 3)	$
	TOTAL APPRAISAL BY REFEREE (Schedules 1, 2 and 3)	$ 238,000.00

STATEMENT OF APPLICANT

Assets listed in Schedules 1, 2 and 3 are a correct description of the assets requested to be appraised as of date of death and/or designated dat
I request an appraisal of the assets listed on the:

☒ Date of Death
☐ Designate Date
☐ Both Dates

DATE: 2/10/89

C Jeremy Lewis
(Signature of Applicant)

The total number of pages attached are: ______

C. Jeremy Lewis
(Type or print name of Applicant)

DECLARATION OF CALIFORNIA PROBATE REFEREE

have truly, honestly, and impartially appraised to the best of my ability each item set forth in the Statement of Applicant.
A true account of my fee and expenses actually and necessarily incurred pursuant to applicant's request is:

Appraisal Fee: $
Expenses (Specify): $
TOTAL $

declare under penalty of perjury that the foregoing is true and correct and that this declaration was executed on (Date): 11/21/88
Place): Redlands

LUCRETIA M. IRVING
(Type or print name of Referee)

Lucretia M Irving
(Signature of Referee)

See reverse side of instructions before completing

THE MATTER OF: Edward R. Lewis

SCHEDULE 1 - Real Property

ITEM NUMBER	DESCRIPTION	VALUE AT DATE OF DEATH	VALUE AT DESIGNATED DATE
1	Real Property - 5328 Park Lane San Bernardino Lot 2 - Tract 5123	$130,000.	
2.	Real Property - 5634 Argyle San Bernardino Lot 29, Tract 5095	$108,000.	
TOTALS		$238,000.	

SCHEDULE 1

8 3 2 7 2 2 3 1 7 2 9

UNITED STATES HOUSE OF REPRESENTATIVES

Committee on Standards of Official Conduct

ETHICS IN GOVERNMENT ACT—FINANCIAL DISCLOSURE STATEMENT FOR 1988

FORM A—For use by Members, officers, and employees

ARLENE M. WILLIS
(Full Name)

2312 Rayburn House Office Building
(Mailing Address)

Washington, D. C. 20515

(Office Use Only)

Check the appropriate box and fill in the blanks.

☐ Member of the U.S. House of Representatives—District ______ State ______________

☒ Officer or Employee—Employing Office Jerry Lewis, M.C.

☐ Check if amended Statement.

GENERAL INFORMATION

WHO MUST FILE AND WHEN:

- Each Member in office on May 15, 1989 must file a Financial Disclosure Statement on or before May 15, 1989.
- Any officer or employee of the Legislative Branch compensated at a rate equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $64,397, as of January 1, 1988, for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an officer or employee on May 15, 1989, and receives compensation equal to or in excess of the annual rate of basic pay in effect for grade GS-16, $67,038, as of May 15, 1989.
- Any employee of a Member who has been designated as a principal assistant for purposes of the Ethics in Government Act of 1978 and who performs the duties of his or her position for a period in excess of 60 days in calendar year 1988 shall file a Financial Disclosure Statement on or before May 15, 1989, if he or she continues to be such an employee on May 15, 1989.

WHERE TO OBTAIN ASSISTANCE: Committee on Standards of Official Conduct, U.S. House of Representatives, Room HT-2, Capitol Building, Washington, D.C. 20515. Telephone No. (202) 225-7103. Additional forms and instruction booklets may be obtained from the Committee office.

REPORTING INSTRUCTIONS

NOTE: Please read instructions carefully. Sign this form where indicated. Attach additional sheets if needed; identify each sheet by showing your name and the section being continued. For some categories of disclosure, a filer may attach a computer (or other) printout listing assets, such as investments, transactions, sales, etc. Such information may be obtained from financial investment (or other) organizations. In cases where such "printouts" are used, the material should be attached with an appropriate notation in the response area provided. **Complete all parts. (If NONE, so indicate.) Please type or print.**

REPORTING PERIOD: The period covered by this Disclosure Statement is calendar year 1988 unless otherwise indicated. *Gifts or reimbursements received during any period in the calendar year when the reporting individual was not a Member or employee need not be disclosed.*

I. SPOUSE AND DEPENDENT DISCLOSURE EXEMPTION

In general, the reporting individual is required to include financial information concerning his or her spouse or dependent children. However, in RARE CIRCUMSTANCES, WHERE ONE OR MORE FINANCIAL INTERESTS of a spouse or dependent child meets the three standards listed below, such interest need not be disclosed. Non-disclosure MUST be indicated by checking the space marked "YES". If all spousal and dependent children's financial interests are disclosed, "NO" should be checked in the space marked.

STANDARDS FOR EXEMPTION

(1) The item is the sole interest or responsibility of the spouse or dependent child, and the reporting individual has NO KNOWLEDGE of the item; and

(2) The item was not in any way, past or present, DERIVED FROM THE INCOME, ASSETS, OR ACTIVITIES of the reporting individual; and

(3) The reporting individual neither DERIVES, NOR EXPECTS TO DERIVE, any financial or economic benefit from the item. Note: A copy of the Financial Disclosure Statement for 1988 of spouse, Jerry Lewis, is attached hereto and by this reference made a part hereof.

NOTE: Only financial interests meeting the standards are exempted from disclosure, all other interests must be reported.

ARE YOU AWARE OF ANY INTERESTS IN PROPERTY OR LIABILITIES OF A SPOUSE OR DEPENDENT CHILD OR PROPERTY TRANSACTIONS BY A SPOUSE OR DEPENDENT CHILD WHICH YOU HAVE NOT REPORTED BECAUSE THEY MEET THE THREE STANDARDS FOR EXEMPTION? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 7.

8 9 0 7 0 2 8 7 3 0

II. INCOME

GENERAL GUIDELINES:

EARNED INCOME is represented by earnings from employment, or personal efforts; such income when it exceeds $100 from any one source must be disclosed at Part II-A, as to its SOURCE, TYPE, AND GROSS AMOUNT. In reporting honoraria, do not include amounts accepted for actual travel and subsistence expenses for yourself and your spouse, or aide, and amounts paid or incurred for any agent's fees or commissions; the DATE OF RECEIPT must be indicated. Earned income by Members is LIMITED to 30% of the Congressional salary they receive in a calendar year. THE 1988 LIMIT FOR MEMBERS IS $26,850. Earned income in excess of the limitation may be donated to any organization described in 26 U.S.C. 170(c). ANY honorarium, or other earned income, assigned to a charity (in whole or part) should be noted under "DISPOSITION". IF NONE, SO STATE.

EXCLUSIONS: Income from current U.S. Government employment need not be reported. Report the SOURCE, AND TYPE, but not the AMOUNT, of a spouse's earned income which exceeds $1,000. Income of a dependent child need not be reported.

For more information, see detailed Instruction Booklet at page 7.

A. SOURCE	TYPE	AMOUNT	DISPOSITION
See Financial Disclosure Statement			
of spouse which is attached hereto			
and made a part hereof by reference.			

UNEARNED INCOME includes, but is not limited to, earnings derived from assets or investments such as interest, rents and dividends. Unearned income must be disclosed at Part II-B when it exceeds $100 in value from any source during calendar year 1988. The unearned income of a spouse or dependent child must also be reported under this part. Filer may use a computer printout or similar listing, if so desired. Only the category of value of such income need be disclosed. Category A—not more than $1,000; B—$1,001-$2,500; C—$2,501-$5,000; D—$5,001-$15,000; E—$15,001-$50,000; F—$50,001-$100,000; G—over $100,000.

B. SOURCE	TYPE	CATEGORY
Fidelity Investments (IRA)	Interest	B
See also Financial Disclosure Statement		
of spouse which is attached hereto		
and made a part hereof by reference.		

NOTE: For Parts III, IV, and V below, indicate Category of Value, as follows: Category A—not more than $5,000; B—$5,001-$15,000; C—$15,001-$50,000; D—$50,001-$100,000; E—$100,001-$250,000; F—over $250,000.

III. HOLDINGS

GENERAL GUIDELINES:

ASSETS: Stocks and bonds, real estate, savings accounts, and any other investment or property held for the production of income, during calendar year 1988, including business interests, that had a fair market value exceeding $1,000 as of the end of the year, must be reported by category of value. In listing the category of value of any item where it is difficult to determine an approximate fair market value, any recognized indication of value may be used provided that the method of valuation is included on the Disclosure Statement. (See Instruction Booklet at page 9 for methods of valuation.) In listing securities, the name of each company in which stock worth over $1,000 is held must be listed separately. In reporting real property holdings, a brief description of the property (such as number of acres and indication of any improvements), and its location should be included. Filer may use a computer printout or similar listing, if so desired. IF NONE, SO STATE.

TRUSTS: Except for assets held in a Qualified Blind Trust, described below, the holdings of and income derived from a trust or other financial arrangement in which a beneficial interest in principal or income is held by the reporting individual, his spouse, or any dependent children must be disclosed. (See, Exclusions)

EXCLUSIONS: Any deposits aggregating $5,000 or less in personal savings accounts as of the end of the year, and any personal liability owed to the reporting individual by a relative. A personal residence would not be reported UNLESS any part of the residence produces rental income. The cash value of a life insurance policy need not be reported. The reporting individual need only report the category of the amount of income received by him, his spouse, or dependents from; (1) a trust which was not created directly by such individual, his spouse, or any dependent, and with respect to which such individual, his spouse, and dependents have no knowledge of the holdings or sources of income of the trust; or (2) a "QUALIFIED BLIND TRUST," as defined in section 102(e)(3) of the Act. Such a trust must be approved by the COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT before it will be deemed a qualified blind trust under the Act. (Check the appropriate box below.)

DO YOU, YOUR SPOUSE OR DEPENDENT CHILD RECEIVE INCOME FROM OR HAVE A BENEFICIAL INTEREST IN A TRUST OR OTHER FINANCIAL ARRANGEMENT WHOSE HOLDINGS WERE NOT REPORTED BECAUSE THE TRUST IS A "QUALIFIED BLIND TRUST" OR OTHER EXCEPTED TRUST? YES ______ NO __X__ NA ______

For more information, see detailed Instruction Booklet at page 8.

IDENTITY	CATEGORY
See Financial Disclosure Statement of spouse	
which is attached hereto and made a part hereof	
by reference.	
Fidelity Investments (IRA)	B

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IV. TRANSACTIONS

GENERAL GUIDELINES:

A brief description, the date, and category of value of any PURCHASE, SALE, OR EXCHANGE during calendar year 1988, which exceeds $1,000 in real property, stocks, bonds, commodities futures, or other forms of securities. The amount to be reported in disclosing transactions in real property or securities is the category of value of the total purchase price or total sales price, and is NOT related to any CAPITAL GAIN or LOSS on the transaction. INDICATE WHETHER THE PROPERTY WAS PURCHASED, SOLD, OR EXCHANGED. IF NONE, SO STATE.

EXCLUSIONS: Any purchase or sale of a personal residence, and any transactions solely by and between the reporting individual, his spouse, or dependent children.

NOTE: A computer printout may be attached to this form if it contains the information requested.

For more information, see detailed Instruction Booklet at page 10.

BRIEF DESCRIPTION	DATE	CATEGORY
See Financial Disclosure Statement of		
Spouse which is attached hereto		
and made a part hereof by reference.		

V. LIABILITIES

GENERAL GUIDELINES:

All personal obligations aggregating over $10,000 owed to one creditor AT ANY TIME during 1988, whether secured or not, and regardless of the repayment terms or interest rates, MUST be listed. The identity of the liability should include the name of the individual or organization to which the liability is owed, and the amount disclosed should be the category of value of the largest amount owed during the calendar year. Any contingent liability, such as that of a guarantor or endorser, or the liabilities of a business in which the reporting individual has an interest need not be listed. IF NONE, SO STATE.

EXCLUSIONS: Any mortgage secured by the PERSONAL RESIDENCE of the reporting individual or spouse (including a second residence or vacation home) that is NOT held for the PRODUCTION OF INCOME; any loan secured by a PERSONAL MOTOR VEHICLE, or household furniture or appliances, provided such loan does not exceed the purchase price of the item; and any liability owed to a relative.

For more information, see detailed Instruction Booklet at page 10.

IDENTITY	CATEGORY
See Financial Disclosure Statement of	
spouse which is attached hereto and	
made a part hereof by reference.	

VI. GIFTS

GENERAL GUIDELINES:

The term "gift" means a payment, advance, forbearance, rendering, or deposit of money, or any thing of value, unless consideration of equal or greater value is received by the donor. IF NONE, SO STATE.

EXCLUSIONS: Gifts from relatives, and gifts of personal hospitality of an individual, and political campaign contributions need not be reported. Gifts with a value of $35 or less need not be aggregated towards the $100 or $250 disclosure threshold.

HOUSE RULE XLIII, clause 4, prohibits acceptance of gifts aggregating $100 or more in value from any source having a "direct interest in legislation" before the Congress, or from a foreign national. Thus, this disclosure requirement applies primarily to gifts from personal friends, constituents, and other individuals or groups that do not have a "direct interest in legislation".

For more information, see detailed Instruction Booklet at page 11.

A. The source and a brief description of *gifts of transportation, lodging, food, or entertainment* aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
NONE	

B. The source, a brief description, and value of all *other gifts* aggregating $100 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION	VALUE
NONE		

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VII. REIMBURSEMENTS

GENERAL GUIDELINES:

PART VII, includes items such as travel expenses provided in connection with a SPEAKING ENGAGEMENT or FACT-FINDING EVENT related to official duties, whether those expenses were REIMBURSED to the individual or PAID DIRECTLY by the sponsoring organization. Only a brief description of the itinerary and the nature of the expenses aggregating $250 or more in value received from any source during calendar year 1988, is required rather than exact dollar figures. IF NONE, SO STATE.

EXCLUSIONS: Travel-related expenses provided by federal, state, and local governments, or by a foreign government within a foreign country, and reimbursements paid from campaign funds, need not be reported.

For more information, see detailed Instruction Booklet at page 12.

The source and a brief description of reimbursements aggregating $250 or more in value received from any source during calendar year 1988.

SOURCE	BRIEF DESCRIPTION
See Financial Disclosure Statement of spouse which is attached hereto and made a part hereof by reference.	

VIII. POSITIONS

GENERAL GUIDELINES:

The identity of all positions held on or before the date of filing during the current calendar year as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution. IF NONE, SO STATE.

EXCLUSIONS: Positions held in any religious, social, fraternal, or political entities, and positions solely of an honorary nature.

For more information, see detailed Instruction Booklet at page 13.

POSITION	NAME OF ORGANIZATION
~~Assistant Treasurer~~	~~Lewis for Congress Committee~~

IX. AGREEMENTS

GENERAL GUIDELINES:

A description of the date, parties to, and terms of any agreement or arrangement with respect to: future employment; leave of absence during period of government service; continuation of payments by a former employer other than the U.S. Government; and continuing participation in an employee welfare or benefit plan maintained by a former employer. IF NONE, SO STATE.

For more information, see detailed Instruction Booklet at page 13.

DATE	PARTIES TO	TERMS OF AGREEMENT
	NONE	

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended (2 U.S.C. §701 et seq.). The Statements will be made available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions (see 2 U.S.C. §706 and 18 U.S.C. §1001).

Signature	Date
Arlene M. Willis	May 12, 1989

WHERE TO FILE:

RETURN COMPLETED STATEMENT
(WITH TWO COPIES) TO:

The Clerk, U.S. House of Representatives
Office of Records and Registration
1036 Longworth House Office Building
Washington, D.C. 20515

EXTENSIONS: The Committee on Standards of Official Conduct may grant reasonable extensions of time for filing any Disclosure Statement. An extension request must be in writing, and should state the reason the extension is necessary, and be directed to the Chairman of the Committee, Representative Julian C. Dixon.

U.S. GOVERNMENT PRINTING OFFICE: [illegible]